

# STATE OF NEW YORK

7106

2021-2022 Regular Sessions

## IN SENATE

June 1, 2021

Introduced by Sen. MANNION -- (at request of the Justice Center for the Protection of People with Special Needs) -- read twice and ordered printed, and when printed to be committed to the Committee on Disabilities

AN ACT to amend the social services law, in relation to the requirement to check the justice center's register of substantiated category one cases of abuse or neglect

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Subdivisions 2 and 3 of section 495 of the social services  
2 law, as added by section 1 of part B of chapter 501 of the laws of 2012,  
3 are amended to read as follows:

4 2. ~~[All]~~ The following providers of services shall check the register  
5 of substantiated category one cases of abuse or neglect before determin-  
6 ing whether to hire or otherwise allow any person as an employee, admin-  
7 istrator, consultant, intern, volunteer, or contractor who will have the  
8 potential for regular and substantial contact with a service recipient  
9 or other individual served by such provider, or before approving an  
10 applicant for a license, certificate permit, or other approval to  
11 provide care to a service recipient or other individual served by such  
12 provider:

13 (a) all facility and provider agencies~~[, other providers of services~~  
14 ~~to vulnerable persons in programs licensed, certified or funded by any~~  
15 ~~state oversight agency];~~

16 (b) providers and programs operated, licensed or certified by the  
17 office of addiction services and supports, the office of mental health,  
18 the office for people with developmental disabilities, and the office of  
19 children and family services, except for such providers otherwise  
20 required to perform such check under paragraph (c) of this subdivision,  
21 overnight, summer day and traveling summer day camps for children  
22 subject to the provisions of article thirteen-B of the public health

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 law, except for a state or local governmental official who receives an  
2 application for a permit to operate a camp that is subject to the  
3 provisions of article thirteen-B of the public health law, and any  
4 provider defined in paragraph (a) of subdivision six of section twenty-  
5 eight hundred ninety-nine of the public health law; and [other]

6 (c) providers and programs funded by the office of addiction services  
7 and supports, the office of mental health, the office for people with  
8 developmental disabilities, or programs or providers funded by the  
9 office of children and family services not otherwise required to perform  
10 such check in accordance with section four hundred twenty-four-a of this  
11 chapter, and provider and licensing agencies as defined in subdivision  
12 three or four of section four hundred twenty-four-a of this chapter  
13 [~~shall check the register of substantiated category one cases of abuse~~  
14 ~~or neglect before determining whether to hire or otherwise allow any~~  
15 ~~person as an employee, administrator, consultant, intern, volunteer or~~  
16 ~~contractor who will have the potential for regular and substantial~~  
17 ~~contact with a service recipient or before approving an applicant for a~~  
18 ~~license, certificate, permit or other approval to provide care to a~~  
19 ~~service recipient].~~

20 2-a. A provider or program required to perform a check of the register  
21 of substantiated category one cases of abuse or neglect may make subse-  
22 quent inquiries of such register for an individual subject to such  
23 initial inquiry, provided that any such subsequent inquiries may be made  
24 only once in any six-month period. (For state entities bound by collec-  
25 tive bargaining, such action established by collective bargaining shall  
26 govern.)

27 3. If a person is listed on the register of substantiated category one  
28 cases of abuse or neglect, a [~~facility or~~] provider [~~agency and all~~  
29 ~~other providers of services to vulnerable persons in programs licensed~~  
30 ~~or certified by any state oversight agency]~~ or program described in  
31 paragraphs (a) and (b) of subdivision two of this section shall not hire  
32 such a person to have regular and substantial contact with [~~a service~~  
33 ~~recipient]~~ an individual served in or by any such facility or program.  
34 Other providers [~~or licensing agencies as defined in subdivision three~~  
35 ~~or four of section four hundred twenty-four a of this chapter]~~ or  
36 programs described in paragraph (c) of subdivision two of this section  
37 shall determine whether to hire or allow such a person to have regular  
38 or substantial contact with [~~a service recipient]~~ an individual served  
39 in or by such program or provider in accordance with the provisions of  
40 subdivision five of section four hundred twenty-four-a of this chapter  
41 or any other relevant guidance from a state agency with regulatory  
42 authority over such provider or program, as may be applicable.

43 § 2. Subdivisions 5 and 7 of section 424-a of the social services law,  
44 subdivision 5 as amended and subdivision 7 as added by section 8 of part  
45 D of chapter 501 of the laws of 2012, are amended to read as follows:

46 5. (a) The office of children and family services, after consultation  
47 with the justice center for the protection of people with special needs,  
48 the office of mental health, the office for people with developmental  
49 disabilities, the office of alcoholism and substance abuse services, the  
50 department of health, and the state education department shall develop  
51 guidelines to be utilized by a provider agency, as defined by subdivi-  
52 sion three of this section, and a licensing agency, as defined by subdivi-  
53 sion four of this section, in evaluating persons about whom inquiries  
54 are made to the office pursuant to this section who are the subjects of  
55 indicated reports of child abuse and maltreatment, as defined by subdivi-  
56 sion four of section four hundred twelve of this chapter or are on the

1 register of substantiated category one cases of abuse or neglect as  
2 defined by section four hundred ninety-five of this chapter.

3 (b) The guidelines developed pursuant to subdivision one of this  
4 section shall not supersede similar guidelines developed by local  
5 governmental agencies prior to January first, nineteen hundred eighty-  
6 six.

7 7. Any facility, provider agency, or program that is required to  
8 conduct an inquiry pursuant to section four hundred ninety-five of this  
9 chapter before determining whether to hire or otherwise allow any person  
10 as an employee, administrator, consultant, intern, volunteer or contrac-  
11 tor who will have the potential for regular and substantial contact with  
12 a service recipient shall first conduct the inquiry required under such  
13 section. If the result of the inquiry under section four hundred nine-  
14 ty-five of this chapter is that the person about whom the inquiry is  
15 made is on the register of substantiated category one cases of abuse or  
16 neglect and [~~the~~] such facility or provider agency is required to deny  
17 the application in accordance with article eleven of this chapter, the  
18 facility or provider agency shall not be required to make an inquiry of  
19 the office under this section.

20 § 3. This act shall take effect immediately; provided however that  
21 section one of this act shall take effect one year after it shall have  
22 become a law and shall apply to any person who is a prospective employ-  
23 ee, administrator, consultant, intern, volunteer, or contractor consid-  
24 ered for any position in which they would have regular and substantial  
25 contact with persons to whom they would be providing care, on or after  
26 such date.