

# STATE OF NEW YORK

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5451

2021-2022 Regular Sessions

## IN SENATE

March 5, 2021

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Introduced by Sen. PARKER -- read twice and ordered printed, and when printed to be committed to the Committee on Energy and Telecommunications

AN ACT directing the department of public service to prepare a written report on the effect of the COVID-19 state of emergency on certain utilities (Part A); and directing the department of environmental conservation to prepare a written report on the effect of the COVID-19 state of emergency on wastewater utilities (Part B)

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. This act enacts into law components of legislation relating  
2 to the effects of the COVID-19 state disaster emergency on utilities'  
3 services. Each component is wholly contained within a Part identified as  
4 Parts A through B. The effective date for each particular provision  
5 contained within such Part is set forth in the last section of such  
6 Part. Any provision in any section contained within a Part, including  
7 the effective date of the Part, which makes reference to a section "of  
8 this act", when used in connection with that particular component, shall  
9 be deemed to mean and refer to the corresponding section of the Part in  
10 which it is found. Section four of this act sets forth the general  
11 effective date of this act.

12 § 2. Legislative findings and declaration. In response to the COVID-19  
13 pandemic, chapter 108 of the laws of 2020 was adopted to ensure that New  
14 Yorkers would not be deprived of a minimum standard of decency. The law  
15 prohibited termination of utility services at a pivotal moment when it  
16 became evident that sanitary conditions were required to combat the  
17 COVID-19 virus. The legislature finds and declares that it is unknown to  
18 the state the number of New Yorkers whom had their services terminated  
19 or disconnected during the pandemic. The legislature finds that many  
20 utility customers rely on continuous service for heat, water, and elec-  
21 tricity to power necessary equipment, such as life-saving health care

EXPLANATION--Matter in italics (underscored) is new; matter in brackets [-] is old law to be omitted.

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1 devices. Now, as vaccine approvals and distributions signal a potential  
2 end to the pandemic, the legislature finds and declares that it is  
3 imperative to ascertain the disruptive effects the pandemic had on util-  
4 ity customers and utility services, so that evidence-based policy can  
5 be properly crafted thereafter.

6 PART A

7 Section 1. 1. As used in this act:

8 a. "Assistance program" shall mean any program offered to eligible  
9 low-income customers to assist with the costs of electricity, gas,  
10 water, and telephone including but not limited to the low-income home  
11 energy assistance program, any low-income affordability plans as  
12 provided by public service commission case number 14-M-0565, and/or any  
13 other financial assistance program provided by individual utilities,  
14 counties or municipalities.

15 b. "COVID-19 state of emergency" shall mean the state disaster emer-  
16 gency declared pursuant to executive order 202 of 2020.

17 c. "Department" shall mean the department of public service.

18 d. "Municipality" shall have the same meaning as subdivision 16 of  
19 section 2 of the public service law and shall include potable water  
20 districts and potable water systems owned and/or operated by a city,  
21 town, village or other governmental subdivision.

22 e. "Telephone corporation" shall have the same meaning as subdivision  
23 17 of section 2 of the public service law.

24 f. "Utility" shall mean a municipality, telephone corporation, utility  
25 corporation, steam corporation, water-works corporation, an electric  
26 corporation as defined in subdivision 13 of section 2 of the public  
27 service law, a gas corporation as defined in subdivision 11 of section 2  
28 of the public service law, a combination gas and electric corporation as  
29 defined in subdivision 14 of section 2 of the public service law, and a  
30 steam corporation as defined in subdivision 22 of section 2 of the  
31 public service law.

32 g. "Utility corporation" shall have the same meaning as subdivisions  
33 23 and 24 of section 2 of the public service law.

34 h. "Water-works corporation" shall have the same meaning as subdivi-  
35 sion 27 of section 2 of the public service law.

36 2. a. Within 30 days after the effective date of this act, the depart-  
37 ment shall prepare and submit to the governor and the legislature a  
38 written report that shall make findings and recommendations concerning  
39 the effect the COVID-19 state of emergency has had on the provision by  
40 utilities of electric, gas, water, and telephone service to commercial  
41 and residential customers in this state. An updated report shall be  
42 submitted monthly until 180 days after the end of the COVID-19 state of  
43 emergency and thereafter shall be submitted quarterly by and for each  
44 utility until such a covered entity ceases to provide service in New  
45 York state. Without unreasonably exposing consumer personally identifi-  
46 able information in a manner that violates public service law, depart-  
47 ment and public service commission practice or federal law, the reports  
48 shall include but not be limited to the following, with all information  
49 to be broken down by utility, type of service provided, month, customer  
50 class, municipality, zip code, county, and United States Census American  
51 Community Survey Public Use Micro-data Area:

52 (1) the number of customers and how that number compares to the previ-  
53 ous year's number of customers at the same time;

1 (2) the number of disconnection notices sent due to non-payment,  
2 disconnections due to non-payment, reconnections of customers that were  
3 disconnected for non-payment, average time between service disconnection  
4 due to non-payment and service reconnection, and how those numbers  
5 compare to the previous year at the same time;

6 (3) the number of liens on real property placed, sold, or enforced due  
7 to non-payment, and how those numbers compare to the previous year at  
8 the same time;

9 (4) the number of customers in arrears by 30, 60, 90, 120, 150, and  
10 180 days at the end of each month, the total dollar amount owed and  
11 average amount owed per customer in each of those categories, and how  
12 those numbers compare to the previous year at the same time, provided  
13 however that if the provision of such data beyond the current electric,  
14 gas and steam utility standard of 60 day arrears would raise rates  
15 unreasonably for residential or commercial ratepayers, the department  
16 shall work with the utilities to take advantage of pending or ongoing  
17 upgrades or replacement of utility information technology systems to  
18 lower such bill impacts to two percent or less per year over the period  
19 necessary to attain reports of the granularity set forth in this act;

20 (5) the number of customers that became eligible for disconnection due  
21 to bill non-payment but were not disconnected because of any legally  
22 mandated or voluntary suspension of disconnections due to the COVID-19  
23 state of emergency, or for any other statutory, regulatory or voluntary  
24 reason irrespective of the COVID-19 emergency, or such other states of  
25 emergency as may follow the end of the COVID-19 emergency;

26 (6) the number of customers that declared a change in financial  
27 circumstances due to the COVID-19 state of emergency pursuant to  
28 sections 32, 89-b, 89-1 and 91 of the public service law;

29 (7) the number of customers enrolled in deferred payment agreements at  
30 the end of each month, the total dollar amount of arrears and average  
31 amount of arrears per customer subject to such agreements, the average  
32 length of the repayment term under such agreements, and how those  
33 numbers compare to the previous year at the same time;

34 (8) the number of customers that entered into, successfully completed,  
35 or defaulted from a deferred payment agreement, the total dollar amount  
36 of arrears and average amount of arrears per customer subject to such  
37 agreements, and how those numbers compare to the previous year at the  
38 same time;

39 (9) available customer assistance programs, including terms of eligi-  
40 bility, available budget for each program, and any enhancements to the  
41 programs that have been made or are planned to address actual or antic-  
42 ipated increased demand;

43 (10) the number of customers that applied for financial assistance  
44 under each applicable assistance program, and how those numbers compare  
45 to the previous year at the same time;

46 (11) the number of customers receiving assistance under each assist-  
47 ance program at the end of each month, and how that number compares to  
48 the previous year at the same time;

49 (12) the number of customers charged late fees, penalties, and inter-  
50 est, the total dollar amount of late fees, penalties, and interest  
51 charged and average amount of late fees, penalties, and interest per  
52 customer subject to such charges, and how those numbers compare to the  
53 previous year at the same time;

54 (13) the average and median dollar amount billed to customer accounts  
55 and the average and median utility usage per customer account, and how  
56 those numbers compare to the previous year at the same time;

1 (14) the total dollar amounts billed to and collected from customer  
2 accounts and how those amounts compare to the previous year at the same  
3 time, except that such data need not be broken down by municipality and  
4 zip code within the service area of a utility;

5 (15) the methods and contents of general communications by utilities  
6 to customer accounts concerning their rights and available assistance  
7 programs, excluding any customer-specific communications; and

8 (16) the department's assessment of whether existing customer assist-  
9 ance programs are presently and will in the future be sufficient to meet  
10 the financial needs of customer accounts in arrears who are unable to  
11 pay those arrears in full, as well as the needs of customer accounts who  
12 may be unable to pay bills for current service.

13 b. Each utility shall, within 21 days of the effective date of this  
14 act, monthly thereafter until 180 days after the end of the COVID-19  
15 state of emergency, and quarterly thereafter until such a covered entity  
16 ceases to provide service in New York state, file with the department,  
17 in a form and manner determined by the department, the information  
18 required pursuant to paragraph a of this subdivision. Each utility shall  
19 publish on its website the data it reports pursuant to this paragraph,  
20 simultaneously with submission of the data to the department.

21 3. The department shall publish on its website the reports required  
22 pursuant to subdivision two of this section, simultaneously with the  
23 submission of each report. The reports shall include presentations of  
24 the information required pursuant to subdivision two of this section in  
25 a spreadsheet format.

26 § 2. This act shall take effect immediately.

27 PART B

28 Section 1. 1. As used in this act:

29 a. "Assistance program" shall mean any program offered to eligible  
30 low-income customers to assist with the costs of wastewater services.

31 b. "COVID-19 state of emergency" shall mean the state disaster emer-  
32 gency declared pursuant to executive order 202 of 2020.

33 c. "Department" shall mean the department of environmental conserva-  
34 tion.

35 d. "Municipality" shall mean a county, city, town or village and shall  
36 include wastewater districts and systems owned and/or operated by a  
37 city, town, village or other governmental subdivision.

38 e. "Utility" shall mean a municipality or other entity providing  
39 wastewater services to customers in the state.

40 2. a. Within 30 days after the effective date of this act, the depart-  
41 ment shall prepare and submit to the governor and the legislature a  
42 written report that shall make findings and recommendations concerning  
43 the effect the COVID-19 state of emergency has had on the provision by  
44 utilities of wastewater service to commercial and residential customers  
45 in this state. An updated report shall be submitted monthly until 180  
46 days after the end of the COVID-19 state of emergency and thereafter  
47 shall be submitted quarterly by and for each utility until such a  
48 covered entity ceases to provide service in New York state. Without  
49 unreasonably exposing consumer personally identifiable information in a  
50 manner that violates public service law, department and public service  
51 commission practice or federal law, the reports shall include but not be  
52 limited to the following, with all information to be broken down by  
53 utility, type of service provided, month, customer class, municipality,

1 zip code, county, and United States Census American Community Survey  
2 Public Use Micro-data Area:

3 (1) the number of customers and how that number compares to the previ-  
4 ous year's number of customers at the same time;

5 (2) the number of disconnection notices sent due to non-payment,  
6 disconnections due to non-payment, reconnections of customers that were  
7 disconnected for non-payment, average time between service disconnection  
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10 (3) the number of liens on real property placed, sold, or enforced due  
11 to non-payment, and how those numbers compare to the previous year at  
12 the same time;

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14 180 days at the end of each month, the total dollar amount owed and  
15 average amount owed per customer in each of those categories, and how  
16 those numbers compare to the previous year at the same time, provided  
17 however that if the provision of such data would raise rates unreason-  
18 ably for residential or commercial customers, the department shall work  
19 with the utilities to take advantage of pending or ongoing upgrades or  
20 replacement of utility information technology systems to lower such bill  
21 impacts to two percent or less per year over the period necessary to  
22 attain reports of the granularity set forth in this act;

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24 to bill nonpayment but were not disconnected because of any legally  
25 mandated or voluntary suspension of disconnections due to the COVID-19  
26 state of emergency, or for any other statutory, regulatory or voluntary  
27 reason irrespective of the COVID-19 emergency, or such other states of  
28 emergency as may follow the end of the COVID-19 emergency;

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30 circumstances due to the COVID-19 state of emergency;

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32 the end of each month, the total dollar amount of arrears and average  
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26 submission of each report. The reports shall include presentations of  
27 the information required pursuant to subdivision two of this section in  
28 a spreadsheet format.

29 § 2. This act shall take effect immediately.

30 § 3. Severability clause. If any clause, sentence, paragraph, subdivi-  
31 sion, section or part of this act shall be adjudged by any court of  
32 competent jurisdiction to be invalid, such judgment shall not affect,  
33 impair, or invalidate the remainder thereof, but shall be confined in  
34 its operation to the clause, sentence, paragraph, subdivision, section  
35 or part thereof directly involved in the controversy in which such judg-  
36 ment shall have been rendered. It is hereby declared to be the intent of  
37 the legislature that this act would have been enacted even if such  
38 invalid provisions had not been included herein.

39 § 4. This act shall take effect immediately; provided, however, that  
40 the applicable effective date of Parts A through B of this act shall be  
41 as specifically set forth in the last section of such Parts.