

# STATE OF NEW YORK

1505

2021-2022 Regular Sessions

## IN ASSEMBLY

January 11, 2021

Introduced by M. of A. CARROLL, J. RIVERA, ABBATE, COLTON -- Multi-Sponsored by -- M. of A. GALEF, PEOPLES-STOKES -- read once and referred to the Committee on Aging

AN ACT to amend the social services law, in relation to elderly abuse protective services; and making an appropriation therefor

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Article 5 of the social services law is amended by adding a  
2 new title 9 to read as follows:

3 TITLE 9

4 ELDERLY ABUSE PROTECTIVE ACT

5 Section 310. Declaration of purpose.

6 311. Definitions.

7 312. Reporting the possible necessity for protective services.

8 313. Action on reports.

9 314. Judicial review.

10 315. Authority of office of children and family services.

11 316. Assistance of other agencies.

12 317. Review.

13 318. Costs for providing protective services.

14 319. Abuse of duties of caretaker.

15 320. Statewide central register of elderly abuse.

16 § 310. Declaration of purpose. The legislature declares that no elder-  
17 ly person in the state shall be subjected to abuse or deprivation.  
18 Towards that end the "elderly abuse protective act" is enacted.

19 § 311. Definitions. For purposes of this title:

20 1. The term "elderly person" means any resident of the state who is  
21 sixty-two years of age or older.

22 2. An elderly person shall be deemed to be "in need of protective  
23 services" if such person is unable to perform or obtain services which  
24 are necessary to maintain physical and mental health.

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 3. The term "services which are necessary to maintain physical and  
2 mental health" includes, but is not limited to, the provision of medical  
3 care for physical and mental health needs, the relocation of an elderly  
4 person to a facility or institution able to offer such care, assistance  
5 in personal hygiene, food, clothing, adequately heated and ventilated  
6 shelter, protection from health and safety hazards, protection from  
7 maltreatment the result of which includes, but is not limited to, malnu-  
8 trition, deprivation of necessities or physical punishment, and trans-  
9 portation necessary to secure any of the above stated needs, except that  
10 this term shall not include taking such person into custody without  
11 consent except as provided in this title.

12 4. The term "protective services" means services provided by the state  
13 as described in section four hundred seventy-three of this chapter,  
14 which are necessary to prevent abuse, neglect, exploitation or abandon-  
15 ment.

16 5. The term "abuse" includes but is not limited to any act or omission  
17 which results in the infliction of physical pain or injury, or the  
18 infliction of mental anguish that requires medical attention or the  
19 deprivation by a caretaker of services which are necessary to maintain  
20 physical or mental health.

21 6. The term "neglect" refers to an elderly person who is either living  
22 alone and not able to provide for oneself the services which are neces-  
23 sary to maintain physical and mental health or is not receiving the said  
24 necessary services from the responsible caretaker.

25 7. The term "exploitation" means intentional economic exploitation of  
26 an elderly person by means of theft, fraud, coercion or extortion.

27 8. The term "abandonment" refers to the desertion or wilful forsaking  
28 of an elderly person by a caretaker or the foregoing of duties or the  
29 withdrawal or neglect of duties and obligations owed an elderly person  
30 by a caretaker or other person.

31 9. The term "caretaker" means a person who has the responsibility for  
32 the care of an elderly person as a result of family relationship or who  
33 has assumed the responsibility for the care of the elderly voluntarily,  
34 by contract or by order of a court of competent jurisdiction.

35 § 312. Reporting the possible necessity for protective services. 1.  
36 Any licensed physician or surgeon, any resident physician or intern in  
37 any hospital in this state, whether or not so licensed, any registered  
38 nurse, any adult care facility administrator, any person paid for caring  
39 for a resident in an adult care facility, any staff person employed by  
40 an adult care facility, any patient's advocate and any licensed practi-  
41 cal nurse, medical examiner, dentist, osteopath, optometrist, chiroprac-  
42 tor, podiatrist, social worker, coroner, clergyman, peace officer, phar-  
43 macist or physical therapist or any attorney, accountant, trustee,  
44 guardian, conservator or other person who has responsibility for prepar-  
45 ing the tax records of an elderly person or a person who has a fiduciary  
46 responsibility for any other action concerning the use or preservation  
47 of an elderly adult's property, who has a reasonable basis to believe  
48 that any elderly person has been abused, neglected, exploited or aban-  
49 doned, or is in a condition which is the result of such abuse, neglect,  
50 exploitation or abandonment, or who is in need of protective services,  
51 or any attorney, accountant, trustee, guardian, conservator or other  
52 person who has responsibility for preparing the tax records of an elder-  
53 ly person or a person who has a fiduciary responsibility for any other  
54 action concerning the use or retention of an elderly adult's property  
55 who has a reasonable basis to believe that an elderly adult has been

1 exploited, shall within three calendar days report such information or  
2 cause a report to be made in the following manner:

3 (a) if the abuse has occurred in a long-term care facility, except a  
4 state mental hospital or a state development center, the report shall be  
5 made to the commissioner of the office of children and family services;

6 (b) if the suspected or alleged abuse occurred in a state mental  
7 health hospital or a state developmental center, the report shall be  
8 made to the office of mental health or the office for people with devel-  
9 opmental disabilities; or

10 (c) if the abuse has occurred any place other than one described in  
11 paragraph (a) or (b) of this subdivision, the report shall be made to  
12 the statewide central register.

13 Any person required to report under the provision of this section who  
14 fails to make such report may be fined not more than five hundred  
15 dollars.

16 2. Such report shall contain the name and address of the involved  
17 elderly person, information regarding the nature and extent of the  
18 abuse, neglect, exploitation or abandonment, and any other information  
19 which the reporter believes might be helpful in an investigation of the  
20 case and the protection of such elderly person.

21 3. Any other person having reasonable cause to believe that an elderly  
22 person is being, or has been abused, neglected, exploited or abandoned  
23 or who is in need of protective services may report such information in  
24 any reasonable manner to the commissioner of the office of children and  
25 family services or his or her designee.

26 4. Any person who makes any report pursuant to this title, or who  
27 testifies in any administrative or judicial proceeding arising from such  
28 report shall be immune from any civil or criminal liability on account  
29 of such report or testimony, except for liability for perjury, unless  
30 such person was grossly negligent or acted in bad faith or with mali-  
31 cious purpose.

32 5. Any physician, surgeon, or psychotherapist shall not be required to  
33 file a report pursuant to this section if all the following conditions  
34 are met:

35 (a) such physician, surgeon, or psychotherapist has been told by an  
36 elder or dependent adult that he or she has experienced behavior consti-  
37 tuting physical abuse, abandonment, isolation, financial abuse, or  
38 neglect;

39 (b) such physician, surgeon, or psychotherapist is not aware of any  
40 independent evidence that corroborates the statement that abuse has  
41 occurred;

42 (c) the elder or dependent adult has been diagnosed with a mental  
43 illness or dementia, or is the subject of a court ordered conservator-  
44 ship because of mental illness or dementia; and

45 (d) in the exercise of clinical judgment, such physician, surgeon, or  
46 psychotherapist reasonably believes that such abuse did not occur.

47 6. In a long-term care facility, a person who otherwise would have  
48 been required to report abuse pursuant to this section, shall not be  
49 required to file a report if the following conditions are met:

50 (a) such person is aware that there is a proper plan of care;

51 (b) such person is aware that the plan of care was properly provided  
52 or executed;

53 (c) a physical, mental, or medical injury occurred as a result of care  
54 provided pursuant to paragraph (a) or (b) of this subdivision; and

55 (d) such person reasonably believes that the injury was not the result  
56 of abuse.

1 7.(a) Any individual specified in subdivision one of this section who  
2 has knowledge of, or reasonably suspects that, types of elder or depend-  
3 ent adult abuse for which reports are not mandated have been inflicted  
4 upon an elder or dependent adult or that his or her emotional well-being  
5 is endangered in any other way, may report the known or suspected  
6 instance of abuse.

7 (b) If the suspected or alleged abuse occurred in a long-term care  
8 facility other than a state mental health hospital or a state develop-  
9 mental center, the report may be made to the commissioner of the office  
10 of children and family services.

11 (c) If the suspected or alleged abuse occurred in a state mental  
12 health hospital or a state developmental center, the report may be made  
13 to the office of mental health or the office for people with develop-  
14 mental disabilities.

15 (d) If the suspected or alleged abuse occurred in a place other than a  
16 place described in paragraph (b) or (c) of this subdivision, the report  
17 may be made to the statewide central register.

18 8. If the conduct involves criminal activity not covered in subdivi-  
19 sion five or six of this section, it may be immediately reported to the  
20 appropriate law enforcement agency.

21 9. When two or more individuals specified in subdivision one of this  
22 section are present and jointly have knowledge or reasonably suspect  
23 that types of abuse of an elder or a dependent adult for which a report  
24 is or is not mandated have occurred, and when there is agreement among  
25 them, the telephone report may be made by a member of the team selected  
26 by mutual agreement, and a single report may be made and signed by the  
27 selected member of the reporting team. Any member who has knowledge that  
28 the member designated to report has failed to do so shall thereafter  
29 make the report.

30 10. A telephone report of a known or suspected instance of elder or  
31 dependent adult abuse shall include the name of the person making the  
32 report, the name and age of the elder or dependent adult, the present  
33 location of the elder or dependent adult, the names and addresses of  
34 family members or any other person responsible for the elder or depend-  
35 ent adult's care, if known, the nature and extent of the elder or  
36 dependent adult's condition, the date of the incident, and any other  
37 information, including information that led that person to suspect elder  
38 or dependent adult abuse, requested by the agency receiving the report.

39 § 313. Action on reports. 1. The commissioner of the office of chil-  
40  dren and family services upon receiving a report that an elderly person  
41  allegedly is being, or has been, abused, neglected, exploited or aban-  
42  doned, or is in need of protective services shall cause a prompt and  
43  thorough evaluation to be made, through the appropriate local or county  
44  department of social services to determine the situation relative to the  
45  condition of the elderly person and what action and services, if any,  
46  are required. The evaluation shall include a visit to the named elderly  
47  person and consultation with those individuals having knowledge of the  
48  facts of the particular case.

49 2. Upon probable cause to believe that an individual covered by this  
50  title is being abused, maltreated or neglected, a representative of the  
51  local or county department of social services, accompanied by a law  
52  enforcement officer, may enter a premises, after obtaining a court order  
53  and announcing their authority and purpose.

54 3. Upon completion of the evaluation of each case, written findings  
55  shall be prepared which shall include recommended action and a determi-  
56  nation of whether protective services are needed.

1 4. Each local or county department of social services shall maintain a  
2 registry of the reports received, the evaluation and findings and the  
3 actions recommended, and shall furnish copies of such data to the office  
4 of children and family services for a statewide register.

5 5. Neither the original report nor the evaluation report of the local  
6 or county department of social services shall be deemed a public record.  
7 The name of the person making the original report or any person  
8 mentioned in such report shall not be disclosed unless the person making  
9 the original report specifically requests such disclosure or unless a  
10 judicial proceeding results therefrom.

11 § 314. Judicial review. 1. If it is determined that an elderly person  
12 is in need of protective services, the local or county department of  
13 social services shall furnish the necessary services, provided the  
14 elderly person consents.

15 2. If an elderly person does not consent to the receipt of reasonable  
16 and necessary protective services, or if such person withdraws the  
17 consent, such services shall not be provided or continued, except that  
18 if the commissioner of the office of children and family services has  
19 reason to believe that such elderly person is at risk of serious harm  
20 and lacks capacity to consent, he or she may proceed to petition for an  
21 order for short-term involuntary protective services pursuant to section  
22 four hundred seventy-three-a of this chapter.

23 3. If the caretaker of an elderly person who has consented to the  
24 receipt of reasonable and necessary protective services refuses to allow  
25 the provision of such services to such elderly person, the commissioner  
26 of the office of children and family services may petition the supreme  
27 court or the surrogate's court for an order enjoining the caretaker from  
28 interfering with the provision of protective services to the elderly  
29 person. The petition shall allege specific facts sufficient to show that  
30 the elderly person is in need of protective services and consents to  
31 their provision and that the caretaker refuses to allow the provision of  
32 such services. If the judge finds that the elderly person is in need of  
33 such services and has been prevented by the caretaker from receiving the  
34 same, the judge may issue an order enjoining the caretaker from inter-  
35 fering with the provision of protective services to the elderly person.

36 § 315. Authority of office of children and family services. 1. Every  
37 person, department, agency or commission authorized to carry out the  
38 duties enumerated in this title shall have access to all relevant  
39 records, except that records which are confidential to an elderly person  
40 shall only be disclosed with the written consent of the elderly person  
41 or his or her representative. The authority of the office of children  
42 and family services under this title shall include, but not be limited  
43 to, the right to initiate or otherwise take those actions necessary to  
44 assure the health, safety and welfare of any elderly person, subject to  
45 any specific requirement for individual consent, and the right to  
46 authorize the transfer of an elderly person from an adult care facility,  
47 intermediate or residential health care facility, nursing home, or  
48 hospital.

49 2. The office of children and family services, within ten calendar  
50 days of the referral of any cases for the provision of protective  
51 services, shall furnish the local or county department of social  
52 services a written report outlining the intended plan of services. The  
53 local or county department of social services shall have the right to  
54 comment on the proposed plan.

55 § 316. Assistance of other agencies. In performing the duties set  
56 forth in this title, the local or county department of social services

1 may request the assistance of the staffs and resources of all appropri-  
2 ate state departments, agencies and commissions and local health direc-  
3 tors.

4 § 317. Review. Subsequent to the authorization for the provision of  
5 reasonable and necessary protective services, the office of children and  
6 family services shall initiate a review of each case within forty-five  
7 days, to determine whether continuation of, or modification in, the  
8 services provided is warranted. A decision to continue the provision of  
9 such services should be made in concert with appropriate personnel from  
10 other involved state and local groups, agencies and departments, and  
11 shall comply with the consent provisions of this title. Reevaluations of  
12 each such case shall be made every ninety days thereafter. The office of  
13 children and family services shall advise the appropriate local or coun-  
14 ty department of social services of the decisions relative to continua-  
15 tion of protective services for each such elderly person.

16 § 318. Costs for providing protective services. Prior to implementa-  
17 tion of any protective services, an evaluation shall be undertaken by  
18 the office of children and family services pursuant to regulations which  
19 shall be adopted by the commissioner of the office of children and fami-  
20 ly services regarding the elderly person's financial capability for  
21 paying for the protective services. If the person is so able, procedures  
22 for the reimbursement for the costs of providing the needed protective  
23 services should be initiated. If it is determined that the person is not  
24 financially capable of paying for such needed services, the services  
25 shall be provided in accordance with policies and procedures established  
26 by the commissioner of the office of children and family services for  
27 the provision of social services benefits under such circumstances.

28 § 319. Abuse of duties of caretaker. If as a result of any investi-  
29 gation initiated under the provisions of this title, a determination is  
30 made that a caretaker or other person has abused, neglected, exploited  
31 or abandoned an elderly person, such information shall be referred in  
32 writing to the attorney general or his or her designee, and the district  
33 attorney in the county in which the abuse, neglect, exploitation or  
34 abandonment is believed to have occurred which shall conduct such  
35 further investigation, if any is deemed necessary and shall determine  
36 whether criminal proceedings should be initiated against such caretaker  
37 or other person, in accordance with applicable state law.

38 § 320. Statewide central register of elderly abuse. 1. There shall be  
39 established in the office of children and family services a statewide  
40 central register of elderly abuse reports made pursuant to this title.

41 2. The central register shall be capable of receiving oral and elec-  
42 tronic reports of elderly abuse, neglect, exploitation or abandonment  
43 and of immediately identifying prior reports of elderly abuse, neglect,  
44 exploitation or abandonment and capable of monitoring the provision of  
45 elderly protective services twenty-four hours a day, seven days a week.  
46 To effectuate this purpose, but subject to the provisions of the appro-  
47 priate local plan for the provision of elderly protective services,  
48 there shall be a single statewide telephone number that all persons,  
49 whether mandated by law or not, may use to report cases of suspected  
50 elderly abuse, neglect, exploitation or abandonment and that all persons  
51 so authorized by this title may use for determining the existence of  
52 prior reports in order to evaluate the condition or circumstances of the  
53 elderly person before them. Such oral reports shall be immediately  
54 transmitted orally or electronically by the office of children and fami-  
55 ly services to the appropriate local elderly protective service. If the  
56 records indicate a previous report concerning a subject of the report,

1 other persons named in the report or other pertinent information, the  
2 appropriate local elderly protective service shall be immediately noti-  
3 fied of the fact.

4 3. The central register shall include but not be limited to the  
5 following information: all the information in the written report; a  
6 record of the final disposition of the report, including services  
7 offered and services accepted; the plan for rehabilitative treatment;  
8 the names and identifying data, dates and circumstances of any person  
9 requesting or receiving information from the register; and any other  
10 information which the commissioner of the office of children and family  
11 services believes might be helpful in the furtherance of the purposes of  
12 this chapter.

13 4. Reports made pursuant to this title as well as any other informa-  
14 tion obtained, reports written or photographs taken concerning such  
15 reports in the possession of the office of children and family services  
16 or local departments shall be confidential and shall only be made avail-  
17 able to (a) a physician who has before him or her an elderly person whom  
18 he or she reasonably suspects may be abused, neglected, exploited or  
19 abandoned; (b) a person authorized to place an elderly person in protec-  
20 tive custody when such person has before him or her an elderly person  
21 whom he or she reasonably suspects may be abused, neglected, exploited  
22 or abandoned and such person requires the information in the record to  
23 determine whether to place the elderly person in protective custody; (c)  
24 a duly authorized agency having the responsibility for the care or  
25 supervision of an elderly person who is reported to the central register  
26 of elderly abuse; (d) any person who is the subject of the report or  
27 other persons named in the report; (e) a court, upon a finding that the  
28 information in the record is necessary for the determination of an issue  
29 before the court; (f) a grand jury, upon a finding that the information  
30 in the record is necessary for the determination of charges before the  
31 grand jury; (g) any appropriate state legislative committee responsible  
32 for elderly protective legislation and any temporary state commission  
33 having the powers of a legislative committee and having the power to  
34 review such legislation and make recommendations thereon to the governor  
35 and legislature; (h) any person engaged in a bona fide research purpose,  
36 provided, however, that no information identifying the subjects of the  
37 report or other persons named in the report shall be made available to  
38 the researcher unless it is absolutely essential to the research purpose  
39 and the office of children and family services gives prior approval; (i)  
40 authorized agencies and the office for the aging. However, no informa-  
41 tion may be released unless the person or official's identity is  
42 confirmed by the department and the released information states whether  
43 the report is "indicated" or "under investigation," whichever the case  
44 may be. A person given access to the names or other information identi-  
45 fying the subjects of the report or other persons named in the report,  
46 except the subject of the report or other persons named in the report,  
47 shall not divulge or make public such identifying information unless he  
48 or she is a district attorney or other law enforcement official and the  
49 purpose is to initiate court action.

50 5. Unless an investigation of a report conducted pursuant to this  
51 title determines that there is some credible evidence of the alleged  
52 abuse, neglect, exploitation or abandonment, all information identifying  
53 the subjects of the report and other persons named in the report shall  
54 be expunged from the central register and from the records of all local  
55 elderly protective services forthwith.

1 6. In all other cases, the record of the report to the central regis-  
2 ter shall be expunged no later than ten years after the death of the  
3 elderly person. In any case and at any time, the commissioner of the  
4 office of children and family services may amend or expunge any record  
5 upon good cause shown and notice to the subjects of the report and other  
6 persons named in the report.

7 7. At any time, a subject of a report and other persons named in the  
8 report may receive, upon request, a copy of all information contained in  
9 the central register; provided, however, that the commissioner of the  
10 office of children and family services is authorized to prohibit the  
11 release of data that would identify the person who made the report or  
12 who cooperated in a subsequent investigation, which he or she reasonably  
13 finds will be detrimental to the safety or interests of such person.

14 8. At any time subsequent to the completion of the investigation but  
15 in no event later than ninety days after the subject of the report is  
16 notified that the report is indicated the subject may request the  
17 commissioner of the office of children and family services to amend or  
18 expunge the record of the report. If the commissioner of the office of  
19 children and family services does not amend or expunge the report within  
20 ninety days of receiving such request, the subject shall have the right  
21 to a fair hearing to determine whether the record of the report in the  
22 central register should be amended or expunged on the grounds that it is  
23 inaccurate or it is being maintained in a manner inconsistent with this  
24 title. The appropriate local elderly protective service shall be given  
25 notice of the fair hearing. The burden of proof in such hearing shall be  
26 on the office of children and family services and appropriate local  
27 elderly protective service. In such hearings, the fact that there was a  
28 court finding of abuse, neglect, exploitation or abandonment shall be  
29 presumptive evidence that the report was substantiated. The commissioner  
30 of the office of children and family services or his or her designated  
31 agent is hereby authorized and empowered to make any appropriate order  
32 respecting the amendment or expungement of the record to make it accu-  
33 rate or consistent with the requirements of this title.

34 9. Written notice of any expungement or amendment of any record, made  
35 pursuant to the provisions of this title, shall be served upon each  
36 subject of such record, other persons named in the report and the appro-  
37 priate local elderly protective service. The latter, upon receipt of  
38 such notice, shall take the appropriate similar action in regard to the  
39 local elderly abuse register and inform, for the same purpose, any other  
40 agency which received such record pursuant to this title.

41 10. Any person who willfully permits and any person who encourages the  
42 release of any data and information contained in the central register to  
43 persons or agencies not permitted by this title shall be guilty of a  
44 class A misdemeanor.

45 § 2. The sum of six hundred thousand dollars (\$600,000), or so much  
46 thereof as may be necessary, is hereby appropriated to the office of  
47 children and family services out of any moneys in the state treasury in  
48 the general fund to the credit of the state purposes account not other-  
49 wise appropriated, for its expenses, including personal service, mainte-  
50 nance and operation in carrying out the provisions of this act. Such  
51 moneys shall be payable on the audit and warrant of the comptroller on  
52 vouchers certified or approved by the commissioner of the office of  
53 children and family services or his or her designee, in the manner  
54 prescribed by law.

55 § 3. This act shall take effect on the one hundred twentieth day after  
56 it shall have become a law. Effective immediately, the addition, amend-

1 ment and/or repeal of any rule or regulation necessary for the implemen-  
2 tation of this act on its effective date are authorized to be made and  
3 completed on or before such effective date.