

# STATE OF NEW YORK

5338

2021-2022 Regular Sessions

## IN SENATE

March 2, 2021

Introduced by Sen. OBERACKER -- read twice and ordered printed, and when printed to be committed to the Committee on Codes

AN ACT to amend the penal law, in relation to authorizing the transfer of certain weapons from an estate to an immediate member of the decedent's family; to amend the penal law, in relation to the filing of approved applications for licenses to carry, possess, repair and dispose of firearms; to amend the mental hygiene law, in relation to reports of substantial risk or threat of harm by mental health professionals; to amend the penal law, in relation to licenses to carry, possess, repair and dispose of firearms; and to repeal section 400.03 of the penal law relating to sellers of ammunition

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Section 400.03 of the penal law is REPEALED.

2 § 2. Paragraph (g) of subdivision 22 of section 265.00 of the penal  
3 law is amended by adding a new subparagraph (vii) to read as follows:

4 (vii) any weapon legally possessed and validly registered pursuant to  
5 subdivision sixteen-a of section 400.00 of this chapter prior to such  
6 person's death and bequeathed or passed through intestacy to an immedi-  
7 ate family member of the deceased. Such weapons shall be subject to the  
8 provisions of paragraph (h) of this subdivision. For purposes of this  
9 subparagraph, the meaning of immediate family member is as defined by  
10 subdivision one of section eight hundred ninety-eight of the general  
11 business law;

12 § 3. Paragraph (h) of subdivision 22 of section 265.00 of the penal  
13 law, as added by chapter 1 of the laws of 2013, is amended to read as  
14 follows:

15 (h) (i) Any weapon defined in paragraph (e) or (f) of this subdivision  
16 and any large capacity ammunition feeding device that was legally  
17 possessed by an individual prior to the enactment of [~~the~~] chapter one  
18 of the laws of two thousand thirteen [~~which added this paragraph~~], may

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 only be sold to, exchanged with or disposed of to a purchaser authorized  
2 to possess such weapons or to an individual or entity outside of the  
3 state provided that any such transfer to an individual or entity outside  
4 of the state must be reported to the entity wherein the weapon is regis-  
5 tered within seventy-two hours of such transfer. An individual who  
6 transfers any such weapon or large capacity ammunition device to an  
7 individual inside New York state or without complying with the  
8 provisions of this paragraph shall be guilty of a class A misdemeanor  
9 unless such large capacity ammunition feeding device, the possession of  
10 which is made illegal by [~~the~~] chapter one of the laws of two thousand  
11 thirteen [~~which added this paragraph~~], is transferred within one year of  
12 the effective date of [~~the~~] chapter one of the laws of two thousand  
13 thirteen [~~which added this paragraph~~].

14 (ii) Notwithstanding the provisions of subparagraph (i) of this para-  
15 graph, any weapon defined in paragraph (e) or (f) of this subdivision  
16 that was legally possessed and validly registered by an individual prior  
17 to his or her death may be transferred by the estate of such individual  
18 to one of his or her immediate family members. For purposes of this  
19 subparagraph, the meaning of immediate family member is as defined by  
20 subdivision one of section eight hundred ninety-eight of the general  
21 business law.

22 § 4. Subdivision 5 of section 400.00 of the penal law, as amended by  
23 chapter 1 of the laws of 2013, subparagraph (iii) of paragraph (e) as  
24 amended by chapter 244 of the laws of 2019, is amended to read as  
25 follows:

26 5. Filing of approved applications. [~~(a)~~] The application for any  
27 license, if granted, shall be filed by the licensing officer with the  
28 clerk of the county of issuance, except that in the city of New York  
29 and, in the counties of Nassau and Suffolk, the licensing officer shall  
30 designate the place of filing in the appropriate division, bureau or  
31 unit of the police department thereof, and in the county of Suffolk the  
32 county clerk is hereby authorized to transfer all records or applica-  
33 tions relating to firearms to the licensing authority of that county.  
34 [~~Except as provided in paragraphs (b) through (f) of this subdivision,~~  
35 ~~the name and address~~] The application and any supporting records,  
36 including any information contained therein, of any person to whom an  
37 application for any license has been granted shall not be a public  
38 record and shall not be subject to disclosure pursuant to article six of  
39 the public officers law. Upon application by a licensee who has changed  
40 his or her place of residence such records or applications shall be  
41 transferred to the appropriate officer at the licensee's new place of  
42 residence. A duplicate copy of such application shall be filed by the  
43 licensing officer in the executive department, division of [~~state~~  
44 ~~police~~] criminal justice services, Albany, within ten days after issu-  
45 ance of the license. The [~~superintendent~~] commissioner of [~~state police~~]  
46 criminal justice services may designate that such application shall be  
47 transmitted to the division of [~~state police~~] criminal justice services  
48 electronically. In the event the [~~superintendent~~] commissioner of the  
49 division of [~~state police~~] criminal justice services determines that it  
50 lacks any of the records required to be filed with the division, it may  
51 request that such records be provided to it by the appropriate clerk,  
52 department or authority and such clerk, department or authority shall  
53 provide the division with such records. In the event such clerk, depart-  
54 ment or authority lacks such records, the division may request the  
55 license holder provide information sufficient to constitute such record  
56 and such license holder shall provide the division with such informa-

1 tion. Such information shall be limited to the license holder's name,  
2 date of birth, gender, race, residential address, social security number  
3 and firearms possessed by said license holder. Nothing in this subdivi-  
4 sion shall be construed to change the expiration date or term of such  
5 licenses if otherwise provided for in law. Records assembled or  
6 collected for purposes of inclusion in the database established by this  
7 section shall be released pursuant to a court order. Records assembled  
8 or collected for purposes of inclusion in the database created pursuant  
9 to section 400.02 of this [~~chapter~~] article shall not be subject to  
10 disclosure pursuant to article six of the public officers law except  
11 that the total number of persons registered in any county of the state  
12 may be disclosed without any other identifying information about a  
13 registrant.

14 [~~(b) Each application for a license pursuant to paragraph (a) of this~~  
15 ~~subdivision shall include, on a separate written form prepared by the~~  
16 ~~division of state police within thirty days of the effective date of the~~  
17 ~~chapter of the laws of two thousand thirteen, which amended this~~  
18 ~~section, and provided to the applicant at the same time and in the same~~  
19 ~~manner as the application for a license, an opportunity for the appli-~~  
20 ~~cant to request an exception from his or her application information~~  
21 ~~becoming public record pursuant to paragraph (a) of this subdivision.~~  
22 ~~Such forms, which shall also be made available to individuals who had~~  
23 ~~applied for or been granted a license prior to the effective date of the~~  
24 ~~chapter of the laws of two thousand thirteen which amended this section,~~  
25 ~~shall notify applicants that, upon discovery that an applicant knowingly~~  
26 ~~provided false information, such applicant may be subject to penalties~~  
27 ~~pursuant to section 175.30 of this chapter, and further, that his or her~~  
28 ~~request for an exception shall be null and void, provided that written~~  
29 ~~notice containing such determination is provided to the applicant.~~  
30 ~~Further, such forms shall provide each applicant an opportunity to spec-~~  
31 ~~ify the grounds on which he or she believes his or her application~~  
32 ~~information should not be publicly disclosed. These grounds, which shall~~  
33 ~~be identified on the application with a box beside each for checking, as~~  
34 ~~applicable, by the applicant, shall be as follows:~~

35 ~~(i) the applicant's life or safety may be endangered by disclosure~~  
36 ~~because:~~

37 ~~(A) the applicant is an active or retired police officer, peace offi-~~  
38 ~~cer, probation officer, parole officer, or corrections officer;~~

39 ~~(B) the applicant is a protected person under a currently valid order~~  
40 ~~of protection;~~

41 ~~(C) the applicant is or was a witness in a criminal proceeding involv-~~  
42 ~~ing a criminal charge;~~

43 ~~(D) the applicant is participating or previously participated as a~~  
44 ~~juror in a criminal proceeding, or is or was a member of a grand jury;~~  
45 ~~or~~

46 ~~(E) the applicant is a spouse, domestic partner or household member of~~  
47 ~~a person identified in this subparagraph or subparagraph (ii) of this~~  
48 ~~paragraph, specifying which subparagraph or subparagraphs and clauses~~  
49 ~~apply.~~

50 ~~(ii) the applicant has reason to believe his or her life or safety may~~  
51 ~~be endangered by disclosure due to reasons stated by the applicant.~~

52 ~~(iii) the applicant has reason to believe he or she may be subject to~~  
53 ~~unwarranted harassment upon disclosure of such information.~~

54 ~~(c) Each form provided for recertification pursuant to paragraph (b)~~  
55 ~~of subdivision ten of this section shall include an opportunity for the~~  
56 ~~applicant to request an exception from the information provided on such~~

1 ~~form becoming public record pursuant to paragraph (a) of this subdivi-~~  
2 ~~sion. Such forms shall notify applicants that, upon discovery that an~~  
3 ~~applicant knowingly provided false information, such applicant may be~~  
4 ~~subject to penalties pursuant to section 175.30 of this chapter, and~~  
5 ~~further, that his or her request for an exception shall be null and~~  
6 ~~void, provided that written notice containing such determination is~~  
7 ~~provided to the applicant. Further, such forms shall provide each appli-~~  
8 ~~cant an opportunity to either decline to request the grant or continua-~~  
9 ~~tion of an exception, or specify the grounds on which he or she believes~~  
10 ~~his or her information should not be publicly disclosed. These grounds,~~  
11 ~~which shall be identified in the application with a box beside each for~~  
12 ~~checking, as applicable, by the applicant, shall be the same as provided~~  
13 ~~in paragraph (b) of this subdivision.~~

14 ~~(d) Information submitted on the forms described in paragraph (b) of~~  
15 ~~this subdivision shall be excepted from disclosure and maintained by the~~  
16 ~~entity retaining such information separate and apart from all other~~  
17 ~~records.~~

18 ~~(e) (i) Upon receiving a request for exception from disclosure, the~~  
19 ~~licensing officer shall grant such exception, unless the request is~~  
20 ~~determined to be null and void, pursuant to paragraph (b) or (c) of this~~  
21 ~~subdivision.~~

22 ~~(ii) A request for an exception from disclosure may be submitted at~~  
23 ~~any time, including after a license or recertification has been granted.~~

24 ~~(iii) If an exception is sought and granted pursuant to paragraph (b)~~  
25 ~~of this subdivision, the application information shall not be public~~  
26 ~~record, unless the request is determined to be null and void. If an~~  
27 ~~exception is sought and granted pursuant to paragraph (c) of this subdivi-~~  
28 ~~vision, the information concerning such recertification application~~  
29 ~~shall not be public record, unless the request is determined to be null~~  
30 ~~and void. Notwithstanding the foregoing provisions of this subparagraph,~~  
31 ~~local and state law enforcement shall, upon request, be granted access~~  
32 ~~to and copies of such application information provided that such infor-~~  
33 ~~mation obtained by law enforcement pursuant to this subparagraph shall~~  
34 ~~not be considered a public record of such law enforcement agency.~~

35 ~~(f) The information of licensees or applicants for a license shall not~~  
36 ~~be disclosed to the public during the first one hundred twenty days~~  
37 ~~following the effective date of the chapter of the laws of two thousand~~  
38 ~~thirteen, which amended this section. After such period, the information~~  
39 ~~of those who had applied for or been granted a license prior to the~~  
40 ~~preparation of the form for requesting an exception, pursuant to para-~~  
41 ~~graph (b) of this subdivision, may be released only if such individuals~~  
42 ~~did not file a request for such an exception during the first sixty days~~  
43 ~~following such preparation; provided, however, that no information~~  
44 ~~contained in an application for licensure or recertification shall be~~  
45 ~~disclosed by an entity that has not completed processing any such~~  
46 ~~requests received during such sixty days.~~

47 ~~(g) If a request for an exception is determined to be null and void~~  
48 ~~pursuant to paragraph (b) or (c) of this subdivision, an applicant may~~  
49 ~~request review of such determination pursuant to article seventy-eight~~  
50 ~~of the civil practice laws and rules. Such proceeding must commence~~  
51 ~~within thirty days after service of the written notice containing the~~  
52 ~~adverse determination. Notice of the right to commence such a petition,~~  
53 ~~and the time period therefor, shall be included in the notice of the~~  
54 ~~determination. Disclosure following such a petition shall not be made~~  
55 ~~prior to the disposition of such review.]~~

1 § 5. Section 9.46 of the mental hygiene law, as added by chapter 1 of  
2 the laws of 2013, is amended to read as follows:

3 § 9.46 Reports of substantial risk or threat of harm by mental health  
4 professionals.

5 (a) For purposes of this section, the term "mental health profes-  
6 sional" shall include a physician, psychologist, registered nurse or  
7 licensed clinical social worker.

8 (b) Notwithstanding any other law to the contrary, when a mental  
9 health professional currently providing treatment services to a person  
10 determines, in the exercise of reasonable professional judgment, that  
11 such person is likely to engage in conduct that would result in serious  
12 harm to self or others, he or she shall be required to report, as soon  
13 as practicable, to the director of community services, or the director's  
14 designee~~[, who shall report to the division of criminal justice services~~  
15 ~~whenever he or she agrees that the person is likely to engage in such~~  
16 ~~conduct]~~. Any report made by a mental health professional to the direc-  
17 tor of community services, or the director's designee shall include  
18 current contact information for such person including, but not limited  
19 to, the person's: (1) name, (2) mailing address, (3) phone number and  
20 (4) email address. The director of community services or the director's  
21 designee shall report to the division of criminal justice services upon  
22 a finding that the person is likely to engage in conduct that would  
23 result in serious harm to self or others. Information transmitted to the  
24 division of criminal justice services shall be limited to names and  
25 other non-clinical identifying information, which may only be used for  
26 determining whether a license issued pursuant to section 400.00 of the  
27 penal law should be suspended or revoked, or for determining whether a  
28 person is ineligible for a license issued pursuant to section 400.00 of  
29 the penal law, or is no longer permitted under state or federal law to  
30 possess a firearm.

31 (c) The director of community services or the director's designee  
32 shall inform such person via written notice when a report regarding the  
33 substantial risk or threat of harm posed by the person is sent to the  
34 division of criminal justice services. Such notice shall include, but  
35 not be limited to:

36 (1) the person's name;

37 (2) notice that a report has been sent to the division of criminal  
38 justice services stating that the named person has been deemed likely to  
39 engage in conduct that would result in serious harm to self or others;

40 (3) the date the report was sent to the division of criminal justice  
41 services;

42 (4) the person's right to submit a petition for relief from disability  
43 to the national instant criminal background check system (NICS) appeals  
44 office of the office of mental health or NICS appeals office of the  
45 office for people with developmental disabilities, as set forth in  
46 subdivision (j) of section 7.09 of this title and subdivision (g) of  
47 section 13.09 of this chapter and sections five hundred forty-three and  
48 six hundred forty-three of article fourteen of the New York code of  
49 rules and regulations;

50 (5) contact information for the NICS appeals office of the office of  
51 mental hygiene or the NICS appeals office of the office for people with  
52 developmental disabilities; and

53 (6) any applicable deadline for submission of a petition for relief  
54 from disability.

55 (d) Nothing in this section shall be construed to require a mental  
56 health professional to take any action which, in the exercise of reason-

1 able professional judgment, would endanger such mental health profes-  
2 sional or increase the danger to a potential victim or victims.

3 [~~(d)~~] (e) The decision of a mental health professional to disclose or  
4 not to disclose in accordance with this section, when made reasonably  
5 and in good faith, shall not be the basis for any civil or criminal  
6 liability of such mental health professional.

7 § 6. Paragraph 2 of subdivision (j) of section 7.09 of the mental  
8 hygiene law, as amended by chapter 1 of the laws of 2013, is amended to  
9 read as follows:

10 (2) The commissioner shall establish within the office of mental  
11 health an administrative process to permit a person who has been or may  
12 be disqualified from possessing such a firearm pursuant to 18 USC  
13 922(4)(d) or who has been or may be disqualified from continuing to have  
14 a license to carry, possess, repair, or dispose of a firearm under  
15 section 400.00 of the penal law because such person was involuntarily  
16 committed or civilly confined to a facility under the jurisdiction of  
17 the commissioner, or who has been the subject of a report submitted by  
18 the director of community services or the director's designee to the  
19 division of criminal justice services stating that the named person has  
20 been deemed likely to engage in conduct that would result in serious  
21 harm to self or others pursuant to section 9.46 of this title, to peti-  
22 tion for relief from that disability where such person's record and  
23 reputation are such that such person will not be likely to act in a  
24 manner dangerous to public safety and where the granting of the relief  
25 would not be contrary to public safety. The commissioner shall promul-  
26 gate regulations to establish the relief from disabilities program,  
27 which shall include, but not be limited to, provisions providing for:  
28 (i) an opportunity for a disqualified person to petition for relief in  
29 writing; (ii) the authority for the agency to require that the petition-  
30 er undergo a clinical evaluation and risk assessment; and (iii) a  
31 requirement that the agency issue a decision in writing explaining the  
32 reasons for a denial or grant of relief. The denial of a petition for  
33 relief from disabilities may be reviewed de novo pursuant to the  
34 proceedings under article seventy-eight of the civil practice law and  
35 rules.

36 § 7. Paragraph 2 of subdivision (g) of section 13.09 of the mental  
37 hygiene law, as amended by chapter 1 of the laws of 2013, is amended to  
38 read as follows:

39 (2) The commissioner shall establish within the office for people with  
40 developmental disabilities an administrative process to permit a person  
41 who has been or may be disqualified from possessing such a firearm  
42 pursuant to 18 USC 922(4)(d), or who has been or may be disqualified  
43 from continuing to have a license to carry, possess, repair, or dispose  
44 of a firearm under section 400.00 of the penal law because such person  
45 was involuntarily committed or civilly confined to a facility under the  
46 jurisdiction of the commissioner, or who has been the subject of a  
47 report submitted by the director of community services or the director's  
48 designee to the division of criminal justice services stating that the  
49 named person has been deemed likely to engage in conduct that would  
50 result in serious harm to self or others pursuant to section 9.46 of  
51 this chapter, to petition for relief from that disability where such  
52 person's record and reputation are such that such person will not be  
53 likely to act in a manner dangerous to public safety and where the  
54 granting of the relief would not be contrary to public safety. The  
55 commissioner shall promulgate regulations to establish the relief from  
56 disabilities program, which shall include, but not be limited to,



1 provisions providing for: (i) an opportunity for a disqualified person  
2 to petition for relief in writing; (ii) the authority for the agency to  
3 require that the petitioner undergo a clinical evaluation and risk  
4 assessment; and (iii) a requirement that the agency issue a decision in  
5 writing explaining the reasons for a denial or grant of relief. The  
6 denial of a petition for relief from disabilities may be reviewed de  
7 novo pursuant to the proceedings under article seventy-eight of the  
8 civil practice law and rules.

9 § 8. Paragraph (a) of subdivision 3 and subdivisions 4, 7, 9, 10, 16-a  
10 and 16-b of section 400.00 of the penal law, paragraph (a) of subdivi-  
11 sion 3 and subdivisions 9 and 10 as amended and subdivisions 16-a and  
12 16-b as added by chapter 1 of the laws of 2013, subdivision 4 as amended  
13 by chapter 242 of the laws of 2019, paragraph (a-1) of subdivision 16-a  
14 as added by chapter 98 of the laws of 2013, are amended to read as  
15 follows:

16 (a) Applications shall be made and renewed, in the case of a license  
17 to carry or possess a pistol or revolver, to the licensing officer in  
18 the city or county, as the case may be, where the applicant resides, is  
19 principally employed or has his or her principal place of business as  
20 merchant or storekeeper; and, in the case of a license as gunsmith or  
21 dealer in firearms, to the licensing officer where such place of busi-  
22 ness is located. Blank applications shall, except in the city of New  
23 York, be approved as to form by the [~~superintendent of state police~~]  
24 division of criminal justice services. An application shall state the  
25 full name, date of birth, residence, present occupation of each person  
26 or individual signing the same, whether or not he or she is a citizen of  
27 the United States, whether or not he or she complies with each require-  
28 ment for eligibility specified in subdivision one of this section and  
29 such other facts as may be required to show the good character, compe-  
30 tency and integrity of each person or individual signing the applica-  
31 tion. An application shall be signed and verified by the applicant. Each  
32 individual signing an application shall submit one photograph of himself  
33 or herself and a duplicate for each required copy of the application.  
34 Such photographs shall have been taken within thirty days prior to  
35 filing the application. In case of a license as gunsmith or dealer in  
36 firearms, the photographs submitted shall be two inches square, and the  
37 application shall also state the previous occupation of each individual  
38 signing the same and the location of the place of such business, or of  
39 the bureau, agency, subagency, office or branch office for which the  
40 license is sought, specifying the name of the city, town or village,  
41 indicating the street and number and otherwise giving such apt  
42 description as to point out reasonably the location thereof. In such  
43 case, if the applicant is a firm, partnership or corporation, its name,  
44 date and place of formation, and principal place of business shall be  
45 stated. For such firm or partnership, the application shall be signed  
46 and verified by each individual composing or intending to compose the  
47 same, and for such corporation, by each officer thereof.

48 4. Investigation. Before a license is issued or renewed, there shall  
49 be an investigation of all statements required in the application by the  
50 duly constituted police authorities of the locality where such applica-  
51 tion is made, including but not limited to such records as may be acces-  
52 sible to the division of [~~state police or division of~~] criminal justice  
53 services pursuant to section 400.02 of this article. For that purpose,  
54 the records of the appropriate office of the department of mental  
55 hygiene concerning previous or present mental illness of the applicant  
56 shall be available for inspection by the investigating officer of the

1 police authority. Where the applicant is domiciled in a foreign state,  
2 the investigation shall include inquiry of the foreign state for records  
3 concerning the previous or present mental illness of the applicant, and,  
4 to the extent necessary for inspection by the investigating officer, the  
5 applicant shall execute a waiver of confidentiality of such record in  
6 such form as may be required by the foreign state. In order to ascertain  
7 any previous criminal record, the investigating officer shall take the  
8 fingerprints and physical descriptive data in quadruplicate of each  
9 individual by whom the application is signed and verified. Two copies of  
10 such fingerprints shall be taken on standard fingerprint cards eight  
11 inches square, and one copy may be taken on a card supplied for that  
12 purpose by the federal bureau of investigation; provided, however, that  
13 in the case of a corporate applicant that has already been issued a  
14 dealer in firearms license and seeks to operate a firearm dealership at  
15 a second or subsequent location, the original fingerprints on file may  
16 be used to ascertain any criminal record in the second or subsequent  
17 application unless any of the corporate officers have changed since the  
18 prior application, in which case the new corporate officer shall comply  
19 with procedures governing an initial application for such license. When  
20 completed, one standard card shall be forwarded to and retained by the  
21 division of criminal justice services in the executive department, at  
22 Albany. A search of the files of such division and written notification  
23 of the results of the search to the investigating officer shall be made  
24 without unnecessary delay. Thereafter, such division shall notify the  
25 licensing officer and the executive department, division of [~~state~~  
26 ~~police~~] criminal justice services, Albany, of any criminal record of the  
27 applicant filed therein subsequent to the search of its files. A second  
28 standard card, or the one supplied by the federal bureau of investi-  
29 gation, as the case may be, shall be forwarded to that bureau at Wash-  
30 ington with a request that the files of the bureau be searched and  
31 notification of the results of the search be made to the investigating  
32 police authority. Of the remaining two fingerprint cards, one shall be  
33 filed with the executive department, division of [~~state-police~~] criminal  
34 justice services, Albany, within ten days after issuance of the license,  
35 and the other remain on file with the investigating police authority. No  
36 such fingerprints may be inspected by any person other than a peace  
37 officer, who is acting pursuant to his or her special duties, or a  
38 police officer, except on order of a judge or justice of a court of  
39 record either upon notice to the licensee or without notice, as the  
40 judge or justice may deem appropriate. Upon completion of the investi-  
41 gation, the police authority shall report the results to the licensing  
42 officer without unnecessary delay.

43 7. License: form. Any license issued pursuant to this section shall,  
44 except in the city of New York, be approved as to form by the [~~super-~~  
45 ~~intendent of state police~~] division of criminal justice services. A  
46 license to carry or possess a pistol or revolver shall have attached the  
47 licensee's photograph, and a coupon which shall be removed and retained  
48 by any person disposing of a firearm to the licensee. Such license shall  
49 specify the weapon covered by calibre, make, model, manufacturer's name  
50 and serial number, or if none, by any other distinguishing number or  
51 identification mark, and shall indicate whether issued to carry on the  
52 person or possess on the premises, and if on the premises shall also  
53 specify the place where the licensee shall possess the same. If such  
54 license is issued to an alien, or to a person not a citizen of and  
55 usually a resident in the state, the licensing officer shall state in  
56 the license the particular reason for the issuance and the names of the



1 persons certifying to the good character of the applicant. Any license  
2 as gunsmith or dealer in firearms shall mention and describe the prem-  
3 ises for which it is issued and shall be valid only for such premises.

4 9. License: amendment. Elsewhere than in the city of New York, a  
5 person licensed to carry or possess a pistol or revolver may apply at  
6 any time to his or her licensing officer for amendment of his or her  
7 license to include one or more such weapons or to cancel weapons held  
8 under license. If granted, a record of the amendment describing the  
9 weapons involved shall be filed by the licensing officer in the execu-  
10 tive department, division of [~~state police~~] criminal justice services,  
11 Albany. The [~~superintendent of state police~~] division of criminal  
12 justice services may authorize that such amendment be completed and  
13 transmitted to the [~~state police~~] division in electronic form. Notifi-  
14 cation of any change of residence shall be made in writing by any licen-  
15 see within ten days after such change occurs, and a record of such  
16 change shall be inscribed by such licensee on the reverse side of his or  
17 her license. Elsewhere than in the city of New York, and in the counties  
18 of Nassau and Suffolk, such notification shall be made to the executive  
19 department, division of [~~state police~~] criminal justice services, Alba-  
20 ny, and in the city of New York to the police commissioner of that city,  
21 and in the county of Nassau to the police commissioner of that county,  
22 and in the county of Suffolk to the licensing officer of that county,  
23 who shall, within ten days after such notification shall be received by  
24 him or her, give notice in writing of such change to the executive  
25 department, division of [~~state police~~] criminal justice services, at  
26 Albany.

27 10. License: expiration, certification and renewal. (a) Any license  
28 for gunsmith or dealer in firearms and, in the city of New York, any  
29 license to carry or possess a pistol or revolver, issued at any time  
30 pursuant to this section or prior to the first day of July, nineteen  
31 hundred sixty-three and not limited to expire on an earlier date fixed  
32 in the license, shall expire not more than three years after the date of  
33 issuance. In the counties of Nassau, Suffolk and Westchester, any  
34 license to carry or possess a pistol or revolver, issued at any time  
35 pursuant to this section or prior to the first day of July, nineteen  
36 hundred sixty-three and not limited to expire on an earlier date fixed  
37 in the license, shall expire not more than five years after the date of  
38 issuance; however, in the county of Westchester, any such license shall  
39 be certified prior to the first day of April, two thousand, in accord-  
40 ance with a schedule to be contained in regulations promulgated by the  
41 commissioner of the division of criminal justice services, and every  
42 such license shall be recertified every five years thereafter. For  
43 purposes of this section certification shall mean that the licensee  
44 shall provide to the licensing officer the following information only:  
45 current name, date of birth, current address, and the make, model, cali-  
46 ber and serial number of all firearms currently possessed. Such certifi-  
47 cation information shall be filed by the licensing officer in the same  
48 manner as an amendment. Elsewhere than in the city of New York and the  
49 counties of Nassau, Suffolk and Westchester, any license to carry or  
50 possess a pistol or revolver, issued at any time pursuant to this  
51 section or prior to the first day of July, nineteen hundred sixty-three  
52 and not previously revoked or cancelled, shall be in force and effect  
53 until revoked as herein provided. Any license not previously cancelled  
54 or revoked shall remain in full force and effect for thirty days beyond  
55 the stated expiration date on such license. Any application to renew a  
56 license that has not previously expired, been revoked or cancelled shall

1 thereby extend the term of the license until disposition of the applica-  
 2 tion by the licensing officer. In the case of a license for gunsmith or  
 3 dealer in firearms, in counties having a population of less than two  
 4 hundred thousand inhabitants, photographs and fingerprints shall be  
 5 submitted on original applications and upon renewal thereafter only at  
 6 six year intervals. Upon satisfactory proof that a currently valid  
 7 original license has been despoiled, lost or otherwise removed from the  
 8 possession of the licensee and upon application containing an additional  
 9 photograph of the licensee, the licensing officer shall issue a dupli-  
 10 cate license.

11 (b) All licensees shall be recertified to the [~~division of state~~  
 12 ~~police~~] licensing officer every five years thereafter. Any license  
 13 issued before the effective date of [~~the~~] chapter one of the laws of two  
 14 thousand thirteen [~~which added this paragraph~~] shall be recertified by  
 15 the licensee on or before [~~January~~] March thirty-first, two thousand  
 16 eighteen, and not less than one year prior to such date, the [~~state~~  
 17 ~~police~~] division of criminal justice services shall send a notice to all  
 18 license holders who have not recertified by such time. [~~Such recertif-~~  
 19 ~~ication shall be in a form as approved by the superintendent of state~~  
 20 ~~police, which shall request the license holder's name, date of birth,~~  
 21 ~~gender, race, residential address, social security number, firearms~~  
 22 ~~possessed by such license holder, email address at the option of the~~  
 23 ~~license holder and an affirmation that such license holder is not~~  
 24 ~~prohibited from possessing firearms.] Recertification shall contain the  
 25 information and shall be in the form set forth hereinbelow:~~

26 RECERTIFICATION

27 1. Name \_\_\_\_\_

28 2. Date of Birth \_\_\_\_\_

29 3. Gender \_\_\_\_\_

30 4. Race \_\_\_\_\_

31 5. Residential Address \_\_\_\_\_

32 \_\_\_\_\_

33 \_\_\_\_\_

34 6. Social Security Number \_\_\_\_\_

35 7. Email Address (optional) \_\_\_\_\_

36 8. List all firearms possessed on license: \_\_\_\_\_

37 \_\_\_\_\_

38 \_\_\_\_\_

39 \_\_\_\_\_

40 \_\_\_\_\_

41 Upon receipt of the completed recertification form, the licensing offi-  
 42 cer will compare the information provided with the information main-  
 43 tained by the licensing officer for such license holder, and promptly  
 44 notify the license holder of any discrepancies that may exist, and  
 45 provide instruction as to applying for an amendment pursuant to subdivi-  
 46 sion nine this section. After the resolution of any pending applications

1 for amendments, the licensing officer shall retain a copy of the recer-  
2 tification and a copy shall be filed by the licensing officer in the  
3 executive department, division of criminal justice services, Albany,  
4 within ten days. The form may be in an electronic form if so designated  
5 by the [~~superintendent of state police~~] division of criminal justice  
6 services. Failure to recertify shall act as a revocation of such  
7 license. If the [~~New York state police~~] division shall discover as a  
8 result of the recertification process that a licensee failed to provide  
9 a change of address, the [~~New York state police~~] division of criminal  
10 justice services shall not require the licensing officer to revoke such  
11 license.

12 16-a. Registration. (a) An owner of a weapon defined in paragraph (e)  
13 or (f) of subdivision twenty-two of section 265.00 of this chapter,  
14 possessed before the date of the effective date of [~~the~~] chapter one of  
15 the laws of two thousand thirteen [~~which added this paragraph~~], must  
16 make an application to register such weapon with the [~~superintendent of~~  
17 ~~state police~~] division of criminal justice services, in the manner  
18 provided by the [~~superintendent~~] division of criminal justice services,  
19 or by amending a license issued pursuant to this section within one year  
20 of the effective date of this subdivision except any weapon defined  
21 under subparagraph (vi) of paragraph (g) of subdivision twenty-two of  
22 section 265.00 of this chapter transferred into the state may be regis-  
23 tered at any time, provided such weapons are registered within thirty  
24 days of their transfer into the state. Registration information shall  
25 include the registrant's name, date of birth, gender, race, residential  
26 address, social security number and a description of each weapon being  
27 registered. A registration of any weapon defined under subparagraph (vi)  
28 of paragraph (g) of subdivision twenty-two of section 265.00 or a feed-  
29 ing device as defined under subdivision twenty-three of section 265.00  
30 of this chapter shall be transferable, provided that the seller notifies  
31 the [~~state police~~] division of criminal justice services within seven-  
32 ty-two hours of the transfer and the buyer provides the [~~state police~~]  
33 division of criminal justice services with information sufficient to  
34 constitute a registration under this section. Such registration shall  
35 not be valid if such registrant is prohibited or becomes prohibited from  
36 possessing a firearm pursuant to state or federal law. The [~~superinten-~~  
37 ~~dent~~] division of criminal justice services shall determine whether such  
38 registrant is prohibited from possessing a firearm under state or feder-  
39 al law. Such check shall be limited to determining whether the factors  
40 in 18 USC 922 (g) apply or whether a registrant has been convicted of a  
41 serious offense as defined in subdivision [~~sixteen-b~~] seventeen of  
42 section 265.00 of this chapter, so as to prohibit such registrant from  
43 possessing a firearm, and whether a report has been issued pursuant to  
44 section 9.46 of the mental hygiene law. All registrants shall recertify  
45 to the division of [~~state police~~] criminal justice services every five  
46 years thereafter. Failure to recertify shall result in a revocation of  
47 such registration.

48 (a-1) Notwithstanding any inconsistent provisions of paragraph (a) of  
49 this subdivision, an owner of an assault weapon as defined in subdivi-  
50 sion twenty-two of section 265.00 of this chapter, who is a qualified  
51 retired New York or federal law enforcement officer as defined in subdivi-  
52 sion twenty-five of section 265.00 of this chapter, where such weapon  
53 was issued to or purchased by such officer prior to retirement and in  
54 the course of his or her official duties, and for which such officer was  
55 qualified by the agency that employed such officer within twelve months

1 prior to his or her retirement, must register such weapon within sixty  
2 days of retirement.

3 (b) The [~~superintendent of state police~~] division of criminal justice  
4 services shall create and maintain an internet website to educate the  
5 public as to which semiautomatic rifle, semiautomatic shotgun or semiau-  
6 tomatic pistol or weapon that are illegal as a result of the enactment  
7 of [~~the~~] chapter one of the laws of two thousand thirteen [~~which added~~  
8 ~~this paragraph~~], as well as such assault weapons which are illegal  
9 pursuant to article two hundred sixty-five of this chapter. Such website  
10 shall contain information to assist the public in recognizing the rele-  
11 vant features proscribed by such article two hundred sixty-five, as well  
12 as which make and model of weapons that require registration.

13 (c) A person who knowingly fails to apply to register such weapon, as  
14 required by this section, within one year of the effective date of [~~the~~]  
15 chapter one of the laws of two thousand thirteen [~~which added this para-~~  
16 ~~graph~~] shall be guilty of a class A misdemeanor and such person who  
17 unknowingly fails to validly register such weapon within such one year  
18 period shall be given a warning by an appropriate law enforcement  
19 authority about such failure and given thirty days in which to apply to  
20 register such weapon or to surrender it. A failure to apply or surrender  
21 such weapon within such thirty-day period shall result in such weapon  
22 being removed by an appropriate law enforcement authority and declared a  
23 nuisance.

24 16-b. The cost of the software, programming and interface required to  
25 transmit any record that must be electronically transmitted by the deal-  
26 er or licensing officer to the division of [~~state police~~] criminal  
27 justice services, and any cost borne by the licensing officer to admin-  
28 ister or maintain records related to the recertification process by the  
29 licensing officer, both pursuant to this chapter shall be borne by the  
30 state.

31 § 9. Section 400.02 of the penal law, as amended by chapter 244 of the  
32 laws of 2019, is amended to read as follows:

33 § 400.02 Statewide license and record database.

34 There shall be a statewide license and record database which shall be  
35 created and maintained by the division of [~~state police~~] criminal  
36 justice services the cost of which shall not be borne by any munici-  
37 pality. Records assembled or collected for purposes of inclusion in such  
38 database shall not be subject to disclosure pursuant to article six of  
39 the public officers law. Records containing granted license applications  
40 shall be periodically checked by the division of criminal justice  
41 services against criminal conviction, mental health, and all other  
42 records as are necessary to determine their continued accuracy as well  
43 as whether an individual is no longer a valid license holder. The divi-  
44 sion of criminal justice services shall also check pending applications  
45 made pursuant to this article against such records to determine whether  
46 a license may be granted. All state agencies shall cooperate with the  
47 division of criminal justice services, as otherwise authorized by law,  
48 in making their records available for such checks. The division of crim-  
49 inal justice services, upon determining that an individual is ineligible  
50 to possess a license, or is no longer a valid license holder, shall  
51 notify the applicable licensing official of such determination and such  
52 licensing official shall not issue a license or revoke such license and  
53 any weapons owned or possessed by such individual shall be removed  
54 consistent with the provisions of subdivision eleven of section 400.00  
55 of this article. Local and state law enforcement shall have access to  
56 such database in the performance of their duties. Records assembled or

1 collected for purposes of inclusion in the database established by this  
2 section shall be released pursuant to a court order.

3 § 10. This act shall take effect immediately; provided that:

4 1. section four of this act shall take effect on the first of November  
5 next succeeding the date on which it shall have become a law; and

6 2. sections five, six and seven of this act shall take effect on the  
7 ninetieth day after it shall have become a law.