

# STATE OF NEW YORK

6583

2021-2022 Regular Sessions

## IN ASSEMBLY

March 19, 2021

Introduced by M. of A. L. ROSENTHAL -- read once and referred to the Committee on Codes

AN ACT to amend the penal law, the criminal procedure law and the general business law, in relation to decriminalizing possession of controlled substances; to amend the public health law, in relation to establishing the drug decriminalization task force; to repeal certain provisions of the penal law related thereto; and providing for the repeal of certain provisions upon the expiration thereof

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Legislative findings. The legislature hereby finds that  
2 substance use disorder is a disease and should therefore be treated  
3 using a public health, rather than a criminal-legal-system-centered  
4 approach. Existing laws criminalizing the possession of drugs have been  
5 ineffective in reducing drug use and preventing substance use disorder.  
6 Instead, these laws have devastated individuals, families, and communi-  
7 ties. Treating substance use as a crime by arresting and incarcerating  
8 people for personal use offenses causes significant harm to individuals  
9 who use drugs by disrupting and further destabilizing their lives. It  
10 also contributes to an increased risk of death, the spread of infectious  
11 diseases, mass incarceration, the separation of families, and barriers  
12 to accessing housing, employment, and other vital services. Furthermore,  
13 even though research shows that drugs are used and sold at similar  
14 levels across all races, laws criminalizing the use of drugs have  
15 disproportionately impacted Black and Latinx communities. The purpose of  
16 this legislation is to save lives and to help transform New York's  
17 approach to drug use from one based on criminalization and stigma to one  
18 based on science and compassion, by eliminating criminal and civil  
19 penalties for the personal possession of controlled substances.

20 § 2. Section 220.03 of the penal law, as amended by section 4 of part  
21 I of chapter 57 of the laws of 2015, is amended to read as follows:

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 § 220.03 [~~Criminal~~] Unlawful possession of a controlled substance [~~in~~  
2 ~~the seventh degree~~].

3 A person is guilty of [~~criminal~~] unlawful possession of a controlled  
4 substance [~~in the seventh degree~~] when [~~he or she~~] they knowingly and  
5 unlawfully [~~possesses~~] possess a controlled substance; provided, howev-  
6 er, that it shall not be a violation of this section when a person  
7 possesses a residual amount of a controlled substance and that residual  
8 amount is in or on a hypodermic syringe or hypodermic needle obtained  
9 and possessed pursuant to section thirty-three hundred eighty-one of the  
10 public health law, which includes the state's syringe exchange and phar-  
11 macy and medical provider-based expanded syringe access programs; nor  
12 shall it be a violation of this section when a person's unlawful  
13 possession of a controlled substance is discovered as a result of seek-  
14 ing immediate health care as defined in paragraph (b) of subdivision  
15 three of section 220.78 of [~~the penal law~~] this article, for either  
16 another person or [~~him or herself~~] themselves because such person is expe-  
17 riencing a drug or alcohol overdose or other life threatening medical  
18 emergency as defined in paragraph (a) of subdivision three of section  
19 220.78 of [~~the penal law~~] this article.

20 [~~Criminal~~] Unlawful possession of a controlled substance [~~in the~~  
21 ~~seventh degree~~] is a [~~class A misdemeanor~~] violation punishable by a  
22 fine of up to fifty dollars or participation in a needs screening to  
23 identify health and other service needs, including but not limited to  
24 services that may address any problematic substance use and mental  
25 health conditions, lack of employment, housing, or food, and any need  
26 for civil legal services. The screening should prioritize the individ-  
27 ual's self-identified needs for referral to appropriate services. Such  
28 screening shall be conducted by individuals trained in the use of  
29 evidence-based, culturally and gender competent trauma-informed prac-  
30 tices. Upon verification that the person has completed the screening  
31 within forty-five days of when the fine was imposed, the fine imposed by  
32 this section shall be waived. Failure to pay such fine shall not be the  
33 basis for further penalties or for a term of incarceration.

34 § 3. Subdivision 3 of section 160.50 of the criminal procedure law is  
35 amended by adding a new paragraph (m) to read as follows:

36 (m) (i) the conviction was for a violation of an offense defined in  
37 section 220.03 of the penal law prior to the effective date of this  
38 paragraph.

39 (ii) the conviction is for an offense defined in section 220.03 of the  
40 penal law.

41 No defendant shall be required or permitted to waive eligibility for  
42 sealing or expungement pursuant to this section as part of a plea of  
43 guilty, sentence or any agreement related to a conviction for a  
44 violation of section 220.03 of the penal law and any such waiver shall  
45 be deemed void and wholly unenforceable.

46 § 4. Paragraph (a) of subdivision 5 of section 160.50 of the criminal  
47 procedure law, as amended by chapter 132 of the laws of 2019, is amended  
48 to read as follows:

49 (a) Expungement of certain [~~marihuana-related~~] records. A conviction  
50 for an offense described in subparagraph (iii) of paragraph (k) or  
51 subparagraph (ii) of paragraph (m) of subdivision three of this section  
52 shall, [~~on and after the effective date of this paragraph,~~] in accord-  
53 ance with the provisions of this paragraph, be vacated and dismissed,  
54 and all records of such conviction or convictions and related to such  
55 conviction or convictions shall be expunged, as described in subdivision  
56 forty-five of section 1.20 of this chapter, and the matter shall be

1 considered terminated in favor of the accused and deemed a nullity,  
2 having been rendered by this paragraph legally invalid. All such records  
3 for an offense described in this paragraph where the conviction was  
4 entered on or before the effective date of the chapter of the laws of  
5 2019 or 2020, as applicable, that amended this paragraph shall be  
6 expunged promptly and, in any event, no later than one year after such  
7 effective date.

8 § 5. Subparagraph (ii) of paragraph (b) of subdivision 5 of section  
9 160.50 of the criminal procedure law, as added by chapter 131 of the  
10 laws of 2019, is amended to read as follows:

11 (ii) where automatic vacatur, dismissal, and expungement, including  
12 record destruction if requested, is required by this subdivision but any  
13 record of the court system in this state has not yet been updated to  
14 reflect same (A) notwithstanding any other provision of law except as  
15 provided in paragraph (d) of subdivision one of this section and para-  
16 graph (e) of subdivision four of section eight hundred thirty-seven of  
17 the executive law: (1) when the division of criminal justice services  
18 conducts a search of its criminal history records, maintained pursuant  
19 to subdivision six of section eight hundred thirty-seven of the execu-  
20 tive law, and returns a report thereon, all references to a conviction  
21 for an offense described in paragraph (k) or (m) of subdivision three of  
22 this section shall be excluded from such report; and (2) the chief  
23 administrator of the courts shall develop and promulgate rules as may be  
24 necessary to ensure that no written or electronic report of a criminal  
25 history record search conducted by the office of court administration  
26 contains information relating to a conviction for an offense described  
27 in paragraph (k) or (m) of subdivision three of this section; and (B)  
28 where court records relevant to such matter cannot be located or have  
29 been destroyed, and a person or the person's attorney presents to an  
30 appropriate court employee a fingerprint record of the New York state  
31 division of criminal justice services, or a copy of a court disposition  
32 record or other relevant court record, which indicates that a criminal  
33 action or proceeding against such person was terminated by conviction of  
34 an offense described in paragraph (k) or (m) of subdivision three of  
35 this section, then promptly, and in any event within thirty days after  
36 such notice to such court employee, the chief administrator of the  
37 courts or [~~his or her~~] their designee shall assure that such vacatur,  
38 dismissal, and expungement, including record destruction if requested,  
39 have been completed in accordance with subparagraph (i) of this para-  
40 graph.

41 § 6. Paragraph (k) of subdivision 1 of section 440.10 of the criminal  
42 procedure law, as added by chapter 132 of the laws of 2019, is amended  
43 to read as follows:

44 (k) The judgment [~~occurred prior to the effective date of this para-~~  
45 ~~graph and~~] is a conviction for an offense as defined in subparagraph (i)  
46 or (ii) of paragraph (k) or subparagraph (i) of paragraph (m) of subdivi-  
47 sion three of section 160.50 of this part, in which case the court  
48 shall presume that a conviction by plea for the aforementioned offenses  
49 was not knowing, voluntary and intelligent if it has [~~severe or~~] ongoing  
50 collateral consequences, including but not limited to potential or actu-  
51 al immigration consequences, and shall presume that a conviction by  
52 verdict for the aforementioned offenses constitutes cruel and unusual  
53 punishment under section five of article one of the state constitution,  
54 based on those consequences. The people may rebut these presumptions.

1 § 7. Subdivision 6 of section 440.10 of the criminal procedure law, as  
2 amended by chapter 131 of the laws of 2019, is amended to read as  
3 follows:

4 6. If the court grants a motion under paragraph (i) or paragraph (k)  
5 of subdivision one of this section, it must vacate the judgment and  
6 dismiss the accusatory instrument, and may take such additional action  
7 as is appropriate in the circumstances. A defendant shall not be  
8 required to demonstrate prejudice prior to vacating the judgment.

9 § 8. Subdivision 9 of section 440.10 of the criminal procedure law, as  
10 added by section 4 of part 00 of chapter 55 of the laws of 2019, is  
11 amended to read as follows:

12 9. Upon [~~granting of~~] considering a motion pursuant to paragraph (j)  
13 of subdivision one of this section, a defendant shall not be required to  
14 demonstrate prejudice. Upon granting the motion, the court may either:

15 (a) With the consent of the people, vacate [~~the judgment or~~] and modi-  
16 fy the judgment [~~by reducing it~~] to [~~one of conviction for a lesser~~] an  
17 alternate offense; or

18 (b) Vacate the judgment and order a new trial wherein the defendant  
19 enters a plea to the same offense in order to permit the court to resen-  
20 tence the defendant in accordance with the amendatory provisions of  
21 subdivision one-a of section 70.15 of the penal law.

22 § 9. Section 220.46 of the penal law is REPEALED.

23 § 10. Section 220.25 of the penal law is REPEALED.

24 § 11. Subdivision 2 of section 850 of the general business law, as  
25 amended by chapter 812 of the laws of 1980, is amended to read as  
26 follows:

27 2. (a) "Drug-related paraphernalia" consists of the following objects  
28 used for the following purposes:

29 [~~(a)~~] (i) Kits, used or designed for the purpose of planting, propa-  
30 gating, cultivating, growing or harvesting of any species of plant which  
31 is a controlled substance or from which a controlled substance can be  
32 derived;

33 [~~(b)~~] (ii) Kits, used or [designed for the purpose of manufacturing,  
34 compounding, converting, producing, or preparing controlled substances;

35 [~~(c)~~] (iii) Isomerization devices, used or designed for the purpose of  
36 increasing the potency of any species of plant which is a controlled  
37 substance;

38 [~~(d)~~] (iv) Scales and balances, used or designed for the purpose of  
39 weighing or measuring controlled substances; and

40 [~~(e)~~] (v) Diluents and adulterants, including but not limited to  
41 quinine hydrochloride, mannitol, mannite, dextrose and lactose, used or  
42 designed for the purpose of cutting controlled substances; and

43 [~~(f)~~] (vi) Separation gins, used or designed for the purpose of remov-  
44 ing twigs and seeds in order to clean or refine marihuana[~~+~~

45 ~~(g) Hypodermic syringes, needles and other objects, used or designed~~  
46 ~~for the purpose of parenterally injecting controlled substances into the~~  
47 ~~human body;~~

48 ~~(h) Objects, used or designed for the purpose of ingesting, inhaling,~~  
49 ~~or otherwise introducing marihuana, cocaine, hashish, or hashish oil~~  
50 ~~into the human body].~~

51 (b) "Drug-related paraphernalia" shall not include objects used for  
52 the purpose of injecting, ingesting, inhaling or otherwise introducing  
53 drugs into the human body.

54 § 12. A person under parole, probation or other state or local super-  
55 vision, or released on bail awaiting trial as of the effective date of  
56 this act shall not be punished or otherwise penalized for conduct that

1 is no longer considered criminal under article 220 of the penal law  
2 pursuant to the provisions of this act.

3 § 13. The public health law is amended by adding a new section 3395 to  
4 read as follows:

5 § 3395. Drug decriminalization task force. 1. There is hereby estab-  
6 lished a drug decriminalization task force which, pursuant to the  
7 provisions of this section, shall develop recommendations for reforming  
8 state laws, regulations and practices so that they align with the stated  
9 goal of treating substance use disorder as a disease, rather than a  
10 criminal behavior.

11 2. The task force shall study and utilize reliable evidence and infor-  
12 mation to:

13 (a) Identify amounts of individual controlled substances consistent  
14 with non-prescribed personal use;

15 (b) Identify qualitative and quantitative research data about the  
16 types of services that people with substance use disorders who are  
17 involved with the criminal legal or child welfare systems desire and  
18 currently cannot access, and barriers to accessing existing services;  
19 and

20 (c) Issue recommendations regarding laws, regulations and policies  
21 identified by the task force as needing reform, including changes to the  
22 penal law, the social services law and any other statutes that will help  
23 the state achieve the objective of addressing the use of drugs through a  
24 public health approach. In developing recommendations, the task force  
25 shall consider:

26 (i) the quantity of drugs used by individuals with a substance use  
27 disorder;

28 (ii) policies and practices that will prioritize access to treatment  
29 and recovery for individuals wishing to address their use of controlled  
30 substances;

31 (iii) non-carceral strategies to divert individuals who use drugs from  
32 the criminal legal system, including charges for selling drugs;

33 (iv) the criminalization of possession of methadone and buprenorphine;

34 (v) the immigration consequences of convictions for crimes related to  
35 drug use;

36 (vi) how to reduce unnecessary family separation;

37 (vii) how to reduce civil collateral consequences of drug convictions  
38 including effects on employment, housing, education, and licensing;

39 (viii) how to maximize the use of harm reduction strategies; and

40 (ix) how to address racial disparities in enforcement.

41 3. (a) (i) Such task force shall be comprised of the commissioner of  
42 health or their designee; the commissioner of addiction services and  
43 supports or their designee; the commissioner of mental health or their  
44 designee; the commissioner of the division of criminal justice services  
45 or their designee; the commissioner of the office of children and family  
46 services or their designee; the director of the office of indigent legal  
47 services or their designee; one public defender recommended by the New  
48 York state defenders association; one prosecutor recommended by the  
49 district attorneys association of the state of New York; two experts in  
50 the etiology and treatment of substance use disorders recommended by the  
51 New York academy of medicine, at least one of whom must be an expert in  
52 medication-assisted treatment and at least one of whom must be an expert  
53 in the comorbidity of substance use disorders with mental health; and  
54 eleven members to be appointed as follows: (A) three members shall be  
55 appointed by the governor; (B) three members shall be appointed by the  
56 temporary president of the senate; (C) one member shall be appointed by

1 the minority leader of the senate; (D) three members shall be appointed  
2 by the speaker of the assembly; and (E) one member shall be appointed by  
3 the minority leader of the assembly.

4 (ii) If appointments are not made within thirty days, the senate  
5 majority leader and speaker of the assembly shall appoint the remaining  
6 members.

7 (iii) All appointees shall have expertise in at least one of the  
8 following fields: public health, substance use disorders, mental health,  
9 drug user health and harm reduction, the criminal legal system, child  
10 welfare, immigration, drug policy or racial justice. Further, appointees  
11 shall include people with prior drug convictions, individuals who have  
12 participated in a drug court program, individuals who have been formerly  
13 incarcerated, individuals impacted by the child welfare system, and  
14 representatives of organizations serving communities impacted by past  
15 federal and state drug policies. All appointments shall be coordinated  
16 to ensure statewide geographic representation that is balanced and  
17 diverse in its composition.

18 (iv) The task force shall be chaired by the commissioner of health or  
19 selected by the commissioner from the appointed members. The task force  
20 shall elect a vice-chair and other necessary officers from among all  
21 appointed members.

22 (b) The members of the task force shall receive no compensation for  
23 their services but shall be reimbursed for expenses actually and neces-  
24 sarily incurred in the performance of their duties;

25 (c) No civil action shall be brought in any court against any member  
26 of the drug decriminalization task force for any act or omission neces-  
27 sary to the discharge of their duties as a member of the task force,  
28 except as provided herein. Such member may be liable for damages in any  
29 such action if they failed to act in good faith and exercise reasonable  
30 care. Any information obtained by a member of the task force while  
31 carrying out their duties as prescribed in subdivision two of this  
32 section shall only be utilized in their capacity as a member of the task  
33 force.

34 4. No later than one year after the effective date of this section,  
35 the task force shall provide a report containing the results of the  
36 study, including evidence used as a basis in making such report, and its  
37 recommendations, if any, together with drafts of legislation necessary  
38 to carry out its recommendations by filing said report, documentation,  
39 and draft legislation, with the governor, the temporary president of the  
40 senate, the minority leader of the senate, the speaker of the assembly,  
41 and the minority leader of the assembly. The task force shall also make  
42 the report, documentation, and draft legislation available to the public  
43 by posting a copy on the website maintained by the office.

44 § 14. This act shall take effect on the one hundred and eightieth day  
45 after it shall have become a law; provided, however, that section thir-  
46 teen shall expire and be deemed repealed two years after such effective  
47 date.