1	BEFORE THE NEW YORK STATE SENATE STANDING COMMITTEE ON AGING,
2	STANDING COMMITTEE ON HEALTH, AND
3	STANDING COMMITTEE ON LABOR
4	JOINT PUBLIC HEARING:
5	
6	NURSING HOME, ASSISTED LIVING, AND HOME CARE WORKFORCE - CHALLENGES AND SOLUTIONS
7	
8	Van Buren Hearing Room A Legislative Office Building, 2nd Floor
9	Date: July 27, 2021
10	Time: 9:00 a.m.
11	
12	PRESIDING:
13	Senator Rachel May, Chair NYS Senate Standing Committee on Aging
14	
15	Senator Gustavo Rivera, Chair NYS Senate Standing Committee on Health
16	Senator Jessica Ramos, Chair
17	NYS Senate Standing Committee on Labor
18	PRESENT:
19	Senator Brian A. Benjamin
20	Senator George M. Borello
21	Senator Shelley B. Mayer
22	Senator Peter Oberacker
23	Senator Susan J. Serino
24	
25	

				_
1	SPEAKERS:	PAGE	QUESTIONS	
2			~	
3	Meghan Parker Director of Advocacy New York Association on	15	33	
4	Independent Living			
5	Jim Clancy Sr. Vice President for State Policy	15	33	
6	Dora Fisher	15	33	
7	Director of Post-Acute and	13	33	
8	Continuing Care Services Healthcare Association of New York State			
9				
10	Lisa Newman Executive Director Empire State Association of	15	33	
11	Assisted Living			
12	Stephen B. Hanse President & CEO	65	74	
13	Lisa Volk	65	74	
14	Director, Clinical & Quality Services Tarrah Quinlan Director, Education Program	65	74	
15	Development & Member Operations NYS Health Facilities Assoc.,			
16	and New York State Center for Assisted Living			
17	Eugene Hickey	97	103	
18	Secretary-Treasurer Francine Streich	97	103	
19	Field Direction UFCW, Local 2013 (Brooklyn, NY)	91	103	
20	_	100	126	
21	Grace Bogdanove Vice President, Western New York Nursing Home Division	122	136	
22	William Roe	122	136	
23	Licensed Practical Nurse Tonya Blackshear Certified Nursing Assistant	122	136	
24	1099 SEIU, United Healthcare Workers East			
25				

1	SPEAKERS (continued):	PAGE	QUESTIONS
2			
3	Sarah Daly Government Relations Analyst LeadingAge New York	163	178
4		162	170
5	Michele O'Connor Legislative & Policy Director Argentum/Argentum NY	163	178
6	Doug Wissman	163	178
7	Board Member	103	176
8	Greater New York Health Care Facilities Association		
9	Dallas Nelson, MD NY Medical Directors Association	187	196
10	Diedre Gilkes, RN	187	196
11	NY State Nurses Association	207	100
12	Hannah Diamond State Policy Advocacy Specialist	210	224
13	PHI		
14	Maria Alvarez	210	224
15	Executive Director Statewide Senior Action Council		
16	Lindsay Heckler, Esq. Supervising Attorney Center	210	224
17	for Elder Law & Justice		
18	Agnes McCray	248	259
19	Board President of ARISE, Human Rights Advocate, and Home Care Consumer		
20	Marcella Goheen	248	259
21	Founder of EssentialCareVisitor.com	2 4 0	259
22	Rona Shapiro Executive Vice President,	264	279
23	Home Care Division Lilieth Clacken	264	279
24	Home Health Aide	∠0 1	<u> </u>
25	1199 SEIU, United Healthcare Workers East		

1	SPEAKERS (continued):	PAGE	QUESTIONS	
2				
3	Jason B. Brooks Personal Care Assistant Healthcare Workers Rising	264	279	
4	Martha Davila	264	279	
5	Home Care Attendant	201	2.7	
6	Ilana Berger Coordinator	296	314	
7	New York Caring Majority			
8	A reader for Sandra Moore Giles Senior Home Care Consumer	296	314	
9		005	2.1.4	
10	Sandra Abramson Family Caregiver	296	314	
11	Mildred Garcia Gallery Ageless Companions	296	314	
12	_	200	222	
13	Mary Lister Home Care Worker	320	330	
14	A Founding Member of the Queens City Workers Center			
15	Ignacia Reyes Home Care Worker	320	330	
16		200	222	
17	JoAnn Lum Mobilization Against Sweatshops	320	330	
18	Ain't I a Woman/! Campaign			
19	Carlyn Cowen Chinese-American Planning Council	343	350/366	
20	Rebecca Preve Executive Director	353	366	
21	The Association on Aging in New York			
22	Tara Klein	353	366	
23	Senior Policy Analyst United Neighborhood Houses			
24	Melissa Wendland	375		
25	Director of Strategic Initiatives Common Ground Health			

				5
1				
2	SPEAKERS (continued):	PAGE	QUESTIONS	
3	Claire Pendergrast, MPH Syracuse University Lerner Center for Public Health Promotion	375		
4	Darran OlMallarr	384		
5	Bryan O'Malley Consumer Directed Personal Assistance Association of NYS	304		
6	Heidi Siegfried	384		
7	Health Policy Director	304		
8	Center for Independence of the Disabled, NY			
9	Tania Anderson CEO	384		
10	ARISE			
11	Jeanne Chirico CEO and President	400	414	
12	Hospice and Palliative Care Association of New York State			
13		4.0.0	4.1.4	
14	Kathy Febraio President and CEO NYS Assoc of Health Care Providers	400	414	
15	nl Condillo	400	41.4	
16	Al Cardillo President & CEO	400	414	
17	Home Care Association of NYS			
	Dana Arnone, RN	417		
18	Owner Reliance Home Senior Services			
19	Honorable Christine Pellegrino	417		
20	All Things Home Care, Inc.	417		
21	Faigie Horowitz	417		
22	Caring Professionals, Inc.			
23	Jim Hurley Home Instead Senior Care	417		
	Christie Johnston	437		
24	NY Coalition of Downstate Homecare Agencies/Premier Home			
25	Health Care			

				6
1	SPEAKERS (continued):	DAGE	QUESTIONS	
2			QUESTIONS	
3	Matthew Hetterich Administrator	437		
4	Gurwin Certified Home Health Agency	425		
5	Veronica Charles Director of Government Affairs Maxim Healthcare Services	437		
6	namin neareneare berviees			
7	000			
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
21				
22				
23				
24				
25				

SENATOR MAY: Good morning.

Hi, everybody.

Welcome to our first in-person hearing in, what, like 18 months?

It's amazing.

So I am Senator Rachel May. I am the chair of the Senate Aging Committee.

And I am thrilled to welcome everybody here to this hearing on workforce challenges in long-term care settings, including nursing homes, assisted-living sites, and in-home care settings.

I am joined by my colleagues, Gustavo Rivera, chair of Senate Health Committee; and Jessica Ramos, the chair of the Senate Labor Committee; and as of now, by two additional senators, Senator Borello and Senator Serino, who are both on the Aging Committee as well.

Today's hearing will be an opportunity for us to provide -- to hear from providers of long-term care, both institutions and the care workers themselves, as well as many who have dedicated their efforts to understanding, and improving, long-term care in New York State.

Anyone with even a glancing familiarity with this issue knows there is a crisis in our state.

Critical shortages of workers plagued the home care and nursing care industries well before the pandemic.

And the situation only got worse under the pressures of COVID-19, from the need for infection control, to the closing of childcare facilities, and the health care -- health toll the virus took on health-care workers themselves.

At the same time, New York also dealt this sector a blow by cutting Medicaid allocations; and just this month, by raising the minimum wage upstate for fast-food workers, but not for home care workers.

We know there is a crisis.

We are deeply grateful to everyone coming forward today who is trying to solve it.

We want to learn from you about anything you have tried that works, any unnecessary barriers you faced to providing excellent care, and about any measures we can take to improve recruitment and retention of this critical workforce.

Before I give my colleagues an opportunity to say a few opening words, I'd like to go over some housekeeping items; and, also, thank those who helped to organize and coordinate this effort,

including Senate event staff; and my staff,

Zach Zeliff, Kristin Williams, and Eric Vandervort,

and particularly my aging policy director,

Ingrid Gonzalez-McCurdy, who just joined our team in

March, and has jumped in with both feet to make this

hearing a success.

Those testifying today have been grouped on small panels, and are listed on the witness list, which is available at, the back table? I'm not sure where -- somewhere, you can find it in this room.

We will call witnesses down by panel.

We encourage them to keep their remarks brief, with an absolute limit of five minutes, so that we can have time for questions.

We have many people expecting to testify, and expect a full day.

We will hear testimony from now until noon, and then we'll take a break, and return for the second part of the hearing.

Written testimony that has been shared to our offices will be added to the archived hearing event on the Senate website, and should be available for public viewing soon after the hearing.

Today's hearing is also being live-streamed on the nysenate.gov website.

I will now turn it over to our Health chair, 1 2 Senator Gustavo Rivera. While he does that, let me ask the first 3 panel to come down and get start -- get settled. 4 5 If you know who you are, that's Meghan Parker, Dora Fisher, Jim Clancy, and 6 7 Lisa Newcomb. 8 SENATOR RIVERA: Thank you, Senator May. I will be very brief. 9 10 Thank you for being here. It is a pleasure to actually see people in 11 person again. And I'm hoping that this means that 12 13 we will be doing these going forward. I'm looking forward to hearing from everyone 14 today. We understand the great pressure that 15 workers in the health-care field, particularly in 16 17 these types of settings, have under normal 18 circumstances. And the pandemic only made it worse. 19 So I'm certainly looking forward to all the inputs that we will have today, and we have a long 20 21 one, since we have two hearings back to back. 22 I'm here to back up my two colleagues. Very happy to be back here, and looking 23 forward to what the day will bring. 24 25 Thank you, Senator May.

SENATOR RAMOS: Good morning, everybody.

2 (Speaking Spanish.)

My name is Jessica Ramos. I am the

New York State senator representing District 13 in

Queens. I also have the honor of chairing the

Labor Committee in the New York State Senate.

I'm very excited about today's hearing because I think, by and large, there is a societal indifference to a workforce that is largely Black, Latino, women, and who are often taken advantage of, and are paid low wages, and, very often, lack dignity on the job.

So I'm eager to hear stories from both the industry, from workers, and from everyone who will being testifying today, just about the lack of investment that our state has made in long-term care, in assisted living, in our nursing homes, for certain.

I think we can all agree that the pandemic really peeled back the onion, layer by layer, showing us just how much work there is to do in this sector so that everyone can be taken care of in a better way.

You know, as probably one of the youngest senators, I very much care about how my parents and

the rest of their generation will be taken care of 1 2 as more of our baby boomers age day by day. 3 And, hopefully, establishing a better standard by the time we reach that stage. 4 5 And that's, I think, what this is really about: making sure that New Yorkers, generation 6 7 after generation, feel taken care of; and that the 8 workforce, those who are caring for us, are paid 9 living wages, and treated with dignity and respect 10 on the job. I want to thank my colleagues for co-chairing 11 this hearing with me. 12 And I want to thank all of the Senate staff, 13 and my legislative director, Nathan Burger, who's 14 here with me today. 15 16 Thank you. 17 SENATOR MAY: Thank you, Senator Ramos, for making us baby boomers feel ourselves aging day by 18 19 day. 20 [Laughter.] 21 SENATOR RAMOS: I love you so much.

SENATOR MAY: We have the ranking member of the Aging Committee here, Senator Serino, who would like to say a few words.

22

23

24

25

SENATOR SERINO: Thank you very much,

1 Senator May. 2 SENATOR BORELLO: Oh, we're back to the 3 microphones not necessarily working. SENATOR RIVERA: Oh, memories. 4 5 SENATOR SERINO: This one is working. 6 Okay. 7 So I just want to say thank you, Senator May, 8 and Senators, for having this hearing today. 9 You know, as we all know, the workforce 10 shortage that we're seeing across the care continuum 11 is a public health emergency. And we know it is only getting worse as our population ages. 12 13 And I've heard from too many neighbors who cannot access quality care simply because of a lack 14 of staff, both in home care and in residential 15 health-care settings. 16 17 So I'm really looking forward to hearing from 18 the witnesses today. I think it's going to be a 19 great day. 20 And I just had a question. 21 I don't see the Department of Health on our 22 list. 23 Were they invited today? Do you know? 24 SENATOR MAY: I don't remember. I don't 25 think they were invited.

We decided that this was really about hearing 1 2 solutions coming from outside the department, so 3 that we could give a report to the department. 4 SENATOR SERINO: Oh. Because they just have 5 such a huge part to play in this. I'm just kind of surprised that they're not 6 7 here with us today; a little disappointing. 8 But, thank you. 9 I look forward to hearing from everyone 10 today. SENATOR MAY: Senator Borello, do you want to 11 say a few words? 12 13 SENATOR BORELLO: Sure. First of all I want to say, thank you very 14 much for having this hearing. I'd like to thank my 15 colleagues for this. 16 17 I think this is an incredibly important issue. 18 Now, I represent some of the most rural areas 19 20 of New York State. 21 We already have staffing shortages. And we have an administration that has, for nine years in a 22 23 row, cut Medicaid reimbursements. 24 So we're asking for more pay for employees, 25 which they deserve, while we're cutting

reimbursements.

We have already seen the impact of that, and we are going to continue to see that if we don't address this issue, and understand the cause and effect. Things don't happen in a vacuum.

And I'm hopeful that this panel will shed some light on the real challenges: the people that are caring for our most vulnerable citizens need our support.

We saw cuts to Medicaid reimbursements, but we had massive increases in a budget that was a record-breaking \$215 billion, and yet we cannot support our most vulnerable citizens and the people that care for them.

So I look forward to hearing from you, and what solutions we may be able to come up with to help you, rather than hinder you, because, right now, the State's mostly in the hindering business, and not in the helping business, when it comes to caring for our most vulnerable citizens.

Thank you.

SENATOR MAY: All right. Thank you.

With that, we will start with our first panel. And I will let you introduce yourselves.

So, beginning with Meghan Parker.

MEGHAN PARKER: Is this on? 1 2 SENATOR MAY: Yes. 3 MEGHAN PARKER: Okay. Great. Good morning. 4 5 My name is Meghan Parker. Thank you for the opportunity to testify here 6 7 today on behalf of the New York Association on 8 Independent Living, or "NYAIL." And thank you for squeezing me in at the 9 10 beginning of the hearing so I could testify. I appreciate it. 11 NYAIL and our member independent living 12 13 centers provide and orient services that help people to live as independently as possible in their 14 community, and to transition them from nursing 15 homes. 16 At the statewide level, NYAIL coordinates a 17 couple of programs, including the Money Follows the 18 19 Person-funded Open Doors program, which staffs a team of transition specialists across the state that 20 21 actually go into nursing homes and help people 22 transition back into the community. 23 We also coordinate the Olmstead housing 24 subsidy and rapid transition program, two rental 25 subsidy programs that help people leave

institutions, and provide them with supportive services and assistance with locating accessible housing.

And what I keep hearing from people who run these programs, and people across the state, is, more and more, increasingly, they work with people, they line them up with all the necessary supports and services they need to transition. They actually find them an accessible apartment in the community, no easy feat; have a lease and everything. And then people are unable to leave because they cannot line up home care.

So, you know, nurse -- independent living centers have been helping people, for a long time, transition from nursing homes. And there has long been a shortage in certain regions of the state in getting home care.

But, in recent years, it has really increased dramatically so that it's now an acute crisis, from Long Island to Buffalo, with no regions in between, really, avoiding this crisis.

This -- there are a number of ways in which this is problematic, including the State having an obligation under the Supreme Court's 1999 Olmstead v. L.C. decision, which affirmed

that people with disabilities and seniors have the right to live and receive services in the most integrated setting, their home communities. And, of course, being able to access home care is a necessary component of that.

And so this crisis has only increased.

And in my written testimony, I do go through various ways in which people who provide the services outlined, that they used to be able to use, to help get the harder-to-serve people out of institutions and access home care, including they used to sometimes, for harder-to-serve people, be able to access like an enhanced rate.

So, for example, if someone lived in a rural area, and they had trouble getting home care workers to come out, their MLTCs might approve an enhanced rate to serve that person. But that really no longer exists, the problem is too widespread.

I don't have time to go into all the barriers, but, you know, we're also seeing a much heavier burden being put on family members, to be backups, as there are no available home care workers to show up in the inevitable event that someone doesn't show up for their shift.

We're finding, more and more, that when

people apply for traditional home care, they are told that they're either going to have to wait months and months to get home care, or go into the Consumer Directed Personal Assistance program (CDPA), you know, basically, shifting the responsibility to consumers to find and recruit their own aides. And this is not an appropriate option for everybody.

And the longer somebody is in an institution, the more isolated they become.

And so they really don't have the same community supports.

And, of course, CDPA really is a program that kind of relies heavily on family members who are willing to provide these services for the paltry wages provided. And so it becomes a barrier, especially the longer someone is in, to them being able to transition back out.

This wasn't always the case.

Back in 2006, home care workers earned about 150 percent of minimum wage. And this is the time when it was much easier to locate home care workers; it was much more readily available.

But in recent years, as the minimum wage has gone up, as has wages in other sectors, home care

workers now make minimum wage.

And as Senator May rightly pointed out at the beginning, as of this month, in all the counties north and west of Westchester, home care workers make \$2.50 less an hour than they would make if they were working in a fast-food restaurant, which is only going to compound this crisis because, of course, providing home care is physically and emotionally demanding work.

And why would somebody keep doing it if they could have a much less stressful job and make significantly more an hour doing something else, like working at a fast-food restaurant.

SENATOR MAY: Sorry, but I need to interrupt you because you're after your five-minute limit.

Can you close -- wrap up really quick?

MEGHAN PARKER: Okay. Let me just wrap up
real quick, and say: That the answer to the crisis
is to enact the Fair Pay for Home Care Act, that
Senator May introduced earlier this year.

And thank you for your leadership on that.

It would increase wages to 150 percent of minimum wage, and take care of the home care crisis over the next few years.

My testimony does outline a recent CUNY

report, that goes into the economic-development 1 2 benefits of passing Fair Pay for Home Care, as well as how it would address the crisis. 3 This is a desperate situation. 4 5 People with disabilities are not able to 6 access home care. 7 And we just really implore you to take action 8 in the upcoming budget, and pass Fair Pay for Home 9 Care, so people are able to access home care in the 10 community. So, thank you. 11 12 SENATOR MAY: Thank you. 13 And just as one more housekeeping matter, I believe the witnesses can -- there is a clock that 14 is [indiscernible] from this table, but we can't see 15 it. 16 17 So Zach will hold up his hand when five minutes are up so that we can know as well. 18 But thank you so much for your testimony. 19 20 MEGHAN PARKER: Thank you. 21 SENATOR MAY: And we have Dora Fisher next. 22 JIM CLANCY: Hi, good morning, Senator. 23 My name is Jim Clancy. I work with 24 [inaudible]. 25 SENATOR MAY: Oh, okay.

We both represent HANYS. 1 JIM CLANCY: So if 2 that's okay, I'll start. SENATOR MAY: That's fine. 3 JIM CLANCY: The mic is on? 4 5 SENATOR MAY: Yes. JIM CLANCY: The mic is on. 6 7 Good morning, Chairs May, Rivera, Ramos, and the committee members. 8 We appreciate this opportunity here this 9 10 morning. 11 I'm Jim Clancy, senior vice president for state policy at the Healthcare Association of 12 13 New York State, representing not-for-profit 14 hospitals, health systems, and post-acute-care 15 providers across New York. 16 I'm joined with my colleague -- by my 17 colleague, Dora Fisher here, the director of 18 post-acute and continued care services for HANYS. 19 Again, thank you for this opportunity. Recruiting and retaining a robust 20 long-term-care workforce in New York has been a 21 22 challenge for many years. 23 Those who come -- those who become 24 long-term-care providers desire to provide 25 compassionate, quality care; however, the physical

and psychological demands, coupled with financial limitations, can inhibit career growth and drive high turnover.

The COVID-19 pandemic has certainly exasperated that problem.

Staff turnover in nursing homes had reached alarming heights long before the pandemic, with average turnover rates in 2017-18 nearing 94 percent.

Earlier this year, the State enacted several measures aimed at staffing in nursing homes.

These include, mandated that nursing homes direct a minimum of 70 percent of their revenue toward direct resident care, and maintain average of at least 3.5 hours of nursing-care resident per day.

These new policies do not address the underlying issue of chronic Medicaid underfunding, which is the primary driver and root cause of long-term-care workforce challenges, including shortages and high turnover rates.

Over 70 percent of New York's nursing home resident care is paid for through Medicaid, which currently reimburses hospitals and their long-term-care providers an average of 67 cents per dollar spent on care.

According to a recent analysis conducted by Hansen Hunter & Company, the nursing home Medicaid shortfall in New York State totals \$64 per resident per day.

When annualized, that Medicaid shortfall for New York's nursing homes total an estimated \$1.5 billion in 2017.

Roughly two-thirds of New York public and not-for-profit nursing homes deliver care on negative operating margins. The median operating margin in these homes is negative 2.9 percent.

To make matters worse, the current fiscal year's enacted state budget estimated 200 million nursing home Medicaid rate reduction on top of a 1.5 percent across-the-board Medicaid cut the year before.

HANYS is committed to working with state government and all health-care stakeholders as we pursue our common goal: ensuring nursing homes are able to provide the highest quality of care, and support the first-rate workforce.

Toward that aim, HANYS recommends the following actions to ensure nursing homes are equipped to address the state's long-term-care workforce challenges, and maintain a continued

access to high-quality, safe nursing home care:

First: Enhance Medicaid reimbursement paid to nursing homes to cover full cost of staffing.

Delivering quality care, and maintaining adequate staffing levels, starts with ensuring nursing homes have the necessary resources to cover the full cost of recruiting, training, and retaining staff.

Second: Support workforce training programs and career ladders.

Workforce training programs are critical to increasing recruitment, ensuring staff can meet the needs of the population, increasing the number of individuals who are likely to pursue careers in health care, and building skills for those who have already begun work in health care.

The career ladder is an important piece of building and retaining a quality long-term-care workforce.

These pathways must be reinforced and supported to enable staff to grow professionally, and ensure nursing homes can retain their high-quality staff.

Three: Support apprenticeship programs and other innovative workforce models.

Apprenticeship programs have proven successful in New York State, where workers receive the combination of classroom and on-the-job training, as well as receiving college credits centered on nursing home life.

HANYS recommends State support to enable development of additional apprenticeship opportunities across the state, through the 1115 Medicaid waiver, the Department of Labor, or other programs.

And, four: Advance regulatory relief.

We encourage the Department of Health and the legislature to make permanent key COVID-19 executive order flexibilities, and to further streamline regulatory requirements for long-term-care providers, which would enable nursing home administrators, clinical staff, and other members of the care team to spend their time where it matters most, delivering resident care.

In conclusion, HANYS, on behalf of all of our members, thanks you for providing the opportunity to address this urgent matter.

We appreciate the support of the legislature, and look forward to continuing the progress we have all made together.

Thank you. 1 2 SENATOR MAY: Thank you. 3 And do you wish to testify in addition, Ms. Fisher? 4 5 JIM CLANCY: Did you want to say anything? DORA FISHER: Yes, absolutely. 6 7 As the director of long-term care for HANYS, 8 I speak to our nursing home administrators daily. And I hear how passionately they care about 9 10 the work that they do, providing care to those who society has forgotten: frail, vulnerable older 11 adults. 12 13 Our members provide care in both urban and rural settings that -- with high levels of poverty. 14 Across the state, a third of older adults are at or 15 near the poverty level, which means that our members 16 17 rely on Medicaid reimbursement rates. And, frankly, it is getting very hard for 18 them to maintain and retain staff. 19 And thank you very much for this opportunity 20 21 to speak. 22 SENATOR MAY: Thank you. 23 And, finally, Lisa Newcomb. 24 LISA NEWCOMB: Good morning, Senators, and 25 thank you for this opportunity.

I'm Lisa Newcomb, executive director of the Empire State Association of Assisted Living, and we represent more than 300 licensed adult homes, enriched housing programs, assisted-living residences, and assisted-living programs across the state, serving more than 30,000 frail elderly seniors.

Our members include self-pay, sometimes referred to in this industry as "private pay." And I'll have a note on that in a moment;

Others serve residents that have little or no income by way of [indiscernible], supplemental security income, or "SSI" payment;

And some also have served SSI, and also have the assisted-living program, which is a Medicaid daily rate;

And for licensed home care services.

Back to that note on self-pay, when it comes to assisted living, I think that there's a perception that the residents living in some of these higher-end, you know, are extremely wealthy with, you know, endless funds.

But the overwhelming majority of people that are self-pay have limited incomes. They are paying from their pensions and from their life savings.

And they are the most price sensitive, you know, when costs have to be passed along to them.

So I just want to, you know, speak for those middle people because there's a lot of them out there.

And in the last 10 years or more, both the SSI and the [indiscernible] rates have remained unchanged.

I cite in my testimony report, from PHI, titled "Federal Policy Priorities for the Direct Care Workforce," just some quick stats:

From 2019 to 2029, there will be 7.4 million job openings, 6.9 openings -- 6.9 million of those are due to workers transferring to other occupations or exiting the workforce entirely.

87 percent are women, 59 percent are people of color, and 27 percent are immigrants.

It's fair to say that the recruitment and retention strategies that worked in the past are nowhere near as effective now. Getting and keeping staff is by far the biggest challenge in our industry.

And it's important to note that assisted livings can't close; we are a 24/7 business.

So strategies to close for a day or two to

give staff a break are not ones that we can employ.

I polled members, and got a very large return response, and asked one question: What is the number-one most important action that government can take to increase workforce availability?

The overwhelming majority of respondents said that, without additional financial resources, they cannot compete with the continued expanded unemployment benefits and other government subsidies that incentivize workers to stay at home.

So some solutions to that problem:

Provide financial resources for wage subsidies.

Use tax credits based on length of employment to incentivize them to come and stay in assisted living.

Offer loan forgiveness for nurses based on length of employment.

Provide transportation incentives, such as half-fare cards.

Better patrol the person collecting unemployment, to -- and the point is to incentivize people to work and not not to work.

The New York State spending plan for the implementation of the American Rescue Plan of 2021,

with regard to the HCBS funding, because the State's plan is directed at programs that are an MLTC benefit, no assisted livings, no ACS, not even our Medicaid funded out, are targeted to get one penny of that, and that includes 623 million directed to the long-term-care workforce for recruitment and retention, and even to pay for PPE.

So we are completely shut out of all of that funding. And we are a huge and growing part of the long-term-care industry, and it's incredulous that there is no funding proposed as part of that plan for us.

So we ask, during the next, you know, set of budget negotiations, to support and promote an adjustment to our [indiscernible] rate, as well as our SSI funding. And we implore the department to direct some of these ARP funds to our residents.

Just some other quick recommendations: Immigration reform.

Again, 27 percent of direct-care workforce are immigrants.

Federal legislation to develop a new visa classification for non-seasonal, non-agricultural workers for jobs that don't require a college degree, any initiatives like this could

significantly expand the pool.

I don't know how much you can do of that at the state level, but to the extent that you can.

Training reform.

This is nuanced, but allow our enhanced assisted-living residences which provide aging in place to be able to operate home health aide training programs.

It is extreme -- there is an extreme shortage of training programs for certified home health aides and personal care aides.

And those that do exist only really want to train their own employees, because they have a hard time, too, finding assistance.

So it makes it difficult for our enhanced assisted-living residences to obtain training.

A couple of other little things:

Allow use of equal for staff benefits.

Right now, these approximately 6 million that you provide to those ACFs that serve the indigent cannot be used for staff -- for staffing.

Employee bonuses beyond their regular pay should be an acceptable use of these funds.

And then, of course, in the long term, the long-term-care educational track, very early on,

in -- beginning in high school. 1 2 Thank you. 3 SENATOR MAY: Okay. Thank you. So the way this will work is, the chairs have 4 5 10 minutes for questioning, and everyone else has 6 five. But I want to say we have a very long list of 7 witnesses for the day, so we do not -- should not 8 feel that we have to use all of that time. I would like to direct a few questions to 9 10 these providers, because I'm wondering what you have 11 experienced in the way of turnover rates in staffing, and what that costs the providers, the 12 13 actual institutions, when staff turns over. Do you have a sense of the cost of turnover? 14 15 JIM CLANCY: I can just say, you know, in the testimony, we have, based on numbers from 2017-2018, 16 17 about 94 percent turnover. SENATOR MAY: 94 percent on...? 18 JIM CLANCY: 19 Turnover rate. 20 SENATOR MAY: Every year? 21 JIM CLANCY: In 2017-2018. So it's a little 22 dated right now. But I can't imagine that those 23 numbers are going to get better. 24 LISA NEWCOMB: No, I mean, I think that we do 25 have some members that -- particularly those that

serve SSI, that experience, that kind of turnover, 1 2 as well. 3 I think right now our bigger problem is 4 actually recruiting and getting them hired. 5 You know, retention is, you know, the responsibility of the employer. And, you know, if 6 7 you're a good employer, you know, you can, 8 hopefully, not lose them to McDonald's. But the recruitment, just because they're --9 10 you know, they're not there, or they're not willing to do the work, that's the issue. 11 JIM CLANCY: And we are losing them to 12 13 McDonald's. SENATOR MAY: Is there any effort to 14 determine what the main cause is for people to 15 leave? 16 17 Do you do exit interviews, or that kind of thing? 18 DORA FISHER: I can speak to this. 19 20 You know, ageism is endemic to our society. 21 And, these days, you can get a job at Walmart, 22 stocking shelves, for about the same price -- from 23 about the same wages that you can get at a nursing 24 home. 25 And nursing home work is incredibly grueling. Even if people are excited about aging, excited about older adults, it's still pretty hard to swallow, toileting, grooming, all of that kind of work, when you can just work at Walmart for the same amount of money, or Dunkin' Donuts.

I think one of the things that Jim highlighted in his testimony is the need for apprenticeship and career-ladder programs, because the key difference between a job at McDonald's and a job at a nursing home should be a career; that you are beginning a career.

And so we believe that we need to build that infrastructure.

LISA NEWCOMB: And if I could add, at the managerial level, I think lack of support from the state Health Department really drives some of our best administrators, executive directors, out of the business.

SENATOR MAY: I'm sorry. Say that again. What was the --

LISA NEWCOMB: Lack of support from New York State/from the Department of Health; from the regulating agency.

SENATOR MAY: Okay.

So I know, I've heard from many nursing home

administrators that there were a lot of regulatory 1 2 requirements as a result of the pandemic, of 3 counting PPE on a daily basis, and a lot of 4 reporting requirements. 5 Have any of those been eased, or do you still 6 have major staffing requirements for reporting? 7 LISA NEWCOMB: Well, I can't speak to nursing 8 homes. 9 JIM CLANCY: I would just say that there have been some incremental reduction in mandated 10 11 reporting from -- for the nursing homes and hospitals, but not enough. 12 13 We need to do more, working with the State 14 every day, to try and get that reduced. SENATOR MAY: Are there specific things you 15 want to ask us to help with? 16 17 JIM CLANCY: Sure. 18 We would like to have HERDS reporting. 19 Right now, the hospitals and nursing homes have to report to HERDS on a daily basis. 20 21 I'm not sure that that's needed. And maybe 22 have that reduced to a few days a week. 23 SENATOR MAY: Okay. 24 JIM CLANCY: But happy to talk about 25 specifics after this, Senator.

SENATOR MAY: And then my last question is 1 2 about staffing agencies. So I know that there are a lot of kind of 3 temp nurses and temp health-care staff in hospitals. 4 5 Do nursing homes make use of that? And what -- how does that -- what kind of wages do they 6 7 command? 8 Like, how does that affect your bottom line, and the quality of care? 9 10 JIM CLANCY: Expensive. Very expensive. And depending on where you are, there's 11 either little access in rural areas, or tremendous 12 13 competition in more populated areas, for that same staff person. 14 So prices fluctuate for that reason. 15 SENATOR MAY: Okay. 16 I guess that's all I have right now. 17 Senator Rivera? 18 Senator Ramos? 19 20 He's deferring to you. 21 SENATOR RAMOS: Okay. Well, thank you, 22 Senator Rivera. 23 Well, my notes are all over the place, to be 24 honest with you, so bear with me, because it's 25 really unconscionable how high the turnover rate,

1 how big the shortage, is in this industry.

And so I'm wondering what type of support for workforce training exists now, perhaps provided by your organizations, perhaps provided by the state Health Department.

What's out there now, if anything?

LISA NEWCOMB: Nothing directly for assisted living.

SENATOR RAMOS: Nothing directly for assisted living, or for nursing home care?

LISA NEWCOMB: Well, I can't speak for nursing homes. I represent only assisted-living facilities.

SENATOR RAMOS: Right.

I'm also wondering how many of your member homes, or the agencies that are members of your organizations, are -- have workforces that are represented by a union?

What is the union density among your member organizations or nursing homes or assisted-living homes?

LISA NEWCOMB: In assisted living, it's a small percentage, mostly in New York City. But there are some upstate as well.

But most of the industry is not unionized.

SENATOR RAMOS: Well, I, of course, would argue that that's also a big reason why wages aren't very high.

It's not only the State's duty and responsibility to raise the minimum wage, in my humble opinion, pegging it to inflation.

But, of course, collective bargaining agreements actually allow for workers to seek incremental wages, and, hopefully, improvements in terms of health and safety as well.

LISA NEWCOMB: I would say two things to that:

When it comes to SSI, they don't really -they're not interested, because there's no funding
there for them to get for their workers, because it
is so underfunded that there's no real opportunity
there.

On the higher end of assisted living, they -you know, many of them do pay, you know, very, very
fair wages. And some have, you know -- there -- you
know, there have been discussions of unions, and the
workers have voted no.

That's not to say, you know, there are some, you know, that have unions, and they work well, and -- but I -- you know, on the -- for the

indigent, there's no -- there's no money in it for 1 2 anyone. 3 SENATOR RAMOS: Well, do any of the employers hold captive-audience meetings in order to dissuade 4 5 the workers from organizing into a union? LISA NEWCOMB: I -- not that I have ever 6 7 heard. 8 It's been -- the unions are just not actively 9 pursuing. 10 SENATOR RAMOS: Uh-huh. JIM CLANCY: Senator, just real quick, to go 11 back to your first question about other programs. 12 13 Right now -- again, it's in our full testimony. I did mention it in my comments. -- but 14 15 there are apprenticeship programs now in the state, run through the district program, and also through 16 the Staten Island Performing Provider System, that 17 I mentioned that the mentoring and the college 18 credits. 19 So there are models out there that work. 20 21 SENATOR RAMOS: That work. 22 JIM CLANCY: Yeah. 23 SENATOR RAMOS: That was going to be my 24 follow-up: Well, how successful actually are they? 25 JIM CLANCY: They work, but we need to expand on them. We need --

SENATOR RAMOS: Not that they're not --

JIM CLANCY: -- to make sure a lot of resources get into those programs so that we can expand them.

SENATOR RAMOS: -- sure, yeah.

You know, I keep thinking, and I said this in my opening remarks, by and large, this workforce is comprised of women of color, more often than not, single moms, like myself. And the rising cost of living in New York State is pretty astronomical, starting with just childcare alone.

You know, on average, we spend around \$16,000 in childcare every year. And if you put that up against the wages that these workers are paid, they're really left with nothing.

I honestly don't know how they make ends meet, and I can't wait to hear from the workers themselves.

But I'm very interested in the workforce training and apprenticeship program development.

And I'll probably be reaching out to all of you, after, to see how we can work together on that.

Thank you.

JIM CLANCY: Thank you, Senator.

SENATOR RIVERA: I'm good. 1 2 SENATOR MAY: Senator Benjamin -- oh, before 3 you go, actually, I should welcome, Senator Oberacker, Senator Benjamin, and 4 5 Senator Mayer who have joined us. 6 SENATOR BENJAMIN: Thank you. 7 Can you hear me? 8 One more time? I actually want to pick up on my colleague 9 10 Senator Ramos's point about childcare. Being that, you know, you're a living 11 facility, has there been some creative thoughts 12 13 around possibly helping some of your staff with childcare within your space, so that that becomes an 14 attractive feature, compared to a McDonald's or a 15 Walmart, et cetera? 16 17 Because, I can tell you, childcare is very expensive, in New York, in the country. 18 19 So has there been thoughts around that? DORA FISHER: A few of our members have 20 21 piloted some creative solutions to support their 22 workforce with childcare, eldercare, and among other 23 issues that are barriers to maintaining employment,

such as, you know, incentives to get your car fixed,

things like that. And there have been a lot of

24

25

creative solutions.

I think getting back to Senator May's point about staffing agencies, a lot of our members believe that if they provide these kinds of support for their staff, they're more likely to retain staff, and not have to rely on agency as much.

So these are some creative ideas that have been piloted, and I think some of them have been shown to work.

And I would be happy to discuss later.

SENATOR BENJAMIN: Yeah, I think that that would be something that can be a very obvious thing, that given the industry that you're in, that you can actually be a leader on providing, and be very compelling, to people who want to sort of work in this space, and know that their children are going to be taken care of at the same time, I think it would be very powerful.

And their children would not be very far from them because they would be in a location that they're near to.

So that's something that I think I would love to hear more about.

The second question I have is about the issue of the career advancement.

To your point, this is very hard work.

And I do think, to the extent that there is some obvious sort of process to which they can become a registered nurse, for example, et cetera, that is very transparent and clear, I wonder if that also, in addition to some of the personal issues, would help with the process of retaining workers.

Any thoughts on that?

JIM CLANCY: Yeah, I just would like to say,
I would very much like to continue that conversation
as we start to get into the next legislative
session.

I think we have, as an industry, as a provider, and as providers have -- want to work with the State, particularly state Education Department, with respect to licensure, scope of practice. You know, we have professionals that we want to make sure, and I know the State wants, too, everyone [indiscernible] their license.

So if we can have conversation with that as we kind of round the end of 2021, to '22, I think we've got some creative ideas we would like to share.

SENATOR BENJAMIN: Sure.

Last question, and I know I missed the

beginning, so I apologize: What are the learning 1 2 lessons that you have seen from COVID thus far? 3 Obviously, we are not out of COVID yet, but 4 learning lessons that you've seen thus far? 5 I know in every sector there have been -- you 6 know, obviously, COVID has been devastation. 7 But there have been some things that we have 8 learned and picked up, that we will continue, going 9 forward, even as we get past COVID. 10 Are there -- what are some of those things that you have seen in the industry that you think 11 can help, you know, guide the future of what 12 assisted living looks like, going forward? 13 LISA NEWCOMB: Well, you know, I have polled 14 our members on that, and I've gotten a lot of 15 different types of responses. 16 17 Certainly, one overarching theme is just the whole infection control. 18 I mean, that was always a thing before COVID, 19 20 but this, you know, has certainly, I think, you 21 know, sensitized them. And, you know, that is not 22 going away. 23 COVID was a major shock to the 24 assisted-living network because it is such a social

25

environment.

You know, our residents, while they're elderly, they -- you know, they are not, you know, bed-bound. They're active. They come and they go, you know, all the time. People are coming into the assisted-living community all the time.

And the isolation, you know, that they experienced, because, you know, they couldn't have visitors, I think that, you know, our members say that it just brought their staff closer to the residents. Because they, you know, were filling in for that -- that family member that couldn't be there, as best as they could.

You know, lots of other lessons learned, to just, you know, kind of trust your instincts when you're in the middle of -- you know, of a major calamity, and there's no answers that anybody, you know, no solutions that people, are providing, that you go with your gut.

And, you know, I think our members have done a tremendous job.

You know, any death is too many. But, you know, the number of residents that passed away is, you know, certainly, significantly smaller than nursing homes, because our residents, frankly, are just not quite as frail.

JIM CLANCY: And I would just add, to state the obvious, the professional and heroic actions of first responders, providers, everyone in the health-care community, regardless of where they were.

But I would say, also, the flexibility -- and this goes back to the point, your previous question, talking about licensure -- the flexibility that the providers were given in the last 18 months, to make sure that they got the job done for their communities, was a lesson I hope that we can build on, moving forward.

SENATOR BENJAMIN: Thank you.

SENATOR MAY: Thank you.

I guess Senator Serino has a question?

SENATOR SERINO: Thank you, Senator May.

And I just want to say, thank you so much.

You know, you are all so passionate and caring for our most vulnerable. And I really appreciate your testimony today.

I'm a huge supporter of the apprenticeship programs, but they only work if students know about them.

So I just wanted to ask you, like, do you think the State is doing enough to inform people

about that? 1 2 Like, what could we do better so that we can 3 make sure that these opportunities happen? 4 JIM CLANCY: I would say this: 5 I think we now, with the spotlight, after what has happened in the last 18 months -- right? --6 7 the spotlight is on health care. 8 SENATOR SERINO: Yes. 9 JIM CLANCY: And the opportunities that will 10 exist, and do exist, in health care is going to be the responsibility of everybody in this room, and 11 part of, you know, the government, to ensure that 12 13 it's trumpeted, that there are opportunities. SENATOR SERINO: Okay. Great. 14 We need to work on that. 15 And, Lisa, I just have a question, with the 16 assisted living. 17 You know, I've been -- I'm always talking 18 19 about SSI. It's so frustrating, it's 20 unconscionable, you know, what we pay is really 21 horrible. And I actually have legislation to raise that 22 23 rate.

And I will work with any legislator to get

that passed, so we do that pay rate they need so

24

25

desperately. 1 2 So thank you so much. 3 Appreciate all your testimony. LISA NEWCOMB: Thank you. 4 5 You've always been so supportive, as have many of you. 6 7 And the legislature actually did pass an 8 increase a few years back, and it was, unfortunately, vetoed by the governor. 9 10 You know, the cost is \$100 million. I guess, you know, the 50,000, you know, seniors in 11 assisted living, I guess -- you know, I guess it 12 13 just wasn't a priority at that time. SENATOR SERINO: Our seniors get left in the 14 dust. Right? It's not fair. 15 LISA NEWCOMB: And many have closed since. 16 SENATOR SERINO: Yeah, absolutely. 17 He is becoming a senior very quickly himself. 18 SENATOR MAY: Senator Borello. 19 20 SENATOR BORELLO: Thank you, Madam Chair. 21 Again, I would like to give my thanks for you-all being here to discuss this issue. 22 23 You had mentioned initially -- well, first of 24 all, you mentioned the -- a daily loss of 25 approximately \$64 per patient per day in nursing

homes.

And then when it comes to assisted living, you mentioned that, according to all of your members, or I believe you said all of your members, that the enhanced unemployment benefit has created a crisis in employment.

I was wondering if could you speak to that?

I mean, essentially, what you're saying is,
is that, so far, our government hasn't done anything
to help you, and has done a lot to hurt you. And
that is certainly having an impact on the folks that
you care for.

But I would like to speak a little bit more to those outside forces created by government that is having a negative impact on your ability to deliver services.

LISA NEWCOMB: So, are you out Buffalo way?

SENATOR BORELLO: Yeah. Western New York,

yes.

LISA NEWCOMB: Because it's my understanding -- okay.

So we have members there, when the minimum wage went up, and they're Medicaid providers that have the assisted-living program, they were already paying over the minimum wage at that time.

So they did not get a Medicaid add-on. So
they were, kind of, sort of, punished for, you know,
having done the right thing.

So they do -- you know, they are paying well
because they have to and -- and they want to, and
they have to.

But they, you know, have gotten, you know, no support.

And, right now, the benefits that are coming in from government, you know, exceed what they can afford to pay in some cases.

And, you know, just as an anecdotal story, but a member told me that, in the North Country, like Plattsburgh way, somebody actually told them that, you know, to leave her house, that she needs \$23 an hour.

And they just cannot -- they just cannot afford that.

Remember that, you know, for most facilities, that that means that you're passing it on along to the resident who has a fixed income.

You know, and so something's got to give at some point.

SENATOR BORELLO: Well, in New York State, we took out \$100 million out of -- during COVID, with a

1.5 percent cut to reimbursements.

So I would imagine that's had a negative impact.

Now, if you can speak a little bit to that, that, during COVID, when we were trying to do our best to take care of our most vulnerable citizens, the governor decided to cut \$100 million out of reimbursements.

JIM CLANCY: Yeah, and, you know, I did highlight that. That was part of the previous year's budget negotiations.

So, sure, that hurts. Right?

And understand how we are where we are, why we are where we are, today with Medicaid spending.

It's -- it's -- the program becomes more and more robust, it's broader services to be covered, which is a good thing. Right? We want as many services, and as many people to receive those services, as possible.

But those increased services have not been coming with an increased dollar attached to it.

So that's the conversation that I hope we will all be having with the administration, and with your colleagues in the other House, to start to right that ship.

SENATOR BORELLO: I don't know how much time 1 2 I have left here, I can't see the clock, but, you 3 know, we saw mandates for you to have to do testing, that were not reimbursed; PPE that was not provided; 4 5 and all the other mandates during the pandemic. 6 Can you just speak to the overall damage that 7 has done to your industry? 8 JIM CLANCY: "Damage" is a good word, 9 I guess. 10 I would just say, the stresses -- everyone just stepped up and did what they had to do. Right? 11 Put the checkbook aside, put the accounting 12 13 ledger aside, and did what they had to do, and said, We will figure this out. 14 And now we're at that "We have to figure this 15 out" stage. Right? 16 17 Nursing homes, let's be very clear --18 right? -- as I also said in my testimony, you know, before the pandemic, nursing homes were working at a 19 20 negative margin. Right? 21 So anything to hit that bottom line certainly 22 exasperated that. 23 "Unfunded mandates," I mean, that's a word 24 that you hear. You must hear that so many times in 25 a year -- right? -- from not just us, but from

everybody else in other hearings. Right? 1 2 They're hard. 3 They're hard. And that's why we're -- we have hearings like 4 5 this, so that we can bring that to light, and ensure that we're having those conversations. 6 7 SENATOR BORELLO: Well, we already have loss 8 of beds in Western New York, particularly in rural areas of New York State. 9 10 So do you, I guess, foresee more closures? I believe there were two more closures 11 recently, and a third bankruptcy somewhere on 12 13 Long Island. 14 So do you see more loss of beds? Because it's going to be a critical issue for 15 us, as we continue to have people aging and needing 16 17 more services, but there's a lack of beds, at least 18 in our area. JIM CLANCY: I do. 19 20 SENATOR BORELLO: Okay. 21 Thank you. 22 SENATOR MAY: And Senator Oberacker. 23 SENATOR OBERACKER: It's kind of weird when 24 you talk and you don't hear yourself coming back. 25 So, thank you, Madam Chair.

And I want to thank each and every one of you, too, for your testimony, to help educate this senator on the issues that are out there.

You know, as a businessman -- and our business is a lot with product development and, you know, the creative ideas that have come out -- and one of the things that just struck me is that this business is so heavily reliant upon people -- right? -- to do the jobs that are out there.

And so just one of the ideas that I had is, is there any chance, or has there been any thought given, to potentially, automation, that would help in some of the mundane type of tasks that are out there now?

I don't want this to sound insensitive, or anything, because I think the business that we're in is very personable, and that personable touch is so important.

I'm just trying to think, out of, you know, again, the creative side of things, you know, if you were to have some sort of -- look at the box stores, look at Walmart. I mean, they're doing their self-checkouts, and things of that nature.

So they've kind of, you know, taken some of that automation idea to help out with this.

So I just throw that out as kind of a 1 2 question: Is there, or could there be, some thought 3 process given to that, to kind of help with the 4 shortage? 5 LISA NEWCOMB: I was approached by a company just last month, that has, basically, robots that 6 7 can deliver meals. 8 You know, so I, you know, don't have an 9 opinion about that quite yet, one way or the other. 10 But I -- you know, I told them I wanted to look at it. 11 So we are, you know, scheduled to do that. 12 13 We automate as much as we can, but, like you 14 said, it is a people industry. 15 My daughter, when she was in college, worked in dining in assisted living, and she really became 16 17 very attached. And she would come home and she would say, 18 "There's this boy, and he likes this girl." And I'm 19 thinking she's talking about the staff, "boy and 20 21 girl." 22 And she's talking about the residents. 23 So, you know, it really is a people thing, 24 but we do what we can when it comes to automating. 25 SENATOR OBERACKER: And, again, it was kind

interesting that, my mother-in-law, who was in an assisted living, and I remember them coming around and having a sheet of paper for her meals. And it was more difficult for her to work off of a sheet of paper than if there was a picture of the actual meal that could be, you know, given, if you will.

So just something along those lines.

And the other thing I bring up, as an EMS provider in my local emergency squad, and we are running through the same issues I think that you are, where we don't have enough members, you know, right now we are looking at getting a -- literally, a machine that will perform mechanical CPR.

It's a whole nother individual for any of these, you know, calls that we're on.

So I just throw that out, again.

I think it's something, as we move forward, it would be really worth looking at.

DORA FISHER: Several of our members have utilized smart technology to, you know, monitor patients remotely.

And it is really fantastic to see these creative innovations. And it also highlights why nursing homes need that kind of flexibility to be able to pay for things like this.

But I do want to emphasize that this is still 1 2 a high-touch human field. And there are limits to 3 what technology can replace in this field. SENATOR OBERACKER: 4 Thank you. 5 DORA FISHER: Thanks. SENATOR MAY: All right. 6 7 I think that's everyone. 8 I just wanted to come back and say one --9 Oh, Senator Mayer. Sorry. 10 SENATOR MAYER: Thank you. And, first of all, thank you, to you and your 11 staffs, and your institutions, for what you did 12 13 during the last 18 months. 14 And I think for all of us here, we dealt with family members of folks that were in your places, as 15 well as family members and staff that work there. 16 17 So one question I had, and I don't think you 18 dealt with this: For each of these sectors, the 19 number of workers who either died from COVID or became seriously ill, do you know that number? 20 JIM CLANCY: I don't. 21 22 LISA NEWCOMB: I do not. 23 I don't think -- you know, I don't know that 24 that's publicly available, to my knowledge. 25 SENATOR MAYER: But have you --

LISA NEWCOMB: I mean, it would be -- it 1 2 would have been reported to the department, I think. 3 SENATOR MAYER: I just wondered, as -- as 4 organizations, whether you know the scope of death 5 or serious illness of workers in your facilities during the last 18 months? 6 7 LISA NEWCOMB: I have only heard of a few. 8 JIM CLANCY: Yeah, I wouldn't have that data, 9 Senator. But can I certainly go back, check, find out, if we have that, and let you know. 10 SENATOR MAYER: Well, I just think for us, as 11 we represent both, as I say, the families of these 12

patients, and the families of these workers.

13

14

15

16

17

18

19

20

21

22

23

24

25

And I know for me, and I would think for many of my colleagues, the workers in these places are largely the women in our districts, who are taking the bus, and/or don't have a car, and may not have health insurance, and also have childcare responsibilities, as my colleagues mentioned.

And I think it would be helpful to know the burden that was placed on them, either by death or serious illness as a result of COVID, because they made an extraordinary sacrifice.

So I think that would be helpful.

Second is, for those that work in your

facilities, I know it depends on whether the union, how many facilities provide health insurance to the employees, either in assisted living or in nursing homes?

LISA NEWCOMB: I don't know that. I don't -I'm sorry. I don't have the answer for assisted
living.

JIM CLANCY: No. Senator, again, I don't have that information with me. But, again, we will --

SENATOR MAYER: Well, again, I think for -from at least my point of view, for these workers,
the ability to have health insurance, particularly
given what we've been through, this is the moment to
reevaluate the failure to provide health insurance.

I understand the finances of it, but we're asking people to make extraordinary and personal sacrifice. And I think that ought to be part of the conversation.

I would urge you to make sure, as we go forward next year, that those -- both the pain that they suffered, as well as their health insurance needs, going forward, are part of the conversation, as well as in your advocacy, because I think they deserve that.

So I would just urge that you do that. 1 2 JIM CLANCY: Thank you, Senator. 3 SENATOR MAYER: Thank you. SENATOR MAY: All right. I'm going to take 4 5 my privilege as chair, and ask just a couple of follow-up questions, because -- especially after 6 7 what Senator Mayer asked. I know we've heard a lot about enhanced 8 9 unemployment benefits as a reason people aren't 10 going back to work. But the evidence seems to be that it's lack 11 of childcare, lack of other kinds of supports, and 12 13 fear of infection. And so the question about, vaccination, 14 I know vaccination rates are low among staff at a 15 lot of your facilities. 16 17 And we're hearing, around the country, there are efforts to require vaccination, or regular 18 testing of employees. 19 20 What are you thinking on that score? 21 LISA NEWCOMB: So for assisted living, 74 percent of our staff, currently. I mean, you 22 23 know, it is inching up. You know, I want it to be 24 100. 25 Some of our companies, very early on, did

mandate it. And I think more are considering it at this point, especially because, you know, when you have unvaccinated staff, you know, the community can't open up the way that it could if everyone were vaccinated.

But, of course, there's also that fear that, if you mandate it, that you're losing people when you really can't, you know, afford to lose one other worker.

So, you know, I certainly, you know, support any -- any of our members that mandate it; but, you know, there's that risk.

JIM CLANCY: And almost identical, I would say, we do spend a lot of time working with our members to help combat the hesitancy within their workforce; to ensure that they really feel that it's safe, and the right thing to do.

But those that will mandate it, we will support their membership, and help them to do that.

But those that don't, for the obvious reasons outlined, the flexibility needs to be -- you know, needs to be part of that conversation as well.

SENATOR MAY: Okay. Thank you.

Meghan, I don't know if you wanted to say anything about this, too, with vaccination with

home care workers.

I apologize that you've sort of been left out of the conversation here. But the morning is mostly devoted to nursing care, so that was why.

MEGHAN PARKER: Yeah, I know, I understand.

And I'm sure my colleagues will all get to answer questions on the topic I spoke on earlier.

So we advocate for consumers. And I know that there are many people with, you know, serious conditions. People who are, have suppressed immune systems, who, you know, don't feel safe, having home care workers come into their homes if they're not vaccinated.

And so I think that, on the consumer side, which is where, you know, I fall, that, you know, mandating vaccines would make people feel much more comfortable and make people much safer.

SENATOR MAY: Thanks.

And then my last comment: I want to come back to this 94 percent turnover rate.

Only 6 percent of your employees actually stay more than a year?

Is that what you're saying? Because that -JIM CLANCY: That was, again, [simultaneous
talking; indiscernible] --

SENATOR MAY: -- was a shocking number. 1 2 JIM CLANCY: -- based on 2017-2018 numbers, 3 that was the turnover rate. 4 SENATOR RAMOS: But the retention was more 5 than a year? 6 JIM CLANCY: You know what? That's a good 7 question. And let me find that out. 8 I'm not sure I highlight that in my testimony. But I will let you know over what that 9 10 time period is. Okay? SENATOR MAY: That would be helpful, because 11 that is a shocking number, and an indication of a 12 13 totally failed business model, an employment model, that absolutely needs to be rethought from the 14 15 bottom up. DORA FISHER: And to clarify on the 16 statistic, it includes -- it's an average. Right? 17 So it includes turnover rates that exceed 18 100 percent. You know, when you have -- and it 19 20 includes staff people that turn over three or 21 four times in a year. 22 So it's not to say that 6 percent of the 23 staff stays on every year. It's about -- it's 24 about, especially at the lower level, the people who 25 come in and out every three or four months.

SENATOR MAY: 1 Okay. 2 Well, it would be nice to get a little more 3 granular detail about where that number comes from. JIM CLANCY: Absolutely. 4 5 SENATOR MAY: Thank you. Thank you, all. 6 7 JIM CLANCY: Thank you. 8 LISA NEWCOMB: Thank you. SENATOR MAY: I really appreciate your work, 9 10 and your testimony today. 11 And we'll move on to the next panel, which is Stephen Hanse, Tarrah Quinlan, and Lisa Volk. 12 13 All right, Mr. Hanse. 14 STEPHEN B. HANSE: Good morning. My name is Stephen Hanse, and I have the 15 privilege of serving as president and CEO of the 16 New York State Health Facility Association, and the 17 18 New York State Center for Assisted Living, a 19 statewide organization representing over 450 skilled nursing and assisted-living facilities throughout 20 21 the state, who are both proprietary, not-for-profit, 22 and government-sponsored facilities. 23 Prior to the onset of the COVID pandemic, 24 New York was experiencing a significant 25 long-term-care workforce crisis.

We were meeting with the commissioner of health, folks on the second floor, to address this issue.

Then we had the onset of the COVID-19 pandemic which only exacerbated this workforce shortage.

We saw our workers become ill.

We saw workers, for the first time ever, have to leave work, to stay home and care for their children as a consequence of schools closing.

Now we continue to fight through this pandemic, and we are sitting here discussing the workforce issue.

And as we heard during the first panel,

I think it's clear that the issues of workforce and
reimbursement, more particularly Medicaid
reimbursement, are inextricably linked.

You heard that New York has a \$56-per-patient-per-day shortfall, and what that is is the statewide data. It costs \$265 per patient per day to provide care for a Medicaid patient.

About 77 percent of all nursing home residents in the state of New York are Medicaid patients.

So when you back out that \$64, you're left

with \$8.37 per hour paid by the state of New York for Medicaid care for our most vulnerable men and women in nursing homes.

So as we look at the issue of staffing, and we have staffing mandates, we all would love to hire as much staff as we could.

I was recently speaking to an administrator of one of our buildings, who said, "If a bus full of nurses showed up tomorrow, I would hire them sight unseen; just, we would hire them all."

So we need to address the workforce crisis, we need to address the reimbursement, and make them work together. We can't deal with these issues in silos.

It's been over 12 years since Medicaid has been increased for the cost of living in the state of New York.

So we need to address that.

In this past budget, it looks like \$64 million was allocated for workforce. And my understanding, that \$64 million was for the fourth quarter of this fiscal year.

And I just want to get some clarity on that because, if that's the State's share, and that becomes \$128 million, then there seems to be a level

of commitment to addressing workforce.

And we would stand here today, to say, any increase in the Medicaid rate for long-term care in New York, you can allocate 100 percent of that increase to staff.

We would fully support that. We would work with our partners and organized labor to bring that to you.

And when management and labor supports something, it makes your life easier.

So we would fully support that.

So with those issues, there are issues that we need to do to recruit and retain workers, and stop losing our workers, the limited workers we do, to hospital systems and other providers on the health-care continuum who can continue to pay more.

So with that, we would like to present to you, in addition to the real need to increase the Medicaid rate, real concrete proposals that can be implemented now with the legislature, and working with the state agencies in regulatory capacity, to recruit and retain workers.

And with that, I would like to introduce my colleague Lisa Volk.

LISA VOLK: Good morning, everyone.

So my name is Lisa Volk. I am the director of clinical and quality services for NYSHFA.

My background: I'm an RN, and a licensed nursing home administrator. So I've been many years in operations of facilities.

There's a couple of key areas that we are looking at when we talk about workforce.

Number one being the TNA program/the temporary nurse aide training program that was utilized throughout the state to help supplement the nursing home staff.

Currently, the Department of Health just did a survey that indicated 1,322 temporary nurse aides are being utilized in the facility.

This is a big program that they're using.

What we would like to do is extend the waiver on that program.

We also are currently working with the Department of Health to develop a bridge program that will accept some of the hours worked by the temporary nurse aide employees as their clinical hours for the 100-hour course.

We also would like to align with the federal guidelines as far as the hours of 75, versus 100 in New York State.

So those are some really big, key issues that we believe -- I mean, these are your frontline staff.

This is very, very much so needed.

Before I turn it over to Tarrah, my colleague, I had one other thing I wanted to talk to you about, is the nursing home administrators.

And Dora said earlier, she's on the phone a lot with the nursing home administrators, as am I, and certainly can empathize with where they're at.

But there's a lot of these nursing home administrators leaving the industry, by way of retirement, by way of leaving the industry completely, by leaving the state, and that's very concerning to me.

And I think there's a way that we can work with the Board of Nursing Home Examiners, to see if we can open up more of the qualifying field experience.

Stephen and I have worked with nursing home administrators some years ago, that actually had some awesome experience, and was very qualified. But because they were not an administrator on record, their experience was not taken into account. They were more of a regional.

And this is an administrator that had control 1 2 kind of over a special focus facility. So I think there's things that we can do. 3 So those are two of the key areas. 4 5 But I'll turn it over to Tarrah, and she's going to talk more about the med tech and the 6 7 nurses. 8 Tarrah. 9 TARRAH QUINLAN: Thank you, Lisa. 10 Can you hear me okay? 11 Okay. My name is Tarrah Quinlan. 12 13 SENATOR MAY: Actually, I'm not sure that's 14 on. 15 STEPHEN B. HANSE: You're not on. 16 TARRAH QUINLAN: My name is Tarrah Quinlan. I'm the director of education program development 17 and member operations for NYSHFA and NYSCAL. 18 19 I've been working in long-term care since I was 16 years old. 20 21 I'm a registered nurse. 22 I started working in the nursing home in 23 dietary. 24 I have since was a nursing home surveyor. 25 And my last position was with the New York

State Department of Health, as the director of the quality assurance bureau of the surveillance of nursing homes.

So I have been working in long-term care a long time. I understand the shortages of staff and what needs to be done to assist that.

So my first proposal I'm going to talk about is creating the medication technician position within a nursing home; allowing the ability to train medication techs, and test their competencies within skilled nursing facilities, to assist with lower-level medication administration.

They would be under the supervision of the registered nurse.

This would allow the nurses more time to provide hands-on care to the residents. And it would also, with further changes to regulation, allow these medication technicians to be a part of the direct-care staff in the nursing home.

Another proposal is changes with the New York State education licensing requirements.

So during the pandemic we had an executive order, to allow nurses across the United States and in Canada, their license was in good standing, to work within New York.

And I know a lot of nursing homes that
I spoke to were utilizing that, especially around
the states that are around us. That was a big
supplementation of staff.

To be part of the nurse licensure compact would allow nurses to have one multistate license, and the ability to practice in their home state or neighboring states or other states.

That would also supplement the workforce.

And I just wanted to quickly talk about, as it was mentioned in the previous testimony, the daily HERDS survey, you know, just some thoughts on that.

Those are your direct-care staff doing that.

Directors of nursing, infection preventionists, they

are taken away from the direct care of those

residents every single day.

I understand the need for data collection, of course; however, for that to continue every single day, seven days a week, with no relief, is not necessary.

That's in addition to a weekly testing survey that they have to do every Wednesday; and also in addition to several supplemental surveys that the Department of Health just sends out randomly

1 throughout the year.

So to not have to do that on a daily basis; even to not do it at all.

All of this information is now reported to the federal government and NHSN, so it's really not needed.

So those are just some other points that I think would help.

STEPHEN B. HANSE: So when you take together, the issues of reimbursement, and real, practical initiatives to bring, to recruit and retain, workers, there are -- there is a pathway to address the concerns in long-term care.

They're real, focused initiatives that can be implemented in partnership with the legislature and with the governor.

And we thank you for your time and for your focus on this critical issue.

SENATOR MAY: Okay. Thank you so much.

Let me ask a couple of follow-up questions about the HERDS survey -- actually, I've forgotten what my question was on that.

So let me ask a different one.

One of the things I've worked on a lot in the last year is visitation in nursing homes, and trying

to facilitate opening the doors to more family members or loved ones to be able to visit.

And I often hear that the big issue is staffing in that.

So can you explain what the staffing requirements are for something like that; for bringing visitors, allowing visitors, into a resident's room, say.

LISA VOLK: So under the current guidelines, they talk about core infection-control principles.

And within those core infection-control principles, you have to maintain the PPE, the social distancing, et cetera.

So there needs to be enough staff to kind of supervise that, because they're held accountable for maintaining that as a part of the guidelines for -- you know, through the New York State Health Department.

So that's -- that's a piece of that; they have to take that into consideration, what do they have as far as, you know, that staff to do those visitations?

It's not just kind of opening the door and letting everybody in.

They really have to look at, what they have

available to look at, you know, maintaining those core principles.

SENATOR MAY: Okay. So, just in the interest of time, if we were not in a pandemic situation, if you can strip away all of those kinds of requirements, is there a significant staffing requirement to allowing visitation in nursing homes just in ordinary times?

LISA VOLK: Well, in general, there's always some level of supervision when it comes to visitation.

You want to make sure things are going okay, and your residents are safe, because there are, you know, times that you do have to intervene.

But they certainly are less stringent not in the middle of a pandemic.

SENATOR MAY: Okay. Thank you.

I wanted to follow up on one of your very first statements, Mr. Hanse, where you said, "For the first time ever, we are finding our staff having to leave work and take" -- "to take care of their children."

Now, I get it; if schools are closed, it's sort of a mass event. But I imagine that happens all the time, and has happened for years and years

1 and years.

And I just want to follow up on the discussion from the previous panel, about the need for things like childcare on-site as a way to really support these workers who are giving everything to your residents, and then, you know, their own families are losing out.

So I wonder if you had thoughts, or any of you had thoughts, on that discussion that we had before.

STEPHEN B. HANSE: Sure.

And, Senator Ramos and Senator Benjamin, it's a real issue, it's a significant issue.

And what we saw prior to the onset of the pandemic, or the regulatory paradigm to establish a childcare center in your workforce, there are significant hurdles, especially in health care.

So those regulatory issues.

And what we're dealing with are various agencies, and this is also with the workforce in terms of the Education Department, that don't really work in partnership.

And they say, This is the reg, and you can't do this.

What we did see in the pandemic, to encourage

our workers to come to work in this very trying time, we did have -- given the context of the state of emergency, various regulations were waived.

So we were able to establish certain childcare facilities, temporary, based under the auspices of the pandemic and the emergency -- the declaration of the state of emergency.

Those -- now that that's over, those -- all the applicable regulations are back in place right now.

But we had several of our members do that, try to do that, and it worked.

You had to find a location, but you also had to find appropriate and qualified staff for childcare, which has its separate employment issues, because you need qualified individuals who those parents can trust with their children, as they go to work. And the location of the childcare needs to be very close, if not within the building. And then we have very significant restrictions in terms of the utilization of the building.

So, again, after -- you've heard it said anecdotally, but it really is the truth: After nuclear power, there is no more heavily regulated industry than nursing homes.

It's the truth. 1 2 And when you really peel back the onion and 3 you see what we have to do: Okay, we want to dedicate this wing of our 4 5 nursing home to childcare. It's not that easy. 6 7 Okay, we can hire someone to do it. Have 8 them come in. 9 No, it doesn't work that way with the 10 Department of Health, with the Office of Children 11 and Family. There's all these hurdles. So I think if there was a legislative 12 initiative to address some of these, that could 13 14 help. SENATOR MAY: Okay. Thank you. 15 Anyone else? 16 17 SENATOR BENJAMIN: I'll be brief, I'll be 18 brief. 19 SENATOR MAY: Okay. SENATOR BENJAMIN: I definitely -- you know, 20 21 I heard Senator Ramos mention, so I definitely want 22 to follow up on some of the regulatory issues around 23 childcare, because it just seems logical that you're

in the living business, children -- having spaces

for children to be there.

24

25

Because I think, a career pipeline, 1 2 childcare, making sure people are safe from any 3 diseases, like obviously COVID, and working conditions, I mean, those things coming together 4 5 I think will definitely help with this -- with this workforce-retention issue. 6 7 To that point, can you talk a little bit 8 about, sort of, the length -- the typical length of a work shift for someone working in one of your 9 member's facilities? 10 LISA VOLK: I think that varies on a lot 11 of -- there's a lot of variables in that, in 12 13 answering that question. It all --14 SENATOR BENJAMIN: [Simultaneous talking; 15 indiscernible] I'm sorry. I [indiscernible] cut you 16 off. 17 18 Let me ask the -- being that there are 19 shortages, you have staffing issues, I have to 20 imagine there are people working very long shifts in 21

some cases.

You know, help us think through the implications of that.

22

23

24

25

How long could one person be working on a shift, for example, et cetera?

LISA VOLK: Yeah, I mean, you know, a double 1 2 shift would be considered 16 hours, and you wouldn't 3 go anything over that 16 hours. A lot of facilities will staff on 12-hour 4 5 basis, and do, like, you know, so many days on and so many days off. 6 7 It all depends on what the facility's needs 8 are. I also think that you have to take into 9 10 consideration, when people are working overtime, making sure they have some time off after working 11 some overtime. And then that is also a challenge. 12 13 So it's not like just a kind of cut-and-dry 14 answer. You know, some facilities, their work hours 15 are 7.5, some are 8. 16 17 But a double shift is 16, and normally, you know, it's 12 hours in between. 18 19 SENATOR BENJAMIN: Got you. 20 TARRAH QUINLAN: And I would just add, you

TARRAH QUINLAN: And I would just add, you know, myself being an RN and working in these facilities, even, you know, this crisis and staffing is nothing new.

21

22

23

24

25

And when I was working, it wasn't during a pandemic. And I often found myself as a

3-to-11 supervisor, covering also that
11-to-7 shift.

That was an often, probably a few times a month, occurrence.

STEPHEN B. HANSE: And when you go back to the SUNY Center for Workforce Studies, and the School of Public Health, and you look at their data reported on a regional basis throughout the state of New York, the shortages throughout the various classifications in health care are there pre-COVID. They're going to be there post-COVID.

Again, it goes back to the issue that we've never -- the State has never really dealt with the issue.

And then we heard on the earlier testimony, in terms of the reimbursement.

And, unfortunately, the State of New York was the only state to cut Medicaid during a pandemic.

So you had that.

And then, on top of that, the federal government provided additional funding for states, temporary relief, to deal with the various mandates -- the PPE; to pay higher pay, "Hero Pay," it was called -- to encourage workers to come to work.

So the states were allocated that money from the federal government.

New York is one of only five states that refused to allocate any of those money to health care.

So I think, when we look at the issues, we really need to, again, look at them in the context of the workforce and the reimbursement; they are inextricably linked.

SENATOR BENJAMIN: Sure.

One last question: Do any of your members have sort of a predictable career trajectory for nursing assistants?

I guess I'm -- you know, when I went to business school, when I graduated, I knew if I went to work at "X" place, you know, for these three years, if I do my job, I'll go -- I'll be an associate, and then I can be a vice president, et cetera, et cetera.

And so, you know, when you're working around the clock, killing yourself, you know, you might say, Oh, I can quit.

Oh, wait a minute.

You know, there's a -- there's something that I'm a part of; there's a trajectory, there's a

career path.

I guess, you know, it -- is that -- is that -- is that happening anywhere within your members?

LISA VOLK: It does.

SENATOR BENJAMIN: Okay.

LISA VOLK: It does.

And I can speak to it because the facilities that I was a part of, from an operations standpoint, even myself, I started as an LPN.

I really had to do, like, the background work as to, what I had to do to become an RN, and what would be accepted. But I did take advantage of the tuition reimbursement offered through the facility, and then went back to become the nursing home administrator.

So there were some mechanisms in place that I could take advantage of; however, in particular, in the facilities that I covered, we had something called the "ACNA" program, which meant it was additional training for the CNAs who maybe didn't want to go and be an LPN, but really wanted a little bump up in some way; so additional training, some duties.

Tarrah spoke about the med tech.

One of the things that we feel, is allow the 1 2 nurses to get back to being nurses. Let the CNAs who want a little extra level 3 up, train them to be the med techs. And, you know, 4 5 studies have shown that they have had really good success with this. 6 7 So I think these are some of the things that 8 we need to look at, like, overall. 9 But, to your point, you know, always, tuition 10 reimbursement. I mean, I was the recipient of a 11 scholarship through our association, that helped me buy books. 12 13 And, I mean -- so those things are really important, and just really pushing the nursing homes 14 15 to kind of do those things. We offer that training program on a 16 train-the-trainer basis. 17 18 And there's lot of facilities that have taken advantage of that. 19 So there are things available. 20 21 There are things available. 22 SENATOR MAY: I need to break in here 23 because --24 SENATOR BENJAMIN: Oh. I'm finished up. 25 SENATOR MAY: Senator Rivera, did you want to

say anything? 1 2 SENATOR RIVERA: Hey, folks. I'll be brief. 3 I'm looking through your testimony, and there are a couple of recommendations that you folks make. 4 5 And I just wanted to understand -- as you 6 know, there are so many acronyms that are always 7 thrown around. I just want to make sure that 8 I understand some of them here, and particularly related to the Medicaid -- medication tech program. 9 10 Obviously, "CNA" is certified nurse assistant. 11 But, "SNF"? 12 13 LISA VOLK: Skilled nursing. STEPHEN B. HANSE: Skilled nursing. 14 SENATOR RIVERA: Skilled nursing... 15 SENATOR MAY: ... facility. 16 17 STEPHEN B. HANSE: I'm a med tech. 18 New York State presently permits those in assisted-living facilities. 19 20 For some reason they don't in skilled nursing 21 facilities. 22 SENATOR RIVERA: Right. So I want to 23 understand that particular proposal a little bit 24 better. 25 So what is the -- could you break down what

that particular proposal would be, as in, what are 1 2 the changes that you propose as a recommendation to 3 us? TARRAH QUINLAN: Right, right. 4 5 So we would propose that -- the ability to 6 train. 7 There is already a training program for med 8 techs established. So allowing them to take that program, and then utilize it in skilled nursing. 9 It would require state legislative and 10 11 New York State Education Department changing education law and public health law. 12 13 SENATOR RIVERA: And this would be -- the change would be -- what would be the change, 14 exactly, that you would be proposing? 15 16 So you're saying it exists in another 17 setting? 18 TARRAH QUINLAN: They -- they're not allowed 19 currently in a nursing home. Just nurses are allowed to give medications. 20 21 So it would have to be written, to allow 22 medication technicians, who are properly trained and 23 certified, to then also administer within the 24 nursing home. 25 SENATOR RIVERA: Okay.

```
STEPHEN B. HANSE: And you'll see, attached
 1
 2
        to the testimony, we have a chart with the
 3
        recommendations.
 4
               And that actually -- yep, you got it,
 5
        Senator.
 6
               That speaks to the regs. That speaks to --
 7
        that bill was a bill introduced several years ago.
        That's not a 2021.
 8
 9
               SENATOR RIVERA: And did you get this to us
        electronically as well?
10
11
               STEPHEN B. HANSE: I'm sorry?
               SENATOR RIVERA: Did you get this to us
12
13
        electronically as well?
14
               STEPHEN B. HANSE: No. But I'm happy to do
15
        so.
16
               SENATOR RIVERA: You can share with us
        electronically?
17
18
               STEPHEN B. HANSE: Sure.
19
               SENATOR RIVERA: I'd appreciate that.
               STEPHEN B. HANSE: Absolutely.
20
21
               SENATOR RIVERA: Thank you, Madam Chair.
22
               SENATOR MAY: Anyone else?
23
               Senator Borello.
24
               SENATOR BORELLO: Thank you.
25
               And thank you-all for being here today.
```

I want to talk a little bit more about the HERDS reporting.

I mean, obviously, we're talking about workforce. We're talking about hours spent with -- you know, with your residents.

And I know this is something that's been around for a while, but it's my understanding this was switched to a daily reporting during the pandemic. And it's still a daily reporting burden.

So can you just, I guess, being that you are in the industry, and you know what it was like before, how can -- how can -- what would be best to do so it doesn't burden you so much, but the data is still there?

LISA VOLK: Tarrah talked a little bit about this.

Some of the data that's reported already in the daily HERDS survey is being reported at a federal level.

So it's about kind of sharing that data, that would be number one.

Number two, they don't need to do this for seven days a week. I mean, no one has a weekend off anymore.

I mean, we have discussions with

administrators and directors of nurses, saying,

Now, I can't do anything after -- you know, until

1:00, you know, because I have to have this

submitted.

I mean, their families are on hold.

I mean, it's -- at this point, we could reduce it. The rates have come down. And we certainly could reduce that to even something during the week, and not involving the weekends.

They've been through a lot.

And to continue to do this, I mean, it's not warranted at this point.

SENATOR BORELLO: What was it prior to the pandemic? I mean, how frequently did they have to report this information? And was it more -- is it more detailed now?

I think people need to understand what a burden this really is.

TARRAH QUINLAN: Yeah, so, you know, prior to the pandemic -- and they still have to do this now. So, again, we're talking about multiple places, you're reporting the same information.

So prior to the pandemic, if there was an infectious disease, you completed what is called a "NORA" report, and you reported that to the Bureau

of Communicable Diseases. That makes epidemiology, everyone, aware of the issue. That then gets told to the regulatory people.

So, really, the HERDS survey previous to this, you more saw it with coastal storms, that sort of thing, you know, and how they're getting ready to prepare, that sort of thing.

I have not seen, you know, at least in my time, working with the department, the HERDS utilized in this way for such a long time.

And I just want to say, it's been since March of 2020; so, over -- pretty much a year and a half that, every single day of their lives, they're being taken away.

And this is not a short survey, either.

This is not a survey that you're answering yes, no, yes, in five minutes.

It's a very long survey.

And to add on top of that, if you had any technical difficulties, then engaging the department, and getting that fixed.

So it is quite time-consuming. And it does take, like I said, this is your DON doing this report, your infection-control nurses; the people who should really be with the residents.

You know, I think it gives the impression
that paperwork is more important than resident care.

SENATOR BORELLO: Well, it is government, so,

you know, I'm sure that's the case.

STEPHEN B. HANSE: And I would just like to add, what we're seeing, and Tarrah makes a really critical point:

These are clinical staff compiling and filling out these surveys. And time and time again, and I have a file full of them, they have to be reported by 1:00 on a daily basis, seven days a week.

There's case after case, 1:01, 1:02, where the nurse hit the button, and it's officially late. And the letter that comes from the Department of Health to that facility is extremely threatening.

It basically says, we're going to charge you \$2,000; we're going to consider taking away your license.

And it's that -- and we respond back.

There was a case where a resident -- the nurse was, in almost every case, is providing care to a resident, and we explain that, we can document that; and it's disregarded.

You are a minute late. It's \$2,000, and

we're going to take a look at your license. 1 2 It's that type of atmosphere that only 3 exacerbates people wanting to leave. Why do I want to work in this? 4 5 Here I am, providing all this care in a 6 pandemic. I was providing care to a resident, and 7 this is the thanks I get. 8 So, I mean, it just adds to the myriad of issues our workers are dealing with. 9 10 SENATOR BORELLO: Thank you. SENATOR MAY: Senator Serino. 11 SENATOR SERINO: Thank you, Senator. 12 13 And, Lisa, this question is for you. You know, during the pandemic, as you said, 14 the State allowed the workers to come in from out of 15 state during the emergency, just to work 16 17 temporarily. But -- and I love your idea about the compact 18 19 licensure. I think that's awesome. But the last I knew, the nursing homes still 20 21 had not gotten any guidance with regard to those 22 out-of-state employees. 23 Have you heard anything on that? 24 TARRAH QUINLAN: I know at this point they 25 were told they did not have to let them go at this

point; that they were working that out.

So they still have those nurses present.

But it is true, there is not anything formally written, that I'm aware of.

LISA VOLK: They did provide to us some mechanisms to check their application status. And we advised those particular individuals to make sure they're sharing that with the facilities, to make sure they can see where they're at in the application process for New York State.

But, you know, there was a variety of nurses from different states that came over to help us, and we sure would like to keep them.

SENATOR SERINO: Oh, absolutely. And that's why I love that idea.

I know I sent a letter to the governor and the Department of Health, asking that question, and many others. And I haven't gotten a response.

So I'm glad you got a little bit of a response.

But, also, when we talked about the staffing shortage, sort of building off of what Senator May's question, you know, we'll spend a lot of time today talking about that, health-care staff in particular.

But are there additional staff that can be

brought in to take some things off of the 1 2 health-care team's shoulders? 3 You know, like, we saw a lot displaced 4 workers, people that worked in hospitality. 5 Are there people that could answer the phones, maybe? 6 7 You know, that was a big thing -- right? --8 families were calling constantly. Things like that. 9 Or even to facilitate some visitation. 10 And, you know, I don't know if there's a way 11 to incentivize them, maybe to do that. 12 13 And, also, we talked about the childcare 14 issues. And one thing I've heard from staff is the 15 transportation issues, and an inability to get to 16 and back from work. 17 Do you experience that in your network? 18 19 And do you have any suggestions, that -- what we can do on that issue? 20 21 I guess that's for all of you. 22 LISA VOLK: So there are a variety of things 23 that facilities are doing. 24 We have the paid feeding-assistance program. 25 And so we have people coming in to help feed, which

is a critical need during those -- during the hours 1 2 of meals. 3 And, yes, a lot of them employ, under a different name, ambassadors, or helping hands, to 4 5 help from the ancillary standpoint, with answering phones, or, potentially, calling families back, 6 7 et cetera. 8 Extra pair of hands out there. Maybe to help deliver linen to the rooms; 9 10 something that does not take a skilled level. 11 So there are programs, and facilities are really taking advantage of everything they possibly 12 13 could take advantage of right now. 14 SENATOR SERINO: So that's already in the works? 15 16 LISA VOLK: Yes. 17 SENATOR SERINO: That was happening during the pandemic? 18 19 LISA VOLK: Yep, yep. 20 Yes, yes, yep. 21 SENATOR SERINO: Okay. 22 STEPHEN B. HANSE: As far as the 23 transportation goes, in our rural areas, it is very 24 difficult. 25 We have administrators who I know, and have

spoken to, who will drive to pick up staff 1 2 themselves. And they'll make the rounds in rural 3 counties and communities, to pick up staff who have 4 no access to public transportation; or her car, for 5 that matter. SENATOR SERINO: Yeah, that's a big issue in 6 7 my area. 8 We have a lot of rural areas, too, throughout 9 the state. 10 So, thank you. SENATOR MAY: All right. 11 Well, thank you very much for your testimony. 12 13 And we'll be following up on some of the issues that you raised. 14 15 STEPHEN B. HANSE: Thank you very much. TARRAH QUINLAN: Thank you. 16 17 SENATOR MAY: Next on our list, we have Gene Hickey, and a late-minute addition of 18 19 Francine Streich. 20 EUGENE HICKEY: Good morning. 21 My name is Eugene Hickey. I'm the 22 secretary-treasurer for Local 2013 out of Brooklyn, 23 New York. 24 We currently represent about 13,000 members 25 in the five boroughs. 44 percent of our members do

come from the health-care industry, so we're very engaged in that.

We wanted to share some problems that we're having with a particular employer, Link Homecare.

Link Homecare has been a bad actor over the last few years; not coming to contract agreements, not recognizing the hard work of our home health aides.

Currently, they have about 600 hard-working home health aides who work there at Link.

The health-care professionals, they worked through the pandemic; they've worked hard. They went with little or no support from Link.

Under their management, their management, Hillel Adelman, we believe he needs to have a closer look from the DOH and the Department of Labor.

Typically, they don't provide people with full-time work schedules. They're always recruiting people.

And what this causes, is that the aides constantly move on because they need to find supplemental work, because 20, 30 hours a week is not going to pay their bills; and they are minimum-wage workers.

This causes a bad experience for their

patients because the provider is constantly a 1 2 different person, so there is no continuity with the 3 patient. Our members even report to us that there's 4 5 such a bad communication with Link. They call the case manager. They want to 6 7 find out, what's the condition of the patient? 8 They are not given any medical information 9 for them. They're not given health-care plans, 10 which we believe are required by the State and by regulation. 11 Members are having difficulty getting into 12 13 the homes of our patients. Patients refuse the care to come in. 14 Typically, our aides try to call the office. 15 They get no answers from the case manager; no 16 17 leadership gives them any guidance. And they often have to call 911 to gain 18 access into the patient's home. 19 20 Typically, our members, they work from a location that is a member's home, which is -- excuse 21 me, which is the patient's home. 22 23 And the training that they're given is very

24 inadequate. They're given very little training. It's all done online, even before the pandemic.

25

There is no hands-on training done at the facility 1 2 for Link. 3 Our understanding is, that if it's necessary, or if someone complains, they try to send them out 4 5 somewhere to get that training. We believe it should be done in-house with a 6 7 setting that is appropriate for the home health 8 aides. 9 Link management, you know, one of our aides 10 reported us -- to us that they have not had a care 11 plan handed to them by Link in five years of employment. 12 This is truly a concern of ours. 13 14 Without that health-care plan, it's hard for the aide to care for the person. 15 16 They go there, they have no answers. 17 If there's a medical emergency, they can't --18 they are told to contact Link. 19 Link does not answer them; they get nothing but voice mails. And often don't get their 20 21 questions answered until two to three weeks later, 22 which is way too late.

It is unacceptable in our eyes.

The aides, we have had aides that

23

24

25

The aides, we have had aides that worked overnight.

There's a requirement that they get a 1 2 five-hour uninterrupted sleep. 3 Very often we hear from the aides, they didn't get the five hours' sleep. 4 5 There's no compensation, and there has been no resolution to that as of this date. 6 7 You know, on a note, that we're trying to 8 resolve these -- a lot of these issues through our 9 bargaining table. We've been in negotiations with 10 Link Homecare for over two years. For two years we have tried to get FMCS 11 involved, to get some resolution there. 12 13 That has not happened. Right -- currently, now, we have an unfair 14 labor practices filed with the NLRB. 15 16 We're trying to put all our toolboxes and all our tools out there to get it. But we have not had 17 18 any success at the table for two years. 19 The company, also in negotiations, has not agreed to sign an extension, which resulted in a 20 21 contract being expired. 22 By the contract being expired, it cheats our 23 employees out of the grievance process. 24 So we can't even grieve that they're not

getting the proper pay, the proper sleep, or the

25

proper equipment.

SENATOR MAY: Okay. Your time is up.

We have a bunch of questions.

Did you want to say anything?

FRANCINE STREICH: I was just going to add on something about PPE, because during the height of COVID, you know, our members were put in very dangerous situations.

In order to -- the only PPE that they were able to pick up was masks.

They weren't given gloves, they weren't given gowns. They had to pay for that out of their own money.

To go pick up those masks, they had to travel to the Link office, which is far from their homes. They wouldn't get compensated for the travel time, or for the time they took, you know, on their own to go pick up their PPE. And then they weren't given any instruction on how to use the PPE.

So one of our members was working with a patient who was positive for TB; was never told, and was in there without gloves or a gown.

So, you know, our workers were deemed essential workers, but the way they were treated by this bad home care agency certainly didn't make it

seem that they were essential workers.

And like Gene said, we tried to

And like Gene said, we tried to negotiate pandemic pay for people, and we couldn't get it.

So not only is PPP not reimbursed, but they're owed money. They're owed time on vacation, they're owed time on time off.

We don't even think that the wage parity is working the right way. And we can't tell because people are now getting electronic pay stubs, which they can't read.

So when they try and get information from Link, they're not getting any help because they can't reach anyone in the office.

So, you know, there's a lot of problems that these 600 home health aides are facing.

SENATOR MAY: Thank you.

I'm going to turn it over to Senator Ramos for the first questions.

SENATOR RAMOS: Well, thank you so much for coming all the way up to Albany to share all of this information with us.

I am very troubled by everything that you are sharing with us in your testimony.

And I'm wondering if you can start by describing a little bit more about what your

600 members employed by Link look like, and do, and 1 2 sort of what their -- the nature of their work is; 3 perhaps what a day in the life of looks like for them? 4 5 EUGENE HICKEY: Yeah, basically, our home health aides, their day starts off, they leave from 6 7 their home, they have to travel to their patients. 8 Their main workload is, that they would go 9 and take people's vitals. Make sure they're taking 10 medicines. Instruct them on how to care for themselves. 11 Most of our home health aides, not even --12 13 there's no area provided for lunch for them. have to bring their own lunch. A lot of times what 14 they do, is they have to order out their lunch, 15 which is expensive. 16 And, remember, we're talking about 17 minimum-wage workers. 18 They're very -- they're very diligent people 19 20 because they do this work because they love that. 21 They look like me, they look like Francine, they look like you guys. 22 23 These are everyday people that have a genuine 24 concern for people's health, safety, and well-being.

It's God's work, we all know that.

25

SENATOR RAMOS: Now, at some point, you did 1 have a collective bargaining agreement? 2 3 EUGENE HICKEY: Yes. 4 SENATOR RAMOS: And that's been expired for, 5 now, two years? 6 EUGENE HICKEY: For two years we've been 7 trying to negotiate. 8 SENATOR RAMOS: And what has that campaign looked like in order to obtain a new CBA? 9 10 EUGENE HICKEY: Well, when we first started 11 negotiating, we worked off of getting an extension in place so we could keep all the processes in 12 13 place, such as grievances. 14 And we also have an issue with them not submitting dues, forwarding dues to us, actually 15 trying to cause an economic hardship on the union. 16 17 And, also, it's denying people access to 18 health and welfare that really do need it. 19 We typically notify the company six months 20 prior to expiration, which we did, that we were 21 willing to bargain. 22 We sent a list of proposals that were put 23 together from the HHAs, from surveys we did with 24 them. 25 We've had bargaining-committee people there.

We presented all these things. 1 2 We are also represented by an attorney. 3 And we have tried to work through this for 4 almost two years. 5 And in midstream of the first year, they fired their attorney, and restarted the whole 6 7 negotiations by hiring a new attorney. 8 So that kind of staggered it a little longer than need be. 9 10 SENATOR RAMOS: Did the original CBA provide 11 for health benefits for this workforce? And have those services been interrupted 12 13 because of the expiration of the agreement? 14 EUGENE HICKEY: Yes, it always did have health and welfare in there. 15 16 People have not gotten health and welfare 17 because their -- the contract is expired. 18 Actually, we just had to send Link to 19 collections on some money that they owed for health and welfare; they weren't paying the premiums. 20 21 And we just had to send them to our 22 collections lawyer. FRANCINE STREICH: And, in fact, at an 23 24 orientation that I just went to this week, where 25 they bring in new members --

That's the one place that we're able to talk 1 2 to the aides because it's before they're actually 3 assigned. -- they were all being encouraged to sign up 4 5 for Medicaid, which they shouldn't be telling them to do that. 6 7 And I had to tell the person, "You know 8 you're not supposed to do that." 9 So, you know, they're not -- they don't 10 follow the guidelines. And, you know, the majority of our workers 11 are women -- immigrant women, women of color -- and 12 13 I think Link takes advantage of our members. SENATOR RAMOS: Have there been any 14 conversations about oversight with the Department of 15 Health and Mental Hygiene, and what have those been 16 like? 17 What type of oversight have they been able to 18 provide, particularly throughout the pandemic when 19 none of these workers have had access to health 20 21 insurance? 22 FRANCINE STREICH: 23 EUGENE HICKEY: Yeah, and we have been going 24 through a lobbyist group to try to find what's the

right organization to go to complain.

25

We have had a lot of conversation --1 2 SENATOR RAMOS: I'm sorry. You've hired a 3 lobbyist to tell you where to go to complain? EUGENE HICKEY: Where to file the complaints. 4 5 SENATOR RAMOS: I'm sorry about that. 6 EUGENE HICKEY: Right, because, you know, we 7 wanted to go to the right organization. 8 We've made calls to different organizations, and they -- it wasn't helpful to us. We were told 9 10 they were overseen by the Department of Health. SENATOR RAMOS: And how many of your members 11 passed away during the pandemic, employed by Link? 12 13 FRANCINE STREICH: Well, total, we had 50 that passed away. But we had close to a thousand 14 came down with COVID. And that's just what we were 15 able to find out. 16 17 You know, Link wouldn't report it to us. So that's counting some of our other -- you 18 19 know, our other workers as well. Many of our other places would tell us, you 20 21 know, how many people came down with COVID. 22 One of the aides told me this morning that 23 they weren't given any information about how they 24 were supposed to report COVID cases. 25 So I don't even know if Link was getting that

information. 1 2 SENATOR RAMOS: And so when --3 [Simultaneous talking; indiscernible.] SENATOR RAMOS: -- sorry. 4 5 When the pandemic started, and, you know, we 6 reached the peak quite quickly here in New York, the 7 State Department did not provide any sort of 8 guidance to any of the Link employees on how to do their job in a safer way? 9 10 FRANCINE STREICH: Not -- not that we're aware of. 11 I mean, we provided information. I mean, we 12 13 put things on our website. You know, we had difficulty accessing the 14 members because, for years, Link was hiding the list 15 16 from us. So even though there were 600 workers, 17 they only had -- we were only collecting dues for 100 -- or 180? 18 EUGENE HICKEY: About 180 folks. 19 20 FRANCINE STREICH: You know, people that we 21 knew of. So they were hiding, for years, all of these 22 23 workers. 24 So we finally, in this last bargaining, got 25 the list. And then it was trying to reach out to

```
people, give them information about COVID safety.
 1
 2
        You know, on our website, we have a lot of
        information.
 3
               But, for years, we weren't able to even
 4
 5
        contact them because they were being hidden from us.
 6
               They don't go to a central place for
 7
        training, so we couldn't even go find them there.
        It's all online.
 8
 9
               So we did have people doing 311 calls during,
10
        you know, the height of COVID, to complain about
        PPE.
11
               And, you know, we can't file as a group with
12
13
        the Department of Labor, but we are helping people
        get together their pay stubs and their proof,
14
        because a lot of them are owed money.
15
16
               SENATOR RAMOS: Well, I'm probably out of
17
        time by now, but I'm very interested in helping you.
               So --
18
               SENATOR MAY: Three more minutes.
19
               SENATOR RAMOS: -- oh, I have three more
20
21
        minutes?
22
               Oh, that's the clock.
23
               Thank you.
24
               Well, I don't know, I mean, perhaps, is there
25
        any other information that would be helpful to me,
```

as the Labor Chair, in order to help you, and 1 2 better -- and for everyone here, particularly the 3 press, to understand what's going on at this facility -- or, I think, with this employer? 4 5 EUGENE HICKEY: Yeah, we definitely need --6 we need help to get these folks to the table, and to 7 agree. 8 We get to an agreement that everybody was with happy at the table. Their attorney goes back 9 10 to Mr. Adelman. And then we hear radio silence for a month or so, until we start calling, filing 11 more charges. Then they finally come to the table. 12 13 FRANCINE STREICH: And they forget everything 14 they said. 15 EUGENE HICKEY: And then -- yeah, and then renege on everything they say. They constantly 16 17 changed their position.

Once we thought we had a deal, where we had everything, everybody laid out, where there would be health care or a retirement program, a little bit of raises.

18

19

20

21

22

23

24

25

We signed off on the MOA. We sent it to the company approximately 60 days ago.

We still have not gotten an answer from Link. SENATOR RAMOS: Can you very quickly tell me

about your retirement plan for your members? 1 2 EUGENE HICKEY: The retirement plan they 3 currently have is none. We implemented -- what we would like to 4 5 implement is a 401(k) plan. The company is willing 6 to do that, so they say at negotiations, "but with no match," which we believe needs to be matched. 7 8 FRANCINE STREICH: And they were saying, 9 five years, no raises. 10 I mean, this was their position until we got them down to three years. 11 I mean, they're a really bad actor, and we 12 13 don't know what they're doing with all of the money. They even got some PPP money, and we don't know what 14 they did with it. 15 You know, our members are not getting 16 reimbursed for, like, if they're taking a patient to 17 a doctor. We've even heard family members complain 18 that they haven't gotten reimbursed for that. 19 20 So there's something with the money going on. 21 SENATOR RAMOS: Do you even -- do you know 22 the name of their attorney? 23 EUGENE HICKEY: Yeah. I can get you the name 24 of [indiscernible]. 25 SENATOR RAMOS: Okay. Please do.

1 Thank you. 2 SENATOR MAY: All right. Thanks. 3 I'm going to follow up, just quickly, because I want to -- I hear stories like this all the time 4 5 about home care workers. 6 But you represent thousands of other home 7 care workers --8 Is that true? FRANCINE STREICH: And other workers. 9 10 SENATOR MAY: Yeah. 11 -- who don't have these problems, who do get five hours of sleep a night, who do get travel 12 13 reimbursement? 14 EUGENE HICKEY: We actually represent three other home health aide organizations that we 15 have a working relationship with, and have not had 16 17 any of these problems with them. 18 SENATOR MAY: Okay. Well --19 EUGENE HICKEY: They all come to the table, 20 we bargain, we got collective bargaining agreements 21 in place in the others. 22 SENATOR MAY: Well, I just want to say that's 23 a testament to how valuable collective bargaining 24 is, because so many home care workers who aren't 25 represented report exactly these kinds of problems

1 all the time.

So I want to thank you for the work you do to help the workers who are under your umbrella to get those kinds of protections, because they're so important.

FRANCINE STREICH: And just to emphasize what Gene said, the fact that they won't process grievances now, almost makes it as if they don't have a union.

So we have all -- you know, I talked to people this morning. You know, they're owed pay.

They're owed -- you know, they're having problems.

And because they won't process grievances, you know...

EUGENE HICKEY: Right. That's our mechanism to resolve issues. And, apparently, they don't want to resolve the issues, or else they would come to the table, come to an agreement.

Not even come to an agreement, but at least sign an extension, keep the existing contract in place, while we work through the issues.

They refused to do that.

So it's very important that, as Francine said, these folks, they feel like they don't have anybody.

For years, we've chased after Link. 1 2 They've hidden somewhere between 400 members, 3 which we finally did get in contact, that never knew that they were part of a union; they were never 4 5 told. 6 SENATOR MAY: Okay. Thank you. 7 Senator Serino. 8 SENATOR SERINO: Thank you, Senator. 9 You know, I receive so many phone calls from 10 health-care workers regarding gloves. Right? That is mind-blowing because that should be a 11 basic priority. 12 13 And during last year's hearing on nursing 14 homes and the residential health-care facilities, that was a huge part of our discussion, was the lack 15 of PPE training. 16 17 And I was just wondering, have you noticed any change on that since then, since last year? 18 19 FRANCINE STREICH: Not with Link. EUGENE HICKEY: No. No. 20 21 The only changes that occurs, when that --22 when a field director has gone to the facility and 23 actually embarrassed them. 24 We've actually brought gloves to facilities 25 to hand them out.

But you're right, it's a basic need of 1 2 protection, it's your first line of protection. 3 And these folks are out there with no protection. 4 5 FRANCINE STREICH: Yeah, even in our assisted-living facilities, where we represent 6 7 workers, I mean, it's better, but it's still, like, 8 controlled. They have to go through a manager if they 9 10 need it. And oftentimes they're afraid to ask the 11 manager. So, you know, it's not easy to get the PPE 12 13 that they need. Masks, yes. 14 15 Gloves and gowns, no. 16 SENATOR SERINO: That's absolutely 17 horrifying. 18 FRANCINE STREICH: Yeah. Or they're the 19 wrong gloves, let me say that. We have places where they're wearing gloves 20 21 that they've gotten, and they're open around the 22 wrist. And, you know, they're coming in contact 23 with body fluids. 24 SENATOR SERINO: And I know that this is 25 obviously a hearing about staffing shortage, but --

and not procedure. But procedure is so important. 1 2 Right? 3 Because, if you feel like your own health is at risk, you know, that's going to have quite an 4 5 impact on you. 6 So what suggestions do you have to improve 7 that training? 8 FRANCINE STREICH: Well, I mean, you know, what our aides would say, is that the online 9 10 training doesn't work. You know, watching a video, and it's not even 11 like a group Zoom. I mean, it's, like, they log on 12 13 themselves to watch this video. And half the time 14 they'll say, the link -- the Link -- Link's link 15 doesn't work. 16 So, you know, there needs to be more in-person, more hands-on, training. 17 18 When they're sent out to do the required training that they're supposed to get, they have to 19 pay for it, which is ridiculous. 20 21 Link should be providing that. 22 So I think that's one thing, is that, you 23 know, the training really needs to be tightened 24 down. 25 But the other thing is that, on an ongoing

basis, Link doesn't have a system to answer calls.

So we just heard the other day, they're thinking of outsourcing it to a call center, because they have case manager who has 100 calls that come in.

Well, yeah, we know they have 100 calls come in because our members tell us they can't reach anyone.

So they're not able to get help every day in their jobs. You know, Link has no system to provide support to people.

And I've tried myself, I've called. I've been put -- you can call the number. I've been put on hold. I've been cut off. They transfer you to another number, it's a voice mail.

They can't reach their case managers.

And we have families, like a parent of a client/a resident, who is -- she can't reach them either; she can't reach her case manager.

So I think they have to -- you know, something has to be done, not only for training, but ongoing support and communication. And then the whole PPE issue has to be resolved.

You know, and they shouldn't have to go on their own time to pick up PPE, and it's not even the

full PPE.

SENATOR SERINO: No, absolutely, they need to be protected. I mean, if they're protected, and then the vulnerable residents are protected as well.

FRANCINE STREICH: They're terrified that they're going to bring something home to their families --

SENATOR SERINO: Yes.

FRANCINE STREICH: -- because they're in homes where the only thing that was required of the patient by Link, was that the patient be wearing a mask, and our, you know, provider be wearing a mask.

But then there's people walking around the home who -- who knows what their status is, and they're not required to wear masks.

So, you know, this is a big concern when you're going into people's houses: how safe are you?

You know, how would we all feel, walking into a home?

EUGENE HICKEY: Yeah. And we hear the stories from the folks/from the HHAs, that they fear. Like, they go into the garage or a back porch, they take all their clothes and put them in a plastic bag before they even go home to their loved ones.

A lot of these facilities they go to, it's a 1 person's home. So they can't really change their 2 3 clothes there like if you were in a factory or 4 something. 5 So they're in a pretty awkward position. And as I think Francine had said, is that a 6 7 lot of the folks, you go into their homes, you don't 8 know what they have because they don't have a care program, they don't know what's in this plan. 9 Does this person have dementia? 10 Does person have TB? 11 They have no idea what they're walking into. 12 13 FRANCINE STREICH: I got a copy of a text the other day from one of our providers who's new. 14 They told her, Can you take this case? 15 You know, she said, Yes. 16 17 They sent her the patient's name and the 18 address, and that was it. And then she got there. 19 I said, Well, was there a care plan there? You know, the nurse should leave a care plan. 20 21 She said, No. SENATOR SERINO: Oh, my God. 22 23 FRANCINE STREICH: So it's, like --24 SENATOR SERINO: That's terrible. 25 FRANCINE STREICH: -- just sending them out.

1	SENATOR SERINO: Well, I hope that gets
2	resolved, because that's awful.
3	FRANCINE STREICH: Yeah.
4	SENATOR SERINO: Thank you.
5	SENATOR MAY: All right. Senator Mayer.
6	SENATOR MAYER: Just quickly, isn't Link
7	licensed by the state Department of Health as a
8	Medicaid home care provider?
9	FRANCINE STREICH: Yep.
10	SENATOR MAYER: And are they not paid
11	Medicaid reimbursement for each of these patients
12	that your members visit?
13	FRANCINE STREICH: Not all of them; but, yes,
14	most of them.
15	SENATOR MAYER: The vast majority
16	FRANCINE STREICH: Yes.
17	SENATOR MAYER: I would assume are
18	Medicaid?
19	Have you filed a formal complaint at any time
20	with the Department of Health regarding the conduct
21	of Link?
22	FRANCINE STREICH: This was our first step
23	here today. And then, yes, that will be the next
24	step.
25	SENATOR MAYER: But you haven't gone to them

1	yet, the Department of Health?	
2	FRANCINE STREICH: Not as a	
3	SENATOR MAYER: [Simultaneous talking;	
4	indiscernible] Department of Health.	
5	FRANCINE STREICH: Not as a union, no.	
6	Individuals, yes.	
7	SENATOR MAYER: Individuals have gone to the	
8	Department of Health.	
9	And has there been any response?	
10	FRANCINE STREICH: Not yet.	
11	Not that we know of.	
12	SENATOR MAYER: And have they gone to the	
13	Department of Labor as well?	
14	FRANCINE STREICH: Not yet.	
15	SENATOR MAYER: Okay. Thank you.	
16	SENATOR MAY: All right.	
17	Thank you very much for your testimony, and	
18	for bringing this to our attention.	
19	EUGENE HICKEY: Thank you.	
20	SENATOR RAMOS: And we'll follow up, yes.	
21	EUGENE HICKEY: Yes. And I'll get you their	
22	attorney's information.	
23	SENATOR MAY: Next we have, Grace Bogdanove,	
24	William Roe, and Tonya Blackshear.	
25	All right.	

We'll start with Grace. 1 2 GRACE BOGDANOVE: All right. 3 Well, first, I would just like to thank 4 Senator May, Senator Rivera, and Senator Ramos, 5 everyone here, for having us. 6 My name is Grace Bogdanove. I'm the 7 Western New York nursing home division vice president for 1199 SEIU, United Healthcare 8 Workers East, out of Buffalo, New York. 9 10 I'm joined by Tonya and Bill, two of our members. 11 1199 represents over 65,000 nursing home 12 13 workers across our state. 14 And I appreciate the opportunity to share our union's perspective on the workforce shortages and 15 the challenges in the nursing home industry. 16 17 Our union played a pivotal role in New York's new requirements for minimum spending on quality 18 care and staffing, as well as the new requirement 19 for minimum hours of care. 20 21 Adequate nursing home staffing has been the 22 number-one priority for 1199 members for years, and 23 we are hopeful these changes will make a real 24 difference for residents and health-care workers.

From our experience, the workforce challenge

25

in New York is not a result of there being too few caregivers available.

CMS COVID-19 data for the week ending

June 20th shows that 89 percent of facilities

responding said that there is no shortage of aides,

97 percent said that there was no shortage of

clinical staff.

Over and over again, workers tell us that facilities are hiring.

So instead of a worker shortage, the reality is, that inadequate pay and benefits, poor working conditions, and the inability to have a seat at the table on matters concerning resident care are driving caregivers from the bedside.

The real challenge that we're facing is turnover.

In 2019, LeadingAge reported that the turnover rate for CNAs in New York State was 25 percent; however, regional medians vary, from a very low of 9 percent in New York City, to a high of 52 percent in the Buffalo region.

So what drives caregivers away from nursing homes?

Typically, the pay is inadequate and the workload is overwhelming.

Caregivers do not feel valued or have a say in how care is delivered.

And, finally, research indicates that working in a for-profit facility is associated with higher turnover, likely because these issues are more acute for workers in the for-profit setting.

We know that higher turnover is associated with lower-quality care.

Continuity of care is crucial for nursing home residents.

Caregivers get to know residents that they see daily, they understand resident needs, and can identify changes in conditions, ensuring a greater quality of care provided to the residents.

A 2021 study showed that facilities with the highest median turnover rates had the lowest CMS overall star ratings, and the highest rated facilities had the lowest turnover.

If we're going to improve nursing home care in New York, and providers are going to meet the new standards for staffing, we must reduce turnover.

Living wages, quality and affordable health care, and a secure retirement are the fundamental features that can keep workers at the bedside, even when other conditions, such as short staffing, are

present.

In addition to quality wages and benefits, workers must have a voice in how care is delivered.

In the 2018 cost reports, we found the statewide retention rate for CNAs to be 75 percent, but the statewide average retention rate for CNAs not represented by a union was lower, 68 percent.

I already mentioned the 9 percent turnover rate for CNAs in New York City.

This makes sense.

These are largely union jobs, with union negotiated affordable and quality health care and retirement benefits, and a unionized workplace is the vehicle for workers to have a voice in how health care is delivered.

As simple as our solutions sound, our experience is that some employers are still doing the opposite.

We still bargain contracts with employers who fight tooth and nail to limit increases in wages and benefits. They then turn around and pay premium prices for per diem and contracted staff because they can't recruit workers at the wages that they pay directly employed staff.

For example, just last year, 1199 members at a nursing home in Buffalo had to fight for an improved CNA start rate; a start rate that remained below \$15.

This is a facility whose CEO has an estimated net worth of over 500 million.

And, recently, 1199 members at two other facilities in Western New York negotiated for months, fighting to raise hiring rates with an employer that offers new hires the choice between being in-house employees and working for the agency.

We know that many of these agencies are connected to and often owned by the very same network of people who own and operate these facilities.

And it should come as no surprise that some of the workers in these facilities are no longer directly employed; but, instead, choose to work through these agencies in order to earn a higher wage.

So to reiterate, our recommendations to keep and grow the workforce are:

To strongly enforce the new minimum staffing and spending requirements to improve quality jobs and care.

To provide adequate wages, quality and affordable health care, and retirement security to caregivers directly employed by the nursing home.

To improve worker engagement and investment in the workplace by including caregivers in recruitment, quality improvement, problem-solving, scheduling, and mentoring new staff.

To improve onboarding, training, and worker supports.

I would like to mention that our training fund focuses on providing these supports. And we've provided written testimony on this for you to review.

And, finally, to support funding increases for nursing home care that are targeted at improving wages, benefits, training, and supports for caregivers directly employed by providers.

I'd also like to mention that, in a previous panel, med techs were mentioned as a possible solution; however, this descales LPN labor, and it destroys the CNA-to-LPN career ladder.

This is key to retention in nursing home industry.

So during the pandemic, some of our facilities engaged their workforces in meeting the

challenge of COVID-19. 1 2 In many cases, these facilities did a better 3 job at protecting both residents and staff. We're confident that we can do the very same 4 5 to meet the workforce challenge of turnover and 6 retention. 7 Thank you. 8 SENATOR MAY: Thank you. Mr. Roe. 9 10 WILLIAM ROE: Good morning. I appreciate the opportunity to speak before 11 this committee. 12 13 My name is William Roe. I'm the son of a 14 marine who served in Vietnam, and my grandfather who 15 served in World War II. 16 I myself, I'm a nurse. I work in 17 Manhattan -- I work in two nursing homes: one in 18 Manhattan; of course, one in The Bronx. 19 Before my nursing career, I was a stock broker on Wall Street for eight years. 20 Due to 9/11, I worked in World Trade 21 22 Center I, and I was on my way to work, and I escaped 23 the tragedy. 24 Due to that event, I could no longer continue 25 working in downtown Manhattan, so I changed my

1 career.

My career was changed.

I was interested in nursing because it was more fundamentally moral. And I could help and benefit people who were suffering illnesses, and different things like that.

I have a personality, basically, that, you know, I can basically overlook certain things, and help people feel better, and overcome their illnesses and their diseases and their downtrodden spirit.

Basically, my goal is to improve our residents' health. But, very often, residents have terminal illnesses, and I'm the last person that they see. So I try to make their last days brighter days.

I try to make their families feel more encouraged that there is a better day coming.

Prior to the COVID pandemic, staffing was very good in hospitals and in nursing homes; we had no issue with that.

Sometimes we had to send people home because of the staffing situation, the staffing situation was so great.

When COVID came, it was a different type of

enemy, because it was in the air.

It was in the air; and, basically, not only could you get sick, you could bring it home to your family, and then further progress the situation.

I'm an 1199 union delegate. And I have to admit, the COVID pandemic has disturbed the staffing.

Can you imagine having 40 residents, 1 nurse, 2 CNAs?

Can you imagine having a floor that doesn't even have a nurse, and you have to cover your floor, which is 40 residents, and then another floor, which is another 40 residents?

This is what this COVID pandemic has brought us. It's a reality we live with every day.

And I'm asking this panel to look into it and to help us out, because times are hard.

Unfortunately, some of the workers in the health-care industry, they're not encouraged to come back. That's one of the reasons of the shortage.

Due to the fact that you're putting yourself on the front line, and you're not -- your needs are not being met and your dignity is not being met.

Dignity can't be paid. It cannot.

Can't pay for my dignity. But you can meet

it with a proper wage. You can meet it with proper PPE equipment. You can meet it with respect and dignity.

Can you imagine, during this time, when they celebrated the heroes, they gave us T-Shirts and they gave us pens.

And we were putting ourselves on the front line, risking our lives.

And to be honest with you, we didn't do it for money. We did it out of our own self-will.

But don't disrespect our dignity by not meeting our needs, and not respecting us and what we're putting ourselves through and the sacrifices that we're making.

That's why we're here today.

This is the workforce challenge we have to overcome.

Workers need to feel they can walk into a facility without risking their health or the health of their family.

We need to make sure we have enough PPE and infection-control plans in place, and workers know the plan -- the plans are fully effective.

Finally, we need to pay workers wages that reflect both the risk that we'll face -- that we

will face in a nursing home setting when there is a deadly virus.

And more importantly, we have to pay workers a wage that recognizes the dignity and importance of the work we do every day.

If we can keep them safe and pay them the wages that reflects the importance of the work, they will come into the facility.

People will be more encouraged to come back into health-care facilities, understanding that they're facing a COVID-19 risk to their very lives.

Thank you very much for your time, and understanding.

SENATOR MAY: Thank you.

TONYA BLACKSHEAR: Hi. Good morning.

My name is Tonya Blackshear, and I work as a CNA in a nursing home in Utica.

I have been a CNA for over 26 years.

26 years may sound like a long time to do a very difficult job, and it is. But, I like taking care of the residents, and I'm committed to making sure they get the best care they can.

Over the years I've gone to school for other health-care positions. But, from the bottom of my heart, my place was in the nursing home with those

residents.

The challenge is, how do we make sure there are lots of young women -- and it is women, for the most part, starting out today -- who will be there 26 from now.

My facility has always struggled keeping new staff, and the pandemic has made this worse.

It was scary during the pandemic.

I remember when I had to go into a room where I knew the resident was COVID-positive.

I cried at first, but I put on my PPE and did what I had to do because the resident needed that care.

I was fortunate not to get sick, but some did. And some quit, and they never returned.

Before the pandemic we had a lot of vacancies, and now it's worse.

The current staff worked double shifts, come back to the work after catching some sleep, and can be faced with having to do another double.

It's brutal on the body, and people are getting hurt, like I did.

From my experience, for every ten new workers that came into the building, five don't make it past the probation period, and two make it past the first

1 year.

We do orientation every week for new staff, and we average about nine or ten new staff per month.

That means we are seeing over 100 new staff a year, but we can only keep -- maybe keep 20. The work is simply too hard for what we are paid.

Our employer thinks that because we work in a poorer area, they can pay us poverty wages.

But people can go to fast-food and make more than our starting rates.

What's happening now, is our facility is mostly hiring agency staff who get paid a lot more than we do.

CNAs start at \$13.50 here, and the agency is making over 16.

LPNs at my facility are making 18.75, and agencies are coming in making over \$28.

Also, a lot of long-term staff are switching to per diem because they can make more.

They lost their health benefits, but, for them, the extra pay is worth it.

We have two agency LPNs who have worked with us on the floor since the pandemic started.

They both always said, that if they were

offered their agency rate of pay, they would stay, 1 2 be in the house for union employees. 3 The last time we negotiated a contract, our employer refused to raise the start rate. 4 5 Now they are paying for this by having to 6 hire agency instead. 7 I know it takes a special person to work in a 8 nursing home, but it shouldn't just be that way. Employers need to value the work we do. 9 10 Employers need to have to start paying wages 11 that are well above fast-food if they want to keep people who are coming in the door. 12 13 It's not that there are no workers applying for nursing home jobs. 14 Remember, we are getting about 100 new hires 15 a year. Employers need to pay them enough so we 16 don't lose 80 of these 100. 17 Thank you. 18 19 SENATOR MAY: Thank you. And thank all of you for your testimony, and 20 21 the work you do, and -- and your stories, which are 22 so compelling. 23 I -- Tonya, I wanted to follow up on a couple 24 of things you said. 25 You talked about working double shifts.

One of the things that we've heard -- that we've certainly heard during the pandemic, was that people -- I shouldn't say in the past tense, it's still going on -- but people were working a shift at one facility, and then working a second shift, but not at the same facility, because they couldn't get overtime pay. So they were going to a separate facility in order to make ends meet because they needed the additional work.

Is that your experience, or are people working double shifts and getting overtime pay?

TONYA BLACKSHEAR: Oh, there's some employees that might have did that. But the employees, that most of them, they liked the job, so they stayed there and did doubles, to make sure the care was getting done.

SENATOR MAY: And did they get overtime pay for that?

TONYA BLACKSHEAR: Yeah, they got -- they got overtime.

SENATOR MAY: And, then, when you talk about the per diem, this is the same as the agencies that you were talking about, Grace? Is that right?

GRACE BOGDANOVE: So, not quite.

For Tonya's case and her facility, the

per diem employees are part of the union, so they remain union employees. But they just forego some of the other negotiated benefits within the contract, like health insurance and pension.

And, unfortunately, you know, these members have to make that choice because their paycheck, at the end of the day, doesn't cut it, and they have bills to pay.

But no one should have to sacrifice between a quality and affordable health-insurance plan and their pension plan, and making a couple extra bucks on the job.

SENATOR MAY: But that's negotiated by the union as an option for the workers?

GRACE BOGDANOVE: Yep, absolutely.

I mean, some of our workers would go agency and have no union job security, and not have, you know, set hours that they could potentially pick up at a facility.

So in this contract, the per diems are part of the union.

That's not the case in every facility.

SENATOR MAY: And then the agencies that you were talking about are these, essentially, temp agencies that provide workers?

GRACE BOGDANOVE: Right. Separate contracted agencies that, you know, send workers to facilities to fill open holes.

But, more and more, because there's such high turnover, agency workers, they have their pick of shifts. They can come in and pick up as many hours as they want, and, really, they could work full-time hours if they wanted to, and earn that higher wage.

But when they feel that burnout, or when they're tired, they can take a step back.

And regular full-time and part-time employees have a commitment to the facility and to their residents, so they continue that work.

SENATOR MAY: And then you said that the agencies were often owned by the same people who own the facilities?

GRACE BOGDANOVE: Sure.

SENATOR MAY: Is that -- that's nursing homes and assisted-living facilities?

GRACE BOGDANOVE: Yes. My experience is with nursing homes, and that is something that we see frequently, whether it's a relative, or somebody who's somewhat within that network of owners, there is usually a connection to several of these agencies, where owners of facilities have a familial

or work relationship that connects them to the agencies that they contract with.

SENATOR MAY: Okay. That's really helpful to know.

I wanted to just end by asking you about something that I have read about in Washington State.

SEIU in Washington State has a labor-management partnership with the State of Washington, with private health-care industries, and created a training -- health-care northwest training partnership, that I'll just read how they describe it.

"The nation's first large-scale career pathway program for home care aides" --

This is about home care, but I think it also applies to the aides who work in nursing homes as well.

-- "so that they work together to create an apprenticeship, and have brought in 3,000 new apprentices over the last five years."

So I was wondering if there is anything like that, that SEIU is thinking about, in terms of collaborations with the State, with the facilities, in order to create -- I guess I don't know to what

extent the union is involved in actually recruiting new people in the field, or do you just represent people once they have decided to enter this work.

And would you be interested, if this were a model that we could try to import here to New York State?

GRACE BOGDANOVE: Sure. Absolutely.

I can actually cite two examples for you, one in Syracuse and one in Buffalo, where we have LPN apprenticeship programs.

We have partnered with management at two of our nursing homes in the upstate region, to actually create a way for CNAs to go to LPN school; remain employed as CNAs while going back to school -- right? -- keeping their jobs and their benefits, so that we can help them move upwards on that career ladder.

That's a joint effort between union, management, and with the help of our training fund.

So that's where I mentioned our training fund is ahead of the game on this. And we're very involved, and very excited to continue this work.

We need employers to work with us.

SENATOR MAY: Great.

Thank you very much.

SENATOR RAMOS: I actually want to begin by acknowledging your ability to come here and testify today, perhaps taking a day off from work.

You know, we've -- up until this moment, we've really only heard from health-care executives; but not the actual rank and file, not the actual people doing the work of caring for others.

So I just want to thank you for taking the time, and acknowledge the fact that you're able to do this because you have a union to take care of you.

And I'm wondering if the union has had any conversations with the second floor, with the governor's office, throughout this pandemic, about hazard pay for your members, and for other health-care professionals, throughout this endeavor?

GRACE BOGDANOVE: Yeah, absolutely, we've had conversations, from the basic level with our employers, to all of our elected representatives.

And, you know, many of our employers failed the members over the past year, and did not provide hazard pay, did not provide proper PPE.

But we have been exhausting every avenue to make sure that our folks get paid for what they deserve.

SENATOR RAMOS: You know, I'm -- I used to 1 2 work for SEIU, Local 32BJ specifically, for many 3 years. And I'm very proud to say I'm probably one 4 of the few people of my generation who have a 5 pension to look forward to. And so I'm wondering if you can describe for 6 7 others, what your wages and benefits are? Because we've heard a little bit about what 8 it's like to be a non-union worker in this industry. 9 10 What's it like to actually have a collective bargaining agreement? 11 What does that provide for you? 12 13 WILLIAM ROE: Basically, in regards to nursing, a nursing benefit package would include 14 full-time, you get 4 weeks' vacation, you get 15 12 sick days, you basically get 2 personal, and you 16 17 get your birthday. SENATOR RAMOS: Nice. 18 WILLIAM ROE: You also receive, like, for 19 20 paternity or maternity. 21 In my case, you know, I can't get pregnant, but I had to assist, you know, in the birth of my 22 23 child. 24 SENATOR RAMOS: That's right. 25 WILLIAM ROE: So it's called "paternity" --

That's right. 1 SENATOR RAMOS: 2 WILLIAM ROE: -- not maternity. 3 SENATOR RAMOS: Parental leave. 4 WILLIAM ROE: So they provide two days --5 two or three days for that, paid. And, basically, we're looking into that to 6 7 improve that in the next contract. 8 GRACE BOGDANOVE: I would just add, the national benefit fund, our health-insurance plans, 9 10 our pension plans, are, you know, key pieces of what we negotiate into our collective bargaining 11 agreements, and are crucial for retention, and for 12 13 recruitment, into nursing home industry. SENATOR RAMOS: 14 Tonya, you work in Utica, not in the five boroughs; and, therefore, your minimum 15 wage is very different from ours downstate. 16 17 Would you argue that perhaps the upstate minimum wage was erroneously made less than 18 New York City, and should actually be the same and 19 20 leveled throughout the state? 21 TONYA BLACKSHEAR: Yes. We argue so much 22 that it should be across the board for everyone. 23 We -- you know, when we come to the tables, 24 we hear the other side, and the lawyers say, "We 25 better be glad that we got a job."

I think that's insulting to say that to the 1 2 members, when we work hard, and we fighting at the 3 table to negotiate, to get what we have to get for everyone, not just certain people. 4 5 So our contract is ending right now. 6 So, May, we go to negotiate again. 7 I'm hoping that they boost the pay rate up. 8 We just got to fight harder and harder so we can get the members into the building. 9 10 We can't have them keep going out because you keep wanting to pay -- don't want to pay the right 11 pay rates to these employees. 12 13 They can go everywhere else to get more 14 money. But sometimes when people at the nursing 15 home, and they feel like these residents are their 16 17 family. We don't just come there just for a paycheck, but we still got to survive, too. 18 SENATOR RAMOS: Yes. No, absolutely. 19 20 And thrive. 21 Not just survive, thrive. Right? 22 TONYA BLACKSHEAR: Thrive, yes. 23 SENATOR RAMOS: So what would those extra 24 dollars mean for a family like yours? 25 What would you be able to provide for your

family, or, you know, how would that change your 1 2 life? 3 TONYA BLACKSHEAR: Well, actually, it would 4 pay my bills. 5 I won't have to live for paycheck to 6 paycheck. 7 And after that, you know, the bills that 8 I have past due, I can actually pay on them if I get a decent rate; or I wouldn't have to borrow from my 9 10 uncle, my mother, just to strive and pay what I have to pay at home. 11 SENATOR RAMOS: Uh-huh, uh-huh. 12 13 Are you a mom, too? TONYA BLACKSHEAR: Yes. 14 SENATOR RAMOS: Yes. 15 16 Is childcare something that you currently 17 have to deal with, or have had to deal with, in your 18 career? 19 TONYA BLACKSHEAR: Well, back then, because 20 my daughter is 31. 21 So she -- you know, she strives, and she 22 worked in a nursing home, too. So she had her 23 struggles into a nursing home, too, where she had to 24 pay, make ends meet, too. But, you know, now she 25 works for the State.

She said she couldn't work for the facility 1 2 because they wasn't paying enough. 3 I mean, I could have went to school for 4 anything. 5 I went for phlebotomist. I didn't want that. I went for forensic. I couldn't do that. 6 7 I don't know, from -- in the bottom of my 8 heart, something told me to stay at that nursing home because these residents depend on me. 9 10 SENATOR RAMOS: Uh-huh. That's very 11 beautiful. It sounds like you found your vocation, and 12 13 it sounds like it might be your daughter's, too. So she said she's happy working for the 14 State? 15 16 TONYA BLACKSHEAR: Yes. 17 SENATOR RAMOS: What are -- what are -- can you tell us a little bit about her conditions? 18 19 TONYA BLACKSHEAR: She went to -- she was working as a regular employee, but she also went to 20 21 agency, because she said they weren't paying enough. 22 Because she has three children, so she said 23 that wasn't enough for her. So she applied for the 24 job in Utica, and they moved her to Syracuse. 25 Now she's going for a supervisor position.

And she just bought a house, because she said she 1 2 makes more. 3 SENATOR RAMOS: Okay. Well, that's nice. I guess in my -- what remains of my time --4 5 Thank you, Zach, because I can't see that. -- I'm wondering if I can -- if we can learn 6 7 more about, perhaps, what the union is doing to 8 organize new workplaces, and what that looks like; what the hinderances of organizing might be, given 9 10 how remote, you know, home attendants might be if they're servicing patients in their home? 11 I imagine that it is very difficult because 12 13 there is no central workplace, necessarily. What's the organizing look like? 14 GRACE BOGDANOVE: I don't want to steal the 15 spotlight from the home care panel, but, you know, 16 I think it is difficult, from my understanding. 17 That's not my division. 18 I've got the nursing home division. 19 20 speak to our organizing efforts. 21 SENATOR RAMOS: Okay. GRACE BOGDANOVE: You know, for nursing 22 23 homes, it's a little -- it's totally different than 24 home care -- right? -- because people are in one 25 place, so it's traditional union organizing.

What I will say is that, you know, just like 1 2 what we see at the bargaining table with employers, 3 really, really nickel and diming us, and really fighting our members on any raise in benefits or 4 5 wages, it's just as difficult to organize a new 6 workplace. Where our members want to bring a union 7 into their workplace, employers fight tooth and nail 8 to keep us out. 9 And that certainly has not changed. I think 10 it's maybe gotten worse. SENATOR RAMOS: How do they do that? 11 What type of methods do they use to keep the 12 13 union out of the workplace? Do they captive-audience meetings? 14 GRACE BOGDANOVE: Absolutely. 15 SENATOR RAMOS: Uh-huh. 16

GRACE BOGDANOVE: Absolutely,

captive-audience meetings. Right?

17

18

19

20

21

22

23

24

25

Bring in consultants.

They pay, you know, ridiculous amounts of monies to bring in union-busting consultants, and all of these firms, when, really, the workers just want a seat at the table. They want a voice in how care is delivered, and in their wages and benefits at work.

SENATOR RAMOS: 1 Okay. 2 Thank you. 3 SENATOR RIVERA: Hey, folks. Thank you for 4 being here. 5 I just have a couple of questions. 6 Kind of the centerpiece of your testimony, 7 actually, was very much I guess in line with what 8 we've heard from some in the industry earlier, as far as turnover being the big issue. 9 10 Obviously, the reimbursement, we've gone over this many times -- right? -- as far as 11 reimbursement. 12 13 And we've had the conversation about the cuts that happened during the pandemic. [Indiscernible.] 14 And, certainly, many of us fought to make 15 sure that wouldn't be the case, but, you know, our 16 17 good governor thought that it was the best way to 18 go. Anyway, as far as reducing turnover, though, 19 20 obviously, the better pay and better working 21 conditions are a key thing. 22 Also, making sure there's a career path is also part of what you suggested. 23 24 I wanted to just dig a little bit into the 25 stats, because you don't seem -- you seem to agree,

certainly, a turnover is an issue, but the turnover is not as high as was said earlier, because there was certainly a lot of concerning numbers that we heard earlier, as far as 90 some-odd percent.

Do you think -- do you agree with those numbers?

Were those -- because, obviously, turnover is at the core of it, but seems that the stats are a little bit different.

GRACE BOGDANOVE: No, certainly, I think turnover is a huge issue right now.

So, you know, whether our numbers don't line up exactly, it is an incredibly pressing issue.

To me, it's one of the most pressing issues right now in the industry.

You know, people come in through the door, and so it looks like your staffing numbers are okay, because they're there. It's their first week on the job, but you've got numbers on the floor.

What we're not seeing there, though, is these people have to be oriented to the floor.

Do the staff have the time -- the in-house staff, do they have time to orient the new employee properly so that they can really get a sense of the residents, of the layout of the floor, and how

things work?

It's all extra work to have to orient a new person; and then to see them walk out the door a few days later, a week later, a month later, like Tonya was saying.

I mean, turnover is an incredible challenge right now.

And, you know, people come in through the door, thinking it might be a rewarding, you know, career, and it certainly is. But right now, with the way that working conditions are, and with the low pay and poor benefits, it just really isn't worth it for a lot of folks.

SENATOR RIVERA: So as far as the top line here, if we can assure more -- better pay, we can assure better working conditions, and make sure that there's a career path for folks that enter the industry, those are kind of the top -- you would say those are the top-line things?

Obviously, each one of those is a very big bucket, but at least those are the top lines, you would say?

GRACE BOGDANOVE: Absolutely.

And, you know, I will say there are employers who have recognized that, and who have worked with

1199 -- right? -- to do LPN apprenticeship programs; to come to the table and say, What is a competitive market rate that we can offer for CNAs? Let's actually put our heads together here, and let's do this right by these residents.

In the same breath, we have employers who do the exact opposite; who don't want to work with 1199 members, who cut them out of conversations when it comes down to how resident care is delivered, or issues on the floor, and who fight us at the bargaining table.

SENATOR RIVERA: And, certainly, having that collective bargaining power actually assures that the folks who work in the unionized workplaces have an ability to do those fights?

GRACE BOGDANOVE: Absolutely.

It's a tough fight, but it's one that our members take on every day.

SENATOR RIVERA: And last, but not least, if there was such a thing, I don't know, to guarantee health care for everybody, something, I don't, legislatively, like something called the "New York Health Act," as an example, do you think that you, in your negotiations, would be able to do more for your members, since them, as residents and full-time

```
employees in the state of New York, would be --
 1
 2
        would have health care guaranteed to them?
 3
               Do you think that you would be able to then
        have better benefits for your members?
 4
 5
               GRACE BOGDANOVE: Sure. Yeah.
               I mean, whether that's, you know, focusing on
 6
 7
        improving that pension, or improving the wage rates,
 8
        or working on a shift differential, or whether
        that's looking at other health-insurance plans --
 9
10
        right? -- whatever that is, absolutely.
               If our members are able to get quality health
11
        insurance through the State --
12
13
               SENATOR RIVERA: No, not quality health
        insurance, but guaranteed health care.
14
               GRACE BOGDANOVE: Guaranteed health
15
        insurance --
16
               SENATOR RIVERA: There's a distinction here.
17
               GRACE BOGDANOVE: -- right? -- then,
18
19
        absolutely, it raises the bar.
               Anything that raises the bar for our workers
20
21
        allows us to get better for them.
22
               SENATOR RIVERA: Thank you, Madam Chair.
23
               SENATOR MAY: Thank you.
24
               Anyone else?
25
               Oh, Senator Serino.
```

SENATOR SERINO: Thank you. 1 2 William, your story really touched my heart. 3 We need more people like you, and like -- and 4 Tonya. 5 You know, during last year's testimony from SEIU, we heard from a lot of the employees, that 6 7 they said they had to actually wear garbage bags to 8 work, which is so unacceptable. 9 We have to make sure that, you know, you have 10 the proper provisions, and you're adequately addressing them. 11 And then, Grace, with your -- you spoke about 12 13 the apprenticeships. How do you let people know about them? 14 Like, do they have to already be in the 15 health-care field, or do you offer some other 16 17 outreach? Because I love the apprenticeship programs. 18 19 I think they're awesome. GRACE BOGDANOVE: Yeah, for those specific 20 21 LPN apprenticeship programs, they were for in-house 22 CNA's to move forward to get their LPNs. 23 So it was all about the union members who are 24 already in-house, working as CNAs, going through 25 this program to become LPNs.

But there was plenty of, you know, outreach 1 2 we did within the community. 3 We wanted people to know that this program existed, so that people would consider coming on as 4 CNAs, entry level, in that way, and then moving up 5 in the future. 6 7 SENATOR SERINO: Do you go into the schools, 8 too, to talk to the students? Training fund, 9 GRACE BOGDANOVE: Yeah. I believe all of that would probably be in their 10 11 written testimony, so I will leave that to them. And if there's any follow-up, I'll make sure 12 we get that information to you. 13 SENATOR SERINO: Great. 14 15 Thank you. 16 Thank you-all for what you do. I really 17 appreciate it. 18 And I can tell you guys have your heart and soul in this, so thank you. 19 SENATOR MAY: 20 Thank you. Senator Benjamin, and then Senator Borello. 21 22 SENATOR BENJAMIN: Sure. 23 I also want to commend you-all on being here, 24 and your comments; and, William, your testimony was 25 very powerful for me.

I used to work on Wall Street, too, and left, 1 2 so I completely understand your sentiment. 3 Well, I have a question for you, actually. 4 In your testimony you mentioned that, you 5 know, things were fine before COVID. And you mentioned, you know, it sounded like, 6 7 what I heard from you, I want to make sure I'm clear 8 on this, that the biggest issue for us to address is 9 sort of the safety around COVID, the PPE, et cetera, 10 infection-control plans, et cetera, more so than, say, childcare issues, and some other factors. 11 12 So I just wanted to get your comment on that, 13 to make sure I'm clear on what I heard from your testimony, and how you feel on the ground. 14 Because, I mean, sometimes, you know, we 15 might sit here and have our ideas about what people 16 17 need. But you're on the ground, so I want to make 18 sure I'm clear on what I'm hearing from you. 19 WILLIAM ROE: Everyone's situation is 20 21 different, but there is a priority in terms of safety. 22 23 Safety is first, that's the priority. 24 So, basically, in the beginning of COVID,

institutions and nursing homes, hospitals, they did

25

not meet the level of the concern of COVID.

You had workers, CNAs, nurses, buying their own masks, because the masks and the gowns that the facilities were giving were not worth it, and didn't provide yourself enough coverage to feel safe in that type of an environment.

So PPE is a high priority in the nursing home or in the workplace setting.

Okay. Childcare, now, life changed during COVID, because schools closed.

The school is closed, then you have to think twice about what is going to happen to your kids.

They're going to be home.

Your schools really played a part in people's lives because they don't have to have a babysitter because the kids were in school.

By the time the parent would come home from work, pick the kid up from school, you didn't need a babysitter.

But being that the schools closed, childcare became a high priority, and still is, because parents are still reluctant to send their kids to school because of the COVID pandemic.

So in terms of our priority, to answer your question, I feel they both -- both PPEs and

childcare, they both hold probably the similar 1 2 weights in terms of what people need in their lives, 3 you know, in terms of your family and in terms of the workplace environment. 4 5 SENATOR BENJAMIN: Well, let me just say --I just only had that question. 6 -- thank you for the work that you do. 7 8 I'm honored to be here and hear you speak. It gives me real, sort of, hope for humanity, 9 10 that you're out here doing this hard work. You put yourselves on the line, and we owe 11 you a debt of gratitude. 12 13 And I know myself and our colleagues will do everything we can to treat you appropriately, 14 because you did, and are continuing to do, God's 15 work on behalf of all of us. 16 17 Thank you. SENATOR MAY: More than a T-shirt and a pen, 18 for sure. 19 Senator Borello. 20 SENATOR BORELLO: Well, I want to echo 21 everyone's sentiments, and thank you so much. 22 23 And, you know, in particular, when listening 24 to you, you know, staring 9/11 situation in the 25 face, making that amazing change in your life, and

then facing a pandemic, which, in the end, took more lives of New Yorkers than 9/11 -- thank you.

Thank you-all for what you do, for being frontline workers.

Grace, you know, I'm very familiar with 1199.

I've been to your office a few times. Worked hard with your folks, including Peter DeJesus, to fight back on the closure of a hospital in my district.

So thank you for all that you've done.

I just want to address the issue of, which was brought up, roughly, 8 in 10 of the patients in nursing homes are on Medicaid, and we've seen nine consecutive years of rate cuts; the most recent, the only state out of the 50 that actually cut Medicaid reimbursements during the pandemic.

So we can talk about how amazing you folks were, but, in the end, you know, that was continuing to burden all of you, and to put more pressure and stress on all of you, on top of the folks that operate the facilities.

So my question is: Has the union stepped forward to speak out against these cuts?

And I'm assuming the answer is yes.

But I'm just -- the advocacy, and the understanding that this is not just impacting the

ownership. It's impacting every single one of you 1 2 folks that work hard every day, risked your lives, 3 to care for these -- for our most vulnerable 4 citizens. 5 GRACE BOGDANOVE: Absolutely. There should

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

be no cuts to funding for our nursing homes at all.

And, in fact, you know, we would appreciate a little more funding.

SENATOR BORELLO: And I agree with you.

I mean, you know, we're seeing, last year we passed some reforms to Medicaid non-emergency transportation, which have yet to be implemented.

That's millions and millions of wasted dollars in waste, fraud, and abuse that's occurring in that Medicaid non-emergency transportation.

That's one that could be directed back to supporting you folks, as opposed to, you know, paying for what is, essentially, fraud that's occurring on a rampant basis.

You know, we see it in my district every day.

So I would strongly suggest that we continue to, you know, work towards that.

We have, certainly, the ability, since we're already -- it's just -- it's a misdirection of where those funds are going.

It's going to this -- to these type of 1 2 fraudulent situations. 3 And even our state comptroller said there's billions, with a "b," every year in waste, fraud, 4 and abuse in our Medicaid system; yet we are cutting 5 reimbursements. 6 SENATOR MAY: Well, I want to thank you-all. 7 8 I have one more follow-up, which is, when we talk about misdirection, you -- Grace, you mentioned 9 the salaries of some of the CEOs, and the money 10 11 spent on consultants, and everything. Here you've got somebody with amazing 12 13 financial experience in addition to the nursing experience. 14 Have you thought about worker co-ops? 15 Are there efforts to create actual 16 worker-owned facilities? 17 18 Has that ever been thought of, or tried here? 19 GRACE BOGDANOVE: It's interesting you should mention that. 20 I know I've read a little bit about some kind 21 22 worker-agency co-ops that have succeeded elsewhere, not in New York State. 23 24 So it's certainly something to look into.

SENATOR MAY: You've got all the skills

25

```
there, all the knowledge.
 1
 2
               Okay. Well, again, thank you-all for the
 3
        amazing work that you do, and for your testimony.
               It's been really enlightening.
 4
 5
               I appreciate it.
               GRACE BOGDANOVE: Thank you for having us.
 6
 7
               SENATOR MAY: And I echo Senator Ramos, for
 8
        taking a whole day to come up here, from your work,
 9
        too.
10
               So just a little update.
11
               We had on the schedule to take a break at
        12:00, but I would like us to do one more panel
12
13
        because we haven't gotten that far through the
14
        program.
               So we're going to do Panel 5, and then we'll
15
        take probably a little shorter break than we had
16
17
        planned.
18
               But -- so that's, Sarah Daly,
19
        Michele O'Connor, and Doug Wissman.
               We'll start asking fewer questions.
20
               Don't be insulted if there aren't as many
21
22
        questions, moving forward.
               All right. We have Sarah Daly to start with.
23
24
               SARAH DALY: Hello.
25
               Good morning, and thank you again to
```

Senators May, Rivera, and Ramos for convening this hearing.

My name is Sarah Daly, government relations analyst at LeadingAge New York.

Many of you know that LeadingAge New York represents over 400 not-for-profit and public long-term-care and acute-care providers.

The providers we represent embody the full continuum of services an individual may need as they age.

On behalf of our membership, I thank you for convening this hearing and for the opportunity to provide testimony.

As has been discussed all morning, providers across the long-term continuum are facing extraordinary and unprecedented workforce challenges that predate the COVID-19 pandemic.

A combination of the state's changing demographics, inadequate reimbursement, competitive labor markets, and regulatory requirements have hindered recruitment and retention of quality workers for many years.

Of course, COVID-19 has now exacerbated existing staffing shortages and depleted provider financial resources.

Our members report more severe workforce shortages statewide than ever before.

They are trying every possible creative strategy to recruit and retain staff, from signing bonuses, to career-ladder programs of their own.

Still, they report dozens of open positions, and few, if any, applicants at this point.

Of course, an important component of any workforce conversation is wages.

New York's long-term-care providers cannot raise wages to compete for workers because they are vastly underpaid by their predominant payer,
Medicaid.

They have not received a Medicaid reimburse -- Medicaid rate increase since 2007 despite rising costs, and have experienced deeper cuts than any other health-care sector, year after year.

The 64 million included in the 2021-22 state budget for nursing home staffing is barely a third of the 168 million in annual Medicaid cuts imposed on nursing homes in 2020.

As Senator Borello touched on earlier,

New York was one of the only states in the entire

country that actually enacted Medicaid cuts during

the pandemic. Most other states did provide increases of some kind.

The financial stress on long-term-care providers has been further aggravated during the COVID-19 pandemic by falling census figures, extraordinary pandemic-related costs, and the additional Medicaid cuts enacted in 2020.

Unfortunately, the State has not pursued comprehensive and proactive investments for regulatory reforms to address our aging population and their needs.

Like many individuals who avoid planning for their future long-term-care needs, New York has no plan and has made no investment to address this crisis.

Notwithstanding the demographic wave that is already giving up -- driving up demand for services and limiting the supply of workers for the past several years, the State has focused its health-care investments on the acute-care and primary-care sectors, and its budget cuts on the long-term post-acute-care sector.

We need resources to bring new workers into the field and to enhance their compensation.

As many of our not-for-profit providers do

pay higher than minimum wage as it is, or would like 1 2 to pay even more, but they simply do not have the 3 resources to do so. Ultimately, our not-for-profit members will 4 not continue to operate if they cannot safely staff 5 their facilities, and they will be forced to close 6 their doors or sell to for-profits. 7 8 We've seen this already. Since March of 2020, the start of the 9 10 pandemic: We have lost one not-for-profit nursing home 11 in Westchester; 12 13 Two upstate homes have announced fall 14 closures; At least two are for sale in New York City, 15 as I believe was also mentioned earlier; 16 17 And several on Long Island have been sold or are in sale negotiation; 18 An assisted-living facility that served 19 Medicaid beneficiaries closed in Western New York, 20 that is a member of ours. And another is in the 21 22 process. 23 A number of other nursing homes and 24 assisted-living providers are evaluating long-term

viability at this point, given the continuing

25

financial impacts of COVID-19 and the deficiencies that were existing beforehand.

If New York is to ensure access to high-quality care for a growing number of older adults in our communities, we need to infuse resources into the system, identify ways to attract new workers, and implement reforms that enable optimal use of a limited workforce.

As outlined in detail in our written testimony, LeadingAge New York proposes a multifaceted workforce plan that would include both, substantial investment, and no-cost regulatory and statutory reforms, to reduce barriers to their recruitment, retention, and efficient deployment of nursing home, assisted-living, and home care staff. On the medication -- I know I'm running a little low on time -- but on the medication technician issue, LeadingAge New York does have a bill that we've drafted for that.

And we believe it would be a great step in the right direction to, again, enhance the career ladder for CNAs, and help them see kind of an easier step between CNA and LPN. It's a bit more tangible for them to maybe wrap their minds around.

And also, of course, taking the stressors off

of the RNs and the LPNs themselves.

Unfortunately, right now, there are many LPN vacancies.

So if we can perhaps just train our CNAs a bit more, again, kind of showing them the way, we believe that would be a big help.

If there's one point we wish to leave you with from this testimony, it is that the legislature must make long-term care its top priority in the state budget for state fiscal year 2022-23.

A substantial and meaningful investment of Medicaid and non-Medicaid dollars must be made in long-term care that will enable material increase in wages and associated benefits.

Thank you very much for your time.

SENATOR MAY: Thank you.

MICHELE O'CONNOR: Good morning,

Senators May, Rivera, and Ramos, and distinguished

members of the Senate Aging, Health, and Labor

committees.

My name is Michele O'Connor, and I am the legislative and policy director for Argentum New York.

Thank you for the opportunity to speak with you today, to discuss workforce issues in

assisted-living residences and adult-care facilities.

Argentum New York is the New York chapter of Argentum, the largest national association representing professionally managed senior living communities. Argentum New York represents 75 communities across the state, serving over 7,500 residents.

The population is aging nationwide, and New York is no exception.

The U.S. Census Bureau projects that by 2060, 1 in 5 Americans will be over the age of 65, and the over-85 population will have tripled.

The National Investment Center for Seniors
Housing & Care estimates that, to take care of this
aging population, our country will need to have at
least 1.4 million senior caregivers by 2025, which
is right around the corner.

Currently, 3.2 million New Yorkers are over 65, and this population is growing faster than any other age group in the state.

As more and more seniors are choosing options that allow them to age in place with appropriate supports and services, including residing in assisted-living residences, supporting and expanding

the frontline health-care and senior caregiver workforce has never been more critical.

Over the last 18 months, the pandemic has presented providers with enumerable challenges as they worked, and continue to work, around the clock to provide high-quality care, supports, and services to their residents while protecting them from COVID-19, which exemplifies how crucial these workers are in caring for our seniors.

One of the greatest challenges providers face is adequate staffing. Repeated and numerous staff furloughs due to COVID-positive tests and/or exposure, childcare issues, has made it even more difficult to meet the staffing needs of communities.

Additional staff has been required of every assisted-living community in the state to meet the infection-control protocols required by the Department of Health, ensure safe visitation, and conduct health screenings for all individuals entering these communities.

Even as our providers offer additional hero pay, childcare support, and transportation assistance, they still struggle to ensure appropriate staffing levels in their communities to care for their residents; yet they meet these

challenges every day with no financial assistance from the State and very little from the federal government.

We have requested an allocation from funds from New York -- from the funds New York received from the American Rescue Plan Act to help offset the tremendous financial losses our providers have incurred as a result of responding to the pandemic.

To date, we have not heard that that allocation will be forthcoming, despite the letter of support sent to the commissioner from many of you, which we really appreciate.

We will be requesting the legislature provide fiscal relief to assisted-living- and adult-care-facility providers in the upcoming budget.

Through our consultation with Argentum national, we know advocacy is ongoing on the federal level to support senior caregiving workforce strategies, including expanding and supporting workforce development programs.

Identifying and modifying existing federal programs within the Department of Health and the Department of Labor that use evidence-based approaches to increase earnings, and create

apprenticeships, that will keep local senior living communities competitive so that they will attract jobs.

Expand education training tracks.

Senior caregiving can be a long-term career with job security.

Building and implementing competency-based education pathways from high school to and through community and technical colleges, to 4-year colleges and universities, as appropriate, and offer loan forgiveness for individuals entering the senior caregiving profession.

New York created a new category of home health aides known as "advanced home health aides," in 2016, which would have created such a pathway for advancement.

However, there are a number of barriers, including funding and regulatory requirements, that have made the program extremely difficult to implement.

Immigration reform is also important.

While training and education programs can create a pipeline of competent caregivers, they should be supplemented by a skills-based immigration system that responds to the demand from growth

sectors for qualified individuals, to help address the workforce shortages in critical occupations, including senior living.

I'm just going to touch on a couple of other state models.

Other states have developed programs that encourage and build upon a senior caregiving workforce that New York may want to replicate or modify.

For example, California has a senior-care workforce development program that includes partnering schools, career centers, and vocational schools with programs just relevant to senior living.

The program also includes creative recruitment strategies that provide additional training and opportunities to advance in the senior caregiving arena.

Also under development is a community college, trade school, seniors care certificate, in a partnership with the nursing program that includes rotation in assisted-living residences.

Caring for our seniors is of the utmost importance as our population continues to age, and it is imperative that we focus on investing in,

expanding, and supporting the senior caregiving workforce.

Thank you.

SENATOR MAY: Thank you.

DOUG WISSMAN: Thank you, Senator May, Rivera, and Ramos.

My name is Doug Wissman. I am a board member of Greater New York Health Care Facilities

Association; and a CFO of a large facility in Queens, New York; and I also am a trustee for the 1199 Welfare and Benefit funds.

So I've been in this business for 27 years.

And over the last two decades, there's been numerous funding initiatives to help the facilities maintain their staffing levels; from health-care retention and recruitment add-ons; to grant opportunities; to funds, that we work directly with 1199, to provide training and development of staff.

We -- due to these strategies, we've been able to maintain our staffing levels and provide care for the patients in New York.

Unfortunately, that all changed during COVID.

Overnight, we went from being fully staffed, to having a situation where almost all of society shut down and was locked up in their homes, except

for our workers and other essential workers.

Many of our staff had comorbidities

Many of our staff had comorbidities themselves, and elected not to come back to work.

Our staff was already aging, and many of them decided to retire early because of the fear that they had.

A tremendous amount of our staff was just plain afraid to come to work. They have children at home. They care for elderly at home.

And it presented a huge problem for the entire industry.

The staff was afraid to get on the trains, the buses, or whatever transportation they utilized to get to work.

Fortunately, we were able to maintain staffing levels where it was safe for the residents.

Our census was impacted greatly by the fact that many elected procedures in hospitals were delayed. Patients were -- or, potential admissions were not leaving their homes. People were not falling. People were not getting the flu.

So our census dropped dramatically.

That was, somehow, how we were able to maintain our staffing levels.

Now, as we move forward, we're starting to

see our census improve. Unfortunately, many of the 1 2 staff are not coming back. 3 There are shortages of LPNs and RNs, and even CNAs in certain sections of the state. 4 It's not a one-size-fits-all. 5 There are CNAs available for certain 6 7 shifts. For weekends, it's a problem. 8 You know, there's staff -- we're a 24/7 business. 9 It's not always the same that there's CNAs 10 or nursing staff that are willing to work the shifts 11 that we need. 12 13 And in order for us to retain and recruit staff, we need funding. 14 We have programs where we develop geri aides 15 into CNAs, and we pay them while they attend 16 17 school to become CNAs. We sponsor CNAs to become 18 These programs all require funding. 19 And the majority of the industry is suffering catastrophic losses at this point. 20 Our facilities are in dramatic danger of not 21 22 surviving. 23 The censuses are historically low. 24 They're starting to creep back, and I am 25 concerned, that as our census improves, that there's not going to be enough staff to take care of the patients, in which case, many facilities will be forced not to admit patients, and there could be an issue with access.

We are requesting, that along with additional funding, that the State really looks at all these programs that were presented today, to really expand the workforce, collectively, with the stakeholders, with 1199, the collaborative approach with the union, with the associations, so that we, as New Yorkers, can go forward in the future.

These facilities are our infrastructure, and it's key that we maintain our infrastructure as our population ages so that we can have places where our citizens can be taken care of.

Thank you.

SENATOR MAY: Okay. Thank you.

So we heard from the previous panel about high executive salaries, and the expenditures on consultants coming in to help keep unions out, or whatever.

I'm wondering if -- how much of your budget actually goes directly to aide care for the residents?

DOUG WISSMAN: We -- our facility currently

meets all the requirements that are in this budget; meaning, we are already spending well in excess of 70 percent on our direct patient care.

In addition, we exceed the 40 percent on direct-facing care.

That, to me, is not necessarily the issue.

The issue here is the flexibility within the budget that allows us to spend where we need to spend money.

And acknowledging that there is a shortfall in the funding, there is no flexibility.

I can tell you that, depending on the acuity level of our different units, we staff it differently.

And if we look at it as an average, we're meeting these requirements with the number of hours.

But, on certain units, I may need more CNAs, I may need more RNs, I may need more LPNs.

To basically have language that kind of puts us in a box where we're not able to adjust, and there may be a facility that has a disproportionate number of patients that require different level of care, where they might not fall into those regulatory numbers, that could present a problem in

the future.

The other issue is, right now, I'm in major competition with every other building, with every hospital, with every doctor's office.

There are no LPNs and RNs.

It takes a special person to work in the long-term-care industry.

And there are many RNs and LPNs that find it much easier to go and work for a hospital, where they get three 12-hour shifts; for an agency, where they can dictate the hours that they want to work; or even a doctor's office where it's 9 to 5, 8 to 4.

Those are the challenges we face.

SENATOR MAY: Okay. Thank you.

And you mentioned about needing to put different kinds of staff in different places.

I'm wondering about the long-term-care residents who are maybe not classified as needing acute care, or whatever, do they tend to get the least of the, say, RN time, and that sort of thing; whereas, a post-op recovery may get --

DOUG WISSMAN: Again, there are different clinical issues, where different patients have clinical needs, where it could require a nurse for wound care, CNA for behavioral issues.

I am not personally a clinician, but those 1 2 are the issues that we see on different floors. 3 If there's a high acuity for patients who are receiving wound care, which could be long-term 4 5 patients. 6 Behavioral psych patients require direct 7 hands-on CNA hours. 8 There are patients, for example, Lewy body dementia which may require one-on-one. 9 It's very different, depending on the acuity 10 of the patient. 11 12 SENATOR MAY: Okay. 13 Well, thank you so much for the work you do, and for your testimony. 14 I will see if we have other questions. 15 SENATOR BENJAMIN: I have one quick question. 16 17 SENATOR MAY: Okay. Senator Benjamin. 18 SENATOR BENJAMIN: I will be very quick. Doug, you made some interesting points around 19 20 flexibility, which I generally think is logical. 21 I guess my question, my concern is, how is 22 there -- how do we ensure, within some flexibility 23 that might be necessary for a certain facility, that 24 there's accountability towards ensuring that the 25 appropriate resources are going towards care for

residents, while having that flexibility? 1 Do you have a thought --2 3 DOUG WISSMAN: We're in a very highly regulated industry. 4 5 We have annual surveys. We have a 5-star rating, which we submit 6 7 quarterly MDSs, which measure acuity of patients. There's a lot of data out there that can 8 substantiate outcomes without tying us to certain 9 metrics. 10 And I know my building has been 5 stars since 11 the day the 5-star rating was initiated. 12 13 And we take a lot of pride in providing the appropriate care for our patients. 14 SENATOR MAY: Any questions? 15 Senator Serino. 16 17 SENATOR SERINO: Hi, everybody. I just want to say thank you for being here. 18 And, you know, I agree with you, it's not 19 one-size-fits-all. You don't know who you're going 20 to have in the facilities. 21 22 And I think that that really puts a strangle 23 on you because, like you said, Doug, you're a 5-star 24 facility. 25 So I just -- basically, I didn't have a

question today. I just wanted to say thank you for 1 2 being here, and your testimony, and for all that you 3 do. SENATOR MAY: Let me follow up with one final 4 5 question, which was about the LPN vacancies. Was that you who brought that up? 6 7 SARAH DALY: Yes. Yep. SENATOR MAY: Do you have a sense of how 8 9 New York compares to other states in terms of that 10 kind of staffing shortage? SARAH DALY: I don't have a sense of that, 11 but we could certainly look into it more closely for 12 13 you. I mean, I get the impression that, certainly, 14 New York is not alone in facing this demographic 15 16 shift. We all know that millennial generation is having fewer children. And, you know, this shift is 17 kind of longstanding. Right? 18 19 But I can certainly -- we can certainly look 20 into that for you. 21 We just know our members have had LPN openings for -- again, before the pandemic that have 22 23 gone unfilled. 24 And so, for us, a medication technician role 25 could take some of the stressors off of RNs and

LPNs that are there, and also encourage CNAs to 1 2 get a sense of what more responsibility might look and feel like. 3 I'd also like to note that assisted living 4 5 doesn't have medication technicians currently. And Michele might be able to elaborate on 6 7 this. 8 They can assist residents with self-administering medications, but they can't 9 administer non-invasive medications themselves. 10 But med techs do currently exist in the OPWDD 11 settings. And training is already available for 12 13 that setting, that could easily be used for nursing 14 homes. And we've seen a lot of success with this 15 model in other states, such as Maine. They've had 16 17 med techs for, I think, over 10 years now, and they've seen great success with it. 18 So we just think it's time for New York to 19 really start thinking more innovatively in this way. 20 SENATOR MAY: So there are -- are there 21 22 workers who are classified as med techs, but they do 23 something different in the assisted-living 24 facilities?

SARAH DALY: No, there's not an actual med

tech role in assisted living.

Michele, do you want to elaborate on that?

MICHELE O'CONNOR: You know, we don't have a
"med tech" category at all.

Medication, as Sarah said, can only be administered by an aide. Like they can hand it to the person, but it's like, a self-administration. And there's like six steps they have to go through to do so.

LPNs and RNs are the ones that can administer. And then it's usually only in like in an EALR setting, which is an "enhanced assisted-living residence," because there's some barriers, that the basic ALRs don't really employ nurses necessarily to -- that can at least work in their nursing capacity.

So there's a little bit of a -- like I said, barrier, in terms of that.

Which is one of the things -- like, that was one of the great things about the "advanced home health aide" category, because they would have been allowed to -- well, they would -- they are allowed to administer medication, you know, after completing certain training.

But as I said, we can't -- we are having a

```
lot of trouble getting that off the ground.
 1
 2
               SENATOR MAY: So the category exists, but
 3
        there are no people who actually have that?
               MICHELE O'CONNOR: There's a lot barriers to
 4
 5
        it.
               Funding is one of them.
 6
 7
               Just getting a training program that, you
 8
        know, meets all of the regulatory requirements.
 9
               There's also some educational requirements
10
        that we kind of thought were a little greater than
11
        necessary for this category of aide.
12
               But...
13
               SENATOR MAY: So it's a category that exists,
        but we don't have any mechanism for having people
14
15
        actually --
16
               MICHELE O'CONNOR: Yeah, we don't have a --
        it's not off the ground yet.
17
               SENATOR MAY: Yep, okay. All right.
18
               That's helpful to know.
19
20
               Thank you very much.
21
               Thank you for your testimony, and for the
        work you do.
22
23
               SARAH DALY:
                            Thank you.
24
               MICHELE O'CONNOR: Thank you.
25
               SENATOR MAY: All right. We're going to take
```

```
a 20-minute break here, and come back for our last
 1
 2
        few panels on the nursing and assisted-living realm,
        and then we'll move on to the home health area.
 3
                  (A recess commenced.)
 4
 5
                  (The public hearing reconvened.)
               SENATOR MAY: All right. We're on.
 6
 7
               Okay.
                      Thanks.
 8
               Welcome back, everybody.
               We're on the sixth panel of our hearing, and
 9
        that's Dallas Nelson and Diedre Gilkes.
10
               I'm not sure I got that pronunciation right.
11
               I also want to mention a switch on Panel 8.
12
13
               Agnes McCray, who was scheduled to testify a
14
        lot later, she came in from Syracuse on a train at
15
        5:30 this morning, and she's got a 4:00 train to
16
        catch home.
17
               So we're putting her on Panel 8.
18
               But for Panel 6, we'll start with
19
        Dallas Nelson.
               DALLAS NELSON, MD:
20
21
               SENATOR MAY: Hi.
22
               DALLAS NELSON, MD: I'm Dallas Nelson.
23
               Good afternoon.
24
               And I love talking to you while you're well
25
        hydrated and nourished.
```

But thank you for the invitation.

I'm from Rochester, New York, but I'm here representing New York Medical Directors Association.

That's an organization that's goal is to educate and advocate for long-term-care medical directors and medical providers.

I also direct a group that serves as primary care for 15 nursing homes and 33 senior living facilities.

I am the medical director of two nursing homes, two assisted livings. And one of those nursing homes served as a COVID-positive unit for the state.

I also have primary-care patients across the continuum of care of long-term care.

And I am a granddaughter of a nursing home resident.

So I wanted to let you know that an engaged, knowledgeable medical director can genuinely improve a facility's care by applying science to care.

And one of the things we medical directors are supposed to be experts in is quality assurance and process improvement (QAPI).

And QAPI teaches us that the systems generally create the outcomes they are designed to

produce.

The long-term-care system is currently producing a severe shortage of frontline workers; namely, nurses and CNAs.

Before COVID, the staffing levels in some of my facilities was below what was needed to render proper care.

The staff was chronically stressed, and -because they were covering more work than they could
possibly do. The long-term-care industry was
plagued by frequent turnover of staff and
leadership.

Then COVID-19 made the nursing homes the center of the hotspots of the most serious outcomes of the pandemic.

And each surge of the pandemic decreased staffing further in my nursing homes, further worsening our ability to respond to the pandemic and make the residents safe.

The rate of death of nursing home workers was amongst the most dangerous jobs in America.

CMS, Senator Mayer, said that it was 80 deaths per 100,000 FTEs, which is higher than the logging industry.

Frankly, the long-term-care facilities became

an environment of overwork, fear, and danger.

I can write the most beautiful state-of-the-art medical plan for my patients, but if nobody is there to execute them, it does not matter.

As a society, we are paying in human suffering for the current long-term-care system.

Our parents and grandparents are suffering from staff shortages, and as a result, there's a greater incidence of falls with fracture; death, secondary to failure to thrive; and skin breakdown.

The problems are not a result of laziness or greed.

The quality improvement teaches us that searching for bad apples is not necessarily -- will not necessarily result in widespread positive change.

More effectively, we need to bring together people with deep knowledge of the system, frontline workers, and those with -- who control the resources and regulations, to study the root causes of the problem, and design interventions to fix the system.

The pandemic highlighted how intertwined all the levels of health care are.

We need patients to move from the hospital to

the nursing home, back to their assisted living, and home; and vice versa.

The -- each level of the health-care system is needed to serve the entire complement of vulnerable people, and they are all competing for similar finite pool of resources.

The New York Medical Directors Association felt that The Reimagining Long-Term-Care Task Force was a good first step in that direction.

It may be too late for that bill, but we would like further legislation to spur on the effort to start to redesign the system of long-term care.

We know this can be done.

We also know that we can have a collaborative relationship with government.

The New York -- sorry, the Colorado Medical Directors Association regularly meets with their department of health, to work to fix their long-term-care system.

And we would hope to have a collaborative relationship with our Department of Health.

Assisted livings need supports to be able to respond to infectious outbreaks, which currently do not exist.

And we -- our hope is that all the

stakeholders responsible for the care of the 1 2 vulnerable elderly will work together to 3 collaboratively improve the system. Thank you. 4 5 SENATOR MAY: Thank you. I don't want to mispronounce your name again, 6 7 so I will let you introduce yourself. 8 DIEDRE GILKES, RN: Hi. My name is Diedre Gilkes. I'm a registered nurse. 9 10 presently employed at Rutland Nursing Home, which is part of Kingsbrook Jewish Medical Center. 11 I'll just tell you a little bit about myself. 12 13 I was a CNA -- I started out as a CNA at the same nursing home, and worked my way up to become an 14 RN. 15 I went part-time, so it took a little longer 16 17 than the person who would generally go full-time. With me is my daughter, up there, she's 12, 18 Gabrielle. 19 20 And I brought her here to see, you know, what 21 it is about; not just, you know, money buying you this or that, you know? 22 23 So the problem we're having now is, Rutland Nursing Home has about 446 beds, including 24 25 an acute-care vent unit of 30 beds, an acute

step-down with 34 beds, a pediatric unit, and rehab 1 2 subacute, and several regular long-term units. 3 Staffing has been a chronic problem in 4 nursing homes and long-term-care facilities for many 5 years. 6 COVID has just opened up what has been long 7 ago been happening. Okay? 8 At Rutland, for example, the RN staffing in our acute vent and step-down unit has worsened, and 9 10 there are fewer RNs assigned to those units, and I'll explain. 11 For 29 vent units, you have only one RN, with 12 13 two LPNs. That's like a disaster waiting to 14 happen. These are acute patients. They came from --15

These are acute patients. They came from --directly from the hospital to us, and some of them are unstable.

16

17

18

19

20

21

22

23

24

25

Sometimes, the facility, they look at just numbers, not acuity of the patient.

And we are burnt out, the nurses.

In addition, on many of our long-term resident units, there are no RNs assigned to provide direct patient care.

They are staffed entirely by LPNs and aides which an RN manager oversee the direct care.

The reductions in RN time for both regular long-term residents and patients on the acute unit impacts the quality of care, and contributes to staff burnout and turnover.

The situation we face during the worst of the pandemic made staffing the resident care worse.

Many staff were exposed to the virus and became sickened, and others quit or retired because of the horrific working conditions we faced.

We believe the legislature should consider the following measures to improve recruitment and retention of staffing in our nursing home, and to create a more stable workforce to provide care for an increasing aged population:

The new nursing home staffing law is a good start, but it does not go far enough.

The new law sets minimum of 3.5 hours of total nursing care, including RNs, LPNs, and aides, of which at least 2.2 hours must be nursing aides, and 1.1 hours RNs or LPNs.

Many nursing homes are already meeting this minimum standard, and it does not set a minimum number of registered nurse hours per patient, and I'll give you an example.

On Friday I had 47 patients, with 3 nurses,

including myself.

I'm charging 47 patients, auxillary staff.

I have to document. I have to discharge. Do care plans.

It's not enough time, and it's too much.

We think that the legislature should amend this law to phase in higher staffing requirements in stages, with a goal of four, to 1 hours of total nursing care, including at least 0.75 hours of RN time per resident day.

RNs are very important to assessing patients, implementing care plans, and ensuring that infection-control protocols are fully implemented to protect residents and staff.

In addition, it is important to establish separate direct nursing to patient ratios for the acute-care units where residents are permanently vented or under more intensive care.

These acute-care specialty units should not be included in minimum nursing hours calculations for the residents on the regular long-term floors.

Improve staff working.

Salaries and working condition in the nursing home industries are worse than in hospitals and other Article 28 facilities.

This a major contributing factor in the high turnover and staff burnout.

To address this issue, the legislature should consider measures that require or incentivize employers to meet local, regional, or statewide benchmarks for pay and health and pension benefits.

In addition, the legislature should consider enacting legislation to mandate that all employers create active committees in all nursing homes, that give the workers a direct say in establishing staffing plans, infection control, and other workplace safety policies and general work conditions.

I'm not done, but time is up.

SENATOR MAY: Time is up, but thank you so much.

Thank you, to both of you, for your testimony.

I wanted to go a little deeper into something you said, about how the facilities look at just numbers and not the acuity of the patient.

I think that was --

DIEDRE GILKES, RN: Yes. That's one of my biggest fights pretty much every day, because they'll say, Okay, you have three nurses, and this

is what the State is okay with. 1 2 Therefore, they're not penalized because we 3 give you the minimum what the State says is 4 required. 5 But the acuity is much higher. You know, we need more staff. It's just what it is. 6 7 We need a law, which recently passed, but 8 they need mostly for nursing homes to say, okay, as 9 an RN, I have 10 patients per nurse, not 20 patients 10 per nurse. How much can I give to that patient? 11 12 And these are patients that are, you and I, 13 that have aged, that have retired, that are now in nursing homes, that had lives that you and I lived, 14 you know, and not just an old person in the bed, 15 or -- it's somebody's mother, grandmother, aunt, 16 uncle. 17 And we can't just look at them as numbers. 18 And that's what I've been advocating and 19 fighting for pretty much every day. 20 21 Every day. SENATOR MAY: Right. 22

I hear that, and I appreciate it.

DIEDRE GILKES, RN: So we need ratios.

need ratios to nurses, just as what they have in the

23

24

```
hospital, 6-to-1.
 1
 2
               I moonlight at many places.
 3
               In the hospitals, I have six patients to
 4
        one nurse.
               In the nursing home, I have 20 patients to
 5
 6
        1 nurse. Sometimes you have 40 patients to 1 LPN.
 7
               It's impossible to direct good care; good,
 8
        quality care.
 9
               It's not -- they're not getting it, period.
10
               They're not.
               SENATOR MAY: Judging from your testimony, it
11
        sounds like the nurses often spend a lot of their
12
13
        time managing staff rather than on direct care.
               Would you say that's true?
14
               And are they the right people to be doing --
15
               DIEDRE GILKES, RN: When you say "managing
16
        staff," what do you mean?
17
               SENATOR MAY: Well, I'm just taking from what
18
        you said, that the nurses would be deciding --
19
20
               DIEDRE GILKES, RN: No. I'm saying, if
21
        I have 20 patients, how much care can I deliver, you
22
        know, safely with 20 patients?
23
               It's too many patients.
24
               SENATOR MAY: But you're not then supervising
25
        CNAs, or --
```

DIEDRE GILKES, RN: I'm still doing that. 1 2 I still have to make sure they do what they 3 have to do. I do the assignments. 4 5 So I'm the nurse in charge. I still have 20 patients. I have to do the assignments for the 6 7 CNAs and the LPNs. And then I have an assignment 8 for myself also. 9 SENATOR MAY: See, that's what I was 10 wondering. 11 DIEDRE GILKES, RN: Oh. Okay. SENATOR MAY: How much of your time goes to 12 13 making those assignments, for example? 14 DIEDRE GILKES, RN: It's a challenge every 15 day. 16 SENATOR MAY: Okay. 17 I have some other questions, but I would like 18 to see if anyone else has any? 19 SENATOR MAYER: So, first place, I want to thank both of you, from different perspectives, 20 21 because your focus is on the care. 22 And it's so appreciated by me, and I think those of us who all experienced COVID, that your 23 24 focus is on the patients. 25 I really do appreciate that you are talking

about that in such a serious way, both of you.

Doctor, I would like to ask you, you gave me an example of states where there is regular conversation between medical directors of facilities and the state Department of Health.

Has there been any of that here?

DALLAS NELSON, MD: Has there been here?

SENATOR MAYER: Here in New York.

DALLAS NELSON, MD: We have been privileged to have intermittent presentations from the State at our meeting.

But we don't have collaborative sit-at-the-table, sort of, discussions on how to manage those, which was very evident throughout the pandemic.

Many regulations rolled out very fast, and many of them were, if I -- I was, like, Do they know that's not possible, you know, to do? such as, the twice a week testing.

We were getting the results about 14 days after we got the first one. And we had people working, positive, but we didn't know because the test -- we didn't have the resources to implement the policy.

SENATOR MAYER: And not to focus exclusively

```
on these rules, but the rules came out without input
 1
 2
        from you as medical directors; correct?
 3
               DALLAS NELSON, MD: That's correct.
               And usually on Sunday night, about midnight.
 4
 5
               SENATOR MAYER: We all lived through it, too,
        because our families had to experience the
 6
 7
        consequences.
 8
               But thank you for that.
               And then, on the nursing side, you gave the
 9
10
        example, in a vent unit --
               DIEDRE GILKES, RN: Yes.
11
               SENATOR MAYER: -- right?
12
13
               Are these --
14
               DIEDRE GILKES, RN: Ventilators.
               SENATOR MAYER: -- yeah, long-term vent
15
        patients, or post-COVID vent, or a mixture?
16
17
               DIEDRE GILKES, RN: Long term.
               So they might be coded on in the hospital,
18
19
        and they brought to us as vent patients, yes.
               So some are stable, some are very unstable;
20
21
        but the hospital cannot keep them anymore, so they
22
        come to us.
23
               SENATOR MAYER: And they're not -- and they
24
        cannot go home?
25
               DIEDRE GILKES, RN: They cannot go home.
```

```
SENATOR MAYER: So 29 patients with 1 RN.
 1
               DIEDRE GILKES, RN: One RN, sometimes,
 2
 3
        with -- one RN and two LPNs.
               SENATOR MAYER: Is that a night-shift ratio?
 4
 5
               DIEDRE GILKES, RN: Sometimes night-shift
        ratios, yes.
 6
 7
               And they're severely short? Yes. Big time.
 8
               SENATOR MAYER: So you are a member of
9
        NYSNA --
10
               DIEDRE GILKES, RN: Yes, I am.
11
               SENATOR MAYER: -- correct?
               And NYSNA has a collective bargaining
12
13
        agreement --
14
               DIEDRE GILKES, RN: Yes --
               SENATOR MAYER: -- [indiscernible]?
15
               DIEDRE GILKES, RN: Yes.
16
17
               SENATOR MAYER: Does it have any ratio --
18
               DIEDRE GILKES, RN: There's a -- yes, there's
        a ratio, at least, I believe 4 RNs-to-1 LPNs.
19
               Sometimes it does not occur.
20
21
               SENATOR MAYER: I see.
22
               DIEDRE GILKES, RN: I am mostly from the
23
        subacute rehab.
24
               So people that do like the knee surgery in
25
        the hospital, they would come to us. And then we
```

would, you know, give them the rehab, and so forth, 1 2 and then send them home. 3 So the turnover is pretty fast. 4 And some of these patients also have COPD, they have other issues, other than the knee surgery 5 6 that they may come in from. 7 So they are -- some of them are unstable --8 pretty unstable. Respiratory issues, in a heart beat, they're coding, and so forth. 9 10 SENATOR MAYER: And of your colleagues that work with you, other nurses and CNAs and others, 11 LPNs, approximately how many became 12 13 COVID-positive -- do you know? -- in your facility? DIEDRE GILKES, RN: Pretty much, I would say 14 90 percent. 15 I was one of them. 16 SENATOR MAYER: 90 percent? 17 SENATOR RIVERA: Nine zero? 18 DIEDRE GILKES, RN: Of the staff, yes. 19 A lot of us got sick. 20 21 Because, before COVID hit, apparently, it was 22 around. We didn't have masks on. 23 I was -- for one, I was giving medication. 24 The patient coughed. Not knowing he had COVID, we 25 thought it was the flu symptoms.

```
We tested him for the flu.
 1
 2
               Turned out it was COVID, and I caught it, and
 3
        it just spiraled down right then.
               I was out, and I came back, and it was just
 4
 5
        horrible. It was a horrible experience, and I'll
 6
        never forget it.
 7
               SENATOR MAYER: I'm so sorry.
 8
               DIEDRE GILKES, RN: We lost so many patients,
 9
        too.
              So many.
10
               It's nothing like what you see on the
11
        television. Nothing.
12
               Nothing.
13
               SENATOR MAYER: Well, thank you for sharing,
14
        because we do know how terrible it was.
15
               We don't think it's [indiscernible].
16
               DIEDRE GILKES, RN: I remember my husband
17
        saying, Are you going back to work? Do not go back
18
        to work.
19
               I was, like, I have to go back. Who's going
        to do the work?
20
               You know?
21
22
               Even my daughter, she was crying, "Mommy,
23
        don't go back, " but I had to.
24
               SENATOR MAYER: Oh, I'm so sorry.
25
               DIEDRE GILKES, RN: You know, somebody has to
```

do it. 1 2 SENATOR MAYER: Thank you for coming. 3 Thank you for what you've done. Both of you, thank you for what you've done. 4 5 And there's consequences to all the sacrifice you made, and we have to make sure we address them. 6 7 So thank you for being here. 8 SENATOR MAY: Thank you. 9 We're so glad that you're here. We're so 10 glad your daughter has her mother. And for the work that both of you do. 11 Let me ask a couple of brief questions. 12 13 One of them is, you said, you started as a CNA. 14 DIEDRE GILKES, RN: Yes, I did. 15 SENATOR MAY: And now you're an RN. 16 17 Are there ways that the State can help people 18 make that transition, develop from CNA to RN? 19 DIEDRE GILKES, RN: Okay, with my -- it's 20 hospital and nursing home [indiscernible] together. 21 But at the nursing home, we have two units. 22 We have NYSANA and 1199. 23 So 1199 has this program, where they are now 24 doing, where the CNAs are upgrading, which 25 presently have them in school, and they're doing

their LPNs. 1 2 So, hopefully, we're hoping, that when 3 they've completed, that they'll come and give back to the facility. And that's what we're hoping for. 4 5 So they do have that, 1199 has that program. 6 NYSNA, I'm not sure. 7 But I did that on my own, though. I just 8 went back to school and just did what I had to do. 9 SENATOR MAY: Good for you. 10 Fantastic. And then, Doctor, I wanted to ask you about 11 the input issue that you raised, about being able to 12 13 meet with the Department of Health, for example, and have them hear your input about these things. 14 The -- is there nothing like that now in 15 New York State? 16 17 I'm glad that Colorado has a model, but it's startling. 18 DALLAS NELSON, MD: Yeah, right, Colorado has 19 20 a journal club, and they all get together and learn 21 the state-of-the-art and geriatric care together. 22 I lectured some of their state DOH surveyors 23 in Colorado. 24 But we don't have that sort of dialogue. 25 As geriatric medicine experts, and experts in

long-term care, we would love to have just a 1 2 dialogue about the application of different 3 regulations, the effects of those, what would incentivize that sort of the best practice in our 4 5 industry, and what it's like, you know, on the 6 ground. 7 We really -- medical directors, you know, we 8 don't have jobs if the facilities don't exist. But we're primarily there for the patients, 9 10 and really want to advocate for them getting good 11 care. And it's hard to do. 12 13 SENATOR MAY: Okay. And, finally, I do have a bill to try to 14 incentivize more people to go into geriatrics. 15 But I don't know if you have ideas about how 16 we get -- I know there is a crisis, like all these 17 18 other workforce crises we're talking about, in people specializing in geriatrics, either at the 19 20 nursing or the physician level? 21 DALLAS NELSON, MD: Uh-huh. yeah, I mean, geriatrics, as a medical discipline, is not 22 23 considered real medicine. I don't know how -- it's reputationally 24 25 difficult, but I love, love, taking care of these

patients.

But it's one of the few specialties that you can train longer and get paid less in.

So it's hard to attract people into a field with -- that is, number one, not respected because of ageism in society; and then remunerated more poorly; and works in conditions in which you can write orders, and they will not be executed due to staffing shortages.

You know, that is a very, very disturbing thing for a doctor to go through. And you have a very hard time keeping doctors in a situation where they cannot render good care.

SENATOR MAY: Well, as somebody who used to teach at the college level, I'm very familiar with the training years and earning less.

But can you just take -- we have one minute left -- and describe what "geriatrics" is, for someone like Gabrielle, for, potentially?

When I heard about what geriatricians do, it's actually a really an exciting field, potentially.

DALLAS NELSON, MD: Oh, geriatrics, I love geriatrics, because I get to take care of complex patients. Right? And I must balance their multiple

comorbidities to -- the primary goal is that they do
well, feel well.
So it's very patient-centered, it's very

individualized.

You can't apply all the guidelines to every patient, or you'll hurt them, because they're so complex, that all the guidelines conflict.

And you also have the opportunity to listen to them more, and work to hone their care to what they want, and what they want out of the medical system.

There's nothing cookie-cutter about the medicine that I practice.

And it's very rewarding to be with people when they need you so much.

SENATOR MAY: And you're looking at their medical situation, but also their social situation, and their family situation. Sort of, there are so many dimensions to it.

DALLAS NELSON, MD: Yes. It's a very person-centered care, and individualization of the care is very important.

So you need to know the medicine so that you can apply it very carefully to this very vulnerable population.

SENATOR MAY: Thank you for that. 1 2 We want to lift up geriatrics as much as 3 possible. Anyone who is listening, think about going 4 5 into this field. It's an important one. Thank you, both, for your important work, and 6 7 for your testimony. 8 DALLAS NELSON, MD: Thank you. DIEDRE GILKES, RN: Thank you for having us. 9 SENATOR MAY: All right. Panel 7 is 10 Hannah Diamond, Maria Alvarez, and Lindsay Heckler. 11 And we'll go in that order. 12 13 Hannah, if you want to start? HANNAH DIAMOND: I want to thank the 14 standing committees on Aging, Health, and Labor 15 16 for hosting today's hearing on the nursing home, 17 assisted-living, and home care workforce in 18 New York State. 19 My name is Hannah Diamond. I am the state 20 policy advocacy specialist at PHI, a New York-based 21 national non-profit organization that has been the 22 nation's leading expert on the direct-care workforce 23 for three decades. 24 PHI works to transform elder care and 25 disability services by promoting quality direct-care jobs as the foundation for quality care.

My testimony today focuses on the nearly 530,000 direct-care workers, including nursing assistants, home health aides, and personal care aides who assist New Yorkers across long-term-care settings.

Action is critically needed to support the current workforce, recruit new job seekers to strengthen the pipeline into the sector, and to help ensure that we never again reach such a crisis point as we did during the pandemic.

To that end, I would like to highlight opportunities to improve jobs for direct-care workers, and increase the availability and readiness of this workforce.

First, the legislature must increase compensation for direct-care workers.

As a result of low wages, often unpredictable hours, and limited annual earnings, nearly

50 percent of direct-care workers in New York live in or near poverty and rely on public assistance, and many are leaving the long-term-care sector for higher paying opportunities.

Although our written testimony provides four recommendations, I will expand on two to

improve compensation for direct-care workers.

First, the legislature should direct the

Department of Health to establish, with stakeholder
input, livable and competitive base wages for
direct-care workers across long-term-care settings.

The Department of Health should integrate these base wages into Medicaid rates through a transparent rate-setting process. And then the Department of Health must also mandate a base rate that managed long-term-care plans must pay providers to fully cover costs associated with labor.

Second, the legislature should enact and fully fund the Fair Pay for Home Care bill.

By providing home care workers with a living and competitive wage, this legislation will attract and retain workers, and help overcome a worsening workforce shortage.

If enacted, this legislation would lower poverty rates among home care workers, reduce expenditures on public benefits, and increase spending within local economies.

Second, we must strengthen direct-care worker training.

Current training standards and programs, for the most part, do not sufficiently prepare workers

for their complex and challenging roles.

The first recommendation would be, that the legislature should direct the Department of Health to facilitate consistent feedback from all relevant stakeholders, to monitor the State's implementation of the State's ARPA spending plan.

This oversight is critical for ensuring that all of the provisions within the plan are appropriately implemented, including, but not limited to, those related to training.

Second, because the workforce investment program's funding ended in March of this year, immediate financial support is needed to ensure that WIOs can continue to meet the training needs of the long-term-care workforce.

The legislature must provide immediate bridge funding for WIOs so that they can continue fulfilling their important role, either through the ARPA spending plan, if it's approved, or through other funding mechanisms.

And, third, beyond this initial bridge funding, the workforce investment program should be reviewed and renewed for an additional four years, with key amendments based on lessons learned.

Third, we must create opportunities for

advancement for direct-care workers.

Career advancement opportunities within direct-care are also critical for retaining workers, for amplifying their contribution to care, and achieving quality outcomes and cost-savings.

To develop advanced roles, PHI recommends that the legislature enact and fully fund the Home Care Jobs Innovation Fund.

And, second, as the new 1115 Medicaid waiver is designed, the Department of Health should consider building in advanced-role demonstration projects.

And, finally, PHI commends -- or, recommends that the State convene a direct-care workforce task force to develop a coherent and sustainable response to the challenges facing the direct-care workforce in long-term care.

To produce evidence-based recommendation, the State must also improve its efforts to collect data about direct-care workers across all long-term-care settings.

To accomplish this, the State must survey all relevant departments and agencies, to catalog existing workforce-related data collection mechanisms, as well as to identify gaps and

1 inconsistencies. 2 The State must also survey direct-care 3 workers themselves, to make sure that their voices 4 are included in discussions surrounding challenges 5 and solutions. 6 In conclusion, PHI appreciates the 7 opportunity to testify today, and looks forward to 8 ongoing conversations about how to best support 9 direct-care workers in long-term care. 10 Thank you. 11 SENATOR MAY: Thank you. Maria. 12 13 MARIA ALVAREZ: Yeah, hi. 14 Good afternoon, I guess. 15 Thank you very much for holding these 16 important hearings. My name is Maria Alvarez. I'm the executive 17 18 director of New York Statewide Senior Action Council. 19 And we are a consumer-directed and 20 21 consumer-governed organization --22 SENATOR RIVERA: Is your mic on? 23 SENATOR MAY: Yeah, I think maybe it's not. 24 SENATOR RIVERA: Go ahead, say "hello." 25 MARIA ALVAREZ: Hello? Hello?

Okay.

-- that, next year, will be 50 years old.

So, today, we've heard wonderful testimony from my colleagues here. And I've given you my complete statement.

So I wanted to just, in less than

4 1/2 minutes, to talk about some issues that

I think we need to keep up in front, and that is the community.

So my testimony will be one that will identify issues as they're affecting elders and caregivers today, and the impact this will have for the future, along with possible solutions for your consideration.

Right now in New York State, we have more people who are 65 years and older than are 13 years and younger, which means that this is an aging state. And all of these issues about nursing home and care -- and home care are really the future, is really what's going on, because there's going to be a million more seniors, 65 and over, after -- between the years 2016 and 2026.

So -- and, you know, just to talk about what the doctor was saying before, when anybody talks to me about health care, two-thirds of the cost of

health care that comes out of the Center for Medicare and Medicaid Services is on seniors.

So when anybody talks about health care, we should be keeping senior citizens up in front because those are the consumers.

Anyway, I wanted to talk to you about something that's going on in the community, so -- and it's calls that I receive all the time.

People are not being able to go back to work because their loved ones are not cared for. There's a workforce shortage.

So we have people -- so -- and these calls that I receive are about people who are not able to get back to work because there's not care for their mother that they cannot -- they can't do.

So what do you say?

Okay, well, go on Family Medical Leave Act.

Well, then, we're asking women of color, mostly, who are caregivers, that's who the pool usually is, to stay home, caring, and doing a very hard job, for 60 -- and collect 67 percent of their paycheck every week for 12 weeks, because that's what it is. Right?

Or -- and if it runs out, and they can't receive that health care -- that home care, then

they won't have pay, because their jobs won't be able to pay for them -- for something that they're not doing.

But what I want to bring to your attention, though, is that the population, in general, moving forward, will be women, minorities, and people -- and health care is the most -- the largest growing industry.

We need to figure this out.

This is a tremendous opportunity for women who are low income and minorities to get ahead.

We need to give them an opportunity to get ahead.

And I just feel that, in any other industry, people would say, Oh, great. We have a need. Let's figure this out so that we can make a good profit and move ahead.

But that's not what happens in this industry.

What they do is, they do not value the worker. The worker is not valued here.

They are made to work longer hours. They're made to work, travel far distances. They don't give them enough hours so that they can have benefits.

In any other scenario, this would not be acceptable.

Why are we not giving this the same respect to what the future is?

Because, let's be pragmatic about it.

If these women cannot get back to work, or if the minority communities will not be able to get ahead, we're going to have a less -- you know, less tax base, more burden on the State, and nobody is going away, because people are here; people are getting older, and they're here to stay.

So we need to figure out a way to make this an opportunity for growth for what is going to be the future of New York State.

SENATOR MAY: Thank you.

LINDSAY HECKLER: Hi. Thank you for the opportunity to testify today.

I am Lindsay Heckler, a supervising attorney with the Center for Elder Law & Justice.

We are based in Western New York, and provide free civil legal services to older adults and people with disabilities.

Our primary goal is to use the legal system so that our clients can age with independence and dignity.

And as partners with the regional ombudsman program, we advocate for people living in nursing

homes and assisted living.

Now is the time to invest in the people of New York, and ensure all individuals have the ability to age with independence and dignity.

This means investing in the long-term-care workforce while, at the same time, reforming the delivery of long-term-care services and supports.

Both are necessary to ensure that every person who needs these services and supports receives them, they are of quality, and promote independence and autonomy in the least restrictive setting.

While our testimony is specific to the workforce issues in nursing homes and assisted-living facility, we encourage the Senate to act holistically and not in silos.

It is essential to implement policies that prioritize keeping older adults and persons with disabilities in the community and out of institutionalized settings; for example, including the Fair Pay for Home Care in the next budget.

If we are taking appropriate measures and keeping older adults in the community, then the number of nursing home beds in the state will naturally decrease.

What cannot happen, however, is rampant widespread closure. People will be harmed.

In addressing staffing shortages, it's important to remember that nursing homes make the choice to admit new residents.

Once a new resident is admitted, it is the facility's responsibility to ensure that person's needs are met.

If a facility is not properly staffed, whether it's nursing, social work, dietary, housekeeping, or other, that facility has a responsibility to not admit more residents.

For example, a 120-bed facility in Buffalo has been cited 6 times since December of 2017 for insufficient staffing; most recently, May 2021.

In this May inspection, the Department of
Health found the facility failed to ensure that
residents with pressure ulcers receive the necessary
treatment and services to promote the healing,
prevent infection, and prevent new ulcers from
developing.

This is the third time the facility has been cited for this exact same violation since July of 2018. Third time.

In addition, this facility repeatedly

ranks at the bottom of staffing levels in

Western New York, and has one the highest usages of

contract staffing, which is associated with lower

quality of care.

When addressing the issue of the workforce shortage, a question has to been asked:

Why don't people want to work at certain facilities?

Why is there high turnover?

Yes, low pay is a factor; however, there are other keys to recruitment and retention: teamwork, respect, and organizational culture.

These things cannot be legislated, and at the end of the day, are the operator's responsibility.

The words, "That's not my job," should never be uttered in a nursing home; yet we hear that often in these bad-performing facilities.

Enforcement of the staffing requirements is needed to ensure resident needs are being met.

While the State can offer carrots, such as grants or awards for nursing homes who improve staffing levels, at some point the stick needs to be more strongly used to get operators to comply with their mandated responsibilities.

In addition, the State can reinvest how

Medicaid dollars are spent, such that residents who live in these repeat underperforming facilities have meaningful opportunity to return to the community or other location of their choice.

Now, nursing homes aren't unique in being understaffed.

Adult-care facilities (ACFs), or assisted living, also face challenges.

In general, ACFs do not have the same requirements as nursing homes, and the level of staffing and type depending on the facility's licensure.

We know there are ACFs that are not properly staffed; however, there's no publicly available information on staffing in these facilities.

For example, an assisted-living residence with enhanced and special-needs beds in Williamsville was cited in February of 2021, at the endangerment, for failure to ensure there were enough staff to comply with the supervision and monitoring requirements needed to assure the safety and welfare of the residents. A resident also eloped in November 2022 -- or, 2020. Excuse me.

This same facility previously made headlines when a resident who had dementia wandered from her

room, December 2017, and almost froze to death. 1 2 Staffing matters, and we need a strong direct-care workforce in order to achieve holistic 3 reform and support older adults to live in the 4 5 location of their choice, often the community. People should not be left in nursing homes 6 7 because there's a home care workforce shortage, nor 8 should people be subject to neglect in long-term-care settings because of insufficient 9 10 staffing. Thank you for your time. 11 SENATOR MAY: Thank you. 12 13 Thank you, all. I have a couple of questions, I guess one for 14 each of you. 15 16 I'm wondering about, you talked about 17 poverty-level wages, or people earning -- working in 18 these facilities and not breaking above the poverty 19 line. 20 Have you -- is there research that can give 21 us some guide to what the cost to the State is of 22 having the -- having people working in these 23 facilities who are also on public assistance, 24 presumably? Is that measured? 25 HANNAH DIAMOND: I would argue that if you

pay people a higher wage, then expenditures related to public benefits decrease.

So that's -- to answer your question, I think that investing in workers, in general, paying them with a livable wage, would pay for itself, by reducing those public-benefit expenditures; providing them with more financial stability, which then they can then contribute back into their economy.

So it would be a worthwhile investment to invest in workers, to improve their financial stabilities, and also improve quality care at the same time.

SENATOR MAY: Thank you.

Yeah, that's what underlies our Investing in Care Act, which that's the philosophy: Put the money into these jobs that could be created tomorrow, and there's a lot that comes back to us as a state budget, but also into our communities.

I would love to follow up with you about the MLTC workforce investment program, and how it was -- it was ended in this last budget?

HANNAH DIAMOND: It ended in March of this year.

SENATOR MAY: Was that a planned thing, or

did that -- did we do that in our budget?

HANNAH DIAMOND: I mean, it was just not renewed funding, so the funding ended.

There is -- within the ARPA implementation spending plan, there is a provision within there that can allow WIOs specifically to train the workforce, and help to provide them with training for advanced roles specifically.

So that's something that we're very interested in.

But I think that, one, we don't know that the ARPA spending plan is going be approved by CMS.

And even if it is approved, it's only one year.

So we really need to provide the workforce investment program with extended funding so that we can see the impact of the work that the workforce investment organizations are doing.

And we really need to be quantifying kind of how that is impacting the workers, so that, then, we could, hopefully, scale up this initiative, and all pilot programs that it's helping with.

SENATOR MAY: Thank you.

And then, Maria, we work together all the time, and I am grateful for all the work you do.

I wanted to just pull out something that's in
your written testimony, that you didn't mention, but
it's about a trend in New York of sending nursing
home residents to other states because there isn't
the staff.

Is that the reason for it?

Or what -- I'm not aware of that even happening, so I don't know what the scale of that issue is.

And I wonder if you can just say a little bit more about it.

MARIA ALVAREZ: Yeah, well, so --

SENATOR MAY: It's on page 13 of her testimony, for the people who [indiscernible].

MARIA ALVAREZ: Yeah, I'm sorry. This is a very long testimony.

I have a lot to say, obviously, and I couldn't say it all in five minutes.

But, yes, there is a trend where -especially that happens in a lot of rural areas,
where there is not -- there -- a lot of the nursing
homes, I think somebody here was talking about how
they were shutting down, and they don't have enough
care in the area.

So what ends up happening is, that they have

to send them to other places to get care.

And it's just a shame, because we have -it's almost like -- for example, in New York City
you have a lot of people. There's still a shortage,
and it's for different reasons.

But if I -- I've traveled the state. And every single -- I've never been to a county that tells me, I don't have a workforce issue.

And so some of the solutions are, that they have to go out of state.

You know, in the North Country, they send people to Vermont, to other places.

I actually have a colleague right now, it's not for workforce issues, but for care issues, that's in Boston, because, apparently, there's not the care that he needs here in New York.

So, yeah.

SENATOR MAY: But it's the residents making that decision, or the facilities are actually sending them?

MARIA ALVAREZ: No, the facilities.

SENATOR MAY: Wow.

MARIA ALVAREZ: Because, really, what a -- a family wants to be close, to be able to visit, and see what's going on in the nursing homes.

1 SENATOR MAY: Right.

MARIA ALVAREZ: You know, on my -- again,

I was trying to come across with a case study, that
it's not unique.

It comes -- I've had three of those calls in the last week about the same thing.

But, basically, somebody who, not being able to get all the home care possible so that she could get back to work, now she's saying, Well, now I'm going to even look into placing my mother in a nursing home.

Now, the whole guilt, first of all, about, because they didn't think that they would have to come to that.

But then it's, Well, I want to -- I've got to find somebody close -- you know, a nursing home that's close enough, that doesn't have violations, that doesn't have infectious diseases, that will let me visit.

You know, these are all things that are very real for a consumer.

You know, that we can -- can you imagine somebody with -- having your mother with dementia, turning her over to a nursing home, and not being able to -- to -- you know.

And by the way, it's a lot much in their care that they need to see people that they know, and not being able to visit. Right?

SENATOR MAY: Yeah.

MARIA ALVAREZ: So -- so then that paralyzes the person even more, and they'll say, Well, I don't know if I could put my mother in any nursing home right now because all of these things are happening.

SENATOR MAY: Right.

MARIA ALVAREZ: You know, and a lot also has to do with the -- with -- we get a lot of calls, and people complain that, Where do I go, what recourse do I have, if all of these things are happening in a nursing home or for home care? You know?

The ombudsman program, we've had many conversations about how underfunded, undermanned, they are.

Actually, I had to tell you this because we've had ombudsmen local -- from the local offices, saying, We're only one or two people who have to cover various counties; all the institutions of various counties.

SENATOR MAY: We're working on that.

MARIA ALVAREZ: Tell your elected officials.

You know, and they're telling us, Tell your

residents or the families to tell the elected 1 2 officials what's going on. We cannot handle this. 3 SENATOR MAY: Right. MARIA ALVAREZ: You know? 4 5 Call the DO -- the Department of Health, you 6 know, to have nursing homes that receive fines --7 first of all, it takes them, for a long time, just 8 to get to those -- those -- to get to visit these 9 nursing homes that people are complaining about. 10 When they finally come up with fines, there 11 are fines that are so low, frankly, that it's a cost of doing business. The nursing homes actually 12 13 budget in their budget for fines. I mean --14 15 SENATOR MAY: Yeah. Thank you. I'm going to break in, just so I can ask one 16 17 more question here --18 MARIA ALVAREZ: Yes. I'm sorry. SENATOR MAY: -- which is, to Lindsey: 19 What does the State need in order to enforce 20 21 the staffing levels that we are requiring? 22 What needs to be put in place to do that? 23 LINDSAY HECKLER: Well, I would pass 24 legislation that, for one, creates a do-not-refer 25 list to nursing homes that are routinely

understaffed, such that hospitals are not allowed to 1 2 send patients to there, those nursing homes. 3 Also, while I am very critical of the Department of Health, Department of Health does not 4 5 have enough people doing the actual surveys. But at the end of the day, it is the 6 7 operator's responsibility. 8 Yes, we can increase the Medicaid rates, but it takes a leader to run a nursing home. 9 10 And the operators really need to do more to 11 create that professional working environment that people want to show up to every day. 12 13 Because, as you've heard from many people, 14 nurses themselves, today, it's really hard work. SENATOR MAY: Is there some kind of 15 credential that the operators have to get in order 16 17 to have that job, that could be then tied to 18 performance in [indiscernible] --19 LINDSAY HECKLER: We could have a whole separate hearing on the relevance of the public 20 21 health and health planning council. 22 But I would be happy to discuss with you that 23 separately. 24 They do, supposedly, go through character and

25

competency assessments.

```
SENATOR MAY: Okay.
 1
                                     Great.
 2
               Thank you very much.
 3
               Thank you-all.
               Do we have others?
 4
               Senator Borello, you -- we'll let you go
 5
        first this time.
 6
 7
               SENATOR BORELLO: Well, thank you.
 8
               First of all, thank you again for being here.
 9
               Morgan, I was kind of -- or, excuse me.
10
               Hanna -- excuse me -- I was kind of taken
        aback a little bit.
11
               You know, we've been sitting here,
12
13
        criticizing private institutions for the pay raise,
        and so forth; yet here we are, the State sets the
14
        rate for direct-care workers.
15
               And according to your testimony,
16
        New York State, and the funding that's behind that
17
        for Medicaid, which continues to be cut, is paying,
18
        on average, $14.24 per hour.
19
20
               So I just want to make sure I am getting this
21
        correct, because it's in your testimony.
22
               So New York State government is paying less
23
        than fast-food wages for direct home care workers at
24
        the moment. Is that correct?
25
               HANNAH DIAMOND: It's absolutely correct.
```

1 SENATOR BORELLO: I see.

HANNAH DIAMOND: This industry, on average, I actually have a statistic that I would love to share with you.

In comparison to other sectors in the state -- this is New York-specific -- in the state, with similar entry-level requirements, these jobs are, on average, receiving \$3 less per hour.

And then for other jobs with lower entry-level requirements, these jobs are receiving 65 cents per hour less.

So, yes, it's not -- this industry is not paying a competitive wage; and it is the largest industry, and the most quickly growing industry in the state.

SENATOR BORELLO: But in last year's budget, the State was going to try and fix this by creating a -- some kind of a wage parity.

And this wage parity has resulted in lower than the minimum wage currently that we pay fast-food workers.

Is that basically what you're saying?

HANNAH DIAMOND: My argument is that it's not competitive; and, therefore, workers are leaving for other sectors.

SENATOR BORELLO: So we didn't fix anything 1 2 by doing this? 3 HANNAH DIAMOND: I think that we have more work to do. Yeah. 4 5 SENATOR BORELLO: But we'll pay someone, a taxi driver, to take somebody to a doctor's 6 7 appointment, two, three, four hundred dollars for 8 one trip, but we're not paying minimum wage to health-care workers. 9 10 So... HANNAH DIAMOND: We're not paying a livable 11 12 wage --13 SENATOR BORELLO: Yes. Okay. 14 HANNAH DIAMOND: -- to home care workers. SENATOR BORELLO: I just want to point out 15 16 that we're, you know, basically, kind of the pot 17 calling the kettle black, as we criticize people 18 in the private sector, not-for-profits, when 19 New York State's not living up to that commitment 20 in its own right. 21 So, thank you. 22 SENATOR MAY: Thank you. 23 Senator Serino. 24 SENATOR SERINO: Thank you, Madam Chair. 25 Maria, I wanted to say, thank you --

And for all of you, thank you. 1 2 -- but for you mentioning about how, when 3 places close, and family members can be displaced 4 anywhere. 5 We just had a situation where it was my 6 constituent, but the assisted-living facility was in another senator's district. And the daughter went 7 8 on vacation. I think she was away for almost a month. She didn't know where her mom went. 9 10 So we worked together, and we were able to find out. 11 But, you know, that -- we should have safe, 12 13 reliable places for people to go in the communities, and so that they don't have to travel out. And, you 14 know, especially like somebody with a little bit of 15 dementia, or whatever, you know, it's scary, too. 16 17 So, I appreciate everything that all of you do. 18 And like I said, thank you for bringing that 19 20 up. 21 Thank you. SENATOR MAY: Thanks. 22 23 And, Senator Ramos. 24 SENATOR RAMOS: Thank you. 25 Thank you so much.

I really appreciate your point about livable wages, because I do feel that fast-food workers have finally been able to be acknowledged and dignified in the work that they do.

And pitting one workforce against the other really doesn't actually solve our issues.

It's not that fast-food workers make too much; it's that home care workers make too little.

And I really appreciate your point there.

I'm wondering, your comments on career advancement and workforce development and apprenticeships, based on what we've heard today, it sounds like the bulk of these programs largely come from the unions, from what I've heard. It's 1199, it's NYSNA, that offer these opportunities at a greater scale, and have, perhaps, even a greater rate of success than anywhere else.

Are there ways --

And I apologize, because I know much more about the construction industry than I do about this one, where state-approved apprenticeship programs can only be offered by unions.

I don't think that that's the case in this industry.

But what can be done in order to, I guess,

offer the same quality of apprenticeship and career-advancement programs outside of the unionized workforce?

HANNAH DIAMOND: Sure. So that's, the workforce investment programs plays a really significant role in preparing -- in helping the workforce with retention- and recruitment-related issues.

So it's an organization like PHI, or like the union, that receives funding, to work with providers to -- for example, when it came to the pandemic, to provide training about infection control or stress management to the workers; to design advanced roles which I would love the opportunity to talk a little bit about.

We've been talking about advanced roles, you know, to take a CNA to an LPN or a nurse.

So we want to make sure that these positions -- nursing assistants, home health aides, personal care aides -- that those positions, there are opportunities within those roles.

So some examples:

You have a peer mentor who could provide supports to new ongoing hires.

You could have a transition specialist who is

following a client while they're in the rehab or a nursing home, post discharge for 30 days, to make sure that they don't have a repeat hospitalization, which is, therefore, improving their outcomes, and then meeting [indiscernible] payments.

You have advanced home health aides that can assist with medication administration.

All of those roles need funding, both for the training of those roles, for delivering that training, developing the training, training -- paying the workers while they're receiving the training, then paying the worker a higher wage after completing the training.

So all of that needs to be funded within the advanced-role development process.

And the workforce investment program, again, hopefully, if it were to be funded, would help with that.

But, also, like the Home Care Jobs Innovation Fund, is a great -- would be a great avenue to support kind of innovation as well.

SENATOR RAMOS: Interesting.

So it sounds like, because there's such a high rate of turnover in the industry, there really isn't a pipeline or an avenue for institutional

knowledge, really, to be passed on.

HANNAH DIAMOND: Right. There's a loss of that knowledge, which is tragic.

And that's why we're trying to provide advanced opportunities that capitalize on the expertise that these workers have, to both support other workers, because supervision is such a challenge within this field.

Providing support, capitalizing on the worker's expertise, and giving them room for advancement, which is respect and recognition of the work that they do.

SENATOR RAMOS: So how are best practices developed and taught to home attendants, or -- and, you know, other people who work in this industry?

HANNAH DIAMOND: So when the workforce investment organization -- or, the workforce investment program had funding, we worked directly with providers, and worked with workers, to --

SENATOR RAMOS: But you're not mandated to?

Or do you have to -- does every facility have to come to you for this?

HANNAH DIAMOND: No, no. No.

SENATOR RAMOS: Interesting.

Okay.

Maria, I'm wondering about, just from a consumer perspective, the quality of care, and how it differs from facility to facility.

Are there any specific criteria and/or indicators that I should be looking out for?

I'm Latina, so I would never put one of my parents in a nursing home. No offense to those who -- but it's a cultural thing. We don't do that, largely. You know, our parents live with us.

But -- but how -- what should I be looking out for as a consumer if I'm in that situation?

MARIA ALVAREZ: Okay. So, for example, nursing homes, they have a code -- right? -- and they have rules, about what visitation is, what type of -- I mean, even down to the food that they receive.

They have rights. You know, residents have rights.

And what we have found is that, especially during the pandemic, and now post pandemic, they are -- what's happening, every nursing home is interpreting the guidelines as they see fit.

So, for example, a visitation order, where, during the pandemic, at the beginning, they were allowing nobody to come.

And then there were severe problems with people calling us, saying, I don't know anything about my -- you know, my -- my loved one; to, then, all of a sudden, the rules started easing up.

However, there were times where, in one nursing home they will say, This could be compassionate care. If you have Alzheimer's, "compassionate care" means that they should be able to get a visitation from at least one person, under COVID; while another nursing home will say no.

So it took us a long time to go in and advocate. And this is case by case.

And we're not the only ones receiving these calls. But -- you know, and then that puts a big strain on the ombudsman. Right? And, you know, you have to keep on doing that.

SENATOR RAMOS: Is there any type of, like, consumer reports --

I see you [indiscernible], and I have questions for you, too.

-- but is there any sort of, like, consumer reports "grade," or, you know, where I can quickly see that one facility is rated higher, or one agency is rated higher, than the other?

MARIA ALVAREZ: Yes, yes, there are.

The State has a report card for every nursing 1 2 home, and you can see what their stars are, and 3 infectious disease -- you know, things, all the way from infectious diseases, and staffing ratios, and 4 5 things like that. You can see all of that there. SENATOR RAMOS: All right. Thank you. 6 7 Lindsay is eager to jump in, but can you 8 also --9 LINDSAY HECKLER: Sorry. 10 SENATOR RAMOS: No, don't be sorry. -- but can you also maybe add a little bit 11 about -- I wanted to learn from you, about the 12 13 actual oversight that is provided by DOHMH. I am a huge critic of the governor's 14 insistence on austerity budgeting. 15 I believe that the DOL is just as underfunded 16 and overcapacity as DOHMH. So I would like to know 17 18 a little bit more about that. And then I'll have 19 another one. 20 LINDSAY HECKLER: In short, we just don't 21 know how many surveyors are currently working 22 outside of FOIL requests. 23 So we have submitted FOIL requests, and are 24 looking through the information. 25 But people are filing complaints, and waiting well over a year for a response.

And to be blunt, the only way you're going to get a Department of Health surveyor into a facility, is if the complaint alleges that harm has occurred.

They are so backed up with the complaints, that even on their annual surveys, which is partly governed by the federal process, they can only investigate so many complaints at a time during their annual survey; but then they only have so many people to actually physically investigate.

So a lot of these investigations, I question the thoroughness of them, when they are only calling the facility, asking what happened, and unsubstantiating that complaint.

SENATOR RAMOS: And then not visiting them?
LINDSAY HECKLER: Yes.

SENATOR RAMOS: Oh, wow.

LINDSAY HECKLER: They have resumed visitation. So in the example of the nursing home and assisted-living residence, which are two different surveyor teams, they are catching some of these insufficient-staffing deficiencies.

But, quickly back to where people can see the rating system, yes, there's the CMS rating system; yes, there's the nursing home profiles with the

State.

I do not put stock in the CMS rating system because a lot of the star ratings are based on non-complaint data. It's what they are putting into the system.

If I'm a consumer or their representative, I'm looking at the payroll-based journal staffing data that is put out by CMS.

SENATOR RAMOS: In your testimony you said that there are certain things in work culture that cannot be legislated.

Challenge accepted.

What are the types of things in work culture that you think, that you feel, and -- I don't mean to put you -- you know, it's something I would like to work with you on -- that perhaps, you know, are out of the box and we should be looking into?

and I defer to the specific workforce training programs -- but it's how are -- how's your administrator, how's your director of nursing, how is the operator, when they come into the building?

Are they a hands-on approach, or do they hide in their office?

When there's 1 CNA for 20-plus residents, and

```
they need more help, are other members of the team,
 1
 2
        if they're there, available and willing to jump in?
 3
               It starts at the top for leadership.
 4
               And that's -- it's just a small piece of the
 5
        puzzle.
               Whenever "not my job" is heard, there should
 6
 7
        be a problem there.
 8
               SENATOR RAMOS: I have three seconds to ask
        you if you know what the pay ratio usually is
 9
10
        between CEO and average worker in these facilities?
               Because I saw some pretty nifty watches up
11
        here earlier.
12
13
                  [Laughter.]
               LINDSAY HECKLER: I'm not that skilled.
14
               SENATOR RAMOS: All right.
15
                  [Laughter.]
16
17
               SENATOR RAMOS:
                               Thank you.
               SENATOR MAY: Anyone else have a question?
18
               I do want to just follow up on one thing,
19
        from what Jessica was asking, about the five-star
20
21
        rating system. We hear about that a lot.
22
               It's a federal thing, is my -- or, a
23
        national-level thing.
24
               In your experience, does it actually line up
25
        with the quality of care that's being provided?
```

LINDSAY HECKLER: I would never put my loved 1 2 one in a nursing home that's rated below four stars. Five stars and four stars have their 3 4 challenges. 5 But if -- and this is my personal opinion, 6 and also based on professional review and experience 7 of going into these locations -- the one star and 8 two stars are most likely to have repeat deficiencies and not address the problems. 9 10 MARIA ALVAREZ: And can I just say something 11 about nursing homes? Up until recently, there were public --12 13 New York State had public- and county-run nursing 14 homes, things like that. They've all been sold off -- not all. 15 3 percent are still public. 16 But they've been sold off to profit-making 17 18 organizations that -- whose interest is really the 19 bottom line. But a lot of these things were done without 20 21 public input. 22 And that -- if I said anything here today, 23 it's that I said a lot, but we need more public 24 input into any decisions that are made at these 25 levels.

SENATOR MAY: Okay. Well, thank you. 1 2 Thank you-all for your advocacy and your good 3 work, and for your testimony today. SENATOR MAY: Our next panel, we have 4 Marcella Goheen and Agnes McCray. 5 And, unfortunately, Ian Magerkurth had to 6 7 leave. 8 So it's a Central New York theme. Agnes McCray. She has a 4:00 train to catch. 9 10 Right? Ian Magerkurth, who was on our list from the 11 Alzheimer's Association, was unable to stay. 12 13 AGNES McRAE: Good afternoon, everyone. SENATOR MAY: Good afternoon. 14 15 AGNES McRAE: My name is Agnes McCray, and I am a human rights advocate, and I live in 16 Syracuse, New York. 17 For the past 27 years now, I have been a part 18 of the -- excuse me -- I have been a part of the 19 20 consumer personal care assistance program. 21 Now, I must tell you that, before that, as I was transitioning, I was told that, because the 22 23 aide service that I currently had was going out of 24 business, so I actually paid out of pocket to 25 maintain my services, because I remember the nurse

saying to me, We just -- we don't have those 1 2 services that can support you. 3 So she left, and I was on my own for 11 months. 4 5 I had to pay out from my SSI to get some 6 services. 7 Now, why? 8 Why did they tell me that I wasn't -- that they could not fulfill my needs? 9 Because, see, I don't consider myself as a 10 person with a disability. I call it "extraordinary 11 differences." 12 13 So, from the time I was a child, and old enough to dream, I always wanted to just live my 14 15 life the way I wanted to. 16 I didn't know how it was going to be 17 accomplished, but I always knew I wanted to be --18 have children and [indiscernible]. So because I had children, without even 19 asking or finding out, they decided that it was --20 21 that there's no way that I could have any type of 22 services. 23 So I ended up paying out of my pocket. 24 And then the personal care assistance program 25 came along. I got the phone call from their

founder, who brought it to Syracuse, Sally Johnson, 1 2 she said, "There is a program for you." 3 And I was hesitant. I said, Okay, I will try it. 4 Here I am, 27 years later. My children are 5 grown now. But -- and I have always kept my aide 6 7 services. I always had a roster of persons that 8 I hire to take care of not only my personal needs, 9 but to help me to become a very successful, darn 10 good advocate. And I must say that they have always put 11 their -- my needs ahead of their families'. 12 13 If you look at my testimony, I'm talking about one specific attendant that I had for 14 14 years. 15 And after 14 years, because her daughter 16 was -- she came before her daughter was even in 17 kindergarten. And after 14 years, she had to choose 18 between her daughter's needs, because she was a 19 20 single parent, and taking care of me. 21 I am lucky because, my youngest son, who you 22

see right behind me, has put his college career on hold for a minute, until I am able to find staff.

And it's very hard to find staff.

23

24

25

We had an ADA celebration yesterday, and

celebrated 31 years. And we were all -- the advocates were all talking about going to different places so that your staff can make more money.

It's not only about Fair Pay for Home Care, it's about my health as well.

I have not seen a hospital in the past 11-1/2 years. I'm very proactive in taking care of myself.

But I know for being an advocate, that it's -- like I said, it's not only about the Fair Pay for Home Care, it's across the board.

It's having the attendants know to take care of those elderly patients who live on their own.

The agencies are strapped. They're not showing up because you can make more money elsewhere.

I think that we, in light of the Disability Awareness Act and other promise which gives us the right to freedom, and the right to choice and the right to choose.

We really need to look at this and choose help now.

I know of another person that has, because he was on his own for four years, independently living out of [indiscernible] nursing home. And living in

[indiscernible] since the pandemic, he went into a 1 2 nursing home three times, because, all of a sudden, 3 his home, and what he knew, was deemed unsafe. 4 SENATOR MAY: Okay. Agnes, I'm sorry, can 5 you wrap up? We are -- your testimony, and then we'll have 6 7 questions for you. 8 AGNES McRAE: And I just wanted to say that, also, we need to put more options on the table, 9 10 because health is -- health is really about wealth. And we know with COVID now, and everything 11 that is happening, I do not want to put myself at 12 13 risk, and I don't want to have to choose between whether I'm going to be paying rent with my SSI 14 15 check or paying for someone to come in and take care of me. 16 17 Will I, all of a sudden, be deemed unfit, after 27 years of independently making that choice 18 19 on my own? 20 Thank you very much. 21 SENATOR MAY: Thank you. And, Marcella Goheen. 22 23 MARCELLA GOHEEN: Hi. Good afternoon. 24 Thank you so much for the opportunity to 25 testify.

Thank you, Senator May, for your service;
Senator Rivera, Senator Ramos.

My name is Marcella Goheen, and I'm a caregiver advocate, and the wife of Robert Victor Viteri who lives in a resident -- I knew I would cry -- in a long-term-care facility.

I am founder of EssentialCareVisitor.com, a digital on-line advocacy tool that educates, advocates, collaborates with private and public partners, to collaborate with family consumers to serve their vulnerable loved ones in a long-term-care facility.

I founded EssentialCareVisitor.com on

March 12, 2020, at 1 p.m. in the afternoon, when

I was told by our administrator and senior staff

that I would no longer be able to enter the nursing

home to continue my collaborative care with my

husband, who I had been serving for the past

four years up to that point.

I was a daily caregiver, five to seven hours a day, as he suffers from a rare neurodegenerative process that has no name.

It would be nine months later until I saw him again, after I had to file an unprecedented

Supreme Court lawsuit to sue the facility to abide

by the federal law, which was his federal disability to receive his caregiver and his person, as he is nonverbal.

I currently am a daily caregiver to my husband, serving him in collaboration with the nursing home staff daily.

I testify today on the shoulders of so many vulnerable loved ones in heaven who we lost --

Viceraci [ph.]. Mr. Birch [ph.].

-- so many on my husband's floor --

He was one of the only survivors.

-- and the many residents still alive who are needing to receive the full quality care in a post-pandemic setting that is their federal resident right, according to the Omnibus Act of 1987.

I also stand in unity with the staff, the aides, the nurses statewide today, who continue courageously to serve our vulnerable residents without care tools and appropriate compensation to do so.

They are serving, as you and I sit here today, from their gut, while they work within a health-care system that is collapsing around them.

And these workers still choose to come to work daily to help my husband, and the thousands of others like

him. 1 2 To them I say, Thank you. 3 I'm also standing on the shoulders of my 92-year-old mother who was part of the 4 1987 Omnibus Act. She ran nursing homes from 5 1986 to 2006. 6 7 She told me, when I was 11 years old, when 8 she walked me through her nursing homes, "You see these people? Some of them have families, some of 9 them don't. Most of them don't. It's our job to 10 take care of them." 11 We as family members are witnessing 12 13 unspeakable staffing issues statewide. 14 Put simply, the problem is, there is no staff. 15 16 Staff have been laid off in union-busting ploys; however, vulnerable populations are rising. 17 Staff are leaving through their own choice. 18 Staff are traumatized. 19 Staff are collecting unemployment. 20 Staff are exhausted. 21 And more concerning, there is no staff to 22 23 recruit. 24 But according to the personal caregiver bill, 25 which, in June 24th, suddenly, we're not in a

pandemic, we're not allowed to enter because we're no longer in a pandemic.

So facilities are practicing various versions of the caregiver bill.

There is a catastrophe happening.

The accelerated human decline and unnecessary loss within long-term care setting is a tragic disaster.

We are being told by staff, statewide:

It is worse than the pandemic.

Maybe the same, says another.

If there's no staff to get residents out of bed, give them their medication, take them outside, turn them, feed them, bathe them. We are breaking our oath as a society and commitment to our vulnerable. We are harming them.

I introduced today a family consumer advocacy project that was dreamed up by

EssentialCareVisitor.com. It is also thought up by a couple of nurses in the facility I am in, as well as the caregiving staff that I have had the privilege to collaborate with over the past eight months, as I was able to enter my facility through my lawsuit.

This plan is a hopeful solution containing

interdisciplinary emergency response. And it is an 1 2 emergency. 3 I have 49 seconds, and I'm not done. 4 I'm so sorry. 5 SENATOR MAY: We have questions for you. MARCELLA GOHEEN: It's called 6 7 "multigenerational recruiting process," that will 8 serve our loved ones who are now dying, not because of the staff and nurses do not care, but because 9 there is no staff to care. 10 11 Our essential campaign is called "Raise Up Care." 12 13 Raise Up Care will work to break the 14 collective trauma response that every seat at the 15 table is enduring. 16 This campaign is not a "should" or a "may" or 17 a "could"; it is a "must" if we are going to save 18 lives. 19 The "R" in Raise Up Care stands for, we must refrain, restore, and renew long-term-care 20 facilities. We must retrain and reeducate all the 21 22 staff and all the stakeholders. 23 We need to ask ourselves, What does it mean 24 to care, as a human, for a vulnerable human? 25 It is not something that we like to talk

about as a society, yet it is the one thing that we all have in common: We are all going to get sick, and we are all going to leave this earth.

The residents are us.

"A" in the Raise Up to Care, is we must acknowledge, affirm, and address the trauma in the long-term facilities.

The angel care staff who are working tirelessly as we sit here today continue to fight to restructure nursing home reform.

We cannot lose these senior staff.

They are more than our heros; they are the best of ourselves.

But not only do they need their higher salary reform and aid-to-resident ratio adjustments, they need mental-health services, as well as trauma-informed care settings that address their own trauma, having survived something so complex with our family members, as well as witnessing the trauma of our vulnerable residents.

I'm sorry.

And the "I" is incentivize, invest, investigate, care systems for all stakeholders.

The "S" is to provide the sustainable, quality care models.

And then the "E" is for empowering, engaging, 1 2 and educate. 3 [Simultaneous talking.] SENATOR MAY: I'm going to cut you off there. 4 5 But, thank you. Thank you, both. 6 7 And I did give you both a little extra time, 8 because this is why we're here; to hear your stories, and not just talking points from government 9 10 functionaries, but from real people who are -- have real stories to tell. 11 And, Agnes, I see you in our community 12 13 multiple times a week. 14 You truly are an amazing advocate for people with extravagant differences, and all of us, 15 honestly. 16 17 And your son, whose name I should know, and I don't, but who does wonderful work as well. 18 I honor both of you. 19 And I don't really have a question. 20 21 I get to talk to you-all the time. 22 But I do thank you for lifting up the Fair 23 Pay for Home Care, and the other ways that we are 24 trying to invest in care in this state, because it 25 is, obviously, so important to allow people to be

independent as long as they possibly can and want to.

So your independence is a beacon for so many people, about what our programs should be doing, what home care should be about.

And so thank you for coming all this way to help us understand that.

And, Marcella, also, we've worked together a lot. And the work you've done on visitation in nursing homes.

I wanted to ask you, as somebody who's been in the facilities on such a regular basis:

One of the reasons I was so determined to advocate for helping people get in to visit their loved ones is a belief of mine, that when you don't have family members coming in, that it allows some of the facilities to kind of conceal what is really going on; or, at least family members were concerned that, because they weren't able to get in. It wasn't just that they couldn't visit their loved ones, but they couldn't see what the conditions were inside the nursing homes.

And I'm wondering if you -- to what extent you feel the visitors are kind of a complimentary to the staff, and necessary to the staff, in the sense

of providing the whole continuum of care that people 1 2 need in the nursing homes? 3 MARCELLA GOHEEN: Well, at this stage in the game, we're essential at this point. 4 5 My experience is showing me that, when I first got in in December, it was all neurocare for 6 7 my husband. But now it's eight months later, and 8 I'm doing 70 percent regular care that is not his neurocare, and 30 percent neurocare. 9 10 So that's a very micro model of my experience 11 as a consumer. But, at this point, you need the families in 12 13 there because there is no staff. There is 1 nurse to 45 patients. 14 I'm getting calls where there's -- as you've 15 heard before today, where there's no staff. 16 17 Nurses are working two floors. There are 2 aides for a floor of 30. 18 How do you feed, clothe, bathe, get them out 19 of bed? 20 21 Forget getting them out of bed. They're not 22 getting out of bed, so now you have people in bed 23 all day. 24 So it's an accelerated decline: Bed sores. 25 Escalating potential for blood clots.

1 It's a disaster.

When I use the word "disaster," I thought it might be too strong to use for the Senate. But I think it's actually accurate.

So for a facility to not let family members in at this point, it's, actually, you're asking us to hurt our vulnerable yet once again.

So you're not letting us in because you're -you're letting us in an hour at a time. Our loved
one needs more than an hour at a time.

So if that answers your question.

It's a beautiful collaboration; the model works.

The personal caregiver bill is spot-on.

And I hope -- I wish the facilities would acknowledge it, and not continue to take what parts of it fit conservatively to not letting us in, but to letting us in.

I'm in a unique position where I was able to advocate for my husband.

And this is my husband in December when I finally got in, who was very happy to see me, who is nonverbal.

SENATOR MAY: Well, thank you for bringing him here.

```
MARCELLA GOHEEN: But he's able to -- that's
 1
 2
        why I brought him, Robert Viteri. And he's actually
 3
        starting to talk a little bit again, saying, yes,
 4
             And we're getting him up in his standard.
 5
               So, yeah.
               SENATOR MAY: That is wonderful to hear.
 6
 7
               SENATOR RAMOS: He's so lucky to have you.
 8
               SENATOR MAY: Yeah, he is, lucky to have you.
 9
               And please give him our best, too.
10
               Okay. Anyone else have anything to say?
               No? We're good?
11
12
               Okay.
13
               Well, thank you both for coming, and for your
        very powerful testimony.
14
15
               Have a safe trip home, Agnes.
16
               All right. Our next panel is...
17
               All right. So that was the conclusion of our
18
        nursing and assisted-living section, although, as
19
        everyone can see, they run together, and it's not
20
        that easy to separate them out.
21
               But we are up to the "home care" thing.
22
               I think we're going to go ahead without a
23
        break, but we'll take a break in -- at some
24
        likely -- or, in the afternoon.
25
               SENATOR RAMOS: We have to stretch.
```

Occupational hazards of our own. 1 2 We've got to stretch. 3 SENATOR MAY: You want to take a stretch now? No, let's keep going. 4 5 But I'm going to have to get up and leave for 6 a few minutes at some point. 7 So this is Panel 1 of the "home care" 8 section. And we have Rona Shapiro, and 9 Lilieth Clacken, Jason Brooks, and Martha Davila. 10 Let's start with Rona. 11 MARTHA DAVILA: Okay. Good afternoon. 12 13 And thank you, Senator May; thank you Senator Ramos; thank you my friend Gustavo Rivera, 14 for being fighters for social justice, and to make 15 16 the lives of home care workers and the people they 17 serve better. And, of course, I have to give my love to my 18 senator, Shelly Mayer, who is from Yonkers. 19 So I'm executive vice president with 1199. 20 I lead the union's home care division, 21 22 representing over 60,000 home care workers across 23 the state. 24 And I appreciate the fact that you have given 25 one of the first hearings on home care workers in

1 the Senate.

I would also --

I'm joined today by three workers,
Lilieth Clacken, who is 1199 SEIU rank-and-file
leader; along with Jason B. Brooks; and
Martha Davila, who are home care workers, who will
share with you their experience as home care
workers.

I think that there's no stronger testimony than you just heard from Agnes McCray, of why we have to transform the home care system in New York State.

And I think this is a task that is way overdue, and that, together, we can do it to make it a better system.

The challenge that we face is how we make home care a more attractive profession, so workers will come back to the job, and new ones will take up the job of a home care worker.

We can do this, but it will take resources and a commitment to elevating home care workers to a valued part of the larger health-care delivery system.

If we are serious about preparing for the increased demand for home care, we cannot just

assume workers will be available to fill vacancies.

We must transform these jobs, or we will not meet the demand, and we will continue to lose workers to better-paying jobs, or even lower-paying jobs with consistent full hours.

We can no longer just talk about this.

We have to actually make the changes in New York State that can change the job of the home care worker.

What we're doing in 1199 -- and I've been doing this a long time. And working with home care workers has been the honor of my life to do that.

And while we fought many years for pennies,

"for pennies," we finally did get to a \$15 minimum

wage with wage parity. And that was three years

ago, and the workers have gotten no raise since that

time.

In 1199, we're fighting like hell to get billions of dollars -- federal dollars into home care workers and home care services around this country.

We just had a rally in New York City, with Hakeem Jeffries, pushing for the Better Care Better Jobs Act.

We have our own home care training fund,

1199 training fund; the best in the country.

We are using the monies that we get.

There's not enough money, to upscale the job, to upscale the workers.

We're creating pilots.

We're working with managed-care companies, like Healthfirst, who actually is investing in pilots with one of our 1199 agencies, Premier, who is in the room, to figure out how we create new and different jobs and tasks for the home care worker that produce better health-care outcomes.

In the Healthfirst model, the workers will be paid more, they'll have a Chrome tablet, and they will be able to, in real time, talk about what is going on to the client, so we can intervene in any emergencies.

The home care workers are the eyes and the ears, and the lifesavers, of many of their clients.

And we do not take advantage of the skill, the language, the culture [indiscernible] that they have with these clients, and the time. They spend more time than most families do with the client.

And, unfortunately, they're still invisible.

We're making sure that the \$2 billion slated for home care services in New York State gets

dedicated to hazard pay and other initiatives, for 1 2 transportation, pilots for guaranteed hours. 3 We're working with the training fund and our agencies to figure out, how do we recruit new 4 5 workers? Many of the agencies that we work with do not 6 7 have enough money because they actually follow 8 wage-parity laws to hire expensive people to do advertising for recruitment of workers. 9 10 The training fund, we're talking about building a hiring hall so that we can both help the 11 workers and the consumers get clients. 12 13 What do we need to do together? We need to make family-sustaining wages. 14 We need to pass the Senator May and 15 Gottfried's Fair Pay for Home Care workers, calling 16 17 for wages of \$22.50 plus wage parity. 18 We need quaranteed hours of work so home care 19 workers can maintain a steady income. And we need pay differentials for personal care assistants 20 21 working with high-need consumers. 22 SENATOR MAY: Okay. I have to cut you off. 23 Sorry, Rona. 24 RONA SHAPIRO: Okay. Thank you. 25 So I would like to introduce my beloved

sister Lilieth.

LILIETH CLACKEN: Good afternoon to my elected -- or, elected leaders, and to all the visitors here today.

My name is Lilieth Clacken, and I am a home health aide worker, a very proud 1199 member, and a delegate. And I work for two major agencies in New York.

My mission today is on behalf of thousands of home health aides who are advocating, and we need better pay, compensation for essential tasks we perform, along with other health-care workers, before the COVID-19 pandemic, during the pandemic, and, hopefully, long after the pandemic is gone.

I would like to take a few moments to detail for you my job description which goes beyond and above these tasks mentioned.

The patient in my care presently is a stroke victim who has been rendered immobile on one side.

This unfortunate health challenge has significantly reduced her capacity of taking care of her personal needs, which also diminishes her sense of dignity and independence.

I endeavor to restore some of her dignity by practicing the training given to me by my employers

during initial training and ongoing in services,
which enable me to maintain certification in
caregiving to a level of professionalism.

I assist her out of bed.

I assist her with toileting, bathing, and more importantly, safely transporting her around the house.

I make her meals.

I accompany her to doctors' visits when needed.

Supervise her taking her medication, and simply being beside her, to take her to the bathroom whenever she needs to.

I'm a passionate caregiver who believes in keeping my patient comfortable, clean, and as happy as is possible under the circumstances of her not being capable anymore of taking care of herself.

My patient cannot be left alone.

The importance of me being there is undoubtedly the key to her living a dignified life.

Her family have an important peace of mind, knowing their loved one is in the hands of a capable, trained home care worker which puts no monetary value.

The companionship I provide is invaluable.

And so I urge you to provide us home care workers with a better salary so that we can, in turn, take care of our own families in a very acceptable, responsible way.

During the pandemic, I refused to stay home, knowing my patient need me, and I wanted to be able to provide for my own family.

While we appreciate the applause and the lip service of thanks, we demand hazard pay from the 1.6 billion slated for New York on the American Rescue Plan of 2021.

We worked in our patients' home during this fearful period, and so we're expecting compensation.

We demand better pay, as we should be able to take care of our own families while providing care for other families.

And this is the heart of most issues facing home health aides.

The work is undervalued and underpaid, and it creates hardship for the aides who stick with the work, and makes it harder to find new workers.

We have a rapid aging society, and so this should be taken into account as we meet the needs of our most vulnerable seniors who have served this country, and should be given the right to stay in

the comfort of their homes while we professionally 1 2 take care of them. 3 I now take a moment to thank my greatest union, 1199, because they have provided. When our 4 5 agency could not provide the PPE, they were assisted to us. And I thank them. 6 7 I'm a great, proud union member. We need the federal Better Care Better Jobs 8 Act passed so our home care workers can continue to 9 10 provide this crucial service, and attract younger 11 workers in this field. Thank you so very much for allowing me to 12 13 address you, and I anxiously await your response to 14 our cries. 15 Thank you. 16 SENATOR MAY: Thank you. 17 Jason, as we move on. JASON B. BROOKS: Good afternoon, everyone. 18 I'm a little nervous. 19 I look up to all y'all, I'm just being 20 21 honest. 22 Every single person on this panel, thank you, 23 for one. 24 Good afternoon. 25 My name is Jason Brooks/Jason B. Brooks.

a home health-care worker from Rochester, New York.

I appreciate the opportunity to share my experience as a PCA with you.

I started doing care work eight years ago when a friend's mother got cancer and needed a tracheotomy tube. My friend told me I would be perfect to care for his mom, due to the reason that, my patience and my humbleness.

Everything went right. I cared for her for two years.

After this experience, I decided to see if
I could keep going in home care and make a living
doing this work. Actually, more of a career is what
I wanted it.

I have to be honest with you, it was right; it was the right decision, but it's been a struggle for six years for me.

I've worked for a lot of agencies, and all of them, non-union. And each time, you have to fight for more hours, stable hours, better pay, or even just getting paid for the work that you do at hand.

I've never had health insurance through my job in all these years. Really, it's just hard to get.

I'd like to work with just one agency, but

you really can't. We are constantly looking for
that extra quarter or those extra hours we need to
get by.

I'm usually working for more than one agency
at a time, and doing per diem work where I can find
it.

I can't even imagine what it's like to work 40 hours and be able to support yourself.

And if the client you are caring for goes into the hospital or dies, your assignment is over.

This happens regularly.

Then you are out of a job until the agency finds another client, and this can take a couple weeks, a few weeks, maybe even a month, particularly for men, because most people are more comfortable having a woman as their home care aide.

This really is one of the hardest parts of my job. You spend months, or even years, taking care of somebody in the day out, and they pass, and you're out of work.

No thanks for all you do.

Keep on pay -- well, you don't keep on the payroll until we find another client.

Instead, you just wonder how long you're going without a paycheck.

The other frustrating part of this is, the 1 2 work is always having to fight the agencies to get 3 all the pay that you have worked, that you have 4 earned. 5 I'm regularly cheated out of hours just 6 recently. 7 I've worked, and I've always -- you know, 8 I asked for a raise, or need a raise. And the 9 agencies, they ignore it. They ignore it. 10 Sorry, I'm getting a little emotional. 11 Sorry. SENATOR MAY: That's all right. 12 13 JASON B. BROOKS: I'll make it quick. So, again, did I make the right decision 14 15 six years ago? 16 I have to say yes, but... 17 Yes, but we can't go on like we have been. We can't be forced to always search for more 18 19 hours to get a paycheck big enough to take care of 20 our needs. 21 We can't be forced to work for agencies that 22 provide no health benefits. 23 We shouldn't have to look at our paycheck 24 every two weeks to see if the agency is paying us 25 correctly.

We're human beings taking care of 1 2 human beings. We need to take care of ourselves in order to 3 take care of others. 4 5 And that's so big on me right now. I'm exhausted now from working three shifts, 6 7 12 hours, back-to-back, so... 8 So we need the legislators; we need you, we really do. We really do. 9 10 Me, myself, I can say that I'm crying out for 11 you guys' attention, and your help, because we need you to make sure that the money that we get in our 12 13 agency goes to the PCAs, and make sure that the agencies pay a living wage; make them provide health 14 care; we need guaranteed hours; we need support 15 agencies that employ unionized workers. 16 17 Otherwise, each year will be a struggle for PCAs, and each year, more and more will decide they 18 can't take it another year. 19 20 So I thank you. 21 I thank every last one of you on the panel, 22 and everyone in their rightful place. 23 Thank you for listening. 24 SENATOR MAY: Thank you. 25 And, Martha.

```
MARTHA DAVILA:
                                (Witness speaking a foreign
 1
 2
        language.)
 3
               (Translated to English by an interpreter, as
 4
        follows:)
 5
               Good afternoon. My name is Martha Davila.
        I work for Preferred Home Care.
 6
 7
               I'm having working there for around
 8
        four years.
               And thank you for giving me the opportunity
 9
10
        to be talking today.
11
               And, right now, I am an employee, and
        recovering from COVID-19.
12
               MARTHA DAVILA: (Witness speaking a foreign
13
14
        language.)
15
               (Translated to English by an interpreter, as
16
        follows:)
17
               Back in January, my agency sent me to do a
18
        replacement, and to take care of an elderly person.
               When I arrived, I saw two people instead of
19
20
        one, and they were sick.
21
               Two days later I started getting a headache
22
        and a little fever, I was dizzy.
23
               I went to see my doctor to do the test.
24
               It was positive with COVID-19.
25
               Also, I heard that the other two home care
```

```
workers who were also taking care of these patients
 1
        was positive, too, with COVID.
 2
 3
               Now, after more than six months later on,
        I still feel dizzy, afraid, and with fatigue.
 4
 5
               MARTHA DAVILA: (Witness speaking a foreign
 6
        language.)
 7
               (Translated to English by an interpreter, as
 8
        follows:)
               The agency that I work for never provide us
 9
10
        with the PPE equipment. We had to buy our own
11
        masks, gloves, even gowns.
               Before I got sick, the agency stopped also
12
13
        provide us the health insurance.
14
               Most of the time my paycheck was not correct.
        It always missing days and hours.
15
16
               I always had to push to get my full hours
17
        paid, and this happened not only with me. It also
        happened with the other aides.
18
19
               MARTHA DAVILA: (Witness speaking a foreign
20
        language.)
21
               (Translated to English by an interpreter, as
22
        follows:)
23
               Okay. Right now I am getting ready to go
        back to work, but I am still terrified of getting
24
25
        sick again. I feel so nervous.
```

But I feel the agency who we work for need to do better job of taking care of the workers and the clients.

The State should clamp down on the agency that don't treat workers fairly, and put the health of us aides and the clients at risk.

I feel afraid, but just tell the government to do something for us because, some agencies, they didn't take care of us like they are supposed to be.

Thank you for your help.

SENATOR MAY: Thank you.

Thank you-all for your testimony.

I just have a couple of quick questions.

One of them was for Rona.

You talked about how agencies had a trade-off between investing in recruitment and paying the workers.

Was that you who brought that up?

So, like, either they were going to spend money on advertising, and that sort of thing, or they were going to put it to worker pay.

So do you have a sense of what it -- what the costs are of recruitment and advertising and -- just so that we can figure out what we need to add into what -- to reimbursements for these agencies?

RONA SHAPIRO: Well, thanks for the question.

My point was that, you know, the horror stories that you hear from home care workers, and that you've heard today, you know, unfortunately, we continue to throw Medicaid dollars at them, and we -- I don't feel provide the proper oversight.

And I'm hoping that when new monies come in, that we are very protective of that Medicaid dollars, because, as Senator Rivera said, the budgets get cut, and the agencies that continue putting money in their own pockets and not in the workers' pockets, such as who Martha works for, they continue to get money from the managed-care companies.

So my point was that, our 1199 agencies,

I know how much money they spend because they have
to spend 1909. They signed a collective bargaining
agreement. There's not a lot of fat.

As a matter of fact, the agencies that do the right thing are suffering to be able to pay the workers' health care, pay the benefits, and pay the money.

There's no excess money to, like, if they wanted to advertise for recruitment, if they wanted to, you know, do like some of the for-profit bad

actors do, then they would not have that money. 1 2 But the training fund, we're going to --3 we've already done a pilot, with an online thing, trying to recruit new workers. 4 5 The problem is, there's not enough money being offered to workers. That is just the fact. 6 7 We can talk whatever we want. But 8 [simultaneous talking; indiscernible] --SENATOR MAY: Let me follow up on that, 9 10 because we tried -- the Senate Majority tried to get 11 \$624 million into the state budget this year, to supplement the wages of home care workers. 12 13 And what we were told was, that there was no way to guarantee that that money would actually go 14 to the workers. 15 16 We could pay it, but it would go to the agencies, and they would do with it what they 17 18 wanted. 19 So I'm wondering, what's the solution to 20 that? 21 How do we earmark the money specifically so 22 that it goes to the workers? 23 RONA SHAPIRO: Should I say it should go to 24 1199 agencies? 25 That's probably not what some of the other

folks in the room want to hear.

But there has to be ways of oversight.

You know, you can just -- you know, and we've talked to various people in the state.

There has to be oversight.

So when you give -- when the managed-care companies give money to an agency, they have to prove that this money is going into workers' pockets.

Now, they will sign attestations, and just lie and say yes. And if nobody, you know, checks it out.

But I think we all have more responsibility, the managed-care companies, the state legislature, to make sure that there is oversight, and there's qualifications for this money.

There is a key vac [ph.] program, where some of the agencies got extra money, because they had health insurance, because they had training programs, because they proved to be quality agencies.

I think agencies who show that they're investing in workers, I think managed-care plans who show that they're willing to invest in the workforce, should get dollars to do that.

If you hear of plans who are not doing 1 2 anything, we should, you know, question it. 3 I don't even know why we need so many managed-care plans in New York State. 4 5 Everybody is taking a piece of the little money that is given for home care from the home care 6 7 worker. 8 Everyone gets their piece except the home care worker. 9 10 And that's why we're here today. And I couldn't think of a better group of 11 senators to talk to help us win this fight. 12 13 I'll give you-all an 1199 hat if you'll help 14 us. [Laughter.] 15 SENATOR MAY: Yeah, I got to say, Jason, 16 17 there's a union that wants you, I'm sure. 18 I was just going to say, if when I get the 19 point of needing home care, I hope it's the Lilieths and Jasons and Marthas who are there to take care of 20 21 me, because you-all are very impressive, and what 22 you have to say is really powerful. 23 Is there anybody else --24 SENATOR RAMOS: Yes. 25 SENATOR MAY: Oh, all right.

1 Senator Ramos. 2 SENATOR RAMOS: Hello. Good afternoon. 3 You know, it's really overwhelming for me, and I think everyone, to see the inevitable human 4 5 and emotional connection that there is between the home attendant, or nurse, and your patient. 6 7 And I really admire the work that you do. 8 And I can only hope that we can figure out how to make sure that we are honoring your vocation 9 10 monetarily; that you're properly compensated, that you're afforded, you know, the ability to live the 11 life that you deserve. 12 13 And so I wanted to understand a little bit that was mentioned, I think it was you, Jason, 14 about, upon a patient passing, I imagine there's a 15 lull in between a new assignment to another. 16 17 How does that work? If you're -- if you're -- if the person who 18 you're caring for passes away, do -- is that when 19 20 you stop being paid? 21 Do you not get paid until you get a new patient to care for? 22 23 How does that work? 24 JASON B. BROOKS: Basically what happens is,

if a patient goes into the hospital or if they

decease, everything stops. 1 2 Your pay stops. 3 You don't get another client until, speaking for myself, it's usually hard for me due to the fact 4 5 that I'm a male. So have I to do male-on-male clients. 6 7 So it's a little harder. 8 So a few have passed away from me. And it 9 took like three weeks, maybe a month, to find 10 something else. In the meantime, I had to jump-start myself 11 12 to another agency to keep living. 13 SENATOR RAMOS: So you rely on yourself to be able to either plan ahead, if you can, because, 14 unfortunately, these things also happen 15 unexpectedly, I assume. 16 17 JASON B. BROOKS: Yes. SENATOR RAMOS: So if you don't have a plan, 18 or if you weren't expecting the person to pass away 19 20 at that time, what do you do? 21 JASON B. BROOKS: There's really nothing too 22 much to do. 23 You're working for the agency. So, at that

time, like I said, if they do go into the hospital

or they do decease, it's up to the agency to really

24

replace you. And they really don't replace you as they should, so you're just in limbo, honestly.

SENATOR RAMOS: That's some very difficult uncertainty, and it seems like that's the theme.

There's a lot of uncertainty, actually, with this work, which is really ironic, because you yourself are a health benefit to another human being.

You are a health benefit.

That's incredible.

You know, something -- a piece of legislation that I tried to get passed, and I was not successful in the last session, was the Corona Presumption bill.

And I guess this mostly affects you, Martha, you know, the ability for a worker to be able to qualify for workers' comp, if and when you get hurt on the job, which, of course, you know, getting infected with coronavirus, or anything else, for that matter, while on the job in the course of your work should be covered by workers' comp, in my opinion.

But that's not something that we were able to obtain.

25 ///

```
SENATOR RAMOS:
                               (Speaking in foreign language
 1
 2
        to Martha Davila.)
 3
               I just said that I wanted to apologize to
        her, because I still believe it was the right thing
 4
 5
        to do, for her to be able to, you know, obtain lost
        wages, and be able to provide for herself and for
 6
 7
        her family, because she got hurt while she was on
 8
        the job.
               I want to hear more about the wage theft.
 9
               You know, I carry the sweat bill. I carry --
10
        we just passed a construction wage-theft bill.
11
               But you're actually the first to mention it
12
13
        here at this hearing today.
               SENATOR RAMOS: (Speaking in foreign language
14
        to Martha Davila.)
15
16
               I want to know how prevalent the wage-theft
        practice is in this industry.
17
               You're the first to mention it here among all
18
        of our panels.
19
               MARTHA DAVILA: (Witness speaking a foreign
20
21
        language.)
22
               SENATOR RAMOS: (Speaking in foreign language
23
        to Martha Davila.)
24
               MARTHA DAVILA: (Witness speaking a foreign
25
        language.)
```

```
SENATOR RAMOS:
                               (Speaking in foreign language
 1
 2
        to Martha Davila.)
 3
               MARTHA DAVILA: (Witness speaking a foreign
        language.)
 4
 5
               SENATOR RAMOS:
                               That's not chump change.
               $3,000 is not chump change.
 6
 7
               She said that she -- that she -- do you want
        to translate for her?
 8
 9
               THE INTERPRETER: No. You can do it.
10
               SENATOR RAMOS: That she -- you know, really,
11
        she went to her union in order to find recourse for
        filing a claim with the Department of Labor.
12
13
               But, you know, by and large, she has felt
14
        ignored by the DOL. And it's not really been easy,
15
        or it's been practically impossible, in order for
16
        her to recover her lost wages. And that over the
17
        course of about three years, she lost $3,000 in
18
        overtime pay.
19
               MARTHA DAVILA: (Witness speaking a foreign
20
        language.)
21
               SENATOR RAMOS: (Speaking in foreign language
22
        to Martha Davila.)
23
               MARTHA DAVILA: (Witness speaking a foreign
24
        language.)
25
        ///
```

```
1
               SENATOR RAMOS:
                                (Speaking in foreign language
        to Martha Davila.)
 2
               MARTHA DAVILA: (Witness speaking a foreign
 3
 4
        language.)
 5
               SENATOR RAMOS: Preferred Home Care?
               MARTHA DAVILA: (Witness speaking a foreign
 6
 7
        language.)
               SENATOR RAMOS: So I'm learning that there
 8
 9
        are now several wage-theft claims made against this
        employer called Preferred Home Care.
10
11
               RONA SHAPIRO: Non-1199, Senator Ramos.
                  [Laughter.]
12
13
               SENATOR RAMOS: Not surprised.
14
               Not surprised, Rona.
               Not surprised.
15
16
               Okay.
17
               (Speaking in foreign language to
18
        Martha Davila.)
19
               MARTHA DAVILA: (Witness speaking a foreign
        language.)
20
21
               SENATOR RAMOS:
                               Okay.
22
               So she's saying that there's also malpractice
23
        with the patients, not just the employees.
24
               And I think that that's a really important
25
        point for everyone to understand.
```

```
And just to translate what I said earlier:
 1
 2
               I just want to thank you for being here.
 3
               Your voice is really important. So much of
        this work is done by Latinas, especially
 4
 5
        [indiscernible] women like you and me. And you're
        the only one who's testifying here today amongst
 6
 7
        everyone.
 8
               So thank you for taking the time to do so.
               SENATOR RIVERA:
 9
                                Thank you.
               And I will also underline that she made clear
10
11
        that, because of the way that they were treating,
        not only the workers, but the patients, she felt it
12
13
        was necessary to speak up.
14
               And she stepped up in that regard.
               (Speaking in foreign language to
15
        Martha Davila.)
16
17
               Next we'll have Senator Rachel May.
               SENATOR RAMOS:
                               Shelly.
18
               SENATOR RIVERA: Huh?
19
20
               SENATOR RAMOS:
                               Shelly Mayer?
21
               SENATOR RAMOS:
                               I'm sorry.
22
               Shelly Mayer, because Rachel May is not here.
23
                  [Laughter.]
24
               SENATOR RAMOS:
                               This was a test, and you
25
        passed it.
```

SENATOR MAYER: Thank you, Chair.

First, I want to thank all of you, the actual providers of care, because you truly, particularly during COVID-19, as you described, you know, you really took extraordinary risk, personal risk, and had consequences.

And we -- I know I speak for all my colleagues, we take it very seriously, and know that you are owed more than you got; and that's our job to fight.

But I have a question for you, Rona.

The agencies that, basically, failed to do the right thing, that still get state Medicaid dollars, either through managed-care plans or in some other direct route, when 1199, or anyone, complains to the Department of Health about their conduct, is there a response?

RONA SHAPIRO: Justice moves slowly and in strange ways in the New York State Department of Health.

So we have reported several agencies, and we have fought with several agencies.

And, unfortunately, they are still in

business, and collecting dollars. And when we have proof, we talk to the managed-care companies.

You know, we have a lot of stories, to Senator Ramos's point, like Martha, because when we organize non-union agencies, we hear these stories.

And the City of -- the Consumer Affairs and the City has taken on some of the agencies for not paying sick time, or doing that.

But there has not -- they're still receiving Medicaid dollars.

SENATOR MAYER: So there's work for to us do in pushing state -- the State, and I don't mean the legislature, I mean the Department of Health and our colleagues in government, to ensure that when state dollars are going out to these agencies, it comes with the responsibility of doing the right thing; not to cheat the workers, not to put their patients at risk.

And so we have to work together to push that further along than it's gone so far.

But thank you-all.

RONA SHAPIRO: And thank you.

SENATOR RIVERA: We have a last quick aside from Senator Ramos.

Go ahead.

I totally have more 1 SENATOR RAMOS: 2 questions. 3 Sorry. I wanted to ask you, Rona, about organizing, 4 5 you know, because we touched upon that a little bit 6 with your colleagues over on the other side of the 7 industry. 8 How does it work with a much more isolated -you know, with isolated workplaces? 9 10 How can you organize someone like Martha? RONA SHAPIRO: Well, actually, we got very 11 creative during COVID. 12 13 It's hard enough to find workers when COVID 14 is not on. But we organized Concepts of Independence, 15 which is the largest consumer-directed, and the 16 17 oldest in New York State, 7,000 workers, and we organized them virtually. 18 19 And I don't know if any are here today. 20 And we've organized another agency through 21 Zoom, and through house visits, and finding the 22 workers. 23 And some of the employers have begun to find 24 us, and to realize that we really partner with our

agencies that do the right thing.

25

And so some of the agencies have found us, 1 2 and are interested, because they want to do the 3 right thing, and they want to win for their workers. And so we've actually -- we tried to organize 4 5 Preferred, and Edison, and Isabelle Leichter [ph.] was the organizer, and they were not nice. 6 7 I say it that way. 8 So it is very difficult, but I think Jason is very interested in helping to organize workers. 9 10 SENATOR RAMOS: Oh, my God. You're a natural 11 organizer. SENATOR RIVERA: Yeah. 12 13 SENATOR RAMOS: You're a natural organizer. RONA SHAPIRO: He is. 14 15 SENATOR RAMOS: Now I'll put you on the spot. RONA SHAPIRO: He is, he is. 16 17 Okay. So -- any way, so we continue to organize 18 19 workers. And I think COVID kind of shone the light on 20 21 the inequities. And I think your hearing is helping 22 to do that. 23 SENATOR RAMOS: And what's the union density? 24 SENATOR RIVERA: Senator Ramos. 25 SENATOR RAMOS: Is it like -- do you know

```
what percentage of the industry?
 1
               I'm going to ignore Senator Rivera.
 2
 3
               What percentage of the industry is -- is the
        union density high?
 4
 5
               RONA SHAPIRO: Yeah, about -- no. Not home
 6
        care organizing.
 7
               It used to be -- I'm not going to make it up.
 8
               I'll get back to you on that. Let me ask my
9
        lawyer.
10
               SENATOR RIVERA: Thank you, Senator Ramos.
               And thank you-all for being here today.
11
               RONA SHAPIRO: Okay.
12
13
               SENATOR RIVERA: Moving on to the second
14
        panel of the --
15
               RONA SHAPIRO: Gustavo, are you from
        The Bronx?
16
17
                  [Laughter.]
18
               SENATOR RIVERA: Just a little bit.
19
               And I will also say, for the record, that
        I will apologize to you, because I have been
20
21
        referring to last year as "the Rona."
22
               And that's -- and now, just when you sat
23
        down, I'm, like, Oh, I have been...
24
               Okay.
25
               RONA SHAPIRO: It's okay. I'm used to it.
```

1	SENATOR RIVERA: Thank you so much.
2	Good Rona. You're the good Rona.
3	The next panel will be:
4	Ilana Berger from the Caring Majority;
5	Agnes McCray, board president of ARISE oh,
6	I'm sorry. Agnes McCray was already with us.
7	A reader for Sandra Moore Giles from the
8	Senior Home Care Consumer;
9	Sandra Abramson, family caregiver
10	Please make your way down.
11	and, Mildred Garcia Gallery,
12	Ageless Companions.
13	You may start when you are down.
14	Thank you so much.
15	ILANA BERGER: Should I start?
16	All right.
17	Hi.
18	So thank you, first, to Chairs May, Rivera
19	and Ramos; to all the staff who worked really hard
20	on this hearing, for the opportunity to testify.
21	My name is Ilana Berger. I'm the New York
22	director of Hand in Hand, the domestic employers
23	network, which is a sister organization to the
24	national Domestic Workers Alliance. And I help
25	coordinate New York Caring Majority.

New York Caring Majority is a coalition of older adults, disabled people, family caregivers, home care workers, and home care agencies and providers from across the state, organizing to make long-term-care services and supports affordable and accessible to all New Yorkers, and to make home care jobs living-wage jobs.

So like many others here today, I am also here to talk about the importance of investing in home care; specifically, raising home care worker pay through passing Fair Pay for Home Care.

I wanted to start with just a short quote from an official in the Nassau County Health

Department, reflecting on the home care workforce shortage, and they said:

"Until we can offer home care aides a sense of worth, a sense of recognition, a fair salary, fringe benefits, and some sort of career-type mobility, we're going have a problem getting sufficient aides and retaining them."

It's a great idea, I'm sure we all agree.

There's one issue with that, it's from 1987: We never solve this problem.

So in the eighties, home care was growing as an alternative to institutional care, and the

population of older adults had started to grow.

As a result, the demand for home care workers began to rise. But like today, the pay was low, conditions were challenging, and it was hard to find and retain workers.

So almost 35 years later, the challenges are still with us.

And by not solving these challenges over the past three decades, we have let them develop into a full-blown crisis.

"The Rona" has only made matters worse. Sorry, Rona.

So I'm strongly here to advocate for the passage of Fair Pay for Home Care, to increase worker pay to 150 percent of minimum wage.

There are so many reasons to support it. And I'm not -- but hearing from workers, hearing from consumers, and the compelling stories are the most important.

So I want to talk today about the economic argument.

I'm going to be an economist today, although
I am not one in real life, and sort of focus on a
report that was done recently by a CUNY researcher,
Isaac Jabola-Carolus, and Professors Stephanie Luce

and Ruth Milkman, who couldn't be here, who did a study called "The Case for Public Investment and Higher Pay for New York State Home Care Workers."

It was published just earlier this year.

And they find that lifting wages, like what we would do with Fair Pay for Home Care, would require a substantial public investment, but the resulting savings, revenues, and economic benefits would far exceed the cost.

So the CUNY report begins with the same sort of demographic story we already know.

Between now and 2040, New York's overall population is projected to grow only 3 percent, but the number of adults aged 65 and over will grow by 25 percent; and the age of 85 and over by 70 percent. And that continues to the point where, between 1970 and 2030, the over-65 population will have doubled its share, growing from about 1 in 10 to 1 in 5 New Yorkers.

Additionally, the Center for Disease Control and Prevention says a quarter of the state's population has a disability likely to increase because of COVID long-haulers.

They just published this week a study that found that, potentially, 1 in 5 COVID-19 patients

will leave a hospital with a new disability.

So based on this projections, between 2018 and 2028, the number of home health and personal care aide jobs is going to grow by an average of over 26,000 a year.

Every year these occupations add as many jobs to the state economy as the next 40 largest occupations combined.

This is good news, which means home care is a vital growth sector. But these jobs are going unfilled because people cannot make a living on the pay.

So the steady flood of workers leaving these jobs adds to another 72,000 openings, which is far more than any other occupation.

The exits, the people leaving the workforce, is much higher than any other sector. In total, we face about 100,000 openings each year in home care, adding up to nearly a million job openings over a decade.

So CUNY looks at what would happen if you invest in something like Fair Pay for Home Care.

It would lift the wages of 85 percent of home care workers statewide, about 200,000 people.

It would cost about \$4 billion, which is a

lot of money; although, in perspective, it's only about 1 percent of total spending within New York's health-care system.

What they find is, despite the cost, what we would get back from economic spillover, which is the money from higher wages in communities; new sales tax revenue from that spending; new income tax revenue; savings in public assistance; and then productivity gains because of less turnover, result in about 7.6 billion in money coming back to the state, totaling about 5.4 billion overall, given the investment in.

So it would also create about 20,000 new home care jobs every year, and then 18,000 jobs in other sectors because of this economic spillover.

So it's a huge economic benefit.

I'm going to be like 30 more seconds, just to say, in addition to the economic benefit, we also want to see who's benefiting.

So there's 200,000 home care workers who are 90 percent women, 75 percent Black, Hispanic, and Latino -- and Asian, and 67 percent born outside the United States.

So public investment and higher pay is also a powerful tool to advance equity in race, gender, and

immigration status. 1 2 And then for folks who need the care, just, 3 if you look again at the aging population, the 4 number of adults age 65 and over has grown much more 5 among Black, Latino, and especially Asian residents. So solving the home care shortage is also a 6 7 crucial piece in ensuring that care is available to communities of color. 8 9 So I will leave it there, just to say that 10 it's a great economic investment. It's an 11 investment in equity. Please support Fair Pay for Home Care, as 12 13 well as the Home Care Jobs Innovation Fund, and eliminating the global cap. 14 15 Thank you. SENATOR MAY: All right. Thank you. 16 17 So it says, "A reader for 18 Sandra Moore Giles." 19 Is that you? 20 Okay. 21 MARGARITA SEINE [ph.]: Yes, my name is 22 Margarita Seine [ph.]. I live in Saugerties,

I know Ms. Giles from the New York Caring

New York, and I am reading Sandra Moore Giles'

23

24

25

testimony.

Majority. We advocate alongside each other. 1 2 And I just want to say, she's a remarkable 3 person who puts her body and soul into this work. She is featured in an outdoor art 4 5 installation currently in Freedom Plaza in Washington, D.C. And she traveled to D.C. earlier 6 7 this month to speak, and to cut the ribbon with 8 Secretary of Labor Marty Walsh. And the only reason she's not here today is 9 because, for reasons of her health, her doctor told 10 11 her she should not make the trip from Kingston into Albany today. 12 13 So I have been asked to read her testimony, and I am really honored to share her story in her 14 words with you today. 15 16 (Statement of Sandra Moore Giles read, as 17 follows:) 18 My name is Sandra Moore Giles from Kingston, 19 New York. I am 75 years old. I have served and cared for my community my 20 whole life. 21 22 I was a foster mother for 106 children, and 23 adopted 4 children. 24 I have worked as a chaplain on Rikers Island 25 and in our prison system.

I run the food pantry at my church. 1 2 I'm on the board for the Office of The Aging 3 in Ulster County. I'm getting older now, though, and now I can 4 5 no longer do that because of my health. Now I need 6 help. 7 I just received a letter from Fidelus and my 8 doctor, saying, I need 20 hours a week of home health care. I can't even fill five hours a week. 9 Because of the stress and the strain of not 10 11 having a home health aide, last week I was hospitalized for a blockage in my heart. 12 13 I wish I could be with you-all today, but I'm 14 getting heart surgery tomorrow. Who is going to take care of me when I get 15 out of surgery? 16 No one wants to do home care -- home 17 18 health-care work now because the pay is too low. 19 You can make more working in fast-food than you can taking care of a human being like me. 20 I need help. I need an aide. 21 22 Who is going to help me? 23 I do not want to end up in a nursing home. 24 And I'm not alone. 25 I live in a senior building. Many of my

```
neighbors are going through the same struggle.
 1
 2
               We need to make home care jobs good jobs.
 3
               We need to support seniors to live
 4
        independently.
 5
               We need you to pass Fair Pay for Home Care as
 6
        soon as possible.
 7
               Thank you.
                         -- Sandra Moore Giles.
 8
 9
               SENATOR MAY:
                             Thank you.
10
               And thank you for being here to do that.
11
               Sandra.
               SANDRA ABRAMSON: Hello. My name is
12
13
        Sandra Abramson.
14
               Thank you, Senators --
               Better?
15
               Thank you -- no?
16
17
               Can you hear it now?
18
               SENATOR MAY: Yes.
19
               SANDRA ABRAMSON: Okay.
               My name is Sandra Abramson.
20
21
               Thank you Senators Rivera, May, Ramos.
22
               I'm a 75-year-old senior, living alone in
23
        Senator Benjamin's district in New York City.
24
               I'm here to tell you my story, and about why
25
        it is so important to have a well-paid and trained
```

home care workforce to care for seniors and people with disabilities in New York.

In 2006 it became increasingly clear that my partner, Terry DeFiore, later to become my wife in 2011 when we were permitted to marry in New York State, was having increasing physical, psychological, and emotional difficulty with day-to-day activities.

She was losing her balance and falling. She would break into laughter in appropriate -- inappropriate times.

She, who had been able to problem-solve with ease, was continually making errors in judgment.

It took us nearly three years to learn that she had progressive supranuclear palsy, or "PSP," a degenerative neurological disease that would render her progressively incapable of care for herself.

However, we were very lucky. In 2002 we had decided to look into purchasing long-term-care insurance.

No insurer would sell me a policy, as I had had a stroke several years earlier. But Terry was in perfect health, and was able to purchase the platinum policy, long-term care, from Genworth.

It covered her for life, and had a high

monthly payment.

Little did we know that Terry's perfect health condition wouldn't last for more than four years.

Genworth didn't know that either.

By late 2008, Terry, who had worked in the construction field as a site safety manager, began to show increased signs of her illness.

Her task was to keep workers and others safe.

As her disease progressed, however, she could barely keep herself safe, let alone care for others.

She stopped working on December 1, 2008, and in 2009, of April, Genworth started covering the cost of caregiver to be with Terry during the day, as I was still working, and Terry needed someone with her so she would be able to attend our local senior center, go up and down stairs, eat, and do all the things she could still do.

In 2010, when Terry moved downstairs, she need 24-hour care so that I could get some sleep, and be able to do what I needed to do to care for myself as I supervised her care.

We were working with Visiting Nurse Service of New York (VNS) to find and supply caregivers.

VNS supervised the caregivers, and charged us

a little more than \$20 an hour, while they paid the 1 2 workers about \$9-plus an hour. 3 Genworth's reimbursements, totaling nearly \$13,000 monthly, covered Terry's care costs and 4 5 other essential costs. 6 We had several caregivers over the years 7 through VNS. They were generally competent, caring, 8 and compassionate. However, at some point I began to consider 9 10 asking some of the caregivers if they would work for us privately. 11 We could offer them nearly twice what VNS was 12 13 paying them. After some back-and-forth, one agreed. 14 I found someone else. 15 From 2011, on, I supervised a caregiving 16 17 staff of three-plus women who were wonderful caregivers. 18 I did all the scheduling, the invoicing to 19 20 Genworth, as well as the payroll and tax payments. 21 We were able to pay them over \$18 an hour. 22 After living with this horrendous disease for nearly seven years, Terry died in 2013. 23 24 Genworth had paid out more than \$600,000 to 25 cover her caregiving costs, although she paid less

than \$15,000 in premium.

Had she not had the insurance, as well as other disability insurance and Medicare, we would have had to sell our home and other assets to pay for her care, or she would have been declared indigent and gone on Medicaid.

Terry's story would not be my story if I were to contract a debilitating disease. As I said, I don't have any of the insurance coverage that Terry had.

I would have almost none of the support or income that she had. And I have no one to care for the daily, weekly, monthly tasks that I performed for her at no cost to anyone but us.

We were able to keep Terry at home.

In all that time, she never went to the hospital for nearly seven years as she struggled to live with dignity.

We were lucky to have the funds and a home that we could transform into a workplace and living space for caregivers working 24/7.

New York is the epicenter of a national income care worker shortage, with the projected shortage of 50,000 workers by 2023, and over 83,000 by 2025.

This shortage means tens of thousands of 1 2 New Yorkers are currently at risk because they 3 cannot receive the services that they need to live a high-quality life in the community, with hundreds of 4 thousands more on the brink of disaster. 5 Low wages are the reasons for this crisis. 6 7 Governor Cuomo claims that New York is the 8 most age-friendly state in the nation. While we appreciate the sentiment, if 9 10 Governor Cuomo wants New York to lead in this area, we have a long way to go. 11 I'm now 75. 12 13 As I age, I wonder how I will live if I get sick and need the kind of care Terry needed. 14 Without fair pay, where will we be able to 15 find the kind of caregivers that Terry had. 16 17 Thank you. 18 SENATOR MAY: Thank you. 19 MILDRED GARCIA GALLERY: Senators, and Senate committee members, my name is Mildred Gallery; 20 21 Mildred Garcia Gallery. I'm a proud Latina. 22 I have been a home care worker for more than

I was introduced to home care at 19 while

30 years, and the founder of Ageless Companions, a

Long Island-based staffing agency.

23

24

25

1 I worked United Cerebral Palsy.

One client, a young man in particular, named Perry, truly impacted my views on home care.

He was wheelchair-bound, and he was in a special program for eating. One day during lunch he began to choke and he turned blue.

Although I was scared, I jumped into action.

I suctioned him, dislodged the piece of food, and,
thank goodness, he began to breathe.

We both sighed in relief.

I was shaken, but I felt capable and competent, and I hadn't felt that at that age -- at that time. And it really gave me direction. It made me want to do better and be better.

It changed the direction of where -- or, the projection of where I was going to go in life, because I was not one of those -- I wasn't -- my circumstances did not allow me to go to college directly out of high school.

So home care actually saved me, in a sense.

I would like to continue on so...

I continued to provide the best possible care for all of $\ensuremath{\mathsf{my}}$ clients.

One of the most memorable clients was Ralph Ciprioni [ph.], a 95-year-old World War II

veteran, and his wife, Christine [ph.]. 1 2 Ralph, when I met him, was 98 pounds, but his 3 will to live was as strong as my will to care for him. 4 5 I learned much about life from Ralph. I learned about patience. 6 I learned about the value of human contact 7 and human interaction, and how much that does feed 8 9 and nurture a person. 10 Together we worked tirelessly. His doctors were impressed with the amount of 11 progress he made in such a short period of time. 12 13 Our growing friendship encouraged him to fight a little bit longer. 14 Unfortunately, Ralph lost his battle, but on 15 his own terms, at home, with dignity, with love and 16 17 support. And I was proud to be a part of it. And I am proud to still currently care for 18 his wife. And they were married for 71 years. 19 And anyone knows, being married that long, a 20 21 loss like that can actually take you down. 22 But it didn't, because she has us, and we 23 have her. 24 This has been my life's passion -- my life's 25 work passion, but the pay -- with the low pay,

I wonder every day, how can I stay? 1 2 How can I continue to stay in a profession 3 that does not value me? How can I support my family, my three 4 I'm trying to put them through college. 5 daughters. This work makes me feel wonderful, but it 6 7 doesn't pay the bills. 8 I know that I am one of thousands of people who love home care work, but the feeling -- but 9 10 we're feeling forced to leave a profession because of its low wages. 11 So I am here to ask you, to beg of you, to 12 say "this is the time." 13 We need it more than ever. 14 We -- the families need it, they need our 15 care, but we need to be cared for. 16 17 To go on to continue to care in a way that you care for your own family; but yet, to go home, 18 and to come home to your own empty pantry, to not be 19 able to provide for your children the things that 20 21 you want, that you see maybe the families that you're caring for. 22 23 It really is about the humanity. 24 I feel that we have lost that sense of

humanity, where possessions and things mean so much,

25

and at the cost of our loved ones' lives, of how we 1 2 care for them. 3 We have got to put that first, because, without human compassion, and us coming together to 4 5 solve this problem, I don't know what else is going to happen. I don't know who else to ask for. 6 7 We have exhausted every area. Every area. 8 And this is a job that I and many others are 9 so proud to do. And there are all these misconceptions about 10 And that's -- those are stigmas, and that's not 11 the majority of us. It is the minority. 12 13 I speak for all of the ones that love to do 14 this, and we don't want to leave. We want to stay 15 and do this work that we've been doing for long, or people who just came into it. 16 17 We want more people to come and join us, but 18 they're not going to for this pay, when they can go to Holly [sic] Lobby, or wherever, and make more 19 20 money. 21 So what are we saying? 22 That the value of our loved ones is not that

24 SENATOR MAY: Thank you.

23

25

much.

Thank you so much.

Thank you, all of you, for just reminding us how important this is.

Ilana, I wanted to follow up with you, because you talked about 100,000 openings a year; and all of you talked about what this job means.

One of the things it means, if we have 100,000 people who are looking for care and not getting it, is that there are a lot of families that are stepping in and doing that care.

There are a lot of people who are putting their own lives on hold, in one way or another, stepping away from the workforce, or, you know, going part-time, or all of those kinds of things.

I have a bill to create a family caregiver tax credit, but it's a tiny drop in the bucket in terms of what the costs are.

So I'm just wondering, when you talked about the \$7.6 billion return on a \$4 billion investment, I'm very familiar with that.

We have the bill, Investing in Care Act, that is specifically designed to activate that -- that investment.

I'm wondering, was this also considered in there, the opportunity cost of all of the home -- the family caregivers who are going to step in, or

neighbors or whoever was going to step in, and do 1 2 this work voluntarily because somebody had to do it? You know? 3 Is that calculated in there? 4 5 ILANA BERGER: It's not in the -- the CUNY report that looked at those numbers does not look at 6 7 that. 8 So that's an even additional economic benefit that is not quantified, at least in that report. 9 And from all of the numbers I've seen about 10 11 the amount of money taken out of the economy, because of family caregivers, it's, you know, 12 13 billions. 14 So I think -- I would say that the CUNY report's numbers are fairly conservative in terms of 15 16 the economic benefit of investing in this workforce. 17 SENATOR MAY: It would be great to fold that 18 in so that we can be telling the whole story when we 19 are advocating. ILANA BERGER: As I said earlier, I, in fact, 20 21 only have a college degree, and I'm not an 22 economist. So we can set the economists back on 23 that one. 24 [Laughter.] 25 SENATOR MAY: Perfect.

ILANA BERGER: But I do also want to say to that, in terms of the -- you know, the numbers and the shortage that we're hearing, and you'll hear more from people, that, you know, on the daily, we're getting calls from folks who -- sometimes family members step in, and sometimes people don't have family members. And they're actually -- the only option is either, you know, we have members who are staying in bed for 24 hours, who can't get out of bed without an aide.

And, ultimately, if you can't find somebody, your only option is an institution. And that is not where people want to go.

So we're in a real crisis right now.

SENATOR MAY: Right.

And to Sandra, I wanted to say thank you for explaining how long-term-care insurance can work.

But what we're hearing is that a lot of the companies are going belly-up.

People have invested in those, and they aren't going to get the insurance anyway; or it's not what it was cracked up to be.

So that's a whole nother area of health care, where what we really need is the New York Health Act.

```
But we have to look at that, like what --
 1
 2
               SANDRA ABRAMSON: We knew how lucky we were.
 3
               SENATOR MAY: -- provisions can people make?
 4
               Sorry?
 5
               SANDRA ABRAMSON: We truly knew how lucky we
 6
        were.
 7
               I mean, when I said it was the platinum
 8
        policy, they didn't know what they were getting
        into. I mean, we didn't know what they were getting
 9
        into either, obviously.
10
11
               Sorry.
               We didn't know what we were getting in --
12
13
        they didn't know what they were getting into.
14
               They paid out over $630,000. It really was
        the platinum policy.
15
16
               No one can get that anymore.
17
               SENATOR MAY: Don't feel sorry for them.
               They were getting premiums from a lot of
18
        other people.
19
20
               SANDRA ABRAMSON: They were part of
        General Electric. I don't feel sorry for them.
21
22
                  [Laughter.]
               SENATOR MAY: Anyway, thank you-all.
23
24
               Does anyone else have questions?
25
               SENATOR SERINO: Thank you, all.
```

SENATOR BENJAMIN: 1 Sure. Yes. 2 SENATOR MAY: Don't go anywhere. 3 SENATOR BENJAMIN: I'm sorry. I was -- I'm 4 sorry I didn't... 5 No, first of all, I want to thank you for 6 this testimony. 7 And I -- obviously, to Sandra, I really 8 appreciate hearing how much you did for Terry. And, you know, in my heart, I believe that you should 9 10 have been compensated as well. I do -- you know, I feel very strongly that 11 family caregivers, when possible, because I do 12 13 accept the fact -- I do understand that some don't, is what you're looking for. 14 You know, my father-in-law passed. And his 15 wife and my wife were able to take care of him at 16 17 the end. And there's just -- there's the love that you bring to that. 18 19 And so I want to just thank you for your 20 testimony, and for being here to help us as we're 21 figuring out the steps forward. 22 And, obviously, I'm a proud supporter of 23 fair pay for home care workers. 24 So thank you for being here. 25 ///

```
IGNACIA REYES: Now you can go.
 1
 2
               All right. We have Panel 3 next, which is:
 3
        Mary Lister, Ignacia Reyes, and JoAnn Lum.
               SENATOR RIVERA: By the way, folks -- folks?
 4
 5
               By the way, just as a quick thing, folks
        after you're done, you don't have to go up the
 6
 7
        stairs again. There's actually an elevator a little
        bit outside that door, because I want to make sure
 8
        that you're good. You know?
9
10
               MARY LISTER: Thank you.
               SENATOR MAY: All right. Let's start with
11
12
        Mary.
13
               MARY LISTER: Good afternoon. My name is
14
        Mary --
               SENATOR MAY: Touch the button. Make sure
15
        the light's on.
16
17
               SENATOR RIVERA: Say something.
               One more time, just hit it once, and then say
18
        something.
19
               Say something, one more time.
20
21
               Try it one more time.
22
               MARY LISTER: Am I supposed to --
23
               SENATOR MAY: Do it again.
               SENATOR RIVERA: Press it slow, like, just a
24
25
        little bit, just a little touch, just a little tap.
```

MARY LISTER: Hello? 1 SENATOR RIVERA: There you go. 2 3 I'll say it once again: We need to get new damn mics. 4 5 SENATOR RAMOS: The austerity budget does not 6 allow for new technology. 7 MARY LISTER: Hi. My name is Mary Lister, 8 and I'm a home care worker from Buffalo, New York. I'm a founding member of the Queens City 9 10 Workers Center, and I organize with other home care workers throughout the state with the Ain't I a 11 Woman?! campaign. 12 13 I've been a home care worker now since 2013. During this time I have served in so many 14 roles for so many different people. 15 16 I have been a community habilitation worker 17 for a young woman with cerebral palsy, assisting her 18 in achieving her career goals. 19 I've been a consumer-directed aide for an 20 older, non-verbal man who uses a wheelchair, helping 21 him get dressed every day, cooking him meals, and 22 cleaning up around the house. 23 One of the people I currently serve is an 24 older woman with advanced Alzheimer's who requires 25 assistance in every single task of daily living,

from eating, to toileting, to walking. 1 2 Not a single person goes into home care 3 because it pays well. 4 I've stayed in home care so many years 5 because I love it. I enjoy assisting people to live the life 6 7 that they want with dignity and as much independence 8 as possible, and I'm good at it. Most of my years as a home care worker 9 10 I earned minimum wage. But I urge you to consider what is truly 11 necessary to grow the home care workforce, and to 12 13 make possible a real minimum-wage increase: an end 14 to the 24-hour workday. Long work hours in any field are correlated 15 with increased workplace mistakes and on-the-job 16 17 accidents. 18 Many studies have found that, in the medical 19 field specifically, rates of injuries and mistakes skyrocket during shifts longer than 12 hours. 20 21 In home care there is no such thing as a small mistake. 22

complications for the person receiving care.

A mistake in medicine could mean health

23

24

25

A mistake in a transfer could mean a broken

1 hip.

And a mistake that injures the worker themself can take us out of the field permanently, creating this issue of a home care workforce shortage to get worse.

The incidence of disability suffered by home care workers is confirmed by a study by the University of New Hampshire Institute on Disability Statistics.

They found that home care was the industry in New York City with the most workers to become disabled. And New York State, home care was fourth.

24-hour shifts is causing more people to become disabled and need in-home care.

Why this difference between New York City and New York State, in general?

In Buffalo, where I'm from, and other upstate cities, the 24-hour shift is not yet common.

Care recipients requiring around-the-clock care have generally managed to get split shifts rather than one worker doing 24 hours.

But if, as we are all hoping today, the minimum wage for home care workers increases, upstate home care agencies will have a huge incentive to start implementing 24-hour shifts for

1 13 hours' pay. 2 This has got to stop. If we want an end to the home care workforce 3 shortage, if we want a sustainable and a thriving 4 5 economy of care, then we have to put an end to treating home care workers like disposable machines 6 7 to be used up and thrown out. 8 We need to not only raise the wage, but end the 24-hour workday. 9 10 Please immediately support Assembly 11 Bill 3145, Senate Bill 359; legislation supported by, and created in large part due to, the organizing 12 13 of home care workers that are here today. 14 Thank you. SENATOR RIVERA: Could you please repeat the 15 bill number? 16 17 MARY LISTER: A3145, and Senate 359. SENATOR RIVERA: 359. 18 MARY LISTER: I will double-check that; but, 19 20 yes --21 SENATOR RIVERA: Thank you, ma'am. 22 SENATOR MAY: Ignacia. 23 IGNACIA REYES [ph.]: (Witness speaking a 24 foreign language.) 25 THE INTERPRETER: I'm going to translate for

```
1
        Ignacia.
               My name is Ignacia Reyes. I have been a home
 2
 3
        attendant for 23 years; most of that, 24-hour
 4
        shifts.
 5
               And I come here to speak on behalf of all
        workers -- home care workers who work 24-hour
 6
 7
        shifts.
 8
               We can't continue with this. Many of us have
        come out of this injured.
 9
               We've got to stop. It is inhumane.
10
11
        24-hour shift is inhumane.
12
               And on top of that is the wage theft.
13
               Cuomo didn't sign the bill that would have
14
        helped to stop it. But this still goes on.
15
               So we have got to stop both the wage theft
        and the 24-hour shifts.
16
17
               Thank you.
               IGNACIA REYES [ph.]: (Witness speaking a
18
19
        foreign language.)
               (Translated to English by an interpreter, as
20
        follows:)
21
22
               Right now I am so injured, I can't go back up
        those stairs. And I'm a member of 1199. They
23
24
        really haven't done anything for us.
25
        ///
```

IGNACIA REYES [ph.]: (Witness speaking a 1 2 foreign language.) 3 (Translated to English by an interpreter, as follows:) 4 5 To the senators, please call Governor Cuomo. Tell him he's got to sign that bill to stop the wage 6 7 theft. 8 IGNACIA REYES [ph.]: (Witness speaking a foreign language.) 9 10 (No translation provided.) JOANN LUM: Thank you, Senators, and other 11 participants, for convening this. 12 13 As you can see, people feel very passionately about this question. 14 My name is Joanne Lum, and I'm here with the 15 National Mobilization Against Sweatshops, and part 16 17 of the campaign called "Ain't I a Woman Campaign," which Mary and Ignacia and I are all part of. 18 19 This is a campaign that's statewide, and it 20 includes workers groups, disability rights groups, 21 student group, women's groups, and others. And we 22 have been organizing for 20 years or so around the 23 valuing of caregiving and against long hours of 24 work. 25 And we're here today because we agree that

it's urgent for the government to address -- take action to address the shortage of workers in home care. And to value the work of caregiving, raising wages is critical, it's needed.

But to make this wage increase real, we need to end the wage theft that happens, for instance, in 24-hour shifts.

So many workers, like Ignacia, work 24-hour shifts, don't sleep, and are paid for only 13 hours.

That means 11 hours for free.

So this renders the minimum-wage law meaningless, this type of wage theft. So even if you raise the wage, it just -- it also means more wage theft.

We also, as part of the -- we need to end the 24-hour shift, also as Ignacia said, more fundamentally; and at the same time, we need to hold the scofflaw employers accountable.

As some previous people have spoken about, there are a lot of agencies that don't comply with the law, that don't do right by the workers.

And, actually, we've worked with hundreds and hundreds of home care workers to file lawsuits and labor complaints at the Department of Labor, exactly for that reason, to recoup stolen wages, wages that

weren't paid, especially in these shifts of 24 hours.

And some of the workers have also initiated protests against their agencies.

For instance, right now, the workers of the Chinese-American Planning Council (CPC) have been leading protests against their agency because they still have not been able to recoup their stolen wages after waiting six years, seven years almost, you know, with a claim.

There are so many more with the same story, and these are at union and non-union agencies, it's so prevalent.

So we've already heard a little bit from Ignacia about these 24-hour workdays. And Mary has spoken to it also.

But we began in our campaign to see home care workers coming forward about six or seven years ago.

At first it was about the wages not paid; the overtime, the hours that weren't paid. But then, more and more, they began talking about how the 24-hour shifts destroyed their health, as Ignacia mentioned, destroyed their families; destroyed their lives, basically.

And for those with no work or not enough

hours, these 24-hour workdays negatively impacted them, too, because some people were overworked, and then leaving other people with not enough employment.

It also, these 24-hour workdays, sends a message that there's no floor; that caregiving work is so devalued that a woman can be made to work every single hour of a day.

You know, it's no wonder so many refused to be subjected to these conditions.

People earlier spoke about the pandemic, and how it's made it worse for home care workers and us. We've certainly seen that.

We saw so many home care workers come in to us for PPE.

And we lost a few members to COVID in our organization.

And because of the lack of protection in their work, a lot of workers have decided not to continue working in home care.

Also, many others, because of health problems and illnesses as a result of years of working 24-hour shifts, they've decided to retire early.

Even before the pandemic, home care workers were made to work 24-hour shifts for days on end at

1 half the pay.

And it's made them -- it made it hard -- many people talked about how it's made it hard to provide proper care --

We were talking about it earlier with some other participants.

-- when you're sleep deprived, when you're stressed.

SENATOR MAY: JoAnn, can you wrap up?

JOANN LUM: Sure.

So I just want to reiterate, then, that we call on legislators and participants here today to unite, to join forces, to call for wage increase, an end to the wage theft, and an end to the 24-hour shift, so that we can really show that we value the work of caregiving.

Thank you.

SENATOR MAY: Thank you.

SENATOR RAMOS: Thank you so much.

I was wondering, JoAnn, if you could provide a little more context for those at home who may be watching, and are not aware about the 13-hour rule, and how many home attendants are, you know, working 24 hours a day around the clock to care for these folks.

Because, of course, even if the patient is sleeping, sometimes they get up in the middle of the night, they have needs, they need to use the restroom, they need -- right?

So the home attendant has to get up as well -- right? -- and do their job.

Can you talk about -- a little bit about the court decision that took place, and kind of a little back story?

JOANN LUM: Hello.

So at first there were -- back several years, maybe six years, there were three court cases, state court, where the workers -- there were workers who were working 24-hour shifts, and bringing claims for their owed wages, where the courts decided in these three cases, it doesn't matter if you slept or not, you should be paid all 24 hours.

And we celebrated.

But then, immediately, that -- the

Department of Labor issued emergency regulation to
say, no, no, no, that's going to bankrupt the
industry. We need to keep the 13-hour rule.

And so, subsequently, there were appeals to those court decisions. It went to the highest court in the state, and the highest court overturned the

lower-court decision.

So basically the law now, is that if you -it's okay to work a 24-hour shift. You're entitled
to eight hours of sleep, five hours of which -- at
least five hours uninterrupted; plus three hours
uninterrupted meal break.

So it's very clear the law, actually.

But the problem is that, in reality, when workers can't get that five hours' uninterrupted sleep, and they report it, then they're either ignored or they're threatened.

And there have been cases where agencies have told the home care worker, put the patient to bed at 9 p.m., and just, you know, in the night, you know, just go to sleep, because we're not paying you for the night. And if there's a problem, call 911.

So there was one case, where the worker, of course she is not going to ignore the -- I mean, you're there to work, to be there on call.

And so she went as usual to help the patient.

And she reported that the next morning to the agency, that she had to get up to help the patient, and she was fired.

We subsequently were able to work with her to get reinstated.

But this is just an example of what -- how, 1 2 on the ground, what is really happening, so that 3 workers are pressured to not report that you didn't 4 sleep. 5 SENATOR RAMOS: So do we know approximately how many workers have been victim of this 24-hour 6 7 shift, and how many workers are owed wages? 8 JOANN LUM: You know, I don't have numbers. 9 Our worker center is very small, and we work with other small worker centers. 10 But I would say, I mean, we have seen, like 11 I say, hundreds and hundreds of 12 13 workers. And we've filed claims for probably, in our 14 15 campaign, maybe more than a dozen agencies, different agencies. 16 17 And like I say, some are unionized, some are not unionized. 18 And those that are unionized are in different 19 unions. Some are Local 1303. Some are 1199. 20 21 are some other that I haven't really heard of. 22 But, anyway, it's a mixed bag. 23 But we have heard from others that 24 the percentage of overall cases that are 24 hours is

very small because -- and partly because the

25

managed-care companies don't really want to give 1 2 that a lot of times. 3 I mean, it's a big fight sometimes to be able to get those hours for those who need it. 4 5 And so -- but now we hear that more and more workers are actually feeling emboldened -- and maybe 6 7 it's because of the campaign -- but feeling more 8 emboldened to say no. But the problem is that, all along, workers 9 10 have said that they took 24-hour shifts, not because they like them, but because --11 12 SENATOR RAMOS: They were pressured. 13 JOANN LUM: -- yeah, like --SENATOR RAMOS: How -- how -- sorry, because 14 we do have limited time. Right? 15 16 How do you propose that not-for-profit 17 organizations with limited -- a limited operation 18 budget be able to, you know, compensate the workers 19 for their lost wages? JOANN LUM: That -- they should just -- they 20 21 take on, that's the law, compliance with the law. 22 And maybe the government needs to help them on it. 23 But, I mean, one shouldn't operate a business 24 if you can't comply with the basic minimum wage and

25

overtime law.

SENATOR RAMOS: No, I agree with you.

I'm just thinking that if -- that if, you know, an organization were to pay out, and then the organization were to fold, then what happens to the actual industry?

I'm just trying to think, you know, kind of one thing after the other, chronologically.

So workers get the money that they are owed -- that they're rightfully owed, but some of these organizations and/or actual for-profit businesses fold.

Then what happens to the industry?

JOANN LUM: Maybe they should work --

MARY LISTER: I can -- okay.

For at least some of these non-profit agencies, there's a false misconception that they're strapped for cash.

Some of them are very good at fundraising money.

I'm sure some of them are strapped for cash.

But some of the worst -- the ones who have stolen the most wages from their workers are building a new building right now that's very luxurious and nice, and their CEOs are making upwards of six figures a year.

So it's hard for me to -- you know, I think 1 2 that the bottom line is, we are coming from worker 3 centers, and the law supports that we should get the minimum wage for the time that we're working. 4 5 And that's not happening. And so if --6 7 SENATOR RAMOS: I understand. 8 But my question is about the future of the 9 industry. 10 So, again, you guys got your money --11 right? -- assuming, you guys got your money, what -who then -- and these organizations fold, 12 for-profit, not-for-profit, how does the industry 13 rise up because people will still need care? 14 So how would that -- I'm trying to -- I'm 15 trying to find the rebuttal to the DOL's assertion 16 17 that their emergency clause after the Court 18 decision, where they said, no, no, no, but wait a 19 minute. What's the rebuttal to that? 20 21 JOANN LUM: Well, first of all, like I say, 22 there should be compliance of the law, and so they 23 should figure it out. And they should look into

You know, we've asked several different

maybe the profits of the managed-care companies.

24

25

venues, government agencies, to look -- investigate into the money stream; Medicaid going to managed care, going to the home care agency.

We can never get any numbers, so, who knows?

Like this was the redesigned -- Cuomo's redesign of Medicaid back in 2012, was it?

SENATOR RIVERA: 2011.

JOANN LUM: 2011? Thank you.

And so that's where -- when the introduction of the managed-care company into the system came in.

So maybe we should look at the profit margins of that.

But I think it's problematic that many -- I'm not saying you are, Senator Ramos, but many justify the maintenance of these 24-hour workdays on these women, you know, subjecting these women -- immigrants, women of color -- to 24-hour workdays because we can't -- there's no money.

And that -- if -- if we're here -- I mean,

I think many of us here want to end violence against

women; we want to address racism and sexism in our

society; and then we subject 24 -- you know, women

of color, immigrants, to 24-hour workdays, what does

that say about us as a society?

SENATOR RAMOS: And how far back would you

1 want to go?

JOANN LUM: Well, the state labor law allows five years going back, the statute of limitations.

So it's -- this is just the minimum, the bare minimum. We're talking about just the minimum wage and overtime that's owed.

So when we're talking about raising the minimum wage, that's why we say, to make it real, we need -- the law needs to be enforced.

And if it's not enforced already, then it's just going to mean there's going to be more wage theft.

That's our concern.

SENATOR RAMOS: Okay. A very valid concern.

Well, in my last 30 seconds, I want to assure you that every Democrat on this dais right now is a co-sponsor of S359, the bill that you are advocating for for fair pay.

And we hope that the other senator joins us too.

Thank you.

SENATOR MAY: Yeah, thank you so much.

I just have one comment that I want to make, which is about the calling 911, if they said, you know, if your sleep is interrupted.

And that's just a way of passing the cost on, 1 2 again, to the taxpayers. 3 I mean, if taxpayers are supporting the home 4 care, we're supporting the police at a much higher 5 level, and especially if they're getting overtime if it's in the middle of the night. 6 7 And so the idea that you would just say, pass 8 that cost on over there, it all comes home to roost with us as taxpayers. 9 10 So, all together, a broken system. Thank you for bringing that to our attention. 11 SENATOR RIVERA: I've got a couple. 12 13 SENATOR MAY: Oh. Okay. SENATOR RIVERA: Just -- I'm glad that 14 15 Senator Ramos pointed out we are all co-sponsors. I am as well. 16 17 I wanted to ask you something about it, though. 18 19 Is it -- it's perspective in nature; is that 20 correct? 21 Because if we're talking about the -- it's -we can all agree that the 24-hour rule is a problem. 22 23 And we can also agree that it's the State 24 that's messing up, that -- by not changing the law. 25 Right?

The decision that was made regarding the current state of affairs --

And correct me if I'm wrong, obviously, because you know this much -- about this much more -- more deeply than I do.

-- but the current state of affairs, that decision that was made, basically said, the State has to change the law to make sure this is -- that this happens.

And so we're the ones messing up.

By passing -- if I'm not mistaken, if we pass the bill, 359, it would solve the problem going forward. It wouldn't necessarily serve to address the issue of past salaries.

Is that correct?

JOANN LUM: Yeah, the law to prohibit 24-hour shifts moving forward means split the shift, 12/12, for two people; so, moving forward.

And it would indirectly address what has happened in the past, because now there's less chance of the wage theft because you have two people working 12-hour shifts who need to get paid 12 hours each.

But, right, as far as the compensation for the six years going back, in those claims, that's

for each agency to resolve, to pay, pay it up.

You know, the workers were owed this amount of money. They filed lawsuits, they filed complaints, at the Department of Labor to resolve those. Pay the workers.

SENATOR RIVERA: Got you.

And as far as the -- 'cause it's obviously -- it's -- I mean, I just want to make sure -- it's, obviously, we're the ones that are messing up.

Right? The State is doing this.

By not passing this bill, we're making it so that this is just -- that bad actors can then get away with abusing their staff in a much easier fashion?

JOANN LUM: That's true.

At the same time, we need to hold those bad actors accountable, too.

I mean, for already actions already taken, because there are, you know, very prevalent violations of the minimum-wage basic wage law.

SENATOR RIVERA: But is it possible as well that there are good actors who are caught in the middle because the law is what it is right now?

MARY LISTER: So as JoAnn had mentioned before, even under this law that we want to change

and we want to turn into split shifts, even right now, the law says that, if a worker does not get eight hours of sleep at night, or does not get five hours uninterrupted sleep, you must be paid for all 24 hours.

And agencies still aren't doing that.

And so I think part of what we feel, is that not only do we need to pass this bill to make sure that, going forward, workers are not, literally, worked into the ground; but, also, that for the agencies who are flouting the law as it currently stands, firing workers when they report having to work at night, telling their workers not to help the clients when it's during the nighttime hours, they are breaking the law as the law stands.

And so I think that, for the workers working for those agencies, they feel like, you know what? This is day in, day out.

If any of these home care workers were to break the law day in, day out, not only would they be fired, they'd be in jail.

But, instead, these agencies are making record-breaking profits, some of them. I know some of them are struggling.

But, for us, the law is part of it, yes, the

```
State needs to step up, we need split shifts.
 1
 2
               And, also, these agencies that are blatantly
 3
        breaking the law, and not paying the workers the
        amount that they're owed when they're not able to
 4
 5
        sleep, need to be held responsible as well.
               SENATOR RIVERA: Okay. Thank you.
 6
 7
               Thank you, Madame Chair.
 8
               SENATOR MAY: Thank you-all.
               Mucho gracias.
 9
10
               All right, Panel 4 is Rebecca Preve,
11
        Tara Klein, and Carlyn Cowen.
               And we're going to ask Carlyn Cowen to go
12
13
        first, if possible.
14
               SENATOR SERINO: Can I ask you guys a
15
        question --
16
               SENATOR MAY: Yes.
17
               SENATOR SERINO: -- on 359, because I'm not
        on that committee?
18
               So has that moved out of committee?
19
               I don't know, Senator Ramos, do you have it?
20
21
               SENATOR RAMOS: No, it has not.
22
               SENATOR SERINO: Okay. That's why I didn't
23
        know about it.
24
               But I'm willing to take a look at it. I am
25
        the sole Republican up here.
```

		511
1	[Laughter.]	
2	SENATOR MAY: Appreciate it.	
3	SENATOR SERINO: Send that towards me.	
4	SENATOR RAMOS: Thank you for your	
5	consideration.	
6	SENATOR SERINO: It hasn't moved, though, so	
7	that's why I didn't know about it.	
8	SENATOR MAY: So, Carlyn, can you go first?	
9	SENATOR RIVERA: Just press it once, really	
10	quickly.	
11	SENATOR MAY: Really lightly.	
12	CARLYN COWEN: Hello?	
13	SENATOR RIVERA: There you go.	
14	CARLYN COWEN: Good afternoon.	
15	Thank you for the opportunity to testify at	
16	today's hearing.	
17	My name is Carlyn Cowan, pronoun saying she,	
18	and I'm testifying on behalf of the Chinese-American	
19	Planning Council (CPC) today.	
20	I'm going to start with a personal story.	
21	When I was 11, my mom got sick, like,	
22	"couldn't get out of bed" sick.	
23	She had to go on disability, and it took	
24	years of doctors visits, PT, and more, before she	
25	could do simple things like walk or change her	

position unassisted.

My dad and I had to figure out care for her, my chronically ill younger brother, and the household, while, of course, still managing work and school.

I tell this story because we all have a "care" story here today. And if you don't have one right now, you probably will.

Seven out of ten us are going to need long-term care at some point in our lives, and it's often earlier than we think.

New York State is aging rapidly.

By 2030, 5.2 million people in the state will be 60 or older, not to mention more than 1 million disabled New Yorkers that need care to live in their homes with dignity.

The good news is that New York State is constitutionally mandated to provide these services to all New Yorkers because of the U.S. Supreme Court Olmstead decision.

The bad news is that the State severely underfunds the non-profit organizations and workers they outsource these services to, and New York is now the epicenter of the home care workforce shortage crises, with a projected workforce shortage

of 83,000 workers by 2025.

The cause of this workforce shortage is chronic low wages and poor working conditions pervasive throughout the sector, a direct result of State funding decisions.

According to the New York State Department of Labor, the median annual salary for home care aides is \$24,800.

The low wages chronic to the sector are deeply rooted and directly connected to the systemic devaluation of care work as work that is traditionally performed by women; historically, enslaved Black women in the United States.

Today the home care workforce in

New York State is 90 percent women, 75 percent

people of color, 67 percent immigrant, and the State

continues to enshrine the devaluation of care work

in its programs, practices, and reimbursement rates.

CPC, as well as its affiliated home care organization, CPC Home Attendant Program, or "CPC HAP," have four core recommendations to reform the home care sector and build a just and caring New York. CPC has been advocating on these issues since 2017.

Number one: End the Department of Labor

24-hour rule.

One of the most urgent issues in the home care sector is the 24-hour rule, where home care workers are assigned 24-hour live-in shifts, and are paid for 13 hours of work, with 8 hours allocated for sleep, and 3 for meals.

This is based on a Department of Labor rule that was upheld in a 2018 Court of Appeals decision.

CPC believes that no worker should have to be away from their home for 24-hour shifts, and that workers should be paid for all hours they work.

The State must immediately end the 24-hour rule in favor of 12-hour split shifts, where home care workers are fully compensated for each hour worked, which can only be accomplished through passing A3145, Assembly Member Epstein's bill; S359, Senator Persaud's bill.

This will cost a billion dollars a year, which is a fraction of the New York State \$212 billion budget.

Non-profit home care organizations, like CPC HAP, are 100 percent Medicaid-funded, required to comply with all of their state contractual, legal, and union agreements, and must provide the type and hours of care assigned to each client by

1 Medicaid.

They cannot simply drop existing 24-hour cases due to the Patient's Bill of Rights.

CPC HAP and many other nonprofits have stopped accepting 24-hour cases, and strongly seek authorization for 12-hour split shifts for existing cases, but are more often than not denied by the State.

This neither solves the problem for workers who must work these shifts or people that need round-the-clock care.

This is why the system must change to make 12-hour split shifts the standard instead of a rare exception.

Number two: Pass Fair Pay for Home Care, S5375, A6329.

Fair Pay for Home Care would raise home care worker pay to 150 percent of the highest regional minimum wage across the state, allowing workers to remain in rewarding critical-care jobs instead of making impossible decisions about moving to higher-wage jobs in different fields.

It would also wipe out the home care workforce shortage in less than five years, creating 20,000 additional jobs per year for the next decade,

according to a recent report.

Number three: Remove the arbitrary spending cap on Medicaid, and fully fund Medicaid to meet the needs of more than one-third of New Yorkers that rely on it.

Rather than removing the spending cap, the governor chose to cut a billion dollars in Medicaid funding in the middle of a global pandemic.

Rather than remove the spending cap, the governor has chosen to depress home care wages through reimbursement rates and cause a workforce shortage crisis.

By removing it, we can both fully fund our home care workers and the people that need care.

And, number four, last, but absolutely not least: Pass the New York Health Act, and guarantee universal long-term care from day one for all New Yorkers.

New York State has rationed care for far too long, prioritizing government austerity and private profits over our quality of life and dignity.

As a result, workers have suffered, older adults have suffered, and disabled New Yorkers have suffered, disproportionately women, people of color, immigrants, and low-income New Yorkers.

But it doesn't have to be this way. New York has the resources to fully fund Medicaid and long-term-care services to meet their growing need and its constitutional obligation to provide for it.

We don't have to choose.

We can, and we must, build a robust long-term-care system, with good jobs where workers can thrive, and seniors, disabled New Yorkers, and everyone of us who needs home care can receive the full care that we need in our homes with dignity.

Thank you.

SENATOR MAY: Senator Ramos has to leave at 4:00, and she has specifically asked [simultaneous talking; indiscernible] --

SENATOR RAMOS: I apologize. I just -- you know, I wanted to kind of see the whole of the issue.

And I agree, I mean, a big part of the conundrum of this money, you know, especially for not-for-profit organizations, and not our for-profit agencies -- right? -- is the fact that, you know, the big guy on the second floor just insists on chipping away at the money that we need in order to care for each other.

So I'm wondering, is there anything else,

aside from that, that we can help -- that we can do 1 2 to help not-for-profits achieve the solvency they 3 need in order to do the right thing for the workers? And I'm wondering if your organization has an 4 5 idea of how many of your home attendants are impacted by this 13-hour rule, 24-hour shift. 6 7 CARLYN COWEN: Absolutely. 8 So CPC HAP, which is an affiliated 9 organization --10 I'm at CPC, the social services agency, just to clarify. 11 -- has fifty-four 24-hour cases that are 12 13 staffed by about 130 workers. There are about 11,000 cases across New York 14 State, staffed by about 33,000 workers. 15 So while it is, you know, a small portion of 16 home care across the state, it's still a very urgent 17 18 issue because, as you heard from the previous testimonies, we have workers working under these 19 conditions that they shouldn't be, and in homes for 20 21 24 hours without getting compensated for all the 22 hours of their labor. 23 So non-profit home care agencies, like

CPC HAP, as I mentioned, are 100 percent

Medicare-funded [sic], which means all of the

24

25

funding is tied to Medicaid rules and reimbursements, and then, of course, they're subject to union agreements, Department of Labor laws, et cetera.

CPC has been advocating -- first, we were actually advocating, when the court cases were going through the Court of Appeals decision that I mentioned, for the State to pay back wages for the workers to make up for the gap between the 13 hours that Medicaid pays for the time in home, and then the remaining hours that, you know, Medicaid calls "sleep, and break, mealtime, hours" and doesn't pay for.

So at CPC HAP, if a worker gets interrupted, at the first reported interruption, CPC HAP pays out the full hours for between the 13 and the 24.

But that's not the standard across the industry. And I think that those are some of the problems that you heard about in the previous panel.

And the only way to make it a standard across the industry is to have a standardized across Medicaid rates.

So we were initially advocating for the state to fund back wages, which would have cost \$6 billion.

The Court of Appeals decision essentially 1 2 meant that the State was upholding its own 3 Department of Labor law. And so, since then, we've been pushing on the 4 5 A3145, which is the bill to end the 24-hour rule. That would solve the issue going forward, 6 7 but, of course, it would not deal with retroactive 8 compensation. 9 I think that -- I'm not a legal scholar, I'm a humble advocate, but I think that there's a very 10 11 difficult path, legally, to what that would look like, based on the Court of Appeals decision. But 12 13 I think there a lot of people that are more expert 14 than me on that. But that's why we're really focusing our 15 efforts on moving forward ending the 24-hour rule 16 17 and increasing wages for home care workers. 18 Thank you. And I apologize that I have to run, but 19 20 I have to go back to the city. 21 Thank you. Good to see you-all. 22 23 Good to see you, Tara. 24 SENATOR MAY: Next we have Becky Preve. 25 And you're not going to read your testimony;

right? This is like 90 pages here. 1 2 So -- okay. 3 REBECCA PREVE: Is this on? Perfect. 4 5 No, Senator May, I would never do that to 6 you. 7 First and foremost, I want to say thank you 8 to Senator May, Senator Rivera, and Senator Ramos 9 for hosting what is really a very important hearing on this issue. 10 My name is Becky Preve. I'm the executive 11 director of the Association on Aging in New York. 12 13 We're a not-for-profit membership organization that represents the 59 Offices for the Aging throughout 14 15 New York State. 16 Our network leverages over 17 1200 community-based organizations, and I'm here 18 today on behalf of this network, and the massive 19 issue we are facing with a lack of direct support professionals for our community. 20 21 The services provided by local Offices for 22 the Aging are predicated on ensuring individuals are 23 able to age in place with autonomy, dignity, 24 self-direction, and respect. 25 Our home care services are part of Medicaid

prevention, which is something that hasn't been talked about at length today, that I think is very important.

We provide home care services for individuals that do not qualify for Medicaid, but don't make enough money to actually privately pay for those services. So we're able to provide Personal Care Level 1 and Personal Care Level 2 services for anyone over the age of 60 that qualifies for our programs and services.

This distinction is important, as our services have been proven to prevent future Medicaid costs, which is what a lot of us have been talking about for years. And we also include a significant cost share to the State, as far as what skilled nursing facility placement costs for nursing home residents, and the State's share of New York State Medicaid to that program.

Our average client is actually an 83-year-old female who lives alone, who has substantial limitations taking care of activities of daily living, such as bathing, toileting, dressing, meal preparation, grocery shopping, et cetera.

The average client also has four or more chronic conditions, and they typically qualify for

skilled nursing facility-level care.

Another alternative that we see in the community is some individuals who are forced to actually spend down to Medicaid or MLTC services in order to access them.

And our network knows from our own client data that about 10 percent of people who are waiting for services through our network in New York State go directly to a skilled nursing facility without ever touching another level of care, at a very high cost to the Medicaid system. An additional 6 percent are forced to spend down all of their resources to access MLTC plans in their community.

We do know that the state savings to Medicaid just for this 10 percent equals about 70 million per year, and that the home care workforce crisis is impacting our network significantly across

New York State.

We utilize the same home care-licensed agencies as Medicaid providers, and we're already at an unlevel playing field when we start for home care services, as our traditional authorizations are much lower than Medicaid, and we attempt to serve as many individuals as possible under a fixed-budget structure.

A lot of people have talked about the demographics of aging today. I think it's really important.

New York State is actually fourth in the nation with the over-60 population.

And as referenced earlier, since this data has indicated that the fastest growing segment of that population is actually the 80-plus, and when you look at the overall growth projection through 2040, New York State's going to grow by about 1.3 percent, but the population over the age of 80 is going to see a 42 percent growth in that time period.

We also know that about 70 percent of the older population will need some form of home care during their lifetime, and that these home care services prevent emergency department utilization and transitions to skilled nursing facility care.

In addition to the explosion of the aging population, we're faced with a massive direct-worker shortage.

The number of home health aide and personal care jobs projected to rise from about 440,000 to over 700,000 by 2028.

In addition to this need, home care agencies

have to recruit an additional 70,000 aides just to replace those leaving the field each year.

In a survey done in the fall of 2020, 85 percent of participating agencies indicated worsening staff shortages.

This data is staggering when you take into account the growth in our aging population, the demand for workers, and the unbelievable shortages that we're seeing across the state.

In an effort to focus data-informed metrics regarding the economic impact of the home care workforce crisis, we worked on two research projects, which I did provide in the written testimony. They have been cited today, the CUNY report and Cornell report.

I wanted to touch base very quickly on the Cornell University report from 2018, that looked at safety net programs that direct workers are dependent upon, based on their annual salary of about \$20,000 per year.

The annual savings, if workers are paid a living wage of \$35,000, just in Medicaid and SNAP alone, would be 665 million.

In addition, the 2020 CUNY study looked at the economic spillover of increasing direct-worker

wages in two areas, so we looked at different benchmarks.

Target one was, to raise workers in

New York City to \$40,000, 35,000 for Long Island and

Westchester, and 30,000 for the rest of the state.

Again, this was referenced earlier, the cost to implement these wage increases would be \$4 billion, but the economic turnaround benefit is \$7.6 billion in return.

Target two of the study took this a step farther, which I wholeheartedly agree with. They looked at \$50,000 for New York City, forty-five for Long Island and Westchester, and \$40,000 for the rest of the state.

Cost is 6.3 billion, but when you look at the economic benefit, we're over 12.9 billion in return.

So you're looking at a net gain between 3.7 and 6.6 billion.

I also wanted to just mention, Senator May, that we have about 4.1 million unpaid caregivers in New York State. Their economic value is worth \$32 billion.

The economics of this issue are extremely important; however, numbers do not take into account the human and emotional toll that a lack of

workforce causes. This is one issue that all service providers, regardless of payer source or population base, are in agreement on.

The disability and aging communities are both dealing with an overwhelming lack of direct support professionals that leave us paralyzed to help those we were tasked with advocating for.

These workers are caring for our friends and loved ones, and are responsible for assisting with the most intimate personal-care needs. They have to be passionate about their work, extremely caring, and extremely patient. And for far too long this workforce has been marginalized and underpaid.

They are heroes, need to be paid a living wage, to ensure we are able to care for our most vulnerable populations with compassion.

SENATOR MAY: I'm going to ask you to wrap up.

REBECCA PREVE: It's wonderful to see that our minimum wage has increased in both the public and private sector.

Everyone deserves a living wage; however,

I find it troubling that I paid more to wash my car
this morning than a direct worker is paid for an
hour of their time.

Now it's time to do something about this issue.

Thank you very much.

TARA KLEIN: Thank you, and good afternoon.

Thank you so much for hosting this hearing.

My name is Tara Klein. I am a senior policy analyst with United Neighborhood Houses (UNH). We are a policy and social-change organization that represents 44 neighborhood settlement houses across New York.

My testimony will focus on the economic crisis facing non-profit home care providers due to state funding and policies that perpetuate near poverty wages, and unfairly pit home care workers and employers against one another.

Three UNH member organizations provide non-profit home care services to their communities as State-licensed home care services agencies, including CPC -- and I will echo a lot of what Carlyn shared in their testimony -- as well as St. Nick's Alliance, and Sunnyside Community Services.

Together, every year, these settlement houses provide services to over 4500 individuals and nearly 7500 workers throughout New York.

While the home care industry is comprised of both for-profit and non-profit home care agencies, these community-based organizations serve distinct roles.

Embedded in settlement houses, these organizations serve their neighborhoods with culturally competent care, and offer many important wraparound services and programs beyond home care, including early childhood education, youth development programs, adult literacy classes, senior centers, and more.

New York's home care industry is at a crisis point.

The number of older adults is growing, while demand for home care is increasing as the preferred method of care.

Meanwhile, there is a looming workforce shortage due to a systematically underpaid workforce comprised largely of women of color and immigrants.

These poor wages are predominantly due to state policies, including low Medicaid and MLTC reimbursement rates, a 1 percent cut to the sector last year as part of the MRT2 process, as well as the Department of Labor's 13-hour rule of 13 hours of pay for a 24-hour shift.

Non-profit home care providers in particular are stymied by these policies, unable to pay the fair wages they know their workers deserve due to a lack of funds.

I want to share a couple of recommendations that UNH offers to stabilize and strengthen the sector, while ensuring non-profit providers are able to remain financially viable.

These recommendations are all going to require some financial investments, but it's the State's responsibility to do this, and they really need to step up to the plate here.

So, first, we need to eliminate the Medicaid global spending cap.

Any significant home care payer form is going to require additional funding and Medicaid support.

This can't happen without eliminating the global cap.

Next, UNH supports the Fair Pay for Home Care bill sponsored by Senator May, which we've heard about today.

This would ensure that home care workers are paid uniform and fair wages across the state.

Really critically, this bill includes a funding mechanism by establishing a fund and

subsidizing Medicaid payment rates when necessary.

This will ensure that pay rates are funded through reimbursement rates and do not unfairly fall on the providers.

UNH also supports the split-shifts bill, which we've been talking about, by Senator Persaud and some [indiscernible], which would cap home care worker shifts to 12 hours in most cases.

This would really help rectify the problems with the 13-hour rule, by capping the number of hours an employee -- an employer can require a worker to work at 12 hours; cumulatively, 50 hours per week.

This would massively reduce, if not totally eliminate, the number of 24-hour shifts.

We also -- we need to end the 13-hour rule and fully fund 24-hour care.

While we believe that 24-hour shifts should be the rare exception and not the norm, and absolutely never forced, home care workers simply should be paid for every hour they work; it's very simple.

This is going to require the Department of
Labor ending the 13-hour rule so Medicaid and MLTCs
can legally reimburse for all 24 hours in a 24-hour

shift.

The State must also increase Medicaid funding and reimbursement rates to cover the full and actual hours worked, including potential overtime hours, and this needs to be done through the budget.

Next, there is a need for the State to do better data collection and evaluation.

We also believe there's a role for industry-wide oversight that can be looked at, including a short-term task force, and possibly a permanent oversight office or position.

And then, finally, a little off-course here, but I wanted to mention that homebound older adults and people with disabilities require a continuum of services and interventions to live healthy and meaningful lives.

Many people who receive home care services also, at some point, rely on local home-delivered-meals programs to combat food insecurity and receive additional supports.

But, unfortunately, the state's home-delivered-meals programs are systemically underfunded, leaving the workforce in a similar position as the home care workforce, with non-profit employers unable to pay fair wages or maintain

stable programs due to unjust budget -- government budgeting and policy decisions.

And so we wanted to thank the legislature for including \$8 million in this year's budget to address some of the unmet needs for home-delivered meals and other services.

But advocates estimate that the full unmet need this year was closer to \$27 million.

And so we hope, moving forward, the State will make a serious investment into this workforce, as well as the home care workforce.

So thank you very much.

SENATOR MAY: Great. Thank you-all so much.

I don't want to take a lot of time, but

I want to ask Becky something about, it's kind of a

follow-up to what Tara was saying.

So home-delivered meals, for example, are, seemingly, a pretty simple intervention that can keep some people in their homes. Right?

It could be just that, or one or two services like that; that if they could just get access to them, then the savings -- just speaking economically, the savings to Medicaid would be enormous, because they wouldn't end up having to go into institutional care.

So what happens when an older adult, say, 1 2 needs one or two of those services, and can't get 3 them? REBECCA PREVE: So what we have seen, 4 5 especially throughout COVID, is, essentially, a 70 to a 90 percent increase in the demand for 6 7 aging services. 8 So that's something that we were able to turn on with some federal dollars, as well as the 9 additional State investment. 10 What I can tell you, as far as access to very 11 basic services: 12 13 So, in many instances, a discharge plan from an acute-care facility may require a daily check-in. 14 Well, that's your home-delivered meal 15 16 program. 17 Could we also put in a personal response 18 system? Very, very low-budget items. Correct? 19 20 But what happens is, when you, essentially, 21 have that client, and you can't turn the service on, 22 you then stopped the safe-discharge plan for 23 miniscule dollars per day. 24 When we talk about the home care lens, what 25 we have really struggled with across the network is,

you know, we really try and prevent spend-down to Medicaid, because Medicaid, obviously, has a place that is very expensive. And we can support our customer who qualifies for skilled nursing placement, between six and nine thousand dollars per year, on aggregate.

The problem that we're running into, especially with licensed home care agencies, is -you know, there's been a lot of conversation about the direct workers themselves. But a lot of these licensed home care agencies are nearing bankruptcy and they can't, you know, hardly make payroll.

And so what they are telling us, this actually just happened in Ontario County this morning, they are no longer taking Office for the Aging-authorized cases. They're only taking Medicaid cases with extended authorization periods.

So it puts the aging network, who's predicated on saving Medicaid dollars in the long term, at a huge disadvantage, because now we don't even have access to the same number of home care workers.

And so that's something, you know, we're really working closely together as advocates.

I think this is probably the first time we've

had a consolidated "disability, aging, payer source" conversation, because we're all saying the same thing, is that this is a massive issue.

And, you know, I know many of us were here back in 2016 when we had two hearings -- one in Albany, one in New York City -- that went well over 10 hours, talking about the exact same issue, which is, we don't have a robust workforce.

"The New York Times" said in 1987, we were headed to a crisis.

We're now in 2021, we're at a crisis.

And we really need to facilitate some type of change, not only because of the economic cost, but because of the human cost to these individuals who are told, You're authorized for this service, but, we're sorry, we can't serve you.

It's unjust for the community.

SENATOR MAY: Right. Well, thank you.

I have to say, when I -- I joined the Health Committee this year, and one of the shocking things was to learn that there really are two health budgets when we do -- there's the budget that is the public health budget, that is all the preventive side of things, and then there's Medicaid budget. And we don't get to, like, do cross-accounting.

So if you're -- if you know, if you invest more in prevention, you're going to save way more than that on the Medicaid budget, it doesn't matter; we can't make that argument.

So we have to figure out how to do the smart budgeting.

REBECCA PREVE: Well, I do like to highlight the fact, that if you look from an economic standpoint, and my colleague Ilana raised this, we've all become economists -- right? -- because how else do you talk about this issue to get some teeth behind it?

And the economic lens is really something that has gained attention.

And, you know, when we worked with CUNY on the CUNY report, it is startling data, that you're going to double your money in the billions by an investment.

To me it seems, like, stop being reactive to these issues, and losing nursing home beds, and licensed home care agencies going bankrupt.

Why not do the investment on the front end to gain long-term gains, which is exactly what I know you support, as well as your colleagues.

So, thank you.

CARLYN COWEN: Can I add to that as well?

2 | SENATOR MAY: Uh-huh.

CARLYN COWEN: So CPC's social services agency has a home-delivered-meals program for seniors that we've done for years. And it's been chronically underfunded by the City and State, for years, to the extent that our senior services director calls it "Meals on Heels" because we cannot actually get the funding to repair the trucks that we would, theoretically, deliver Meals on Wheels in.

And there have been seniors on the wait list for those services for years.

And during the pandemic, we were immediately flooded with calls from, you know, hundreds and thousands of seniors that needed delivery. And there was no funding for it.

And CPC and other nonprofits found donations.

We had all of our staff running around all five boroughs, delivering meals to people; money that we'll never get reimbursed by the City or by the State.

And nonprofits have been doing that for years and years. But, during the pandemic, it grew to a whole other level.

So when we talk about the cost of

underfunding, you're not even talking about how much the State is actually underfunding the program, because you're not even considering all of the work that nonprofits are doing that will never get reimbursed.

And we have so many seniors that are on the wait list for services, whether it's for meals, whether it is for home care, or more, and it's, literally, someone's life on pause.

And we do, you know, thousands of welfare calls every week to our community members.

And we know that if we lose touch with someone because they weren't able to pay their phone bill, or whatever else, that they might not be getting the services they need; and it's, literally, someone's life on the line.

And that's not even taking into account when we talk about how much it's underfunded.

SENATOR MAY: Right.

TARA KLEIN: And I can just quickly add that, in New York City, we have analyzed that home-delivered-meals programs are reimbursed by the City about 20 percent less than their actual cost of doing business.

So programs are actually losing money on

this -- on these programs. In some cases it's 1 2 hundreds of thousands of dollars. 3 And I think, as Carlyn just mentioned, during 4 COVID it's gotten much worse, even with the GetFood 5 program in New York City. And so this is really a longstanding program 6 7 that is bubbling up and getting worse right now 8 during COVID as more people are experiencing food insecurity. 9 10 So we really need to make those investments 11 now. SENATOR MAY: Okay. Well, thank you. 12 13 Thank you-all for the work you do. And anyone else have --14 15 SENATOR RIVERA: I just will make one quick 16 comment. 17 Thank you for being here. And to -- you -- I think all of you 18 underlined something very basic, which is that we --19 you know, it's been said a thousand times, but 20 21 I guess it needs to be said one more time: 22 In this state, unfortunately, and it has to 23 do with the executive, let's call it what it is, we 24 have been consistently penny wise and pound foolish. 25 The idea that there is so much money that we

could be saving if we actually invested the money up 1 front is not an expense; it is investment. 2 3 An investment in things like, funding the workforce the right way, funding those service 4 5 providers who are actually doing -- were actually doing the work, that will actually -- investing in a 6 7 program like providing meals to seniors, et cetera, 8 all of these things are not expenses. They're all investments that will get us better communities, 9 better quality of life for those communities. 10 And if we only had somebody to follow the 11 long-term, and not just about the budget right this 12 13 second, we would actually be in a better place. Would that be accurate to say? 14 15 Okay. I said it. 16 I said it, sir. Hi. 17 They're watching, so, Hi. 18 Thank you, Madam Chair. 19 SENATOR MAY: Okay. 20 Thank you. 21 Thank you-all. And as I keep saying, thank you for the good 22 23 work you do, too.

So we have five more panels to go, and about 18 more people.

24

25

I want to urge folks, I value what you have to say, but if you can, like, not repeat things that have been said before, and really focus us on what new you have to contribute, that would be really helpful. And I will try to keep my questions to a minimum, too.

So our next panel is Claire Pendergrast, Melissa Wendland, and Jean Moore.

Why don't you just get started, Claire.

MELISSA WENDLAND: Thank you for today's conversation.

As you mentioned earlier, this is overarching with long-term care and home care, and that's exactly what we're looking at in Rochester and the Finger Lakes Region.

Thank you for your visit a couple of years ago as we were talking about the health-care workforce and what we were looking at in terms of home care.

I'm Melissa Wendland. I'm the director of strategic initiatives at Common Ground Health, formerly Finger Lakes Health Systems Agency.

We are a health research and planning organization that has served the nine-county Finger Lakes Region for over 40 years.

We work to collaborate with leaders in health care, insurance, government, business, consumers, and look at the region's most pressing health challenges.

Analysis of quantitative and qualitative data is the core of our work, and foundational to driving a fact-base understanding of issues that foster our planning and programs.

We track trends; raise awareness to the health inequities of our region faced by marginalized communities in rural, suburban, and urban areas. This includes our growing aging population and those that serve this population.

Ten years ago, in 2011, Common Ground Health, then Finger Lakes Health Systems Agency, convened the Sage Commission to development a comprehensive long-range plan for aging-health services in the Finger Lakes.

Central to that plan at the time were objectives at creating person-centered care that accommodated those 65 and older, taking into consideration their preferences to live in the least-restrictive setting, delay institutional care, and allow older adults to remain in the community as long as possible.

We worked with partners developing an interactive modeling tool that looked at the economics of what would be required in 2030.

Ten years into it, we're looking at what we've done, that's worked well, what's been successful, what's changed in the community, and what we need to focus on moving forward.

You have my report.

We've talked a lot about earlier testimony, looking at the multiple challenges that we're facing.

The key for us was, 10 years ago, we underestimated the severity of the impact of our growing population, the decline in available family caregivers, the fragmented and unsustainable methods to pay for our care, the workforce shortage, and the health-care disparities that exist among our elders.

The number and percentage of people of color in the older population is increasing even faster, and serious health-care disparities exist among our Black and Brown communities.

The city of Rochester has a 36 percent increase in its older adult population over the past decade. It's the highest rate of increase of any major city in New York State. With the highest

poverty rate of any city, at 31 percent, the number 1 2 of older adults in poverty in Rochester has 3 increased by 38 percent. Poverty among older adults is rarely 4 5 discussed, and the impact to the community is magnified as these seniors are often caregivers for 6 7 multigenerational families. 8 This is significant right now for us, 9 particularly given what has happened as a result of COVID. 10 11 There has been strides that have been made and recognized by the State from our first report in 12 13 2011, but we have a long way to go -- "a long way to 14 go." And we would like to take this opportunity to 15 say a crisis is a terrible thing to waste. 16 17 We would love the opportunity to come together and partner with you. 18 19 I thank you for sharing and reading the 20 report. 21 There's two things that I want to walk away 22 with today. 23 The highest demand in health care in our

It is essential for fair pay and competitive

Finger Lakes Region is home health aides.

24

25

1 wages for these essential workers.

The continuation of the decade-long struggle to fill direct-care positions and stabilize our essential workforce needs to improve.

I'd also like to say that we recognize long-term-care costs are unsustainable for governments, and the vast majority of people lack the resources to privately pay.

We have recommendations of what to do, and that's included in my report.

Finally, I would like to say, long-term care needs to be a person-centered coordinated and seamless across all various care-delivery sites, with a focus on supporting those that we are serving in our most vulnerable populations.

Thank you.

SENATOR MAY: Thank you.

And, Claire.

CLAIRE PENDERGRAST: Hello.

Senator May, Senator Rivera, such an honor to be here. Thank you for the opportunity to testify.

My name is Claire Pendergrast. I'm a Ph.D. student in sociology at Syracuse University. I'm also a graduate fellow for the Lerner Center for Public Health Promotion.

My research focuses on the aging network,
which as Ms. Preve just described, is a

which as Ms. Preve just described, is a comprehensive network of service providers that aim to keep older adults in their homes and communities as long as possible. And, often, that is something like a home-delivered meal, or a home repair, that can enable someone to be more mobile around their house, rather than having a fall that could end them up in the hospital or in a nursing home.

And so in my remarks today I will summarize my recent research on the value of community-based services for older New Yorkers, their families, and their communities, and public budgets.

Per request to skip redundant things, I will not tell you about exactly how much the population is aging.

I will say it is aging faster in rural areas.

And that that's an important conversation because, when a larger share of the population is older, and also the working-age population is declining, folks moving away for work opportunities or education, there's often a growing care gap, where family members who would love to support their relatives simply are not there.

And, similarly, as we see demographic trends,

there is a growing care gap amongst the population as a whole, because folks having fewer children means the ratio of potential caregivers to folks in need of care is just untenable. And that requires us to acknowledge the unpaid care that family members have been providing for so long. And that will fall onto formal services.

And so community-based services address a continuum of care needs, and I think that's really important because, for many folks it is in-home care that is on a daily basis; but, for others, a very small investment that can provide these supports, can keep someone from having this precipitating health crisis, requiring institutionalization, which can also reduce strain on family caregivers who often are doing a lot work, but simply can't shoulder it all by themselves.

And research also supports that that is skillful and emotional labor, particularly navigating dynamics with family members who are there, encouraging folks to accept services they might be initially averse to accepting, because it acknowledges a lack of independence, and that's challenging.

So the interviews I've done, I've just been

pretty struck by the trust that is built from kind of an objective source of information, who can recommend the appropriate care, and kind of guide people through that process.

Or, I did an interview with someone who had a client with a raccoon that was coming up through her trailer, and she needed a repair. And the process of getting her to the appropriate repair agency was a whole ordeal. And she was patient and kind, and felt as though her support with that solved a problem that otherwise never would have been solved, and could have been a precipitating health crisis.

And per previous conversations, several studies have shown that there is definitive Medicaid savings from investments in the Older Americans Act, home-delivered meals specifically, and also in-home care.

A Brown University study found that

Title III, which includes meals, spending on

Title III programs saved \$109 million in the

Medicaid budget. And that's probably increased

since that study was done by keeping low-care-need

folks out of nursing homes.

And it is also really important, as we have heard a lot, that investing in the home care

workforce is critical to keeping folks in their home communities -- homes and communities.

That's especially important in rural areas, per previous conversations, that family members might be less available there, but, also, there are fewer working-age folks to hold those jobs.

Also in my interviews I have heard that, given the low wages home care-makers receive, they often can't maintain vehicles in the way that they would like to.

There are long travel times between clients. Folks mentioned care workers not being paid for their travel time.

And so all of these add up to what is already a crisis. And I think that a holistic policy solution is needed, but specific attention to the needs of rural communities is really important.

In summary:

New York State's population is aging, and so this increasing demand means we need more home care workers, and just community-based services.

And investing in those proactively is critical. They have been underfunded, and that is a real cost just to people's everyday lives. Their families who will pick up those care needs when they

```
possibly can, and that is a cost to family members,
 1
 2
        as COVID has shown us.
 3
               And, thank you for the opportunity to
 4
        participate in your deliberations on this important
 5
        topic.
               SENATOR MAY: Great. Thank you both.
 6
 7
               Any questions?
 8
               SENATOR RIVERA: I'm good.
 9
               SENATOR SERINO: Thank you.
10
               MELISSA WENDLAND: Thank you.
11
               CLAIRE PENDERGRAST:
                                    Thank you.
               SENATOR MAY: Okay. Appreciate your waiting
12
13
        till this point in the day to do your testimony, and
        being timely about it.
14
15
               Are you Jean?
               Is Jean Moore here?
16
17
               No.
18
               Then we'll go on to Panel 6: Bryan O'Malley,
19
        Tania Anderson, and Heidi Siegfried.
               Just jump right in, Bryan.
20
               BRYAN O'MALLEY: Hi. Good afternoon.
21
22
               It's a pleasure to see you-all in person,
23
        finally.
24
               Bryan O'Malley with the Consumer Directed
25
        Personal Assistance Association of New York State, a
```

statewide association working to improve access to and the quality of the state's consumer-directed personal assistance program.

I want to thank you for having these hearings. They are an important continuation of the Senate's critical leadership on this issue.

And, you know, New York's status as the epicenter of a national home care workforce crisis is well-documented, and the real-world impact of that is readily apparent.

The full scope has been detailed by others, and I'll only reinforce one point: That in many counties there is no longer even a pretense that Medicaid recipients have a choice of their home care services.

People are referred only to consumer-directed personal assistance, whether they want that or not, whether they're a good fit for that or not, and the home care just is not an option in the traditional personal-care side.

We obviously support consumer-directed, but it is not for everyone, and we think people should get the services they want and need.

Federal dollars are critical to this conversation, but they're not the only part of the

conversation.

Unfortunately, we saw that with DOH's recent application to CMS on how it proposes to spend the previously increased federal funds under ARPA.

These funds demonstrate that they're not merely aware of this problem, it is likely an intentional barrier that's been put in place to artificially limit the growth of these services.

Indeed, the DOH argued that they should be allowed to use \$415 million of this funding to pay for the, quote, natural growth of CDPA and personal care because, and I quote:

"While the growth rate of these programs has remained high, structural fractures, such as workforce capacity limitations, have served to limit that growth.

"However, by permitting New York to address many of these structural factors, and promote the capacity and accessibility of HCPS, funding under ARPA will work to create natural growth in PCS and CDPAS based on pertinent minimum-needs criteria."

In other words, people who are otherwise eligible will actually be able to get the services they're eligible for.

These structural barriers were recently made

worse by the increase in the fast-food minimum wage outside of New York City.

In anticipation of the July 1 increase upstate, CDPAANYS surveyed consumers, and published an issue briefly detailing its impact, as well as the impact of various potential solutions.

What we learned was that nearly 90 percent of workers upstate earned less than the fast-food minimum wage, with two out of three earning the standard minimum wage, totaling \$2.50 per hour less.

70 percent of consumers cited low wages as the reason that their PAs quit, and almost half of the PAs in the region have warned their consumers that they plan to leave in the near future specifically for higher-paying jobs in fast-food.

These low wages are the result of an overall disinvestment in home care that's seen Medicaid reimbursement rates stagnate for over a decade.

Fee-for-service reimburses below cost, while managed-care plans operate with little accountability or transparency, and routinely cut rates to unsustainable levels, insisting that agencies pay minimum wage, and failing to pay for basic legal requirements such as overtime or wage parity.

1 Additionally, within CDPAANYS, the

"per member per month" reimbursement rate, implemented with no distinction between the inherent cost differences on where in the state an FI is operating, has meant agencies are forced to further cut wages.

And to be clear, this was the goal.

In fact, the purpose of all of this disinvestment has been to force down wages and create structural factors that limit natural growth, and it has worked exceedingly well.

Fixing this requires a bold investment in the entire home care system.

Fair Pay for Home Care accomplishes this.

Others have detailed the specifics of the legislation, but the establishment of a minimum home care wage of 22.50 per hour is critical.

A recent issue brief identified that

90 percent of consumers upstate and 80 percent of
those in Long Island and Westchester said that an
increase in wages would be more effective at helping
them recruit and retain PAs than increases in
benefits or the provision of transportation or
training, other policy ideas currently being
discussed.

Fair Pay for Home Care is also important because it invests in the entire home care system, for the first time ensuring that the wage increases are fully funded by both DOH and managed-care plans, using data already filed by providers to create a minimum rate of reimbursement based on an average of actual costs in a region.

It also funds other expenses incurred by the providers, but I will wrap it up.

SENATOR MAY: Okay. Thank you.

HEIDI SIEGFRIED: Uh, yeah, hi.

Good afternoon.

I'm Heidi Siegfried. I'm the health policy director at Center for Independence of the Disabled in New York, and our goal is to ensure full integration, independence, and equal opportunity for all people with disabilities by removing barriers to full participation in the community.

And so while our mission is to help people access the care and services they need to live independently in the community, and our Open Doors program specifically gets people out of nursing facilities, we also advocate for elderly and disabled people in nursing homes, assisted-living, and other residential settings to ensure their

rights to quality of care, quality of life, and dignity.

So because -- you're not going to find many independent living centers that aren't going to advocate for long-term-care, but our main mission is still the home care workforce, though. That's what I'll try to talk about first.

Yesterday we marked the 31st anniversary of the signing of the Americans with Disabilities Act, and that's our civil rights statute, that gives us the right to participate and benefit from all aspects of society to the same extent as our non-disabled peers.

And, of course, the landmark Supreme Court decision, Olmstead versus L.C., written by Ruth Bader Ginsburg, further requires that that care be delivered in the most integrative setting in the community, which New York has really been struggling to meet its obligations under most integrated settings.

People with disabilities need access to a readily available and robust home care workforce in order to exercise these rights.

And, unfortunately, we've had to exercise these rights in an environment of increasing

austerity, going on for decades, actually, caused by tax cuts for the wealthy.

So 10 years ago we began dealing with the global spending cap in the Medicaid program, and, also, dual eligibles that needed long-term care were required to enroll with managed long-term-care companies. And they -- that's really been what has driven sort of the lack of access to home care.

I mean, it is also the workforce, but it's also the managed long-term-care companies that are denying adequate hours to care, and that's the care that's needed to avoid institutionalization.

So the thing is, though, when you do the appeal, get your right to care, you get the hours authorized, the question becomes, then how do you fill those hours?

So it's still a problem; it's a problem that has spread from upstate down to New York City, and it has, you know, real consequences.

Now, we've had a couple -- in the past few years, we've had a couple of different stakeholder groups.

We had one in New York City, we had one in New York State, plenty of meetings down in Meeting Room 6, and all over the place, and all the

stakeholders were there, but they were still operating within that box of austerity.

No additional money, you know, and all of the recommendations always had to be sort of around the edges.

And that's what we're still seeing for recommendations. Right?

So, I mean, we really feel that there has to be this investment.

Sydney really did join the Fair Pay for Home Care campaign this year in a big way.

And I'm glad that Bryan mentioned about the American Rescue Plan, because we finally -- you know, we've been saying all year in our spring meetings, you know, Watch this, how they plan to spend this billions of dollars coming into New York State.

Because we saw, with the Community First

Choice option money that we -- disability community

fought for that money, that we never were able to

find out whether or not that was spent correctly.

And New York threw that money down, and, you know, we don't know what happened to it.

So Bryan mentioned one of the problems.
We've also seen the other problem that we

just exploded over, was the giving \$55 million to nursing homes to, supposedly, train workers to recognize clinical improvements, to allow discharge.

That is not a criteria for getting out of a nursing home, "clinical improvement."

And it just shows that the Department of Health does not really understand this issue.

So they did say that, in giving out this money, you know, hundreds of millions of dollars to managed-care companies to do these little around-the-edges things, that they would have some kind of criteria, some kind of quality measurement, for them to be able to access these dollars.

And people with disabilities should be included in that quality-measurement piece, so that, you know, they really know what they're doing, and you know, that they're measuring the right things before the money is distributed.

So that's -- I mean, I really haven't gotten to the nursing home care, but I will say, you know, we also are concerned about the dumbing-down of the safe staffing bill which we worked on this year.

And, you know, we're monitoring what's going to happen with the requirement to pay 70 percent of your dollars on direct care, and -- well,

```
70 percent -- the 70/40 that they're -- we're going
 1
 2
        to have to monitor that.
               And the final thing is that, the Public
 3
 4
        Health and Health Planning Council approves when
 5
        these nursing homes, nursing facilities, change
        ownership, merge, close, whatever.
 6
 7
               And, you know, I go to those meetings.
 8
               And there are very few consumer reps on that
        body. It's is mostly industry-dominated.
 9
10
               SENATOR MAY: Okay. I need you to wrap up.
11
               HEIDI SIEGFRIED: But it should -- I mean,
        that is a place where we could oversee these nursing
12
13
        facilities.
14
               And they are now sending to the
        long-term-care ombuds program when these nursing
15
16
        facilities file.
17
               So it will be interesting to see what happens
18
        with that, because that will be an opportunity to,
        you know, follow it up.
19
20
               SENATOR MAY: Okay. I need to cut you off.
21
               Thank you, though.
22
               Tania.
23
               TANIA ANDERSON: Hello.
24
               I got the trick microphone.
25
               But, nice to see you. Thank you.
```

Thank you for having these very important 1 2 hearings. 3 My name is Tania Anderson. I'm the CEO of ARISE. We're the independent living for 4 5 Central New York. And we, since 1979, have served more than 6 7 7,000 people annually of any age and any disability. 8 At ARISE we actively work to transition people out of institutional settings, and give them 9 10 the supports they need to stay in the community. And we save New York State at least 11 \$1.5 million annually. 12 13 Collectively, our ILC Network saves New York 14 \$125 million each year. Even during the pandemic, ARISE's Open Doors 15 transition center was able to bring 48 people out of 16 17 nursing homes in 2020. 18 Much of the focus on this hearing has been on 19 the dedicated workers providing assistance with respect to medical needs and activities of daily 20 21 living. And that crisis is certainly in those 22 areas. 23 I want us to be mindful that the crisis of 24 care also extends to staff who are supporting

individuals with developmental disabilities to have

25

1 access to their community.

The work of ARISE really depends on direct support professionals.

And let me join in the chorus of voices that are saying that increased pay for this work is absolutely necessary.

I want to give you some examples about how this crisis is impacting the programs at ARISE.

We're one of the founding providers of the CDPAP program, and we are the fiscal intermediary for about 300 consumers. And when these workers disappear, there's a crisis.

For example, we had a consumer in our CDPAP program. He was approved for 80 hours of support.

When COVID hit, two of his three staff left because they could make more on unemployment. So he was left with one staff person and 40 hours a week.

Couldn't get the care.

ARISE tried to find staff, and failed.

He went to another fiscal intermediary, tried to find staff, and failed.

This man was actually, during the transition, left without any services for a period of nearly a week.

He had a health crisis, ended up in the

hospital, then transitioned to a nursing home, and that's where he still is.

That's one tragedy of many during this crisis.

And every -- we currently have 30 people that are approved for hours, and are not receiving any services because we cannot find the staff.

We have tried direct hiring, we've tried bonuses, we've tried referral programs.

Competitors -- providers are competing against each other for a pool that isn't there.

At least twice a month we get referrals from managed-care companies, offering us 30 to 40 consumers if we can find the staff, because they cannot find the staff.

ARISE also operates the regional resource development center across eight counties, and this program serves 400 people, and has a network of 50 providers, [indiscernible] traumatic brain injury and nursing home transition and diversion waivers.

This program also is designed to keep people with adequate supports in their community, and this program also is struggling to staff the cases.

There are at least two folks in this program that have gone to nursing homes because we couldn't

1 find the staff.

Families are filling in the gaps at their own personal expense to their careers and their lives.

It's just not sustainable.

We've got staff that have been in this program from the beginning, and they say this is clearly the worst it's ever been.

And what they're hearing universally is the lack of pay.

We have programs that support people one-to-one in the community with developmental disabilities.

And we have 120 people who are qualified to receive services, that we cannot find the staff.

That's 42 percent of the people that we serve in this program.

And these are people that are sitting at home rather than working, or accessing the library, or shopping, or gaining the independent living skills that they need with a little support.

And these are not high-cost cases at all.

These are people that maybe have as few as one to four hours a week of direct-support professional care.

One of those people is actually my daughter

who has a developmental disability. 1 2 And I can tell you firsthand, the struggle in 3 terms of finding direct support professionals to support her; but more importantly, can I tell you 4 5 about the very critical work that these people do. You've heard very compelling testimony from 6 7 the workers themselves. 8 They are not in this for the money. They're in this because they care very 9 10 deeply. 11 This is highly skilled work, and our society is not recognizing it as such. 12 13 I also want to touch on the impact on ARISE 14 as an employer. 450 of our staff are direct-care 15 professionals, and our turnover rate is 30 percent. 16 17 At a cost of onboarding staff between 4,000 18 and 6,000 a piece, the churn is costing us at least 19 675,000 a year in an industry where there is absolutely no margin. 20 21 I urge you to continue to consider this issue 22 carefully, as I know you have, and thank you very 23 much for your time. 24 SENATOR MAY: Thank you.

Thanks for coming to Albany to share that;

25

and all of you, thank you so much. 1 2 I don't have any questions. 3 SENATOR RIVERA: I'm good. SENATOR SERINO: 4 Thank you. 5 SENATOR MAY: Thank you so much. Panel 7: Jeanne Chirico, Katelyn Andrews, 6 7 Kathy Febraio, and Al Cardillo. 8 JEANNE CHIRICO: Thank you for this opportunity, Chair May and Chair Rivera. Thank you, 9 10 Senator Serino, for being here. My name is Jeanne Chirico, and I'm the CEO 11 and president of the Hospice and Palliative Care 12 13 Association of New York State, and I understand the crisis of time that we're in. 14 So I'm just going to share a little bit 15 off-the-cuff. I'm not going to read my remarks to 16 17 you, because prior to accepting the role as 18 president of this hospice association, I spent the 19 last 25 years -- okay, almost 30 in the health-care 20 arena in various leadership positions. 21 So I feel quite confident in my ability to talk to you regarding the needs of the workforce. 22 23 I ran a licensed agency for 15 years. 24 I was a hospice administrator for 15 years. 25 I also ran part of the certified home health

1 agency.

So I understand all the regulations that fall under the Department of Health line of business.

 $\,$ And I sincerely love the people that worked with me.

When I first started I had 500 aides under my licensed agency. And by the time I was finished in my role there, we were down to just about 200 aides.

And I feel like we were very progressive.

We're in the Finger Lakes Region. I was a part of
the Sage Commission that Melissa spoke of.

I met with you, Senator May, when you came to Rochester.

We offered health insurance, retirement, tuition assistance. We offered personal days, vacation. We kept up with the minimum wage, and went faster than we were required to within upstate New York. We were at the max, equal to the food workers. We did not want to risk that.

And even with all of that, we could not keep up with the workforce demands, and I think this is for a variety of reasons, and I believe you've touched on many of those already today.

But I want to talk as somebody who was responsible for running the organization, and I have

some very real fears about the fair pay wage act.

And I'm -- I believe in it, and I want to see it happen, but I also realize that if I were running the licensed agency right now, I would be scared to death because of the compression factor that would happen for the rest of my employees when you raise that wage, and how would you make up that difference?

It would have to come out of your bottom line, and there isn't one right now.

As a hospice worker, I'd be scared to death because this is a Medicaid -- kind of Medicaid-supported initiative, where the reimbursement would come out of the programs under Medicaid, where hospice serves 95 percent of its patients under Medicare. But you can't divest the two. You have -- if you're going to get a workforce, it doesn't matter whether it's a Medicaid patient or a Medicare patient, your aides need to receive the same amount of pay.

And there is a lack of ability, and there is no reinforcement that's coming, reimbursement that's coming, under Medicare right now.

So because of these issues, amongst others, including the -- what's been recently called the

"minimum-wage paradox," where increasing the minimum wage, as you stated earlier, may result in the State saving money, because 200 -- excuse me -- about 40 percent of the aide workforce is receiving benefits through the state for childcare, food stamps, housing.

Those benefits may go away, or be greatly reduced.

And in the end, what is the actual benefit to the worker?

How much money do they then have to put out for childcare and for other supports?

And so what I am suggesting in my proposal is that we do that, plus.

That there is some minimum wage efforts that happen, but there's a bigger effort that creates a center, a workforce center, where all of us can come together with these great ideas and be able to work it out so that implementation doesn't devastate, it doesn't harm; it actually helps.

Because what if there are recommendations that came out of this center could include things, like, essential workers get a waive on the income limits that are associated with the benefits that they're receiving from the State. Instead of trying

to make up the money, add the money to that.

So I see an opportunity for all of us, including the interfaith communities; the CBOs (the community-based organizations) that were talked about so eloquently; the associations; the Department of Health; OHIP, who covers the managed long-term-care programs; and these things, all to be a part of this center of excellence for workforce in the community.

So I know that I'm at my time limit already, and I appreciate that.

I would love to be a part, our association and our members would love to be a part, of a discussion that helps address some of these gaps that are there.

SENATOR MAY: Thank you.

KATHY FEBRAIO: Hello.

Thank you for convening this event.

I greatly appreciate the topic being addressed.

I am Kathy Febraio. I am the president and CEO of the New York State Association of Health Care Providers, and we represent the spectrum of home care providers across New York State.

I would like to say, first and foremost, that our home care agencies are very proud of the work

that they've been able to do to keep people safely at home, particularly during this pandemic, with little to no additional support.

Some of the stories that were mentioned earlier today were very disturbing and quite egregious.

And I want to point out that the vast majority of home care agencies are doing the good work, and that by doing so, are actually in a very difficult financial position as they comply with labor laws, et cetera.

But we also have a once-in-a-lifetime generation -- once-in-a-generation opportunity to make significant improvements to the state's home care system with Washington's investment in FMAP of \$1.6 billion in New York State.

We would like to recommend flexibility in the use of those funds to meet the unique needs of home care providers and their workers across the state.

We recommend that funds be directed, or a direct payment mechanism be set up, to ensure that the maximum amount of funds are made available to workers.

Senator May, earlier you mentioned that you have heard that agencies are retaining funds and not

getting them to the workers.

We see a different story, and we don't see the funds get to the agencies.

But some of the examples we would like to see for those home care agencies to use with that flexibility, is to potentially pay workers a bonus for time spent in initial training, paying hazard-pay bonuses to aides, or paying retention bonuses to those that have longevity and made a commitment to the agency.

We would also recommend that investments in proven strategies, like ACPs, creating a legacy-of-care mentorship program be allowed for use of these funds.

I'm very aware that many of you have made recommendations for DOH to use this additional funding to increase wages for the home care workforce.

And we strongly agree that increasing pay for home care workers is of the utmost importance.

But I will agree with Jeanne that there are other effects this could have that we have to be aware of.

We have to make sure that the employers, the providers, are kept whole, and not expected to dig

into their own pockets to pay the other pieces of the wage component that unemployment insurance, FUTA, you know, the list goes on, and unfunded mandates, where we have to provide training, orientation, health assessments, in-services, that are all paid for through this hourly wage that they contract with, with the MLTCs and the managed-care organizations.

And the safety net situation Jeanne brought is up critical.

We don't want to push people into a position where they are cutting their hours in order to secure their safety net benefits. And that only increases the number of aides that are going to be needed in this system.

A little bit about our mentorship pilot program that we would like to see leveraged, is we created a peer-to-peer program, where experienced caregivers were acting as mentors to newly hired individuals for their first 90 days of employment.

And for their services in that program, they were paid a weekly stipend, and given additional recognition at the agency as a leader within their agency.

So it was a career-ladder step for those

individuals.

And what we learned through that program was that turnover rates at the agencies with this mentorship program experienced 170 percent lower turnover rate than two dozen agencies without a mentorship program.

It really provides a connection to the workforce out in the field back to the agency.

It connects them to someone who's been there, who's done that, and who can provide individual support and recommendations, and care for that new hire.

And we would really like to see more investment made in these programs. It was funded through one of the WIOs.

Those WIOs are now gone, so we are seeking grant funding to continue the support, but we think it deserves more than that, and that we shouldn't have to go out and beg, borrow, for funds on a proven program.

So thank you.

SENATOR MAY: Thank you.

AL CARDILLO: Thank you very much, Senators.

Thank you for holding this hearing today, and inviting Home Care Association of New York State

testimony.

 $\mbox{\sc I'm}$ Al Cardillo. $\mbox{\sc I'm}$ the president & CEO of the association.

I also want to, you know, really start off by really thanking you for the work that you've been doing the last number of years in support of this field.

You've really made this -- this field really has needed a champion, and you're all really working extensively to try to champion these causes.

They are very complex causes, and I think from the testimony that you've heard today, it's been really clear, all of the various factors that really go into producing the challenges that exist in our workforce.

Now, in my testimony I presented you with some statistics, I presented you with lots of recommendations for how we might go forward.

And I would like to just frame that in a couple of ways here in this opportunity here now.

What we're hearing from providers across the state, and professionals, is that, you know, there has been a workforce shortage in home care probably since the '80s.

I mean, I know I worked on a task force

related to home care shortage in 1986 and '87.

So it's never really gone away, and one of the reasons is actually a good reason: It's because home care works.

Home care has been worked, in a state like

New York, into the fabric of the system where it's

not just long-term care, although that's a big part

of it.

Home care provides post-surgical services, preop services, maternal and child health, major medical management, public health services, asthma screening. You know, really across the continuum of need, home care is providing services.

It's aides, it's nurses, it's therapists, it's social workers, and case managers, and so on.

So it's really a very extensive team.

So because the system works so well, and because policies have really been created to try to divert patients from hospitals, and nursing homes, into home care, the demand is burgeoning; and it's a good demand. But there's been really a chronic understaffing of the system. This has gone on and on.

In the pandemic it's really reached emergency proportions. And no matter who I talk to in the

state, and we've been speaking to providers and groups around the state for the last month, they describe this as an emergency.

A provider told me today that he's had one recruit in four months walk into the office to seek training, or to seek potential position.

And, again, we know this really cuts across all of the different disciplines.

In looking at the situation, our recommendations focus on the need for some immediate help, immediate relief.

What kinds of things can we start doing now to make a difference?

At the same time, we need to look at, really, a multitiered plan.

Something that looks -- clearly, we've heard a lot about funding today, but there's issues of funding. Issues of creating interest in entering this field from the beginning; pipelines from high school, from college, from professional schools, to be interested in coming into the field.

Regulations in state programs, which ones are counterproductive to the workforce, and counterproductive to the efficiency of the staff, versus being supportive of that arrangement?

What provisions do we have for technology, and the support of technology for workers, for patients, and so on?

So, truly, it really cuts across the entire board in terms of a comprehensive plan.

I also think -- you know, as you approached the hearing, you've looked at nursing homes, assisted living, home care, other.

I think, in the case of some of the responses, it would be really important to determine what kinds of responses are common across those fields that the legislature and government could support, so that we have more of a unified kind of a program to assist wherever that patient is, if they're in a nursing home or home, because what we don't want to do is maybe pay them more in one setting, so now they can't get into the other setting because you don't have competitive salaries.

So I think it's important to look across that; look at the training, look at the educational requirements, and then also look at the very unique aspects that relate to home care, assisted living, adult homes, nursing homes.

And I think from that, I think a very good package of ideas and proposals, you know, can

emanate.

Some of the things that could be done right now, Senator Rivera, you have legislation that would provide support for home health agencies that have not had a base-year increase in five years, and are trend factor increase in over ten. And Jeanne spoke to that issue.

There's also the situation where, you know, in the case of your legislation, you know, Senator May, that is really looking at, how do you increase the target amounts that we should be providing to workers so that they're working for a wage that really reflects the value of the care and the meaning of the care that they provide?

Senator Serino, you've sponsored legislation that creates a multistate agency task force to look at the marketplace, and try to decide, well, what should the wage be set at to really help it make a difference? And how do we promote interest in this field across the state?

These are things that are very, very much within our grasp now.

I want to mention one other thing. It seems a little off-topic, but it's not, and just this one last piece.

You've heard a lot in the budget process about the request for offers (the RFO) for licensed agencies and fiscal intermediaries.

That RFO has not been released yet.

That is going to create a calamity in the system if -- if -- once that starts being implemented, and licensed agencies start actually being cut out of the Medicaid program.

We would ask you -- we asked if you would repeal the RFO, but at least table that, and let there really be a -- I think a more rational look at how to regulate the agency supplying the state, so we don't undo the workforce and don't undo the patients.

As the same with the FI.

I will conclude.

SENATOR MAY: Thank you.

And I will just respond about the wage compression, that -- I can't remember, I think it's in the Investing and Care Act, that we really thought about that issue, and how do you bring up those at the bottom without disadvantaging the next-level workers, and that sort of thing?

So it's on our radar, for sure. But I appreciate you bringing that up.

JEANNE CHIRICO: Thank you. 1 2 Just --3 SENATOR MAY: Senator Serino, go ahead. JEANNE CHIRICO: -- well, I just wanted to 4 5 add the disappointment in the Department of Health proposal, from the recent determination of how 6 7 they're going to use the home and community-based 8 services money, that hospice was not even mentioned within that. And home care got a little wink and a 9 10 nod of opportunity to apply for a grant under transportation. 11 I understand that doesn't diminish the needs 12 13 in all the other areas that were in there, but I'm just bringing it up as, a lot of work left to be 14 done on the other side. 15 SENATOR MAY: 16 Thank you. Go ahead. 17 SENATOR SERINO: And thank you so much, 18 Jeanne and Kathy and Al. 19 20 Al, you're always the hero here, with 21 everything that you bring up. 22 And, Jeanne, what you said like with hospice, 23 how we've had -- you know, you had 500 people at 24 first, and now you have 200. 25 And I think that everyone here agrees that

the wages and benefits must increase to attract and keep the workers.

But like you said, we need to ensure that, in trying to help one group, we're really not inadvertently hurting the New Yorkers who utilize these services, our seniors, our most vulnerable.

And I really appreciate you reminding everyone of the need to proceed expeditiously, but in a way that we don't have inadvertent consequences.

And I love what you said, too, Jeanne, about the center for excellence for workforce.

You know, you guys, you guys, all have skin in the game. And, really, to get something done, I think we really need to have a unified front and hear from everybody.

You know, like we heard some stuff today, but to have these conversations, and really delve down into it to see what we can do.

JEANNE CHIRICO: And I think it is unfair to ask the legislature to understand all the nuances of -- and the implications that are in this workforce.

And to have you be a part of conversations, larger, with all the other stakeholders would be

1 amazing. 2 SENATOR SERINO: It would great. 3 Thank you very much. Appreciate everything that you do. 4 5 SENATOR MAY: Thank you, all. 6 All right, we're up to Panel 8: Dana Arnone, 7 Honorable Christine Pellegrino, Faigie Horowitz, and 8 Jim Hurley. Start with Dana. 9 10 DANA ARNONE, RN: Sure. Thank you very much for the opportunity to 11 sit before you and have this conversation, and it's 12 13 been very, very long overdue. 14 My name is Dana Arnone. I am a former home health aide who put herself through 15 16 Suffolk Community College. I am a registered nurse for more than 30 years. I am the proud owner of 17 18 Reliance Home Senior Services, which is a small 19 LHCSA, with about 300 home health-care employees, and we are proud members of 1199 union. 20 21 And I'm very happy Rona's here, and she's on 22 my side. So... 23 My history as a former nurse's aid gives me a 24 unique perspective and understanding, as well as a 25 great empathy for both the patients and the

employees.

I have devoted my entire career, and my life, to home health care and its total reform.

I am here in solidarity with the caring majority, and stand by my employees, in support of fair pay for home health caregivers.

It is beyond frustrating that we are sitting here debating the concept of human infrastructure.

It's unbelievable to me, every time I hear that bus drivers may receive 23 to 26 dollars an hour, the fast-food workers receiving \$15 an hour, and we have to beg for our aides and our workers who care for human lives to make at least a living wage.

The pandemic has brought to light many issues that have been swept under the rug for years, the most important being that there's just not enough caregivers for the number of homebound patients.

The need is growing exponentially, and it is only going to get worse unless extreme action is taken.

It really is the perfect storm.

A recent statewide survey of home health-care agencies found about 23 positions were left unfilled due to staff shortages and, as a result, agencies have been unable to accept nearly 30 percent of new

1 cases.

How are we, the agencies, supposed to survive?

And I just want to add, as I'm sitting, listening to the testimony, my office -- I'm having a conversation with the director of my office, and from today, until this weekend, we are down 50 workers that we have to staff the cases.

And we don't have them.

So what's going to end up happening is, we're going to end up calling our families that we care for, that they're going to have step in, and either take care of their patient -- or, their loved ones, or go to work, or abandon them.

So we have this constant -- we sit down and talk to them every week, and we're begging them to stay with us and ride through storm together.

Once they hear that we can't staff their cases, a lot of families think that they're going to have more opportunity for workers on other agencies, and then they find out that it's the same situation across the board.

So that's just, as I'm texting back and forth, I'm listening to them, they're, like, panicking in the office. We sometimes stay there

until 8:00 or 9:00 at night, trying to staff these 1 2 cases for our families, and it's impossible. 3 So -- let me just go back. So with the decrease of the employees, that 4 5 we still have to care for our patients. Overtime in my office is up about 20 percent. 6 7 It is unsustainable. 8 So you're going to find more and more agencies, especially small LHCSAs like myself, 9 10 they're going to be closing. The governor constantly references that we 11 are New York [indiscernible] -- "New York Tough," 12 13 but we really should be "New York Cares." 14 I find it completely unacceptable that across New York State -- I'm going to cry -- the elderly, 15 disabled, and homebound are going without water, 16 17 food, medication; they're not being dressed, bathed, 18 and they lack basic human contact. 19 People wonder why we have such a high 20 hospitalization and re-hospitalization rate among 21 this population. 22 They are not being cared for. 23 I can attest that my agency is doing 24 everything that we can to retain and attract home

25

health aides.

Reliance, my company, provided an extra \$2 an hour to each aide as soon as we were granted the PPP funds.

We participated in the 1199 union successful transportation pilot program, which they're still -- my aides are talking about.

We Uber aides to hard-to-staff outlying locations just to provide safe, consistent care to our families.

We provide scholarships to PCA school for those interested but are unable to afford the certification.

I myself have gone so far as to start a not-for-profit called All Things Home Care so that we can help other small agencies like myself, and that are experiencing the same issues.

We celebrated our home care workers every day when they were mostly forgotten and abandoned by the media.

Home health caregivers are essential to the most fragile, the elderly and the disabled.

The homebound deserve to be in their home and deserve consistent care.

We need to acknowledge that people matter, the patient as well as the caregiver.

There is no one more essential than that 1 2 worker who is caring for you in your home. 3 Consistent -- oh, I'm sorry. We believe that there needs to be fair pay 4 5 for differentials on Sundays and holidays because these are the most nearly impossible times to staff, 6 7 and the burden falls upon the agency. 8 We believe that nursing students should be 9 rotated through home care. 10 As -- we at Reliance took it upon ourselves, 11 we have developed a syllabus. We presented it to Farmingdale State College. And we are ecstatic to 12 13 say that nursing students will be starting their 14 clinical rotation through Reliance Home Care this 15 fall. In addition, we feel that it is valuable 16 17 to --18 SENATOR MAY: I have to ask you to wrap up, 19 Dana. 20 DANA ARNONE, RN: What? Wrap it up? 21 Okay. 22 In addition, we feel that it's valuable 23 that --24 This is actually really important, it's just 25 my last point.

-- that we -- there is an opportunity in 1 2 nursing students, when they're going through the 3 first year of nursing school, they are completing fundamentals of nursing, which is, basically, the 4 5 core curriculum for the PCA home health aide certificate. 6 7 If we can just tap into those nursing 8 students, we could have an immediate workforce, we can have a valued workforce, and we can start 9 10 [indiscernible] nursing students to be given their opportunities with in-home care, and really starting 11 to change the perspective that they have. 12 13 Thank you. Thank you. SENATOR MAY: 14 Christine. 15 HON. CHRISTINE PELLEGRINO: Is it on? 16 17 SENATOR RIVERA: One more time. SENATOR MAY: Just touch it very lightly. 18 SENATOR RIVERA: One thing you can do is to 19 20 start -- start talking as you start to --21 HON. CHRISTINE PELLEGRINO: There it is. SENATOR RIVERA: There you go. 22 23 HON. CHRISTINE PELLEGRINO: I'm on. 24 Senators, thank you so much for being here 25 for the long haul. We understand it's been an

extraordinarily long day, and, hopefully, very productive.

We're grateful to you for working together to hear about this topic, and for giving me the opportunity to provide testimony.

My name is Christine Pellegrino. I'm a former member of the New York State Assembly, a mom, and a daughter to aging parents.

I'm currently the board president of
All Things Home Care, a not-for-profit dedicated to
improving the lives of the elderly, the infirmed,
and the disabled.

All Things Home Care seeks to work collaboratively and creatively to support and elevate the role of home care workers, to improve their quality of life, and ultimately make a difference in affecting patient outcomes.

We believe that everyone should be able to receive the care that they deserve, to live a life of dignity, which makes the shortage of home care crisis -- home care workers a real crisis for parents, patients, their families, and, ultimately, for us all.

The shortage of caregivers creates a cascading effect on families and communities,

detracting from the overall productive -- productivity of the local workforce.

When cases can't be regularly staffed or caregivers unexpectedly miss their assignments, care, as we've heard often today, for the patient falls to a loved one who must choose between their family member and their job.

We cannot ignore the pending workforce shortage any longer as the crisis is already upon us.

Unstaffed cases and missed visits are a direct result of some of the various issues home care workers face, and I would like to talk about that a little bit; namely, home care workers face significant barriers that limit their participation in the workforce.

It's often said that it is expensive to be poor.

Often, home care workers rely on public transportation.

Now, if you've ever been to, or been forced to, commute by public transportation anywhere in the suburbs of New York, particularly on Long Island, you know how woefully insufficient our suburban public transit system is.

1 Furthermore, roughly 25 percent of

Long Island patients live in areas that are deemed "hard to staff" because they are not accessible to public transportation, thus resulting in a situation where the patients who need essential care in their home have great difficulty receiving it.

And so the intersection of a subpar public transit system and low wages means that workers must choose between spending their personal time on a labor-intensive, hours-long, exhausting commute; the unaffordable cost of ride share options; or simply not working at all.

The reality is, that suburban home care workers may be forced to accept fewer assignments than they could because of their low pay, thus magnifying this crisis.

Our organization, All Things Home Care, has launched a private transportation initiative for caregivers who need a ride to work, because it gives the caregiver the ability and the incentive to accept work, and, importantly, they are able to keep more of that money in their pocket.

Therefore, All Things Home Care is calling for the historic investment in initiatives that offer a broad range of support systems for essential

workers.

We also, as many have, support Fair Pay for Home Care legislation because we believe that those who provide essential care should not be relegated to a lifetime of poverty.

We support Senator May's Home Care Jobs
Innovation Fund, and support appropriation of the
\$15 million immediately from the federal Cares Act
funding for initiatives like our home care worker
transportation initiative, as well as funding for
childcare, broadband, cellular service, and other
workforce-related expenses.

This innovation fund will create jobs in the human-service sector, such as drivers and childcare providers, and we encourage the unionization of those workers as well.

Finally, in order to support home care agencies, often locally-owned small businesses who are themselves significant job creators, we call for an industry-wide pay-rate standardization, and a raise to the reimbursement rate to include the overtime pay and holiday pay for caregivers.

Agencies, as we've heard today, operate on very small profit margins, and need to be compensated in a way that allows them, too, not just

to operate, but to thrive. 1 2 Thank you. 3 SENATOR MAY: Thank you. FAIGIE HOROWITZ: Okay. I think you hear me. 4 5 I'm Faigie Horowitz. I'm with Caring Professionals. We are a New York City-based 6 7 LHCSA, a home care agency. But I'm going to talk about all the 8 9 stakeholders in this conversation, and, at the end, 10 there's going to be somebody who has not really been mentioned. 11 The first set of stakeholders is, obviously, 12 13 the Medicaid patients, the consumers, and their 14 families. They need HHAs, PCAs, and personal 15 assistants who will show up, and do show up, and 16 17 provide quality continuum of care. The silver tsunami of baby boomers is upon 18 us, and the actuarial numbers are really no secret. 19 The second set of stakeholders is obviously 20 21 the caregivers. Few are performing this dead-end 22 job -- it's not insulting, but it is factual -- at 23 low rates of pay. 24 And wage improvement is a critical need. 25 And we also need career pathways.

And I think what you just mentioned about bringing in the nurses, the nursing students is so true.

I went into a nursing school, because I was a board member, a couple of years ago, two or three semesters, nobody knew what I was talking about, even the dean. And they weren't really interested.

But education and teamsmanship will make it -- across the health-care sectors will make a difference.

I come out of the workforce world. That was my start in nonprofits.

There are many creative pathways and creative models that do exist to bring people up.

But I want to touch on something that hasn't been mentioned.

We are in the midst of a large labor shift intensified by corona.

And last week's "New York Times" reported that wages and opportunities for some low-wage workers, such as those in the restaurant industry, and those in online retail, are rising since the pandemic.

Obviously, people want to go out to eat now,

and have bought a lot online. 1 2 Wait staff can move up to managers at 3 restaurants, for example. And they do mention home health care. 4 5 But, in our system, and in our industry, workers cannot move up without more training and 6 7 additional credentials. 8 The third set of stakeholders are the LHCSAs, such -- and the FIs; the providers. 9 10 We cannot give the workers the wages they 11 deserve with our shrinking margins. There are now increased regulations and 12 13 safety precautions for which we were not reimbursed, 14 and there are administrative costs to the work that 15 we do. 16 We are not capitalists soaking up government 17 money and exploiting workers. 18 Years back, we had a 2 to 3 percent profit 19 margin. 20 That is long gone. 21 The margins are now minuscule. 22 And, we are a union shop, we pay the top 23 dollars. We have not had 24-hour shifts in years. 24 And -- there's one more thing I wanted to 25 mention here, but, I forgot it.

Historically, even when money did come down from the State, for minimum-wage increases on the LHCSA side, and wage parity on the CDPAP side, no measures were put in to ensure that the personnel rates, you know, the unemployment, the workers' comp, the payroll taxes, et cetera, and general administrative costs, were included in the rates paid to us providers.

The managed-care plans decide which providers get increases, and how much.

Providers are depleted, forced to manage more things on less dollars.

Obviously, New York State is the fourth stakeholder group.

New York State, and I remember this, was once a trailblazer in allowing moderate-income seniors and people with disabilities to access Medicaid.

Does New York State still care about this sector?

There is now a look-back period of 2 1/2 years, and there are other barriers to long-term-care services.

And now we come to the fifth group of stakeholders: the managed care organizations which operate without transparency.

They're paid with Medicaid dollars, and have 1 2 a very big stake in the existing system. 3 Managed-care organizations normally work on a capitation basis; it's a simple equation. 4 5 capitation does not work for long-term care. You can't make money by investing in the 6 7 health of people who require long-term care. 8 Their care needs increase, and there are no savings to be had. 9 It doesn't work. 10 But, the managed-care organizations get the 11 increases for home care without accountability for 12 13 contracts and the rates paid to providers. Take a look at the plan submitted to CMS last 14 week for how to manage the additional FMAP money 15 coming down. 16 17 The managed-care organizations are going to be devising the accountability measures for the 18 19 money they receive. This is accountability? 20 21 Why are they stakeholders in the welfare of 22 poor people with disabilities, and seniors, in 23 New York State? One final point: 24 There are two main differences between these 25

two populations right now. 1 2 And I know -- wait. I wanted to talk about the workforce. 3 The workforce issues in home care are similar 4 5 to those in the OPWDD world. And I know, because I'm a 25-year veteran 6 7 board member of a medium-sized OPWDD agency in 8 Brooklyn. There are two main differences: 9 Number one, just this final point which no 10 11 one mentioned: No new barriers have been erected recently to bar eligible people from services in the 12 13 world of developmental disabilities. 14 Two: There are no managed-care organizations in the LDD universe. 15 16 I rest my case at five minutes, exactly. 17 You know you what need to do, you are our 18 champions. 19 Keep on fighting for us. SENATOR MAY: 20 Thank you. 21 And, finally, Jim. 22 JIM HURLEY: Hi. 23 I just want to echo the "thank you" to those 24 that hung in there until the end with us. 25 I think it's very important that we do this.

My name is Jim Hurley, and I own Home

Instead, which is a licensed home care agency here
in the Capital District. We employ about

300 caregivers.

I'm the chair of the New York Chapter of the Home Care Association of America, and I'm a member of the New York State Health Care Providers, and a board member of the Capital Region Workforce Development Board.

And I've chopped a whole bunch out of here.

There are a number of reports that I used to put this together.

And I'll get you those reports by the end of the week.

In those reports, there are recommendations and strategies that everyone seemed to agree on:

The strategies to improve recruitment of new caregivers reduced turnover, and ensured that a stable, high-quality workforce will be available to care for older adults with long-term service and support needs.

And I'm go going to touch on just two of those strategies.

One is, a public campaign which could help expand the pipeline of potential caregivers by

recruiting non-traditional workers to the long-term-services field.

These workers could include students, displaced workers, and older adults who want or need to work past the age of retirement.

We have a good percentage of our workers and our staff who are in their 60s, 70s, or even 80s, and find meaningful work, and remain productive members of society, although sometimes there are strict restrictions on what they're able to earn.

We have to stop encouraging or incentivizing people not to work.

And then, home care, health-care integration.

We need to explore reasonable and sensible expansion of the scope of services that LHCSAs (licensed home care service agencies), and particularly aides, are permitted to provide.

Well-trained aides, under the supervision of an RN, should be able to do more in the home.

The -- if we come up with -- a home aide can provide care in a home, a certified nurse's aide can provide care in a facility, but those two very similar positions can't work in the other's area.

Why?

Why not establish a more universal worker to 1 become a direct-care professional in nursing homes, 2 3 assisted-living communities, and home- and community-based settings? 4 We just need to identify a common set of 5 competencies that this universal aide, regardless of 6 7 setting, could master and demonstrate. 8 This role should be able to carry their credentials across state boundaries also. 9 10 And that is it. SENATOR MAY: Wow. Well done. Coming in 11 below time. 12 13 Any questions from anybody? I just want to say thank you for the really 14 important work you're doing, and the good ideas. 15 16 I'm going to -- did you submit your testimony 17 in writing? I think I've got it here. 18 But, I'm looking forward to seeing some of 19 20 these really good suggestions that you have. 21 And investments in things like transportation 22 are critical. 23 And the nurses, you know, bringing --24 rotating the students into, you know, home care 25 seems like a brilliant idea, too.

1	So thanks so much for bringing all of this
2	forward.
3	OFF-CAMERA SPEAKER: Okay. Thank you.
4	SENATOR MAY: Thank you for your work.
5	And, the last panel. All right.
6	MATTHEW HETTERICH: Not least.
7	SENATOR MAY: Not least.
8	So we have Christy Johnson Johnston,
9	Matt Hetterich, and Veronica Charles.
10	And you guys should win a prize for being
11	here until the bitter end.
12	OFF-CAMERA SPEAKER: Thank you for being here
13	to the bitter end.
14	[Laughter.]
15	SENATOR MAY: Well, and thanks to everyone
16	who stayed, actually.
17	So, Christy, do you want to kick it off?
18	CHRISTY JOHNSTON: Yes.
19	And the mic is on, so that works well.
20	So, good afternoon, almost evening.
21	And, I just want to thank you for convening a
22	hearing dedicated to such an important and
23	challenging topic, and for your patience in sitting
24	through all of the conversation today.
25	My name is Christy Johnston, and I work for

Premier Home Health Care Services.

And my remarks today, which have been cut down and edited --

SENATOR RIVERA: Excuse me one second.

Folks, if you could take the speaking outside, please, because we can hear it down here.

Thank you so much.

Thank you.

Please continue.

CHRISTY JOHNSTON: And my remarks today reflect those of a number of additional LHCSAs that share similar characteristics.

Together, our organizations care for tens of thousands Medicaid beneficiaries in the five boroughs and surrounding counties, and we employ tens of thousands of home health aides who are members of 1199 SEIU.

Our organizations invest in training, technology, and career growth for our essential home health workforce, to ensure our patients receive the highest-quality care, and we endeavor to collaborate regularly with our union partners on issues and initiatives that impact our workforce.

It's been a long day, and prior speakers and your questions have covered many of the critical

issues. So I will just hit a few points important to reinforce at the end of the day.

We are at a critical juncture.

We have a crisis going on in home care right now, but we also have unprecedented funding opportunities for home care.

And you-all, your colleagues and the executive, ultimately must decide how much of an investment the State will make to address the home care workforce challenges, and how accessible the state wants to make home care services to its citizens.

New York has always been supportive of home care sooner and at greater levels than other states, but has not consistently continued to invest in home care.

So a couple of the issues.

Workforce.

There is a growing workforce home care shortage crisis, but it existed before the pandemic.

It's even greater now, and has spread throughout the state.

New York City, which was not touched as much by shortages in prior years, I can say, is now in a full-fledged staffing crisis.

And as we emerge from the pandemic, thousands of home care workers have left the market, and many have still not returned.

And I think one piece relative to the issues with that, is the lack of staff drives an incredible overtime expense, to make sure continuity of care exists, patients are cared for, and as we kind of move things -- move people around.

During the pandemic it was critical because we wanted to ensure the care was there and people were able to remain at home.

Now that we're moving out of the pandemic, it's because we don't have workers.

Infrastructure.

The state's home care infrastructure increasingly is unstable.

It's a system designed to keep individuals out of congregate care settings, but it's been neglected and is fraying as a result of decades of Medicaid cuts with simultaneously increasing wage and related costs.

It will come as no surprise that it's been exacerbated after the pandemic.

This sector of the health-care system received no additional funding support to deal with

any of the pandemic-related issues.

And I will say, we work in multiple states.

Other states did invest. They provided access to grant funding. They increased wages -- rates for increases to wages.

New York didn't do that, so it's an additional struggle on top of the other challenges.

Without question, home care workers are the backbone of this health-care sector. They are the eyes, ears, and hands in the home, and they contribute significantly to controlling health-care costs and improving quality of patients' lives.

To that end, we are grateful to you and your colleagues in the legislature for leadership in proposing solutions to address these critical issues and working collaboratively.

Our group has been supportive of increasing wages and other important -- other approaches to increase the amount of pay home care workers can take home, through bonuses, recruitment incentives, and fair reimbursement to home care providers.

We believe that the best way to accomplish this is by development of regional-based rates similar to the Fair Pay for Home Care bill approach.

And I want to emphasize that minimum hourly

regional reimbursement rates, it is about wages, it is about benefits.

But the cost of delivering an hour of care is more than just that wage and benefit.

It's payroll taxes, insurance, paid time off, training time, travel time, overtime, spread of hours, holiday pay.

It costs us a million dollars-plus a year to pay time and a half and double time for holiday pay.

That's not reimbursed by MLTCs or the Medicaid program, and those are wages that workers deserve.

And that's not even managing the regulatory requirements.

That funding is critical to our work as well, and it helps target things to our workforce.

There are a myriad of other approaches to improving aspects of the home care workforce recruitment and retention challenges: training flexibility, social determinant health support for workers, enhanced training career and ladders, among other things.

I'd love to talk about that endlessly because that's what we want to be focusing on. But it's really about, ultimately, investing in wages and

benefits for this workforce.

Fortunately, there's funding, opportunity at the federal level, and we look forward to working with you to help secure that for New York.

SENATOR MAY: Great. Thank you.

Matt.

MATTHEW HETTERICH: Good afternoon, Senators.

Thank you for waiting for all of us today, and especially for some of us that came up from Long Island; so it's a 4-plus hour ride.

So when the meeting cuts off at 5:00, you worry that you made the trip.

So I appreciate everybody's time today, and getting to work with you.

My name is Matthew Hetterich. I serve as the administrator of Gurwin Certified Home Health Agency, part of the Gurwin Health Care System based out of Commack, Long Island.

In addition to operating both a LHCSA and a CHHA, we serve as a 460-bed nursing and rehab center that provides long-term care, ventilator care, on-site dialysis, medical and social model daycare. We have an assisted-living facility that's across the street. We do memory care.

We do the entire care continuum.

And soon, after the Independent Building is finished, we will be one of the few CCRCs on Long Island, and offering diverse care options for people out on the eastern portion of Suffolk County.

So I wanted to come and speak today on behalf of not just the home care agency, but on the whole care continuum, and seeing what we are experiencing right now with what is truly a workforce shortage of not enough nurses, not enough aides, not enough therapists, not enough CNAs.

It's been very dire straits, between COVID, between other employment opportunities out there.

So, you know, we're looking forward to working with you in the future on what we can do.

There's been a lot of discussion today.

Obviously, a lot of the core issues have already been addressed.

There are a couple of things that I would like to suggest in terms of efficiencies that are relatively cost-neutral.

So, for example, home health aides are required to maintain a certain number of in-service hours every year. They have to do at least 12 hours.

The agency is responsible for monitoring

those in-service hours.

So if an aide works for Maxim Healthcare, and then also works for Senator May Home Care, she's required to take those same in-service hours for both agencies.

There should be some sort of efficiency created within the health commerce system, or somewhere along the way, where those hours can be recorded on an agency basis, and then prorated, which will also allow for those caregivers to spend more time in the field versus time in the office maintaining those sorts of criteria that are required.

In addition to that, there are training programs that are certified by the Department of Health. There are training programs that are certified by the Department of Education.

There should be some look into creating either a universal worker program, or how can we allow these trained caregivers to work across different settings?

Whether it's a CNA in the nursing home, a home health aide that's in the home, a resident care assistant that's at the assisted living, a direct support professional; whatever it may be called,

there may be some opportunities in looking at aligning these caregivers, and the training and experience they have, in order to be able to serve as multiple populations; not just for one provider, like Gurwin, but for multiple providers out there, and to allow them different opportunities there.

In addition to that, one thing I did want to mention that has been a positive also, is Gurwin had created a position called the "resident care assistant," which was an extra set of hands that we utilized in the nursing home in COVID. This was untrained staff that would be able to at least triage call bells, help with food deliveries, things of that nature; non-clinical hands-on -- or, non-clinical tasks, to allow our other caregivers to provide that care.

We'd be hopeful in looking at the staffing ratios that something like that would be allowed to be included in that hourly fix, or that hourly range of care, that's going to be required come January.

There's been a lot of horror stories today, but one of the things that I did want to mention is, you know, Gurwin is a CMS-rated five-star facility.

We spare no expense when it comes to employee benefits. We offer wages that are above and beyond

any of our competitors that are out there.

In addition to that, we already meet all of the staffing requirements that are going to be required of us.

We are a quality provider that believes in putting the patient at the center of our care, and then everything else will fall into place.

When we get calls from for-profit providers that are inquiring as to what we're doing differently, and how we're operating in this environment, that's somebody that should be included at your table in terms of these discussions and moving forward.

So, with that, all I wanted to say is:

Before the pandemic, people had already
preferred to age and receive care in place in
familiar surroundings whenever possible.

This has only grown truer in the post-pandemic world, as many people look to bypass facility-based care completely, and new federal programs, such as SNF-at-Home, Hospital-at-Home, continue to showcase the ability of home- and community-based services to deliver higher levels of care in a safe and efficient manner.

With the lessons we've learned regarding

infection control and capacity of the overall 1 2 health-care system, an investment into home- and 3 community-based services is money well spent, as it has been repeatedly demonstrated to be a 4 5 cost-effective, patient-care solution at a variety of levels that's not limited by walls or the number 6 7 of beds. 8 Thank you. 9 SENATOR MAY: Thank you. 10 And, last, but definitely not least, Veronica. 11 VERONICA CHARLES: Thank you so much, 12 13 Chairwoman May, Chairman Rivera, and Senator Serino, for sticking it out until the end. 14 15 And I greatly appreciate everyone's thoughtful testimony today, as well as your 16 thoughtful comments and considerations. 17 So I do want to keep my testimony brief. 18 I will be speaking primarily towards 19 private-duty nursing, which is a specific type of 20 21 nursing services that Maxim provides, that really 22 focuses on pediatric patients, which are sometimes 23 left out of this equation. 24 So my name is Veronica Charles. I am the 25 director of government affairs at Maxim Healthcare

Services.

We're a national provider of home health-care services, but we do have seven offices in the state of New York. We care for a little over 1700 individuals throughout the state.

That's, again, primarily offering private-duty nursing services as a LHCSA, a certified home health agency. But we also are a fiscal intermediary in the consumer-directed personal-care program.

Maxim has been a longstanding advocate for the New York home care workforce, and we appreciate everything we've discussed today. And I agree with so much of what my colleagues have had to say.

Private-duty nursing is a continuous skilled nursing care provided in the home for medically complex and vulnerable pediatric patients, as well as older adults or individuals that may have had a traumatic brain injury, require a tracheostomy, ventilator care. But these are really individuals that suffer from a disability, that they require a nurse around the clock to stay alive.

So, unfortunately, many New York children, older adults, with these special needs are not receiving enough nursing services in the home, or

able to access these life-saving services, for the reasons you've heard today, primarily stemming from low Medicaid reimbursement rates from Medicaid, as well as the managed-care organizations, which hinders comprehensive recruitment and retention strategies. And that has definitely been true throughout the COVID-19 public health emergency.

The pandemic has made the delivery of our specific PDN services more difficult for nurses and costly for agencies, as neither our agencies nor our clinicians have received enough financial support from the State or the federal government associated with COVID that nurses in other industries have received.

Offering additional funding to support PDN wages will assist home care providers in improving quality while also containing health-care costs.

As we've heard today, it's incredibly costly to keep individuals in the hospital, and it's inappropriate to keep these children in hospitals.

The cost of 16 hours of PDN services is approximately one-third the cost of a day in the hospital.

So, obviously, we would like to work toward avoidable hospital utilizations, and help save the

state of New York precious Medicaid resources.

And while Medicaid rates in the state are lower than necessary to maintain this robust workforce, there's a lack of a PDN rate floor in New York, which makes it possible for MCOs to pay less than Medicaid, forcing clinicians and agencies to cut our costs when we're reimbursed less than what is guaranteed by Medicaid.

By establishing a PDN rate floor for MCOs, hopefully, the State will be able to provide providers with an opportunity to recruit and retain workforce.

And we definitely want the most qualified caregivers for these complex and high-acuity children and older adults.

Lastly, it's important to note that LHCSAs have a harder time recruiting and retaining nurses for patients who are over the age of 23, due to the wage cliff created by the Medicaid pediatric rate enhancement for medically-fragile children.

We recognize that this was likely unintentional, but what happens is, because of this rate enhancement, when a child turns 23, with a severe disability, the nurse loses roughly 30 percent of their pay due to the lack of the

enhancement that was attributed with that case.

In order to protect these patients from losing their lifelong nurses in many circumstances, and in an effort to help support this ongoing workforce shortage, we do ask that the legislature consider extending that enhancement rate for medically-fragile children over the age of 23.

And, lastly, as we look to things that are a little bit different than our skilled workforce, like our unskilled workforce -- which I don't like that term for our personal care assistants -- we really ask that we look to looking at these rates as well, that will help personal-care assistants across the state, specifically in the consumer-directed program.

The CDPAP program has increased access to care for approximately 75,000 New Yorkers, statewide. And the importance of this program was obviously further emphasized during the pandemic.

Given the work that Maxim and other FIs have put into running a highly successful operation within CDPAP, I would be remiss if I did not voice our concern regarding the ongoing RFO process that many of my colleagues have brought up, that have threatened to drastically reduce access to FIs,

and take away job opportunities from these numerous personal-care workers.

In order to increase the unskilled workforce in home- and community-based services, we ask the legislature work to preserve this program by allowing good-faith FIs to continue to operate in this program, with specific attention to FIs that practice areas of excellence and promote easier access to job opportunities.

Thank you-all so much for your time, we greatly appreciate it.

And we look forward to working with you in the future.

SENATOR MAY: Thank you.

Any last comments or questions?

SENATOR RIVERA: Last comment I'll say, the gentleman who came from Long Island, you know, it being the time that it is, there's a couple of great restaurants in the neighborhood; if you just want to stay the night, and take off tomorrow morning very early.

Just as a suggestion, because it's going to be a long drive.

But in all seriousness, thank you to everyone for being here, particularly all of the -- there's

obviously some very key, I think it was -- what was it? -- the one -- oh, yeah, we need to make sure we fund it more adequately.

That we provide a more stable revenue source, that we provide a more -- just -- that we provide better conditions for these folks, who perform an incredibly important job, and which is only going to become even more necessary in the years to come.

And that we have an opportunity -- as

I believe there was a lady just said it very
recently, there was an opportunity, because of the
crisis that we are in, we are in a crisis, we
shouldn't waste it. I think it was what she said.

I believe, you know, I always said, that we have an obligation at times like this, to actually really invest, to really think in the long term, and not just think, you know, as we said before, penny wise, pound foolish.

But, really, a couple of great places I'll suggest.

MATTHEW HETTERICH: Are we going to dinner?

SENATOR RIVERA: I'm going someplace.

I'm just saying you should, too.

SENATOR SERINO: I just want to say thank you to everybody.

You know, everybody that has come before us 1 today really cares about what they do. 2 3 We are headed towards a crisis and it has to be addressed. 4 5 You know, like I've said many times over through the years, our seniors and our vulnerable 6 7 populations have kind of been like an after-thought, 8 and [indiscernible]. And it's something that we really have to 9 10 work on now. So I really appreciate everybody's testimony 11 12 today. 13 Thank you. SENATOR MAY: And let me conclude with the 14 15 same. 16 It's gratitude to you, and to everybody who has been here. 17 18 We talked about this crisis, but that means 19 even more, that those of you who are still doing this work are doing it out of love and commitment 20 21 and passion. 22 And it is that much more important that you 23 keep doing what you're doing, and that we find ways 24 to support you in it.

So thank you to everybody who has tuned in

25

here, who has been here in person. Thank you to the staff, who have been amazing, and keeping this running; all of you. And I'm just grateful to all of you, and to my colleagues, for sticking with it, but also the ones who have been here in the course of the day. So, thanks again. The hearing is officially over now. Thanks. (Whereupon, the public hearing held by the joint committees concluded, and adjourned.) --000--