

STATE OF NEW YORK

5234

2025-2026 Regular Sessions

IN SENATE

February 20, 2025

Introduced by Sen. COMRIE -- read twice and ordered printed, and when printed to be committed to the Committee on Ethics and Internal Governance

AN ACT to amend the public officers law, in relation to electronic financial disclosures of members and candidates of the legislature

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The opening paragraph of paragraph (a) of subdivision 2 of
2 section 73-a of the public officers law, as amended by section 7 of part
3 QQ of chapter 56 of the laws of 2022, is amended to read as follows:

4 Every statewide elected official, state officer or employee, member of
5 the legislature, legislative employee and political party chair and
6 every candidate for statewide elected office or for member of the legis-
7 lature shall file an annual statement of financial disclosure containing
8 the information and in the form set forth in subdivision three of this
9 section. Every member of the legislature and candidate for member of the
10 legislature must file their annual statement of financial disclosure
11 electronically in an electronic, readable, searchable and downloadable
12 format. On or before the fifteenth day of May with respect to the
13 preceding calendar year: (1) every member of the legislature, every
14 candidate for member of the legislature and legislative employee shall
15 file such statement with the legislative ethics commission which shall
16 provide such statement along with any requests for exemptions or
17 deletions to the commission on ethics and lobbying in government for
18 filing and rulings with respect to such requests for exemptions or
19 deletions, on or before the thirtieth day of June; and (2) all other
20 individuals required to file such statement shall file it with the
21 commission on ethics and lobbying in government, except that:

22 § 2. Subdivision 3 of section 73-a of the public officers law, as
23 amended by section 18 of part QQ of chapter 56 of the laws of 2022,
24 subparagraphs (b), (b-2), and (c) of paragraph 8 as separately amended

EXPLANATION--Matter in italics (underscored) is new; matter in brackets
[-] is old law to be omitted.

LBD03006-01-5

1 by section 8 of part QQ of chapter 56 of the laws of 2022 and paragraph
 2 16-a as added by chapter 591 of the laws of 2023, is amended to read as
 3 follows:

4 3. (a) The annual statement of financial disclosure shall contain the
 5 information and shall be in the form set forth hereinbelow:

6 ANNUAL STATEMENT OF FINANCIAL DISCLOSURE - (For calendar year _____)

7 1. Name _____
 8 2. (a) Title of Position _____
 9 (b) Department, Agency or other Governmental Entity _____
 10 (c) Address of Present Office _____
 11 (d) Office Telephone Number _____
 12 3. (a) Marital Status _____. If married, please give spouse's
 13 full name.
 14 _____.
 15 (b) Full name of domestic partner (if applicable).
 16 _____.
 17 (c) List the names of all unemancipated children.
 18 _____
 19 _____
 20 _____
 21 _____
 22 _____

23 Answer each of the following questions completely, with respect to
 24 calendar year _____, unless another period or date is otherwise
 25 specified. If additional space is needed, attach additional pages.

26 Whenever a "value" or "amount" is required to be reported herein, such
 27 value or amount shall be reported as being within one of the following
 28 Categories in Table I or Table II of this subdivision as called for in
 29 the question: A reporting individual shall indicate the Category by
 30 letter only.

31 Whenever "income" is required to be reported herein, the term "income"
 32 shall mean the aggregate net income before taxes from the source identi-
 33 fied.

34 The term "calendar year" shall mean the year ending the December 31st
 35 preceding the date of filing of the annual statement.

36 4. (a) List any office, trusteeship, directorship, partnership, or
 37 position of any nature, whether compensated or not, held by the
 38 reporting individual with any firm, corporation, association, part-
 39 nership, or other organization other than the State of New York.
 40 Include compensated honorary positions; do NOT list membership or
 41 uncompensated honorary positions. If the listed entity was licensed
 42 by any state or local agency, was regulated by any state regulatory
 43 agency or local agency, or, as a regular and significant part of the
 44 business or activity of said entity, did business with, or had
 45 matters other than ministerial matters before, any state or local
 46 agency, list the name of any such agency.

47		State or
48	Position	Local Agency
	Organization	

1	
2	
3	
4	
5	

(b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse, domestic partner or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

		State or Local Agency
18	Position	Organization
19		
20		
21		
22		
23		
24		

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

			State or Local Agency
34	Position	Name & Address of Organization	Description
35			
36			
37			
38			
39			
40			
41			

(b) If the spouse, domestic partner or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency,

list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
----------	-----------------------------------	-------------	-----------------------------

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse, domestic partner or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse, domestic partner or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse, Domestic Partner or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract
---	---	--	--	--

(In Table II)

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

1 _____
2 _____
3 _____
4 _____
5 _____

6 8. (a) If the reporting individual practices law, is licensed by the
7 department of state as a real estate broker or agent or practices a
8 profession licensed by the department of education, or works as a member
9 or employee of a firm required to register pursuant to section one-e of
10 the legislative law as a lobbyist, describe the services rendered for
11 which compensation was paid including a general description of the prin-
12 cipal subject areas of matters undertaken by such individual and princi-
13 pal duties performed. Specifically state whether the reporting individ-
14 ual provides services directly to clients. Additionally, if such an
15 individual practices with a firm or corporation and is a partner or
16 shareholder of the firm or corporation, give a general description of
17 principal subject areas of matters undertaken by such firm or corpo-
18 ration.

19 _____
20 _____
21 _____
22 _____
23 _____

24 (b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE
25 PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER
26 THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING
27 CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON
28 OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER
29 THIRTY-FIRST, TWO THOUSAND FIFTEEN:

30 If the reporting individual personally provides services to any person
31 or entity, or works as a member or employee of a partnership or corpo-
32 ration that provides such services (referred to hereinafter as a
33 "firm"), then identify each client or customer to whom the reporting
34 individual personally provided services, or who was referred to the firm
35 by the reporting individual, and from whom the reporting individual or
36 ~~his or her~~ the reporting individual's firm earned fees in excess of
37 \$10,000 during the reporting period for such services rendered in direct
38 connection with:

39 (i) A contract in an amount totaling \$50,000 or more from the state or
40 any state agency for services, materials, or property;

41 (ii) A grant of \$25,000 or more from the state or any state agency
42 during the reporting period;

43 (iii) A grant obtained through a legislative initiative during the
44 reporting period; or

45 (iv) A case, proceeding, application or other matter that is not a
46 ministerial matter before a state agency during the reporting period.

47 For purposes of this question, "referred to the firm" shall mean:
48 having intentionally and knowingly taken a specific act or series of
49 acts to intentionally procure for the reporting individual's firm or
50 knowingly solicit or direct to the reporting individual's firm in whole
51 or substantial part, a person or entity that becomes a client of that
52 firm for the purposes of representation for a matter as defined in

subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or ~~[his or her]~~ the reporting individual's firm. The reporting individual need not identify any client to whom ~~[he or she]~~ the reporting individual or ~~[his or her]~~ the reporting individual's firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or ~~[his or her]~~ the reporting individual's firm was retained prior to entering public office.

Client	Nature of Services Provided

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or ~~[his or her]~~ the reporting individual's firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such

representation, the services actually provided and the payment received. For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in ~~his or her~~ the reporting individual's capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (in Table I)
--------	--------	-----------------------------	------------------------------------

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (in Table I)
--------	-------------------------------	------------------------------------

FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- * REVIEWED DOCUMENTS AND CORRESPONDENCE;
- * REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- * PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);

1 * CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS
 2 OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
 3 * PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY
 4 NAME);
 5 * REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR
 6 REPRESENTATION OR CONSULTATION;
 7 * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
 8 * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING
 9 RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
 10 * COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).
 11 (ii) With respect to reporting individuals who disclosed in question
 12 8(a) that the reporting individual did not provide services to a client
 13 but provided services to a firm or business, identify the category of
 14 amount received for providing such services and describe the services
 15 rendered.
 16 Services Actually Provided Category of Amount (Table I)

17 A reporting individual need not disclose activities performed while
 18 lawfully acting in [~~his or her~~] the reporting individual's capacity as
 19 provided in paragraphs (c), (d), (e) and (f) of subdivision seven of
 20 section seventy-three of this article.
 21 The disclosure requirement in questions (b-1) and (b-2) shall not
 22 require disclosing clients or customers receiving medical, pharmaceu-
 23 tical or dental services, mental health services, or residential real
 24 estate brokering services from the reporting individual or [~~his or her~~]
 25 the reporting individual's firm or if federal law prohibits or limits
 26 disclosure. The reporting individual need not identify any client to
 27 whom [~~he or she~~] the reporting individual or [~~his or her~~] the reporting
 28 individual's firm provided legal representation with respect to investi-
 29 gation or prosecution by law enforcement authorities, bankruptcy, family
 30 court, estate planning, or domestic relations matters, nor shall the
 31 reporting individual identify individuals represented pursuant to an
 32 insurance policy but the reporting individual shall in such circum-
 33 stances only report the entity that provides compensation to the report-
 34 ing individual; with respect to matters in which the client's name is
 35 required by law to be kept confidential (such as matters governed by the
 36 family court act) or in matters in which the reporting individual
 37 represents or provides services to minors, the client's name may be
 38 replaced with initials. To the extent that the reporting individual, or
 39 [~~his or her~~] the reporting individual's firm, provided legal represen-
 40 tation with respect to an initial public offering, and professional
 41 disciplinary rules, federal law or regulations restrict the disclosure
 42 of information relating to such work, the reporting individual shall (i)
 43 disclose the identity of the client and the services provided relating
 44 to the initial public offering to the office of court administration,
 45 who will maintain such information confidentially in a locked box; and
 46 (ii) include in [~~his or her~~] the reporting individual's response to
 47 questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure
 48 to the office of court administration has been made. Upon such time that
 49 the disclosure of information maintained in the locked box is no longer
 50 restricted by professional disciplinary rules, federal law or regu-
 51 lation, the reporting individual shall disclose such information in an
 52 amended disclosure statement in response to the disclosure requirements
 53 in questions (b-1) and (b-2). The office of court administration shall

1 develop and maintain a secure portal through which information submitted
2 to it pursuant to this paragraph can be safely and confidentially
3 stored. With respect to clients represented in other matters not other-
4 wise exempt, the reporting individual may request an exemption to
5 publicly disclosing the name of that client from the commission on
6 ethics and lobbying in government pursuant to section ninety-four of the
7 executive law, or from the office of court administration. In such
8 application, the reporting individual shall state the following: "My
9 client is not currently receiving my services or seeking my services in
10 connection with:

11 (i) A proposed bill or resolution in the senate or assembly during the
12 reporting period;

13 (ii) A contract in an amount totaling \$10,000 or more from the state
14 or any state agency for services, materials, or property;

15 (iii) A grant of \$10,000 or more from the state or any state agency
16 during the reporting period;

17 (iv) A grant obtained through a legislative initiative during the
18 reporting period; or

19 (v) A case, proceeding, application or other matter that is not a
20 ministerial matter before a state agency during the reporting period."

21 In reviewing the request for an exemption, the commission on ethics
22 and lobbying in government or the office of court administration may
23 consult with bar or other professional associations and the legislative
24 ethics commission for individuals subject to its jurisdiction and may
25 consider the rules of professional conduct. In making its determination,
26 the commission on ethics and lobbying in government or the office of
27 court administration shall conduct its own inquiry and shall consider
28 factors including, but not limited to: (i) the nature and the size of
29 the client; (ii) whether the client has any business before the state;
30 and if so, how significant the business is; and whether the client has
31 any particularized interest in pending legislation and if so how signif-
32 icant the interest is; (iii) whether disclosure may reveal trade
33 secrets; (iv) whether disclosure could reasonably result in retaliation
34 against the client; (v) whether disclosure may cause undue harm to the
35 client; (vi) whether disclosure may result in undue harm to the attor-
36 ney-client relationship; and (vii) whether disclosure may result in an
37 unnecessary invasion of privacy to the client.

38 The commission on ethics and lobbying in government or, as the case
39 may be, the office of court administration shall promptly make a final
40 determination in response to such request, which shall include an expla-
41 nation for its determination. The office of court administration shall
42 issue its final determination within three days of receiving the
43 request. Notwithstanding any other provision of law or any professional
44 disciplinary rule to the contrary, the disclosure of the identity of any
45 client or customer in response to this question shall not constitute
46 professional misconduct or a ground for disciplinary action of any kind,
47 or form the basis for any civil or criminal cause of action or proceed-
48 ing. A reporting individual who first enters public office after January
49 first, two thousand sixteen, need not report clients or customers with
50 respect to matters for which the reporting individual or ~~his or her~~
51 the reporting individual's firm was retained prior to entering public
52 office.

53 (c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE
54 PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR
55 NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE

1 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-
2 SAND FIFTEEN:

3 If the reporting individual receives income of ten thousand dollars or
4 greater from any employment or activity reportable under question 8(a),
5 identify each registered lobbyist who has directly referred to such
6 individual a client who was successfully referred to the reporting indi-
7 vidual's business and from whom the reporting individual or firm
8 received a fee for services in excess of five thousand dollars. Report
9 only those referrals that were made to a reporting individual by direct
10 communication from a person known to such reporting individual to be a
11 registered lobbyist at the time the referral is made. With respect to
12 each such referral, the reporting individual shall identify the client,
13 the registered lobbyist who has made the referral, the category of value
14 of the compensation received and a general description of the type of
15 matter so referred. A reporting individual need not disclose activities
16 performed while lawfully acting pursuant to paragraphs (c), (d), (e) and
17 (f) of subdivision seven of section seventy-three of this article. The
18 disclosure requirements in this question shall not require disclosing
19 clients or customers receiving medical, pharmaceutical or dental
20 services, mental health services, or residential real estate brokering
21 services from the reporting individual or [~~his or her~~] the reporting
22 individual's firm or if federal law prohibits or limits disclosure. The
23 reporting individual need not identify any client to whom [~~he or she~~]
24 the reporting individual or [~~his or her~~] the reporting individual's firm
25 provided legal representation with respect to investigation or prose-
26 cution by law enforcement authorities, bankruptcy, family court, estate
27 planning, or domestic relations matters, nor shall the reporting indi-
28 vidual identify individuals represented pursuant to an insurance policy
29 but the reporting individual shall in such circumstances only report the
30 entity that provides compensation to the reporting individual; with
31 respect to matters in which the client's name is required by law to be
32 kept confidential (such as matters governed by the family court act) or
33 in matters in which the reporting individual represents or provides
34 services to minors, the client's name may be replaced with initials. To
35 the extent that the reporting individual, or [~~his or her~~] the reporting
36 individual's firm, provided legal representation with respect to an
37 initial public offering, and federal law or regulations restricts the
38 disclosure of information relating to such work, the reporting individ-
39 ual shall (i) disclose the identity of the client and the services
40 provided relating to the initial public offering to the office of court
41 administration, who will maintain such information confidentially in a
42 locked box; and (ii) include in [~~his or her~~] the reporting individual's
43 response a statement that pursuant to this paragraph, a disclosure to
44 the office of court administration has been made. Upon such time that
45 the disclosure of information maintained in the locked box is no longer
46 restricted by federal law or regulation, the reporting individual shall
47 disclose such information in an amended disclosure statement in response
48 to the disclosure requirements of this paragraph. The office of court
49 administration shall develop and maintain a secure portal through which
50 information submitted to it pursuant to this paragraph can be safely and
51 confidentially stored. With respect to clients represented in other
52 matters not otherwise exempt, the reporting individual may request an
53 exemption to publicly disclosing the name of that client from the
54 commission on ethics and lobbying in government pursuant to section
55 ninety-four of the executive law, or from the office of court adminis-
56 tration. In such application, the reporting individual shall state the

1 following: "My client is not currently receiving my services or seeking
 2 my services in connection with:

3 (i) A proposed bill or resolution in the senate or assembly during the
 4 reporting period;

5 (ii) A contract in an amount totaling \$10,000 or more from the state
 6 or any state agency for services, materials, or property;

7 (iii) A grant of \$10,000 or more from the state or any state agency
 8 during the reporting period;

9 (iv) A grant obtained through a legislative initiative during the
 10 reporting period; or

11 (v) A case, proceeding, application or other matter that is not a
 12 ministerial matter before a state agency during the reporting period."

13 In reviewing the request for an exemption, the commission on ethics
 14 and lobbying in government or the office of court administration may
 15 consult with bar or other professional associations and the legislative
 16 ethics commission for individuals subject to its jurisdiction and may
 17 consider the rules of professional conduct. In making its determination,
 18 the commission on ethics and lobbying in government or the office of
 19 court administration shall conduct its own inquiry and shall consider
 20 factors including, but not limited to: (i) the nature and the size of
 21 the client; (ii) whether the client has any business before the state;
 22 and if so, how significant the business is; and whether the client has
 23 any particularized interest in pending legislation and if so how signif-
 24 icant the interest is; (iii) whether disclosure may reveal trade
 25 secrets; (iv) whether disclosure could reasonably result in retaliation
 26 against the client; (v) whether disclosure may cause undue harm to the
 27 client; (vi) whether disclosure may result in undue harm to the attor-
 28 ney-client relationship; and (vii) whether disclosure may result in an
 29 unnecessary invasion of privacy to the client.

30 The commission on ethics and lobbying in government or, as the case
 31 may be, the office of court administration shall promptly make a final
 32 determination in response to such request, which shall include an expla-
 33 nation for its determination. The office of court administration shall
 34 issue its final determination within three days of receiving the
 35 request. Notwithstanding any other provision of law or any professional
 36 disciplinary rule to the contrary, the disclosure of the identity of any
 37 client or customer in response to this question shall not constitute
 38 professional misconduct or a ground for disciplinary action of any kind,
 39 or form the basis for any civil or criminal cause of action or proceed-
 40 ing. A reporting individual who first enters public office after Decem-
 41 ber thirty-first, two thousand fifteen, need not report clients or
 42 customers with respect to matters for which the reporting individual or
 43 ~~his or her~~ the reporting individual's firm was retained prior to
 44 entering public office.

45 Client	Name of Lobbyist	Description of Matter	Category of Amount (in Table 1)
46			
47			
48			
49			
50			
51			

52 (d) List the name, principal address and general description or the
 53 nature of the business activity of any entity in which the reporting
 54 individual or such individual's spouse or domestic partner had an

1 investment in excess of \$1,000 excluding investments in securities and
 2 interests in real property.
 3 9. List each source of gifts, EXCLUDING campaign contributions, in
 4 EXCESS of \$1,000, received during the reporting period for which this
 5 statement is filed by the reporting individual or such individual's
 6 spouse, domestic partner or unemancipated child from the same donor,
 7 EXCLUDING gifts from a relative. INCLUDE the name and address of the
 8 donor. The term "gifts" does not include reimbursements, which term is
 9 defined in item 10. Indicate the value and nature of each such gift.

10	11	12	13	14	15	16
	Self, Spouse, Domestic Partner or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)	
16						
17						
18						
19						
20						

21 10. Identify and briefly describe the source of any reimbursements for
 22 expenditures, EXCLUDING campaign expenditures and expenditures in
 23 connection with official duties reimbursed by the state, in EXCESS
 24 of \$1,000 from each such source. For purposes of this item, the term
 25 "reimbursements" shall mean any travel-related expenses provided by
 26 nongovernmental sources and for activities related to the reporting
 27 individual's official duties such as, speaking engagements, confer-
 28 ences, or factfinding events. The term "reimbursements" does NOT
 29 include gifts reported under item 9.

30	31	32	33	34	35
Source	Description				

36 11. List the identity and value, if reasonably ascertainable, of each
 37 interest in a trust, estate or other beneficial interest, including
 38 retirement plans (other than retirement plans of the state of New
 39 York or the city of New York), and deferred compensation plans
 40 (e.g., 401, 403(b), 457, etc.) established in accordance with the
 41 internal revenue code, in which the REPORTING INDIVIDUAL held a
 42 beneficial interest in EXCESS of \$1,000 at any time during the
 43 preceding year. Do NOT report interests in a trust, estate or other
 44 beneficial interest established by or for, or the estate of, a rela-
 45 tive.

46	47	48
Identity	Category of Value* (In Table II)	

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse or domestic partner for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount
-----------------	--------	--------	-----------------------

1 or Domestic (In Table I)
 2 Partner
 3 _____
 4 _____
 5 _____
 6 _____
 7 _____

8 14. List the sources of any deferred income (not retirement income) in
 9 EXCESS of \$1,000 from each source to be paid to the reporting indi-
 10 vidual following the close of the calendar year for which this
 11 disclosure statement is filed, other than deferred compensation
 12 reported in item 11 hereinabove. Deferred income derived from the
 13 practice of a profession shall be listed in the aggregate and shall
 14 identify as the source, the name of the firm, corporation, partner-
 15 ship or association through which the income was derived, but shall
 16 not identify individual clients.

17		Category
18	Source	of Amount
19		(In Table I)
20	_____	
21	_____	
22	_____	
23	_____	
24	_____	

25 15. List each assignment of income in EXCESS of \$1,000, and each trans-
 26 fer other than to a relative during the reporting period for which
 27 this statement is filed for less than fair consideration of an
 28 interest in a trust, estate or other beneficial interest, securities
 29 or real property, by the reporting individual, in excess of \$1,000,
 30 which would otherwise be required to be reported herein and is not
 31 or has not been so reported.

32	Item Assigned	Assigned or	Category
33	or Transferred	Transferred to	of Value
34			(In Table I)
35	_____		
36	_____		
37	_____		
38	_____		
39	_____		

40 16. List below the type and market value of securities held by the
 41 reporting individual or such individual's spouse or domestic partner
 42 from each issuing entity in EXCESS of \$1,000 at the close of the
 43 taxable year last occurring prior to the date of filing, including
 44 the name of the issuing entity exclusive of securities held by the
 45 reporting individual issued by a professional corporation. Whenever
 46 an interest in securities exists through a beneficial interest in a
 47 trust, the securities held in such trust shall be listed ONLY IF the
 48 reporting individual has knowledge thereof except where the report-

ing individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for ~~[his or her]~~ the reporting individual's benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse or domestic partner holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse or domestic partner.

Self/ Spouse or Domestic Partner	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)

16-a. List below the name and market value of digital assets held by the reporting individual or such individual's spouse or domestic partner in EXCESS of \$1,000 at the close of the taxable year last occurring

prior to the date of filing. Whenever an interest in digital assets exists through a beneficial interest in a trust, the digital assets held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for ~~his or her~~ the reporting individual's benefit in which event such digital assets shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. The digital assets of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Also list digital assets owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For purposes of this subdivision, the following terms shall have the following meanings:

(a) "Digital asset" shall mean an asset that is issued, transferred, or both, using distributed ledger or blockchain technology, including, but not limited to, digital currencies, digital coins, digital non-fungible tokens or other similar assets.

(b) "Digital currency" shall mean any type of digital unit that is used as a medium of exchange or a form of digitally stored value. Virtual currency shall be broadly construed to include digital units of exchange that: (i) have a centralized repository or administrator; (ii) are decentralized and have no centralized repository or administrator; or (iii) may be created or obtained by computing, manufacturing, or other similar effort.

(c) "Distributed ledger or blockchain technology" shall mean a ledger or database that stores shared state by maintaining it across a multiplicity of devices belonging to different entities and securing it through a combination of cryptographic and consensus protocols, where the shared state serves to authenticate, record, share, and/or synchronize transactions involving digital assets or virtual currencies.

Self/ Spouse or Domestic Partner	Type of Digital Asset	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held

by the reporting individual or the reporting individual's spouse or domestic partner. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse or domestic partner, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Domestic Partner Corporation	Location	General Nature Size	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
--	----------	---------------------------	---------------------	-------------------------------	--

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
----------------	--	---

19. List below all liabilities of the reporting individual and such individual's spouse or domestic partner, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or domestic partner or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse or domestic partner has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse or domestic partner. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting

individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
----------------------------------	---	---

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

(Signature of Reporting Individual)	Date (month/day/year)
-------------------------------------	-----------------------

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000
Category X	\$1,650,000 to under \$1,750,000
Category Y	\$1,750,000 to under \$1,850,000
Category Z	\$1,850,000 to under \$1,950,000

1	Category AA	\$1,950,000	to under	\$2,050,000
2	Category BB	\$2,050,000	to under	\$2,150,000
3	Category CC	\$2,150,000	to under	\$2,250,000
4	Category DD	\$2,250,000	to under	\$2,350,000
5	Category EE	\$2,350,000	to under	\$2,450,000
6	Category FF	\$2,450,000	to under	\$2,550,000
7	Category GG	\$2,550,000	to under	\$2,650,000
8	Category HH	\$2,650,000	to under	\$2,750,000
9	Category II	\$2,750,000	to under	\$2,850,000
10	Category JJ	\$2,850,000	to under	\$2,950,000
11	Category KK	\$2,950,000	to under	\$3,050,000
12	Category LL	\$3,050,000	to under	\$3,150,000
13	Category MM	\$3,150,000	to under	\$3,250,000
14	Category NN	\$3,250,000	to under	\$3,350,000
15	Category OO	\$3,350,000	to under	\$3,450,000
16	Category PP	\$3,450,000	to under	\$3,550,000
17	Category QQ	\$3,550,000	to under	\$3,650,000
18	Category RR	\$3,650,000	to under	\$3,750,000
19	Category SS	\$3,750,000	to under	\$3,850,000
20	Category TT	\$3,850,000	to under	\$3,950,000
21	Category UU	\$3,950,000	to under	\$4,050,000
22	Category VV	\$4,050,000	to under	\$4,150,000
23	Category WW	\$4,150,000	to under	\$4,250,000
24	Category XX	\$4,250,000	to under	\$4,350,000
25	Category YY	\$4,350,000	to under	\$4,450,000
26	Category ZZ	\$4,450,000	to under	\$4,550,000
27	Category AAA	\$4,550,000	to under	\$4,650,000
28	Category BBB	\$4,650,000	to under	\$4,750,000
29	Category CCC	\$4,750,000	to under	\$4,850,000
30	Category DDD	\$4,850,000	to under	\$4,950,000
31	Category EEE	\$4,950,000	to under	\$5,050,000
32	Category FFF	\$5,050,000	to under	\$5,150,000
33	Category GGG	\$5,150,000	to under	\$5,250,000
34	Category HHH	\$5,250,000	to under	\$5,350,000
35	Category III	\$5,350,000	to under	\$5,450,000
36	Category JJJ	\$5,450,000	to under	\$5,550,000
37	Category KKK	\$5,550,000	to under	\$5,650,000
38	Category LLL	\$5,650,000	to under	\$5,750,000
39	Category MMM	\$5,750,000	to under	\$5,850,000
40	Category NNN	\$5,850,000	to under	\$5,950,000
41	Category OOO	\$5,950,000	to under	\$6,050,000
42	Category PPP	\$6,050,000	to under	\$6,150,000
43	Category QQQ	\$6,150,000	to under	\$6,250,000
44	Category RRR	\$6,250,000	to under	\$6,350,000
45	Category SSS	\$6,350,000	to under	\$6,450,000
46	Category TTT	\$6,450,000	to under	\$6,550,000
47	Category UUU	\$6,550,000	to under	\$6,650,000
48	Category VVV	\$6,650,000	to under	\$6,750,000
49	Category WWW	\$6,750,000	to under	\$6,850,000
50	Category XXX	\$6,850,000	to under	\$6,950,000
51	Category YYY	\$6,950,000	to under	\$7,050,000
52	Category ZZZ	\$7,050,000	to under	\$7,150,000
53	Category AAAA	\$7,150,000	to under	\$7,250,000
54	Category BBBB	\$7,250,000	to under	\$7,350,000
55	Category CCCC	\$7,350,000	to under	\$7,450,000
56	Category DDDD	\$7,450,000	to under	\$7,550,000

1	Category EEEE	\$7,550,000	to under	\$7,650,000
2	Category FFFF	\$7,650,000	to under	\$7,750,000
3	Category GGGG	\$7,750,000	to under	\$7,850,000
4	Category HHHH	\$7,850,000	to under	\$7,950,000
5	Category IIII	\$7,950,000	to under	\$8,050,000
6	Category JJJJ	\$8,050,000	to under	\$8,150,000
7	Category KKKK	\$8,150,000	to under	\$8,250,000
8	Category LLLL	\$8,250,000	to under	\$8,350,000
9	Category MMMM	\$8,350,000	to under	\$8,450,000
10	Category NNNN	\$8,450,000	to under	\$8,550,000
11	Category OOOO	\$8,550,000	to under	\$8,650,000
12	Category PPPP	\$8,650,000	to under	\$8,750,000
13	Category QQQQ	\$8,750,000	to under	\$8,850,000
14	Category RRRR	\$8,850,000	to under	\$8,950,000
15	Category SSSS	\$8,950,000	to under	\$9,050,000
16	Category TTTT	\$9,050,000	to under	\$9,150,000
17	Category UUUU	\$9,150,000	to under	\$9,250,000
18	Category VVVV	\$9,250,000	to under	\$9,350,000
19	Category WWWW	\$9,350,000	to under	\$9,450,000
20	Category XXXX	\$9,450,000	to under	\$9,550,000
21	Category YYYY	\$9,550,000	to under	\$9,650,000
22	Category ZZZZ	\$9,650,000	to under	\$9,750,000
23	Category AAAAA	\$9,750,000	to under	\$9,850,000
24	Category BBBBB	\$9,850,000	to under	\$9,950,000
25	Category CCCCC	\$9,950,000	to under	\$10,000,000
26	Category DDDDD	\$10,000,000	or over	

27	TABLE II			
28	Category A	none		
29	Category B	\$	1 to under	\$ 1,000
30	Category C	\$	1,000 to under	\$ 5,000
31	Category D	\$	5,000 to under	\$ 20,000
32	Category E	\$	20,000 to under	\$ 50,000
33	Category F	\$	50,000 to under	\$ 75,000
34	Category G	\$	75,000 to under	\$ 100,000
35	Category H	\$	100,000 to under	\$ 150,000
36	Category I	\$	150,000 to under	\$ 250,000
37	Category J	\$	250,000 to under	\$ 500,000
38	Category K	\$	500,000 to under	\$ 750,000
39	Category L	\$	750,000 to under	\$1,000,000
40	Category M	\$1,000,000	to under	\$1,250,000
41	Category N	\$1,250,000	to under	\$1,500,000
42	Category O	\$1,500,000	to under	\$1,750,000
43	Category P	\$1,750,000	to under	\$2,000,000
44	Category Q	\$2,000,000	to under	\$2,250,000
45	Category R	\$2,250,000	to under	\$2,500,000
46	Category S	\$2,500,000	to under	\$2,750,000
47	Category T	\$2,750,000	to under	\$3,000,000
48	Category U	\$3,000,000	to under	\$3,250,000
49	Category V	\$3,250,000	to under	\$3,500,000
50	Category W	\$3,500,000	to under	\$3,750,000
51	Category X	\$3,750,000	to under	\$4,000,000
52	Category Y	\$4,000,000	to under	\$4,250,000
53	Category Z	\$4,250,000	to under	\$4,500,000
54	Category AA	\$4,500,000	to under	\$4,750,000
55	Category BB	\$4,750,000	to under	\$5,000,000

1	Category CC	\$5,000,000	to under	\$5,250,000
2	Category DD	\$5,250,000	to under	\$5,500,000
3	Category EE	\$5,500,000	to under	\$5,750,000
4	Category FF	\$5,750,000	to under	\$6,000,000
5	Category GG	\$6,000,000	to under	\$6,250,000
6	Category HH	\$6,250,000	to under	\$6,500,000
7	Category II	\$6,500,000	to under	\$6,750,000
8	Category JJ	\$6,750,000	to under	\$7,000,000
9	Category KK	\$7,000,000	to under	\$7,250,000
10	Category LL	\$7,250,000	to under	\$7,500,000
11	Category MM	\$7,500,000	to under	\$7,750,000
12	Category NN	\$7,750,000	to under	\$8,000,000
13	Category OO	\$8,000,000	to under	\$8,250,000
14	Category PP	\$8,250,000	to under	\$8,500,000
15	Category QQ	\$8,500,000	to under	\$8,750,000
16	Category RR	\$8,750,000	to under	\$9,000,000
17	Category SS	\$9,000,000	to under	\$9,250,000
18	Category TT	\$9,250,000	to under	\$9,500,000
19	Category UU	\$9,500,000	or over	

20 (b) The commission on ethics and lobbying in government shall make
21 available an editable, electronic, readable and searchable version of
22 the annual statement of financial disclosure by January first, two thou-
23 sand twenty-six to all those required by law to submit such forms.

24 § 3. This act shall take effect immediately; provided however that
25 section one of this act shall take effect January 1, 2027.