

# STATE OF NEW YORK

6489--B

R. R. 166

2023-2024 Regular Sessions

## IN ASSEMBLY

April 11, 2023

Introduced by M. of A. WEPRIN, BURGOS, AUBRY, HUNTER, BURDICK, GONZALEZ-ROJAS -- read once and referred to the Committee on Correction -- recommitted to the Committee on Correction in accordance with Assembly Rule 3, sec. 2 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- reported and referred to the Committee on Codes -- reported and referred to the Committee on Rules -- ordered to a third reading -- amended on the special order of third reading, ordered reprinted as amended, retaining its place on the special order of third reading

AN ACT to amend the correction law, in relation to correctional facility visits by the correctional association

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Legislative findings. Founded in 1844 by concerned citi-  
2 zens of the state and deputized by the state to provide independent  
3 monitoring and oversight of the state's prisons in 1846, the Correction-  
4 al Association of New York is one of the first organizations in the  
5 country created to administer civilian oversight of prisons to ensure  
6 greater transparency of correctional institutions in the state. The  
7 Correctional Association of New York's on-site access to the state's  
8 prisons and to information on state prisons is critical for the organ-  
9 ization to provide insight into the policies and procedures of the  
10 state's prisons through its monitoring and reporting on prison condi-  
11 tions, policies, and procedures for the executive, legislature, and  
12 public, playing an important role in informing debates on correctional  
13 reform and incarcerated individuals' rights.

14 § 2. Subdivision 3 of section 146 of the correction law, as amended by  
15 chapter 32 of the laws of 2021, paragraph b of subdivision 3 as amended  
16 by chapter 486 of the laws of 2022, is amended to read as follows:

17 3. a. Notwithstanding any other provision of law to the contrary, the  
18 correctional association shall be permitted to access, visit, inspect,

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 and examine all state correctional facilities [~~with seventy two hours~~  
2 ~~advance notice to the department~~]. Up to twelve people may comprise the  
3 visiting party[, ~~provided, however, that only four people from the party~~  
4 ~~may enter a special housing facility or unit at the same time~~]. Prior  
5 to the visitation authorized pursuant to this subdivision, the correc-  
6 tional association shall provide to the department on, at least, an  
7 annual basis a list of people who will be visiting the facility or  
8 facilities, including names, dates of birth, driver's license numbers  
9 and their designation as an employee, board member, or designee in order  
10 for the department to perform prompt background checks. The department  
11 may not place restrictions on such visits and inspections, including  
12 during periods when a facility is locked down or experiencing a facility  
13 wide emergency. [~~In addition~~] However, the department may restrict  
14 access to a portion of a facility in an emergency situation for the  
15 duration of the emergency. For the purpose of this subdivision, an emer-  
16 gency shall be determined by the commissioner or his or her designee and  
17 defined as a significant risk to the safety or security of the facility,  
18 or the health, safety or security of staff or incarcerated individuals,  
19 or an event that significantly compromises the operations of the facili-  
20 ty.

21 b. Upon twenty-four hours advance notice, at the commencement and  
22 conclusion of any visits to, or inspections and examinations of, state  
23 correctional facilities, the superintendent and executive team, [~~to the~~  
24 ~~extent practicable~~] upon request by the correctional association, shall  
25 meet with the correctional association. Upon twenty-four hours advance  
26 notice, [~~the~~] privately without representatives of the central office  
27 present, representatives of the office of mental health and any other  
28 entities or agencies providing services in a facility shall meet with  
29 the correctional association upon request during the course of any  
30 visit. The correctional association may meet privately with the incar-  
31 cerated individual liaison committee and representatives of the incar-  
32 cerated individual grievance resolution committee or any other organiza-  
33 tion of incarcerated individuals recognized by the department.

34 c. [~~During the course of any such visit, inspection or examination,~~  
35 ~~upon consent of the person being interviewed, the~~] The correctional  
36 association shall have the power to interview and converse publicly or  
37 confidentially with any correctional employee or administrator, any  
38 incarcerated individual, and any other person providing, supervising, or  
39 monitoring services in a correctional facility, whether or not employed  
40 by such facility. Such interviews shall not be restricted by the depart-  
41 ment or the office of mental health or any other agency or attended by  
42 anyone on behalf of the department or the office of mental health or any  
43 other agency, nor shall there be any retaliation or adverse action taken  
44 by the department or other state agency against [~~any incarcerated indi-~~  
45 ~~vidual~~] anyone who [~~agrees to speak~~] speaks with the correctional asso-  
46 ciation. The department may not limit the number of individuals the  
47 correctional association may interview or the duration of the inter-  
48 views[, ~~in any manner unreasonable under the circumstances~~]. The  
49 correctional association shall have the power to conduct private, confi-  
50 dential meetings [~~reasonable in number under the circumstances~~] at their  
51 pleasure and without notice to the department with incarcerated people  
52 in housing units and in attorney visiting rooms or other rooms in the  
53 facility in which their conversations will remain confidential. No  
54 department employee may attend or listen to any such meeting without the  
55 consent of the correctional association.

1 d. The correctional association may request and receive from the  
2 department information and data as will enable the correctional associ-  
3 ation to carry out its functions, powers and duties.

4 (i) The correctional association shall have access to the following  
5 information and records on a quarterly basis:

6 (A) individuals admitted into custody, which shall contain, at mini-  
7 imum, individual-level records of all individuals admitted to custody,  
8 including the individual's departmental ID, demographic information,  
9 admission type, reception facility name and housing unit, reception  
10 date, sentencing, and crime information;

11 (B) individuals under custody, which shall contain, at minimum, indi-  
12 vidual-level records of all individuals presently under custody, includ-  
13 ing the individual's departmental ID, demographic information, current  
14 facility name and housing unit, date of original and latest reception at  
15 the facility, out counts, sentencing and crime information, and parole  
16 eligibility and relevant dates;

17 (C) individuals released from custody, which shall contain, at mini-  
18 imum, individual-level records of all individuals released from custody,  
19 including the individual's departmental ID, demographic information,  
20 releasing facility name and housing unit, release date, release county,  
21 sentencing and crime information, and parole eligibility and relevant  
22 dates;

23 (D) individuals on parole, which shall contain, at minimum, individu-  
24 al-level records of all individuals on parole, including the individ-  
25 ual's departmental ID, demographic information, discharging facility  
26 name and housing unit, start and release date, sentencing and crime  
27 information, custody status, and voting pardon status;

28 (E) programming, education, vocational, and work assignment require-  
29 ments, enrollment, and fulfillment, which shall contain, at minimum,  
30 individual-level records of all individuals under custody, including the  
31 individual's departmental ID, fields indicating the requirements of  
32 their sentence, and indicators of whether the individual is not  
33 enrolled, is on the waitlist, or has already completed any such require-  
34 ment;

35 (F) departmental staffing levels, which shall contain, at minimum,  
36 facility-level records of budgeted fill level, recommended staffing  
37 level, and actual filled items split by job category, including data on  
38 staff on long-term leave, workers compensation leave, and on the average  
39 of daily closed posts for each quarter;

40 (G) deaths, which shall contain, at minimum, individual-level records  
41 of all individuals who died while under custody, including the individ-  
42 ual's departmental ID, date and time of death, date of report, demo-  
43 graphic information, facility name and housing unit at time of death,  
44 location of terminal incident, reported immediate cause of death, and an  
45 indicator of whether an autopsy was performed;

46 (H) unusual incidents, which shall contain, at minimum, report-level  
47 information for all unusual incidents, as defined by the department at  
48 the current time, including the incident code, the name and code of the  
49 facility where the incident took place, the date and time of the inci-  
50 dent, the location within the facility, the name and code of the catego-  
51 ries and subcategories indicated in the report, the roles of all indi-  
52 viduals involved in the report (including incarcerated and  
53 nonincarcerated individuals), the weapons used by each individual, the  
54 type of force applied by department staff on each individual, if appli-  
55 cable, and the degree of injury to staff and incarcerated individuals;

1 (I) disciplinary charges and penalties, which shall contain, at mini-  
2 imum, charge-level information for all disciplinary incidents, including:  
3 the incarcerated individual's departmental ID and facility name; the  
4 location, date, and time of the incident; the tier, code, and  
5 description of each charge; the date of the hearing; and the outcome and  
6 penalty associated with each charge;

7 (J) grievances and appeals, which shall contain, at minimum, griev-  
8 ance-level information for all grievances filed with the department,  
9 including those resolved informally, including: the incarcerated indi-  
10 vidual's departmental ID; ID, date filed, category, type, and facility  
11 of the grievance; and the outcomes and outcome dates for all reviews,  
12 including those by the incarcerated grievance resolution committee,  
13 superintendent, and central office review committee;

14 (K) department employee disciplinary records, which shall contain, at  
15 minimum, incident-level information for all employee discipline issued  
16 by the department, including the incident facility, date, position of  
17 the relevant employee, a brief description of the incident, and the  
18 resulting penalty; and

19 (L) parole eligibility and hearing outcomes, which shall contain, at  
20 minimum, individual-level records for all incarcerated individuals  
21 currently under custody, including the incarcerated individual's depart-  
22 mental ID, parole eligibility date, type and date of interview, and date  
23 and outcome of interview.

24 (ii) The correctional association shall have access to the annual  
25 budget of correctional facilities and records on a yearly basis. Such  
26 records shall contain facility and spending category-level information  
27 of the departmental budget for the year.

28 e. The correctional association shall periodically [~~7, but not less than~~  
29 every five years,] conduct inspections of each state correctional facil-  
30 ity, prioritized based on the correctional association's assessment of  
31 systemic issues, and [~~shall~~] issue reports and recommendations to the  
32 governor, the legislature and the public about the conditions and issues  
33 at [~~each such facility~~] correctional facilities. When preparing such  
34 formal reports and recommendations, the correctional association shall  
35 submit a tentative copy of such report and recommendations to the  
36 commissioner. The commissioner [~~may~~] shall submit a written response to  
37 such tentative report within [~~sixty~~] thirty days of the receipt thereof,  
38 including a plan of action for addressing the findings and recommenda-  
39 tions. When the correctional association thereafter submits its final  
40 report and recommendations, it shall contain a complete copy of the  
41 response, if any, submitted to the tentative report and recommendations.

42 [~~e-~~] f. The correctional association may send, and distribute during  
43 prison visits, confidential written and electronic surveys or question-  
44 naires to people in custody or employees concerning conditions of  
45 confinement, working conditions, or other subjects within the scope of  
46 their mission without prior approval of the department. People incar-  
47 cerated shall be permitted to confidentially complete and return to the  
48 correctional association such surveys either in written format or elec-  
49 tronically. The correctional association may also receive free confiden-  
50 tial phone calls and emails from incarcerated individuals and/or set up  
51 a confidential hotline for individuals to use if they choose to contact  
52 them. Physical mail received and sent to the correctional association is  
53 defined as privileged correspondence, and any and all processing  
54 controls, allowances for limited free postage, and advances of incarcer-  
55 ated individual funds for postage shall apply to privileged correspond-  
56 ence received and sent to the correctional association. For the purposes

1 of this section, identical incoming blank surveys and questionnaires  
2 shall not be defined as privileged correspondence.  
3 [~~f~~] g. The access, visits, and inspection of state correctional  
4 facilities by the correctional association pursuant to this subdivision  
5 shall be undertaken solely in furtherance of the correctional associ-  
6 ation's lawful powers, duties and obligations, and information obtained  
7 pursuant to these powers shall be used solely in furtherance of the  
8 correctional association's mission. Employees, board members and desig-  
9 nees shall be required to sign [~~a waiver~~] an acknowledgement of the  
10 foregoing as a condition of entry into a correctional facility pursuant  
11 to this subdivision.  
12 § 3. This act shall take effect immediately.