### STATE OF NEW YORK

## 2505--В

# IN SENATE

January 20, 2021

A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read twice and ordered printed, and when printed to be committed to the Committee on Finance -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend chapter 887 of the laws of 1983, amending the correction law relating to the psychological testing of candidates, in relation to the effectiveness thereof; to amend chapter 428 of the laws of 1999, amending the executive law and the criminal procedure law relating to expanding the geographic area of employment of certain police officers, in relation to extending the expiration of such chapter; to amend chapter 886 of the laws of 1972, amending the correction law and the penal law relating to prisoner furloughs in certain cases and the crime of absconding therefrom, in relation to the effectiveness thereof; to amend chapter 261 of the laws of 1987, amending chapters 50, 53 and 54 of the laws of 1987, the correction law, the penal law and other chapters and laws relating to correctional facilities, in relation to the effectiveness thereof; to amend chapter 55 of the laws of 1992, amending the tax law and other laws relating to taxes, surcharges, fees and funding, in relation to the effectiveness thereof; to amend chapter 339 of the laws of 1972, amending the correction law and the penal law relating to inmate work release, furlough and leave, in relation to the effectiveness thereof; to amend chapter 60 of the laws of 1994 relating to certain provisions which impact upon expenditure of certain appropriations made by chapter 50 of the laws of 1994 enacting the state operations budget, in relation to the effectiveness thereof; to amend chapter 907 of the laws of 1984, amending the correction law, the New York city criminal court act and the executive law relating to prison and jail housing and alternatives to detention and incarceration programs, in relation to extending the expiration of certain provisions of such chapter; to amend chapter 166 of the laws of 1991, amending the tax law and other laws relating to taxes, in relation to extending the expiration of certain provisions of such chapter; to amend chapter 713 of the laws of 1988, amending the vehicle and traffic law relating to the ignition interlock device program, in relation to extending the expiration thereof; to amend

EXPLANATION--Matter in italics (underscored) is new; matter in brackets
[-] is old law to be omitted.

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chapter 412 of the laws of 1999, amending the civil practice law and rules and the court of claims act relating to prisoner litigation reform, in relation to extending the expiration of the inmate filing fee provisions of the civil practice law and rules and general filing fee provision and inmate property claims exhaustion requirement of the court of claims act of such chapter; to amend chapter 222 of the laws of 1994 constituting the family protection and domestic violence intervention act of 1994, in relation to extending the expiration of certain provisions of the criminal procedure law requiring the arrest of certain persons engaged in family violence; to amend chapter 505 of the laws of 1985, amending the criminal procedure law relating to the use of closed-circuit television and other protective measures for certain child witnesses, in relation to extending the expiration of the provisions thereof; to amend chapter 689 of the laws of 1993 amending the criminal procedure law relating to electronic court appearance in certain counties, in relation to extending the expiration thereof; to amend chapter 688 of the laws of 2003, amending the executive law relating to enacting the interstate compact for adult offender supervision, in relation to the effectiveness thereof; amend chapter 56 of the laws of 2009, amending the correction law relating to limiting the closing of certain correctional facilities, providing for the custody by the department of correctional services of inmates serving definite sentences, providing for custody of federal prisoners and requiring the closing of certain correctional facilities, in relation to the effectiveness of such chapter; to amend chapter 152 of the laws of 2001 amending the military law relating to military funds of the organized militia, in relation to the effectiveness thereof; to amend chapter 554 of the laws of 1986, amending the correction law and the penal law relating to providing for community treatment facilities and establishing the crime of absconding from the community treatment facility, in relation to the effectiveness thereof; to amend chapter 55 of the laws of 2018, amending the criminal procedure law relating to the pre-criminal proceeding settlements in the City of New York, in relation to the effectiveness thereof; repeal certain provisions of chapter 435 of the laws of 1997, amending the military law and other laws relating to various provisions, the expiration date of the merit provisions of relating to correction law and the penal law; and to repeal subdivision section 74 of chapter 3 of the laws of 1995, enacting the sentencing reform act of 1995, relating to the expiration of certain provisions thereof (Part A); to amend the executive law in relation to acceptance and use of grant funds or other gifts or donations by the office for the prevention of domestic violence (Part B); intentionally omitted (Part C); intentionally omitted (Part D); intentionally omitted (Part E); intentionally omitted (Part F); intentionally omitted (Part G); intentionally omitted (Part H); intentionally omitted (Part I); intentionally omitted (Part J); intentionally omitted (Part K); intentionally omitted (Part L); in relation to the closure of correctional facilities; and providing for the repeal of such provisions upon the expiration thereof (Part M); intentionally omitted (Part N); intentionally omitted (Part O); to amend chapter 268 of the laws of 1996, amending the education law and the state finance law relating to providing a recruitment incentive and retention program for certain active members of the New York army national guard, New York air national guard, and New York naval militia, in relation to the effectiveness of such chapter (Part P); intentionally omitted (Part Q);

intentionally omitted (Part R); intentionally omitted (Part S); intentionally omitted (Part T); intentionally omitted (Part U); to amend the workers' compensation law, in relation to allowing the New York state insurance fund to enter into agreements with private insurance providers to cover out-of-state work (Part V); to amend the workers' compensation law and the insurance law, in relation to diversifying the New York state insurance fund's investment authority (Part W); to amend the workers' compensation law and the insurance law, in relation to specifying methods of calculating deposits and reserves for the aggregate trust fund and reserves of the state insurance fund (Part X); intentionally omitted (Part Y); to amend the agriculture and markets law, in relation to direct marketing activities; to amend the alcoholic beverage control law, in relation to issuance of licenses to motion picture theatres to sell wine and beer; and providing for the repeal of certain provisions upon the expiration thereof (Part Z); intentionally omitted (Part AA); intentionally omitted (Part BB); intentionally omitted (Part CC); intentionally omitted (Part DD); intentionally omitted (Part EE); intentionally omitted (Part FF); intentionally omitted (Part GG); intentionally omitted (Part HH); intentionally omitted (Part II); intentionally omitted (Part JJ); to amend the state finance law, in relation to video lottery terminal aid (Part KK); intentionally omitted (Part LL); to amend the general municipal law, in relation to authorized investments for local govern-(Part MM); to amend the general municipal law, in relation to enhancing flexibility within the county-wide shared services initiative; and to repeal certain provisions of the general municipal law relating thereto (Part NN); intentionally omitted (Part OO); to amend the county law, the correction law, the executive law, the judiciary law, the criminal procedure law and the education law, in relation to authorizing shared county jails (Part PP); to provide for the administration of certain funds and accounts related to the 2021-2022 budget, authorizing certain payments and transfers; to amend the state finance law, in relation to the administration of certain funds and accounts; to amend part D of chapter 389 of the laws of 1997 relating to the financing of the correctional facilities improvement fund and the youth facility improvement fund, in relation to the issuance of certain bonds or notes; to amend part Y of chapter 61 of the laws of 2005, relating to providing for the administration of certain funds and accounts related to the 2005-2006 budget, in relation to the issuance of certain bonds or notes; to amend the public authorities law, in relation to the issuance of certain bonds or notes; to amend the New York state medical care facilities finance agency act, in relation to the issuance of certain bonds or notes; to amend the New York state urban development corporation act, in relation to the issuance of certain bonds or notes; to amend chapter 329 of the laws of 1991, amending the state finance law and other laws relating to the establishment of the dedicated highway and bridge trust fund, in relation to the issuance of certain bonds or notes; to amend the public authorities law, in relation to the issuance of certain bonds or notes; to amend the New York state urban development corporation act, relation to the issuance of certain bonds or notes; to amend the private housing finance law, in relation to housing program bonds and notes; to amend the state finance law, in relation to the mental health services fund; to amend the public health law, in relation to secured hospital project bonds; to repeal paragraph c of subdivision 5 of section 89-b of the state finance law relating to the dedicated

highway and bridge trust fund; to repeal subdivision (j) of section 92-dd of the state finance law relating to the HCRA resources fund; to repeal subdivision 3-a of the public health law relating to eligible secured hospital borrower; and providing for the repeal of certain provisions upon expiration thereof (Part QQ); intentionally omitted (Part RR); intentionally omitted (Part SS); to amend the civil service law, in relation to authorizing the president of the civil service commission to establish an amnesty period to identify dependents who are ineligible for health benefits (Part TT); intentionally omitted (Part UU); to amend the real property tax law, in relation to authorizing an exemption for class one capital improvements to residential buildings and certain new construction in a special assessing unit that is not a city (Part VV); intentionally omitted (Part WW); to amend the correction law, in relation to the establishment of a program for the use of medication assisted treatment for inmates; and to amend the mental hygiene law, in relation to the implementation of substance use disorder treatment and transition services in jails (Part XX); to amend the state finance law, the tax law and the public authorities law, in relation to AIM-related sales tax payments in the counties of Nassau and Erie; and to repeal certain provisions of the tax law relating thereto (Part YY); to amend the real property tax law, in relation to delinquent tax interest rates (Part ZZ); to amend the executive law and the state finance law, in relation to the community violence intervention and prevention act (Part AAA); in relation to allowing the director of the budget to withhold appropriations from police departments who fail to submit the certification required by executive order two hundred three (Part BBB); and to provide a temporary retirement incentive for certain public employees (Subpart A); and to provide an age 55/25 years temporary retirement incentive for certain public employees (Subpart B) (Part CCC)

#### The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. This act enacts into law major components of legislation 2 necessary to implement the state public protection and general government budget for the 2021-2022 state fiscal year. Each component is wholly contained within a Part identified as Parts A through CCC. The effective date for each particular provision contained within such Part is set forth in the last section of such Part. Any provision in any section contained within a Part, including the effective date of the Part, which makes a reference to a section "of this act", when used in connection with that particular component, shall be deemed to mean and refer to the 10 corresponding section of the Part in which it is found. Section three of this act sets forth the general effective date of this act.

12 PART A

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Section 1. Section 2 of chapter 887 of the laws of 1983, amending the 13 14 correction law relating to the psychological testing of candidates, as amended by section 1 of part A of chapter 55 of the laws of 2020, is 15 16 amended to read as follows:

§ 2. This act shall take effect on the one hundred eightieth day after 18 it shall have become a law [and shall remain in effect until September 19 <del>1, 2021</del>].

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§ 2. Section 3 of chapter 428 of the laws of 1999, amending the executive law and the criminal procedure law relating to expanding the geographic area of employment of certain police officers, as amended by section 2 of part A of chapter 55 of the laws of 2020, is amended to read as follows:

- 3. This act shall take effect on the first day of November next succeeding the date on which it shall have become a law, and shall remain in effect until the first day of September, [2021] 2023, when it shall expire and be deemed repealed.
- § 3. Section 3 of chapter 886 of the laws of 1972, amending the correction law and the penal law relating to prisoner furloughs in certain cases and the crime of absconding therefrom, as amended by section 3 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 3. This act shall take effect 60 days after it shall have become a law [and shall remain in effect until September 1, 2021].
  - § 4. Section 20 of chapter 261 of the laws of 1987, amending chapters 50, 53 and 54 of the laws of 1987, the correction law, the penal law and other chapters and laws relating to correctional facilities, as amended section 4 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 20. This act shall take effect immediately [except that section thirteen of this act shall expire and be of no further force or effect on and after September 1, 2021 and shall not apply to persons committed to the custody of the department after such date, and ]; provided [further] that the commissioner of corrections and community supervision shall report each January first and July first during such time as the earned eligibility program is in effect, to the chairmen of the senate crime victims, crime and correction committee, the senate codes committee, the assembly correction committee, and the assembly codes committee, the standards in effect for earned eligibility during the prior six-month period, the number of inmates subject to the provisions of earned eligibility, the number who actually received certificates of earned eligibility during that period of time, the number of inmates with certificates who are granted parole upon their first consideration for parole, the number with certificates who are denied parole upon their first consideration, and the number of individuals granted and denied parole who did not have earned eligibility certificates.
- § 5. Subdivision (q) of section 427 of chapter 55 of the laws of 1992, amending the tax law and other laws relating to taxes, surcharges, fees and funding, as amended by section 5 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- (q) [the provisions of ] section two hundred eighty-four of this act shall [remain in effect until September 1, 2021 and] be applicable to all persons entering the program on or before August 31, 2021.
- § 6. Section 10 of chapter 339 of the laws of 1972, amending the correction law and the penal law relating to inmate work release, furlough and leave, as amended by section 6 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 10. This act shall take effect 30 days after it shall have become a law [and shall remain in effect until September 1, 2021, and]; provided [further] that the commissioner of correctional services shall report each January first, and July first, to the chairman of the senate crime 54 victims, crime and correction committee, the senate codes committee, the 55 assembly correction committee, and the assembly codes committee, the 56 number of eligible inmates in each facility under the custody and

1 control of the commissioner who have applied for participation in any program offered under the provisions of work release, furlough, leave, and the number of such inmates who have been approved for participation.

- § 7. Subdivision (c) of section 46 of chapter 60 of the laws of 1994, relating to certain provisions which impact upon expenditure of certain appropriations made by chapter 50 of the laws of 1994, enacting the state operations budget, as amended by section 7 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- (c) [sections forty-one and forty-two of this act shall expire September 1, 2021; provided, that ] the provisions of section forty-two of this act shall apply to inmates entering the work release program on or after such effective date; and
  - § 8. Intentionally Omitted.

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- § 9. Intentionally Omitted.
- § 10. Intentionally Omitted.
- § 11. Section 12 of chapter 907 of the laws of 1984, amending the correction law, the New York city criminal court act and the executive law relating to prison and jail housing and alternatives to detention and incarceration programs, as amended by section 11 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 12. This act shall take effect immediately[ except that the provisions of sections one through ten of this act shall remain in full force and effect until September 1, 2021 on which date those provisions shall be deemed to be repealed].
- § 12. Subdivision (p) of section 406 of chapter 166 of the laws of 1991, amending the tax law and other laws relating to taxes, as amended by section 12 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- 30 (p) The amendments to section 1809 of the vehicle and traffic law made 31 by sections three hundred thirty-seven and three hundred thirty-eight of this act shall not apply to any offense committed prior to such effective date; provided, further, that section three hundred forty-one of 33 this act shall take effect immediately and shall expire November 1, 1993 34 35 at which time it shall be deemed repealed; sections three hundred 36 forty-five and three hundred forty-six of this act shall take effect July 1, 1991; sections three hundred fifty-five, three hundred fiftysix, three hundred fifty-seven and three hundred fifty-nine of this act 38 39 shall take effect immediately and shall expire June 30, 1995 and shall revert to and be read as if this act had not been enacted; section three 40 hundred fifty-eight of this act shall take effect immediately and shall 41 expire June 30, 1998 and shall revert to and be read as if this act had 43 not been enacted; section three hundred sixty-four through three hundred 44 sixty-seven of this act shall apply to claims filed on or after such 45 effective date; sections three hundred sixty-nine, three hundred seven-46 ty-two, three hundred seventy-three, three hundred seventy-four, three 47 hundred seventy-five and three hundred seventy-six of this act shall remain in effect until September 1,  $[\frac{2021}{2023}]$  at which time they 48 be deemed repealed; provided, however, that the mandatory 49 surcharge provided in section three hundred seventy-four of this act 50 51 shall apply to parking violations occurring on or after said effective date; and provided further that the amendments made to section 235 of 52 the vehicle and traffic law by section three hundred seventy-two of this 54 act, the amendments made to section 1809 of the vehicle and traffic law by sections three hundred thirty-seven and three hundred thirty-eight of 56 this act and the amendments made to section 215-a of the labor law by

section three hundred seventy-five of this act shall expire on September 1, [2021] 2023 and upon such date the provisions of such subdivisions and sections shall revert to and be read as if the provisions of this 3 act had not been enacted; the amendments to subdivisions 2 and 3 of section 400.05 of the penal law made by sections three hundred seventyseven and three hundred seventy-eight of this act shall expire on July 7 1, 1992 and upon such date the provisions of such subdivisions shall revert and shall be read as if the provisions of this act had not been 9 enacted; the state board of law examiners shall take such action as is 10 necessary to assure that all applicants for examination for admission to practice as an attorney and counsellor at law shall pay the increased 11 examination fee provided for by the amendment made to section 465 of the 12 13 judiciary law by section three hundred eighty of this act for any exam-14 ination given on or after the effective date of this act notwithstanding 15 that an applicant for such examination may have prepaid a lesser fee for 16 such examination as required by the provisions of such section 465 as of 17 the date prior to the effective date of this act; the provisions of section 306-a of the civil practice law and rules as added by section 18 19 three hundred eighty-one of this act shall apply to all actions pending 20 on or commenced on or after September 1, 1991, provided, however, 21 for the purposes of this section service of such summons made prior to such date shall be deemed to have been completed on September 1, 1991; 22 the provisions of section three hundred eighty-three of this act shall 23 24 apply to all money deposited in connection with a cash bail or a 25 partially secured bail bond on or after such effective date; and the provisions of sections three hundred eighty-four and three hundred 27 eighty-five of this act shall apply only to jury service commenced during a judicial term beginning on or after the effective date of this 28 29 act; provided, however, that nothing contained herein shall be deemed to 30 affect the application, qualification, expiration or repeal of any 31 provision of law amended by any section of this act and such provisions 32 shall be applied or qualified or shall expire or be deemed repealed in 33 the same manner, to the same extent and on the same date as the case may 34 be as otherwise provided by law;

§ 13. Intentionally Omitted.

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- § 14. Section 6 of chapter 713 of the laws of 1988, amending the vehicle and traffic law relating to the ignition interlock device program, as amended by section 14 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 6. This act shall take effect on the first day of April next succeeding the date on which it shall have become a law; provided, however, that effective immediately, the addition, amendment or repeal of any rule or regulation necessary for the implementation of the foregoing sections of this act on their effective date is authorized and directed to be made and completed on or before such effective date [and shall remain in full force and effect until the first day of September, 2021 when upon such date the provisions of this act shall be deemed repealed].
- § 15. Paragraph a of subdivision 6 of section 76 of chapter 435 of the laws of 1997, amending the military law and other laws relating to various provisions, as amended by section 15 of part A of chapter 55 of the laws of 2020, is REPEALED.
- § 16. Section 4 of part D of chapter 412 of the laws of 1999, amending 54 the civil practice law and rules and the court of claims act relating to 55 prisoner litigation reform, as amended by section 16 of part A of chap-56 ter 55 of the laws of 2020, is amended to read as follows:

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4. This act shall take effect 120 days after it shall have become a law [and]; provided that section one of this act shall remain in full force and effect until September 1, 2021, when upon such date it shall 3 expire.

- § 17. Subdivision 2 of section 59 of chapter 222 of the laws of 1994, constituting the family protection and domestic violence intervention act of 1994, as amended by section 17 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- 9 2. Subdivision 4 of section 140.10 of the criminal procedure law as 10 added by section thirty-two of this act shall take effect January 1, 11 1996 and shall expire and be deemed repealed on September 1, 12 2023.
  - § 18. Section 5 of chapter 505 of the laws of 1985, amending the criminal procedure law relating to the use of closed-circuit television and other protective measures for certain child witnesses, as amended by section 18 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
  - § 5. This act shall take effect immediately and shall apply to all criminal actions and proceedings commenced prior to the effective date of this act but still pending on such date as well as all criminal actions and proceedings commenced on or after such effective date [and its provisions shall expire on September 1, 2021, when upon such the provisions of this act shall be deemed repealed].
  - § 19. Subdivision d of section 74 of chapter 3 of the laws of 1995, enacting the sentencing reform act of 1995, as amended by section 19 of part A of chapter 55 of the laws of 2020, is REPEALED.
  - § 20. Section 2 of chapter 689 of the laws of 1993, amending the criminal procedure law relating to electronic court appearance in certain counties, as amended by section 20 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- 2. This act shall take effect immediately, except that the 32 provisions of this act shall be deemed to have been in full force and effect since July 1, 1992 and the provisions of this act shall expire September 1, [2021] 2023 when upon such date the provisions of this act shall be deemed repealed.
  - § 21. Section 3 of chapter 688 of the laws of 2003, amending the executive law relating to enacting the interstate compact for adult offender supervision, as amended by section 21 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 3. This act shall take effect immediately, except that section one of this act shall take effect on the first of January next succeeding the date on which it shall have become a law[ - and shall remain in effect until the first of September, 2021, upon which date this act shall be deemed repealed and have no further force and effect]; provided 44 that section one of this act shall only take effect with respect to any compacting state which has enacted an interstate compact entitled "Interstate compact for adult offender supervision" and having an identical effect to that added by section one of this act and provided further that with respect to any such compacting state, upon the effec-50 tive date of section one of this act, section 259-m of the executive law is hereby deemed REPEALED and section 259-mm of the executive law, as 52 added by section one of this act, shall take effect; and provided further that with respect to any state which has not enacted an inter-54 state compact entitled "Interstate compact for adult offender supervision" and having an identical effect to that added by section one of 56 this act, section 259-m of the executive law shall take effect and the

provisions of section one of this act, with respect to any such state, shall have no force or effect until such time as such state shall adopt an interstate compact entitled "Interstate compact for adult offender supervision" and having an identical effect to that added by section one of this act in which case, with respect to such state, effective immediately, section 259-m of the executive law is deemed repealed and section 259-mm of the executive law, as added by section one of this act, shall take effect.

- § 22. Section 8 of part H of chapter 56 of the laws of 2009, amending the correction law relating to limiting the closing of certain correctional facilities, providing for the custody by the department of correctional services of inmates serving definite sentences, providing for custody of federal prisoners and requiring the closing of certain correctional facilities, as amended by section 22 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 8. This act shall take effect immediately; provided, however that sections five and six of this act shall expire and be deemed repealed September 1, [2021] 2023.
- § 23. Section 3 of part C of chapter 152 of the laws of 2001, amending the military law relating to military funds of the organized militia, as amended by section 23 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 3. This act shall take effect immediately; provided however that the amendments made to subdivision 1 of section 221 of the military law by section two of this act shall expire and be deemed repealed September 1, [2021] 2023.
- § 24. Section 5 of chapter 554 of the laws of 1986, amending the correction law and the penal law relating to providing for community treatment facilities and establishing the crime of absconding from the community treatment facility, as amended by section 24 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 5. This act shall take effect immediately [and shall remain in full force and effect until September 1, 2021], [and] provided [further] that the commissioner of correctional services shall report each January first and July first [during such time as this legislation is in effect,] to the chairmen of the senate crime victims, crime and correction committee, the senate codes committee, the assembly correction committee, and the assembly codes committee, the number of individuals who are released to community treatment facilities during the previous six-month period, including the total number for each date at each facility who are not residing within the facility, but who are required to report to the facility on a daily or less frequent basis.
- § 25. Section 2 of part F of chapter 55 of the laws of 2018, amending the criminal procedure law relating to pre-criminal proceeding settlements in the city of New York, as amended by section 25 of part A of chapter 55 of the laws of 2020, is amended to read as follows:
- § 2. This act shall take effect immediately and shall remain in full 48 force and effect until March 31,  $[\frac{2021}{2023}]$  when it shall expire and 49 be deemed repealed.
- § 26. This act shall take effect immediately, provided however that section twenty-five of this act shall be deemed to have been in full force and effect on and after March 31, 2021.

53 PART B

§ 2. Subdivisions 3, 4, 5 and 10 of section 575 of the executive law, subdivision 3 and 5 as added by chapter 463 of the laws of 1992, paragraph (e) of subdivision 3 as amended by chapter 368 of the laws of 1997, paragraph (1) of subdivision 3 as added by chapter 339 of the laws of 2011, paragraph (m) of subdivision 3 as added, paragraph (n) of subdivision 3 as relettered, and paragraph (b) of subdivision 4 as amended by chapter 204 of the laws of 2020, subdivision 4 as amended by section 1 and subdivision 10 as added by section 3 of part A of chapter 491 of the laws of 2012, and paragraph (d) of subdivision 10 as amended by chapter 248 of the laws of 2017, are amended to read as follows:

- 3. Activities. In addition, the office shall develop and implement policies and programs designed to assist victims of domestic violence and their families, and to provide education and prevention, training and technical assistance. Such domestic violence-related activities shall include, but not be limited to:
  - (a) Serving as a clearinghouse for information and materials;
- (b) Developing and coordinating community outreach and public education throughout the state;
- (c) Developing and delivering training to professionals, including but not limited to professionals in the fields of:
  - (i) domestic violence;
- (ii) health and mental health;
- 23 (iii) social and human services;
  - (iv) public education;

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- (v) law enforcement and criminal justice;
  - (vi) alcohol and substance abuse[→];
  - (d) Developing and promoting school-based prevention programs;
- (e) Providing technical assistance to state and local government bodies and other agencies and to private not-for-profit corporations, on effective policies and responses to domestic violence, including development of [a] model domestic violence policies, pursuant to subdivisions seven, eight and nine of this section;
- (f) Promoting and facilitating interagency cooperation among state 34 agencies and intergovernmental cooperation between different levels government in the state in the delivery and/or funding of services;
  - Operating as an advocate for domestic violence services and victims;
  - (h) Undertaking program and services needs assessments on its own initiative or at the request of the governor, the legislature or service providers;
  - (i) Examining the relationship between domestic violence and other problems and making recommendations for effective policy response;
    - (j) Collecting data, conducting research, and holding public hearings;
  - (k) Making periodic reports to the governor and the legislature recommending policy and program directions and reviewing the activities of the office;
    - (1) Developing and promoting senior center based prevention programs;
    - (m) promoting best practices for abusive partner intervention;
  - (n) Administering grant funds appropriated and made available to support compliance with article one hundred twenty-nine-b of the education law; and undertaking such actions, duties, and responsibilities as may be necessary to serve the purpose of article one hundred twentynine-b of the education law;
- (o) Any other activities including the making of and promulgation of 55 rules and regulations deemed necessary to facilitate the prevention of

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domestic violence within the scope and purview of this article which are not otherwise inconsistent with any other provisions of law.

- 4. Advisory council. (a) An advisory council is hereby established to make recommendations on domestic violence related issues and effective strategies for the prevention of domestic violence, to assist in the development of appropriate policies and priorities for effective intervention, public education and advocacy, and to facilitate and assure communication and coordination of efforts among state agencies and between different levels of government, state, federal, and municipal, for the prevention of domestic violence.
- 11 (b) The advisory council shall consist of nine members and seventeen ex-officio members. Each member shall be appointed to serve for a term 12 13 of three years and shall continue in office until a successor appointed 14 member is made. A member appointed to fill a vacancy shall be appointed 15 for the unexpired term of the member he or she is to succeed. All of the 16 members shall be individuals with expertise in the area of domestic violence. Three members shall be appointed by the governor, two members 17 18 shall be appointed upon the recommendation of the temporary president of 19 the senate, two members shall be appointed upon the recommendation of 20 speaker of the assembly, one member shall be appointed upon the 21 recommendation of the minority leader of the senate, and one member 22 shall be appointed upon the recommendation of the minority leader of the assembly. The ex-officio members of the advisory board shall consist of 23 24 the director of the office, who shall chair the council, and the follow-25 ing members or their designees: the commissioner of the office of tempo-26 rary and disability assistance; the commissioner of the department of 27 health; the commissioner of the education department; the commissioner of the office of mental health; the commissioner of the office of [also-28 29 holism and substance abuse ] addiction services and supports; the commissioner of the division of criminal justice services; the superintendent 30 31 of the division of state police; the director of the office of probation 32 and correctional alternatives; the commissioner of the office of chil-33 dren and family services; the director of the office of victim services; the chief administrative judge of the office of court administration; 34 35 commissioner of the department of labor; the director of the state 36 office for the aging; the commissioner of the department of corrections 37 and community supervision; the commissioner of homes and community 38 renewal; the chief executive officer of the New York state coalition 39 against domestic violence; and the executive director of the New York 40 state coalition against sexual assault.
  - (c) The advisory council shall meet as often as deemed necessary by the chair but in no event less than two times per year.
  - (d) The members of the advisory council shall receive no salary or other compensation for their services but shall be entitled to reimbursement for actual and necessary expenses incurred in the performance of their duties within amounts made available by appropriation therefor subject to the approval of the director of the budget. The ex-officio members of the advisory council shall receive no additional compensation for their services on the advisory council above the salary they receive from the respective departments or divisions that employ them.
  - 5. Executive director. (a) The governor shall appoint an executive director of the office who shall serve at the pleasure of the governor.
  - (b) The executive director shall receive an annual salary fixed by the governor within the amounts appropriated specifically therefor and shall

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be entitled to reimbursement for reasonable expenses incurred in connection with the performance of the director's duties.

- (c) The director of the office, with the approval of the governor, may accept as agent of the state any grant, including federal grants, or any gift or donation for any of the purposes of this article. Any moneys so received may be expended by the office to effectuate any purpose of this article, subject to the applicable provisions of the state finance law.
- (d) The executive director shall appoint staff and perform such other functions to ensure the efficient operation of the office.
- 10. Fatality review team. (a) There shall be established within the office a fatality review team for the purpose of analyzing, in conjunction with local representation, the domestic violence-related death or near death of individuals, with the goal of:
- (i) examining the trends and patterns of domestic violence-related fatalities in New York state;
- (ii) educating the public, service providers, and policymakers about domestic violence fatalities and strategies for intervention and prevention; and
- (iii) recommending policies, practices, procedures, and services to reduce fatalities due to domestic violence.
- (b) A domestic violence-related death or near death shall mean any death or near death caused by a family or household member as defined in section eight hundred twelve of the family court act or section 530.11 the criminal procedure law, except that there shall be no review of the death or near death of a child for those cases in which the office children and family services is required to issue a fatality report in accordance with subdivision five of section twenty of the social services law.
- 29 (c) The team shall review deaths or near deaths in cases that have 30 been adjudicated and have received a final judgment and that are not 31 under investigation.
- 32 (d) Members of a domestic violence fatality review team shall be 33 appointed by the executive director, in consultation with the advisory council, and shall include, but not be limited to, one representative 34 35 from the office of children and family services, the office of temporary 36 and disability assistance, the division of criminal justice services, 37 the state police, the department of health, the office of court adminis-38 tration, the office of probation and correctional alternatives, the department of corrections and community supervision, the office of 39 victim services, at least one representative from local law enforcement, 40 41 a county prosecutor's office, a local social services district, a member 42 the judiciary, and a domestic violence services program approved by 43 the office of children and family services. A domestic violence fatality review team may also include representatives from sexual assault 44 45 services programs, public health, mental health and substance abuse 46 agencies, hospitals, clergy, local school districts, local divisions of 47 probation, local offices of the department of corrections and community 48 supervision, the office of the medical examiner or coroner, any local domestic violence task force, coordinating council or other interagency 49 entity that meets regularly to support a coordinated community response 50 51 to domestic violence, any other program that provides services to domes-52 violence victims, or any other person necessary to the work of the 53 team, including survivors of domestic violence.
- (e) The team shall identify potential cases and shall select which 55 deaths or near deaths will be reviewed each year. Localities may request that the team conduct a review of a particular death or near death.

- (f) The team shall work with officials and organizations within the community where the death or near death occurred to conduct each review.
- (g) Team members shall serve without compensation but are entitled to be reimbursed for travel expenses to the localities where a fatality review will be conducted and members who are full-time salaried officers or employees of the state or of any political subdivision of the state are entitled to their regular compensation.
- (h) To the extent consistent with federal law, upon request the team shall be provided client-identifiable information and records necessary for the investigation of a domestic violence-related death or near death incident, including, but not limited to:
  - (i) records maintained by a local social services district;
- 13 (ii) law enforcement records, except where the provision of such 14 records would interfere with an ongoing law enforcement investigation or 15 identify a confidential source or endanger the safety or welfare of an 16 individual;
  - (iii) court records;

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- (iv) probation and parole records;
- (v) records from domestic violence residential or non-residential
- (vi) records from any relevant service provider, program or organization; and
- (vii) all other relevant records in the possession of state and local officials or agencies provided, however, no official or agency shall be required to provide information or records concerning a person charged, investigated or convicted in such death or near death in violation of such person's attorney-client privilege.
- (i) Any information or records otherwise sealed, confidential and privileged in accordance with state law which are provided to the team shall remain <u>sealed</u>, confidential, <u>and privileged</u> as otherwise provided law. All records received, meetings conducted, reports and records made and maintained and all books and papers obtained by the team shall be confidential and shall not be open or made available, except by court order or as set forth in paragraphs (k) and (l) of this subdivision.
- (j) Any person who releases or permits the release of any information 36 protected under paragraph (i) of this subdivision to persons or agencies not authorized to receive such information shall be guilty of a class A misdemeanor.
  - (k) Team members and persons who present information to the team shall not be questioned in any civil or criminal proceeding regarding any opinions formed as a result of a meeting of the team. Nothing in this section shall be construed to prevent a person from testifying as to information which is obtained independently of the team or information which is public.
  - (1)Team members are not liable for damages or other relief in any action brought by reason of the reasonable and good faith performance of a duty, function, or activity of the team.
  - (m) Consistent with all federal and state confidentiality protections, the team may provide recommendations to any individual or entity for appropriate actions to improve a community's response to domestic violence.
- (n) The team shall periodically submit a cumulative report to the 52 53 governor and the legislature incorporating the aggregate data and a 54 summary of the general findings and recommendations resulting from the 55 domestic violence fatality reviews completed pursuant to this subdivi-

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1 sion. The cumulative report shall thereafter be made available to the
    public, consistent with federal and state confidentiality protections.
      § 3. Intentionally omitted.
      § 4. Intentionally omitted.
      § 5. Intentionally omitted.
      § 6. Intentionally omitted.
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      § 25. Intentionally omitted.
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      § 26. Intentionally omitted.
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      § 27. This act shall take effect immediately.
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                                      PART C
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                               Intentionally Omitted
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                                      PART D
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1	PART J
2	Intentionally Omitted
3	PART K
4	Intentionally Omitted
5	PART L
6	Intentionally Omitted
7	PART M
8 9 10 11 12 13 14 15 16	Section 1. Notwithstanding the provisions of sections 79-a and 79-b of the correction law, the governor is authorized to close correctional facilities of the department of corrections and community supervision as he determines to be necessary for the cost-effective and efficient operation of the correctional system, provided that the governor provides at least 180 days' notice prior to any such closures to the temporary president of the senate and the speaker of the assembly.  § 2. This act shall take effect immediately and shall be deemed to have been in full force and effect on and after April 1, 2021 and shall expire and be deemed repealed March 31, 2023.
18	PART N
19	Intentionally Omitted
20	PART O
21	Intentionally Omitted
22	PART P
23 24 25 26 27 28 29 30 31 32 33 34 35 36	Section 1. Section 5 of chapter 268 of the laws of 1996, amending the education law and the state finance law relating to providing a recruitment incentive and retention program for certain active members of the New York army national guard, New York air national guard, and New York naval militia, as amended by section 1 of part E of chapter 57 of the laws of 2016, is amended to read as follows:  § 5. This act shall take effect January 1, 1997 and shall expire and be deemed repealed September 1, [2021] 2026; provided that any person who has begun to receive the benefits of this act prior to its expiration and repeal shall be entitled to continue to receive the benefits of this act after its expiration and repeal until completion of a baccalaureate degree or cessation of status as an active member, whichever occurs first.  § 2. This act shall take effect immediately.
37	PART Q
38	Intentionally Omitted
39	PART R

Intentionally Omitted 1 2 PART S 3 Intentionally Omitted 4 PART T 5 Intentionally Omitted PART U 6 7 Intentionally Omitted 8 PART V

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9 Section 1. Section 76 of the workers' compensation law is amended by adding a new subdivision 1-a to read as follows:

1-a. a. The purposes of the state insurance fund are hereby enlarged to permit it to enter agreements with insurers licensed to write workers' compensation insurance in states outside New York to issue policies to state insurance fund policyholders covering those policyholders' obligations to secure the payment of workers' compensation benefits under the laws of states other than New York. The state insurance fund shall also be authorized to receive premiums into its workers' compensation fund for policies written under such agreements and to pay from such fund: (i) reimbursement of all losses and loss adjustment expenses under such policies; and (ii) fees and other costs, including but not limited to those for claims services, relating to such agreements. An agreement under this subdivision shall not include the provision of claims services for any claim under this chapter.

b. For a policyholder to be eligible for insurance in states other than New York provided through agreements entered into under this subdivision, either: (i) the policyholder's workers' compensation premiums with the state insurance fund covering its employees under this chapter must be greater than the premiums charged to cover the policyholder's obligations to pay workers' compensation benefits in all states, in the aggregate, other than New York when covered under such agreements; or (ii) the payroll for the policyholder's operations in New York must be greater than the policyholder's payroll in all states, in the aggregate, other than New York when covered under such agreements for the prior policy period. For determining eligibility, "premiums" mean estimated premiums as determined by the state insurance fund at the beginning of the policy period. In addition, for a policyholder to be eliqible for insurance in states other than New York through the state insurance fund, the policyholder must meet the state insurance fund's underwriting criteria for other states coverage as specified by rules of the commissioners.

§ 2. This act shall take effect immediately.

42 PART W

Section 1. The section heading and subdivisions 1, 2, 3 and 7 of section 87 of the workers' compensation law, the section heading and subdivision 1 as amended and subdivisions 2, 3 and 7 as added by section

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20 of part GG of chapter 57 of the laws of 2013, are amended to read as

[Investment of surplus or reserve] Investments. 1. Any of the reserve funds belonging to the state insurance fund, by order of the commissioners, approved by the superintendent of financial services, may be invested in the types of [securities] investments described in [subdivisions one, two, three, four, five, six, eleven, twelve, twelve-a, thirteen, fourteen, fifteen, nineteen, twenty, twenty-one, twenty-one-a, twenty-four-a, twenty-four-b, twenty-four-d and twenty-five of section two hundred thirty-five of the banking law or in paragraph] paragraphs one, two, three and four of subsection (b) of section one 11 thousand four hundred two of the insurance law and paragraphs one, two, 12 three, four, five, six, seven, and eleven of subsection (a) of section 14 one thousand four hundred four of the insurance law with the qualitative standards or quantitative limitations which are set forth in such paragraphs except that [up to] a minimum of five percent of such reserve funds [may] shall be invested in the types of securities [ef] any solvent American institution as] described in [such paragraph irrespective of the rating of such institution's obligations or other similar qualitative standards described therein paragraphs one, two, three and four of subsection (b) of section one thousand four hundred two of the insurance

2. Any [of the surplus] funds belonging to the state insurance fund exceeding seventy percent of the aggregate of loss reserves, loss expense reserves and fifty percent of unearned premium reserves, by order of the commissioners, approved by the superintendent of financial services, may be invested in the types of [securities described in subdivisions one, two, three, four, five, six, eleven, twelve, twelve-a, thirteen, fourteen, fifteen, nineteen, twenty, twenty-one, twenty-one-a, twenty-four, twenty-four-a, twenty-four-b, twenty-four-c and twenty-five of section two hundred thirty-five of the banking law or, up to fifty percent of surplus funds, in the types of securities or ] investments described in [paragraphs two, three, eight and ten of] paragraphs one, two, three and four of subsection (b) of section one thousand four hundred two of the insurance law and subsection (a) of section one thousand four hundred four of the insurance law, [except that up to ten percent of surplus funds may be invested in the securities of any solvent American institution as described in such paragraphs irrespective of the rating of such institution's obligations or other similar qualitative standards described therein, but such investments shall not be subject to the qualitative standards or quantitative limitations which are set forth with respect to any investment permitted by such subsection and [up to fifteen percent of surplus funds in securities or investments which do not otherwise qualify for investment under this section as shall be made with the care, prudence and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims as provided for the state insurance fund under this article, but shall not include any direct derivative instrument or derivative transaction except for hedging purposes in accordance with section one thousand four hundred ten of the insurance law. [Notwithstanding any other provision in this subdivision, the aggregate amount that the state insurance fund may invest in the types of securities or investments described in paragraphs three, eight and ten of subsection (a) of section one thousand four hundred four of the insurance law and as a prudent person acting in a

like capacity would invest as provided in this subdivision shall not exceed fifty percent of such surplus funds.

- 3. Any [of the surplus or reserve] funds belonging to the state insurance fund, upon like approval of the superintendent of financial services, may be loaned on the pledge of any such securities. The commissioners, upon like approval of the superintendent of financial services, may also sell any of such securities or investments.
- 7. Notwithstanding any provision in this section, the [surplus and reserve] funds of the state insurance fund shall not be invested in any investment that has been found by the superintendent of financial services to be against public policy or in any investment prohibited by the provisions of [paragraph six of subsection (a) of section one thousand four hundred four of the insurance law or by the provisions of paragraph one, two, three, four, six, seven, eight, nine or ten of subsection (a) of section one thousand four hundred seven of the insurance law or in excess of any limitation provided under section one thousand four hundred nine of the insurance law.
- § 2. Subsection (c) of section 1108 of the insurance law, as amended by section 38 of part SS of chapter 54 of the laws of 2016, is amended to read as follows:
- (c) The state insurance fund of this state, except as to the provisions of section one thousand four hundred ten, subsection (d) of section two thousand three hundred thirty-nine, section three thousand one hundred ten, subsection (a), paragraph one of subsection (b), paragraph three of subsection (c) and subsection (d) of section three thousand two hundred one, sections three thousand two hundred two, three thousand two hundred four, subsections (a) through (d) of section three thousand two hundred twenty-one, subsections (b) and (c) of section four thousand two hundred twenty-four, section four thousand two hundred twenty-six and subsections (a) and (b), (g) through (j), and (n) of section four thousand two hundred thirty-five of this chapter and except as otherwise specifically provided by the laws of this state.
- 33 § 3. Subsection (a) of section 1410 of the insurance law, as added by 34 chapter 650 of the laws of 1998, is amended to read as follows:
  - (a) For purposes of this section, except subsection (k) of this section, an insurer shall mean a domestic life insurer, a domestic property/casualty insurer, a domestic reciprocal insurer, a domestic mortgage guaranty insurer, a domestic co-operative property/casualty insurance corporation [ex], a domestic financial guaranty insurer, or the state insurance fund of this state.
- 41 § 4. This act shall take effect immediately.

42 PART X

Section 1. Subdivision 5 of section 27 of the workers' compensation law, as amended by chapter 6 of the laws of 2007, is amended to read as follows:

5. All computations made <u>or directed</u> by the board shall be upon the basis of <u>(i)</u> the survivorship annuitants table of mortality, the remar-riage tables of the Dutch Royal Insurance Institution <u>applicable to claims for accidents occurring on or before December thirty-first, two thousand twenty-one, and <u>(ii)</u> beginning January first, two thousand twenty-two, and on January first of each tenth year thereafter, the United States life table for the total population published by the department of health and human services and the remarriage table published by the United States railroad retirement board applicable to</u>

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1 claims for accidents occurring on or after January first of the year following the adoption of any revision of such tables as provided in this subdivision and interest at three and one-half per centum per annum 3 on claims based on accidents occurring up to and including June thirtieth, nineteen hundred thirty-nine, at three per centum per annum on claims based on accidents occurring from July first, nineteen hundred thirty-nine up to and including August thirty-first, nineteen hundred 7 eighty-three, at six per centum per annum on claims based on accidents 9 occurring from September first, nineteen hundred eighty-three up to and 10 including December thirty-first, two thousand and at the industry standard rate on claims based on accidents occurring thereafter, except (a) 11 that computations of present values of death benefits required to be 12 13 paid into the aggregate trust fund by an insurance carrier which is a 14 stock corporation or a mutual association shall be based, in the case of 15 a dependent parent, grandparent, blind or physically disabled child or 16 spouse, upon said table of mortality disregarding possible change in or termination of dependency, with interest at three and one-half per 17 centum per annum on claims based on accidents occurring up to and 18 including June thirtieth, nineteen hundred thirty-nine, at three per 19 20 centum per annum on claims based on accidents occurring from July first, 21 nineteen hundred thirty-nine up to and including August thirty-first, nineteen hundred eighty-three, at six per centum per annum on claims 22 based on accidents occurring from September first, nineteen hundred 23 eighty-three up to and including December thirty-first, two thousand and 24 25 at the industry standard rate on claims based on accidents occurring 26 thereafter and (b) that computations of present values of permanent 27 partial disability benefits awarded for a definite number of weeks shall be on the basis of annuities certain with interest at three and one-half 28 29 per centum per annum on claims based on accidents occurring up to and including June thirtieth, nineteen hundred thirty-nine, at three per 30 31 centum per annum on claims based on accidents occurring from July first, 32 nineteen hundred thirty-nine up to and including August thirty-first, 33 nineteen hundred eighty-three, at six per centum per annum on claims 34 based on accidents occurring from September first, nineteen hundred 35 eighty-three up to and including December thirty-first, two thousand and 36 at the industry standard rate on claims based on accidents occurring 37 thereafter. 38

§ 2. The closing paragraph of subdivision 7 of section 27 of the workers' compensation law, as amended by chapter 6 of the laws of 2007 and as further amended by section 104 of part A of chapter 62 of the laws of 2011, is amended to read as follows:

Such additional payments shall be required until the surplus of the fund equals or exceeds one per centum of the total outstanding loss reserves as shown by three successive annual reports of the fund to the superintendent of financial services and such additional payment shall be required as a payment upon each award based on an accident occurring prior to July first next succeeding the third such annual report, but not as a payment upon any award based on an accident occurring on or after said July first; provided, however, that if and when the surplus the fund as shown by any annual report thereafter shall be less than one per centum of the total outstanding loss reserves, then the additional payments as provided in paragraphs (a), (b), (c) and (d) of this subdivision shall be resumed and shall be payable upon any award based 54 on an accident occurring on or after July first next succeeding the close of the year for which such annual report is made. Thereafter, the suspension or resumption of additional payments as required by this

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subdivision shall be governed by the foregoing provisions. Such loss reserves shall be computed based upon the tables specified in subdivision five of this section applicable to the calculation of the deposit for the claim on which such deposit is based and interest at a standard to be determined by the superintendent of financial services by regulation.

- § 3. Section 86 of the workers' compensation law, as amended by chapter 7 of the laws of 1989 and as further amended by section 104 of part A of chapter 62 of the laws of 2011, is amended to read as follows:
- § 86. Catastrophe surplus and reserves for workers' compensation. Ten per centum of the premiums collected from employers insured in the fund for workers' compensation shall be set aside for the creation of a surplus until such surplus shall amount to the sum of one hundred thou-14 sand dollars, and thereafter five per centum of such premiums, until such time as in the judgment of the commissioners such surplus shall be sufficiently large to cover the catastrophe hazard. Thereafter the contribution to such surplus may be reduced or discontinued conditional upon constant maintenance of a sufficient surplus to cover the catastrophe hazard. Reserves shall be set up and maintained adequate to meet 19 20 anticipated losses and carry all claims and policies to maturity, which 21 reserves shall be computed [to reflect the present values, at five 22 percent interest per annum, of the determined and estimated unpaid losses, and other requirements computed in accordance with such rules as 23 shall be approved by the superintendent of financial services pursuant 24 to subsections (d) and (e) of section four thousand one hundred seven-25 teen of the insurance law.
  - § 4. Subsection (c) of section 1108 of the insurance law, as amended by section 38 of part SS of chapter 54 of the laws of 2016, is amended to read as follows:
  - (C) The state insurance fund of this state, except as to the provisions of subsection (d) of section two thousand three hundred thirty-nine, section three thousand one hundred ten, subsection (a), paragraph one of subsection (b), paragraph three of subsection (c) and subsection (d) of section three thousand two hundred one, sections three thousand two hundred two, three thousand two hundred four, subsections through (d) of section three thousand two hundred twenty-one, subsections (d) and (e) of section four thousand one hundred seventeen, subsections (b) and (c) of section four thousand two hundred twentyfour, section four thousand two hundred twenty-six and subsections (a) and (b), (g) through (j), and (n) of section four thousand two hundred thirty-five of this chapter and except as otherwise specifically provided by the laws of this state.
  - § 5. Subsection (e) of section 4117 of the insurance law, as amended by chapter 11 of the laws of 1986, is amended to read as follows:
  - (e) Whenever in the judgment of the superintendent, the loss and loss expense reserves of any property/casualty insurance company doing business in this state or of the state insurance fund of this state calculated in accordance with the foregoing provisions are inadequate or excessive, [he] the superintendent may prescribe any other basis [which] that will produce adequate and reasonable reserves.
  - § 6. This act shall take effect January 1, 2022.

52 PART Y 1 PART Z

Section 1. Subdivisions 2, 4, 5 and 6 of section 284 of the agriculture and markets law, as added by chapter 834 of the laws of 1981, are amended to read as follows:

- 2. Development of institutional direct marketing programs to increase the purchase of New York state farm, beverage, and food products in coordination with the office of general services and the department of education.
- 9 4. Development of guidelines for direct marketing operations that will assist individual producers in reducing costs and improve their financial returns and help assure consumers of high quality food <u>and beveragence</u> es.
  - 5. Assistance to retail food <u>and beverage</u> stores in purchasing directly from New York state food <u>and beverage</u> producers.
  - 6. Assistance to direct marketing organizations in areas identified as having poor consumer access to high quality and reasonably priced food, beverages, and farm products.
  - § 2. Section 81-a of the alcoholic beverage control law is amended by adding a new subdivision 5 to read as follows:
  - 5. a. Notwithstanding anything to the contrary, a special license under this section may be granted to a motion picture theatre giving due regard to the convenience of the public and the strict avoidance of sales prohibited by this chapter.
  - b. A special license under this section may be granted to a motion picture theatre. For the purposes of this subdivision, "motion picture theatre" shall mean a building or facility which is regularly used and kept open primarily for the exhibition of motion pictures for at least five out of seven days a week, or on a regular seasonal basis of no less than six contiguous weeks, to the general public; (i) where all auditorium seating is permanently affixed to the floor; (ii) where at least sixty-five percent of the motion picture theatre's annual gross revenues is the combined result of admission revenue for the showing of motion pictures and the sale of food and non-alcoholic beverages; and (iii) which regularly keeps available for sale to its customers for consumption on the premises food that is typically found in a motion picture theatre including, but not limited to, popcorn, candy and light snacks.
  - § 3. Section 106 of the alcoholic beverage control law is amended by adding a new subdivision 16 to read as follows:
  - 16. A person holding a special license to sell wine at retail for consumption in a motion picture theatre pursuant to subdivision five of section eighty-one-a of this chapter shall:
  - (a) for every purchase of an alcoholic beverage, require the purchaser to provide written evidence of age as set forth in paragraph (b) of subdivision two of section sixty-five-b of this chapter;
  - (b) allow the purchase of no more than one alcoholic beverage per transaction;
  - (c) not commence the sale of alcoholic beverages until: (i) one hour prior to the start of the first motion picture; or (ii) at the time at which the sale or service of alcoholic beverages for consumption on the premises in the county in which the premises is located may commence; whichever is later; and
- 52 <u>(d) cease all sales of alcoholic beverages: (i) after the conclusion</u>
  53 <u>of the final motion picture; or (ii) at the time at which the sale or</u>
  54 <u>service of alcoholic beverages for consumption on the premises in the</u>

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1 county in which the premises is located must cease, whichever is earli-

- § 4. Subdivision 1 of section 110 of the alcoholic beverage control law is amended by adding a new paragraph (j) to read as follows:
- (i) In the case of a motion picture theatre applying for a special license under subdivision five of section eighty-one-a of this chapter, any municipality required to be notified under section one hundred ten-b of this article shall provide written notice that such municipality has no objection to such application.
- § 5. Subdivision 6 of section 110 of the alcoholic beverage control 10 law, as amended by chapter 188 of the laws of 2013, is amended to read 11 12 as follows:
- 6. The authority may in its discretion waive the submission of any 14 category of information described in this section for any category of license or permit, provided that it shall not be permitted to waive the requirement for submission of any such category of information solely for an individual applicant or applicants and provided further that no waiver of paragraph (f) or paragraph (j) of subdivision one of this section shall be made.
- 20 § 6. This act shall take effect immediately provided, however, that 21 the provisions of section two of this act shall expire 5 years after 22 such date when upon such date the provisions of such section shall be deemed repealed. Notwithstanding such repeal, any license issued pursu-23 ant to the provisions of section two of this act prior to the date of repeal of such section shall remain in effect and may be renewed subject 25 26 to the terms of such license.

27	PART AA
28	Intentionally Omitted
29	PART BB
30	Intentionally Omitted
31	PART CC
32	Intentionally Omitted
33	PART DD
34	Intentionally Omitted
35	PART EE
36	Intentionally Omitted
37	PART FF
38	Intentionally Omitted
39	PART GG
40	Intentionally Omitted
41	PART HH

T	Intentionally Omitted
2	PART II
3	Intentionally Omitted
4	PART JJ
5	Intentionally Omitted

6 PART KK

 Section 1. Section 54-1 of the state finance law, as added by section 1 of part J of chapter 57 of the laws of 2011, paragraph b of subdivision 2 as amended by section 1 of part X of chapter 55 of the laws of 2014 and subdivision 5 as added by section 5 of part S of chapter 39 of the laws of 2019, is amended to read as follows:

- § 54-1. State assistance to eligible cities and eligible municipalities in which a video lottery gaming facility is located. 1. Definitions. When used in this section, unless otherwise expressly stated:
- a. "Eligible city" shall mean a city with a population equal to or greater than one hundred twenty-five thousand and less than one million in which a video lottery gaming facility is located and operating as of January first, two thousand nine pursuant to section sixteen hundred seventeen-a of the tax law.
- b. "Eligible municipality" shall mean a county, city, town or village in which a video lottery gaming facility is located pursuant to section sixteen hundred seventeen-a of the tax law that is not located in a city with a population equal to or greater than one hundred twenty-five thousand; provided, however, that such video lottery gaming facility must be located in the county of Orange, the county of Erie, the county of Ontario, the county of Oneida or the county of Suffolk.
- 2. a. Within the amount appropriated therefor, an eligible city shall receive an amount equal to the state aid payment received in the state fiscal year commencing April first, two thousand eight from an appropriation for aid to municipalities with video lottery gaming facilities.
- b. Within the amounts appropriated therefor, eligible municipalities shall receive an amount equal to seventy percent of the state aid payment received in the state fiscal year commencing April first, two thousand eight from an appropriation for aid to municipalities with video lottery gaming facilities, except as otherwise provided by subdivisions five and six of this section.
- 3. a. State aid payments made to an eligible city pursuant to paragraph a of subdivision two of this section shall be used to increase support for public schools in such city.
- b. State aid payments made to an eligible municipality pursuant to paragraph b of subdivision two of this section shall be used by such eligible municipality to: (i) defray local costs associated with a video lottery gaming facility, or (ii) minimize or reduce real property taxes.
- 4. Payments of state aid pursuant to this section shall be made on or before June thirtieth of each state fiscal year to the chief fiscal officer of each eligible city and each eligible municipality on audit and warrant of the state comptroller out of moneys appropriated by the legislature for such purpose to the credit of the local assistance fund in the general fund of the state treasury.

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- 5. The town and county in which the facility defined in paragraph five of subdivision a of section sixteen hundred seventeen-a of the tax law is located shall receive assistance payments made pursuant to this 3 section at the same dollar level realized by the village of Monticello, Sullivan county, the town of Thompson, Sullivan county, and Sullivan county in the state fiscal year commencing April first, two thousand nineteen; provided however that the amount that was allocated to the village of Monticello shall be distributed evenly between such town and [Each village in which the facility defined in paragraph such county. five of subdivision a of section sixteen hundred seventeen-a of the tax 11 law is located shall receive assistance payments made pursuant to this section at the rate of fifty percent of the dollar level realized by the 12 13 village of Monticello. Any payments made pursuant to this subdivision 14 shall not commence until the facility defined in paragraph five of 15 subdivision a of section sixteen hundred seventeen-a of the tax law has realized revenue for a period of twelve consecutive months.
  - 6. The county in which the facility defined in paragraph three of subdivision a of section sixteen hundred seventeen-a of the tax law is located shall receive annual assistance payments made pursuant to this section in the amount of one million, two hundred and eighty-seven thousand and one hundred sixty three dollars; provided, however, that such facility must be located in the county of Suffolk.
- 23 § 2. This act shall take effect immediately.

24 PART LL

25 Intentionally Omitted

26 PART MM

Section 1. The opening paragraph of subparagraph 2 of paragraph a and subparagraph 2 of paragraph b of subdivision 3 of section 11 of the general municipal law, the opening paragraph of subparagraph 2 of paragraph a as amended by section 1 of part W of chapter 406 of the laws of 1999 and subparagraph 2 of paragraph b as amended by chapter 130 of the laws of 1998, are amended to read as follows:

notwithstanding any other provision of general, special or local law, any city having a population of one million or more and any county may also make investments in the following:

- (2) Such obligations, unless registered or inscribed in the name of the local government, shall be purchased through, delivered to and held in the custody of a bank or trust company or, with respect to the city New York and counties, a reputable dealer in such obligations as shall be designated by the state comptroller, in this state. Such obligations shall be purchased, sold or presented for redemption or payment by such bank or trust company or dealer in obligations only in accordance with prior written authorization from the officer authorized to make the investment. All such transactions shall be confirmed in writing to the local government by the bank or trust company. All obligations held in the custody of a bank or trust company pursuant to this paragraph shall be held by such bank or trust company pursuant to a written custodial agreement as set forth in paragraph a of subdivision three of section ten of this article.
- 50 § 2. Paragraph b of subdivision 3 of section 11 of the general munici-51 pal law, as amended by chapter 548 of the laws of 1997, is amended to read as follows:

b. Such obligations, unless registered or inscribed in the name of the local government, shall be purchased through, delivered to and held in 3 the custody of a bank or trust company or, with respect to the city of New York and counties, a reputable dealer in such obligations as shall be designated by the state comptroller, in this state. Such obligations shall be purchased, sold or presented for redemption or payment by such 7 bank or trust company or dealer in obligations only in accordance with prior written authorization from the officer authorized to make the 9 investment. All such transactions shall be confirmed in writing to the 10 local government by the bank or trust company. All obligations held in the custody of a bank or trust company pursuant to this paragraph shall 11 be held by such bank or trust company pursuant to a written custodial 12 13 agreement as set forth in paragraph a of subdivision three of section 14 ten of this article.

§ 3. This act shall take effect immediately, provided however the amendments to subdivision 3 of section 11 of the general municipal law made by section one of this act shall be subject to the expiration and reversion of such subdivision pursuant to section 2 of chapter 130 of the laws of 1998, as amended, when upon such date the provisions of section two of this act shall take effect.

#### 21 PART NN

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Section 1. Subdivision 2 of section 239-bb of the general municipal law, as added by section 1 of part EE of chapter 55 of the laws of 2018, is amended to read as follows:

- 2. County-wide shared services panels. a. There shall be a county-wide shared services panel in each county consisting of the county CEO, and one representative from each city, town [and], village and school district in the county. The chief executive officer of each town, city and village shall be the representative to a panel and shall be the mayor, if a city or a village, or shall be the supervisor, if a town. In the case of a school district, a representative shall be elected by majority vote of the school board to serve as the representative of such school district. The county CEO shall serve as chair. All panels established in each county pursuant to part BBB of chapter fifty-nine of the laws of two thousand seventeen, and prior to the enactment of this article, shall continue in satisfaction of this section in such form as they were established, provided that the county CEO may alter the membership of the panel consistent with paragraph b of this subdivision.
- The county CEO may invite any [school district,] board of cooperative educational services, fire district, fire protection district, or improvement district in the county to join a panel. Upon such invitation, the governing body of such [school district,] board of cooperative educational services, fire district, fire protection district, other special district may accept such invitation by selecting a representative of such governing body, by majority vote, to serve as a member of the panel. Such [school district,] board of cooperative educational services, fire district, fire protection district or other special district shall maintain such representation until the panel either approves a plan or transmits a statement to the secretary of state on the reason the panel did not approve a plan, pursuant to paragraph d of subdivision seven of this section. Upon approval of a plan or a transmission of a statement to the secretary of state that a panel did not approve a plan in any calendar year, the county CEO may, but need not, invite any [school district,] board of cooperative educational

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services, fire district, fire protection district or special improvement district in the county to join a panel thereafter convened.

- § 2. Subdivision 8 of section 239-bb of the general municipal law, as added by section 1 of part EE of chapter 55 of the laws of 2018, is amended to read as follows:
- 6 For each county, new shared services actions [not included] in [a 7 previously] an approved and submitted plan pursuant to this section or 8 part BBB of chapter fifty-nine of the laws of two thousand seventeen, 9 may be eligible for funding to match savings from such action, subject 10 to available appropriation. Savings that are actually and demonstrably 11 realized by the participating local governments are eligible for matching funding. For actions that are part of an approved plan transmitted 12 13 to the secretary of state in accordance with paragraph b of subdivision 14 seven of this section, savings achieved [from during either: (i) January first through December thirty-first from new actions implemented on 15 16 or after January first through December thirty-first of the year imme-17 diately following an approved [and transmitted] plan, or (ii) July first of the year immediately following an approved plan through June thirti-18 eth of the subsequent year from new actions implemented July first of 19 20 the year immediately following an approved plan through June thirtieth 21 of the subsequent year may be eliqible for matching funding. Only net savings between local governments for each action would be eligible for 22 matching funding. Savings from internal efficiencies or any other action 23 taken by a local government without the participation of another local 24 25 government are not eligible for matching funding. Each county and all of 26 the local governments within the county that are part of any action to 27 implemented as part of an approved plan must collectively apply for 28 the matching funding and agree on the distribution and use of any match-29 ing funding in order to qualify for matching funding. Each county shall 30 be authorized to submit one consolidated application for matching funds 31 for each approved and transmitted plan. All actions from a plan for 32 which matching funds will be requested shall adhere to the same twelve-33 month period beginning either January first or July first. The secretary 34 of state shall develop the application with any necessary requirements 35 for receipt of state matching funds.
- $\S$  3. Subdivision 11 of section 239-bb of the general municipal law is 37 REPEALED.
- 38 § 4. This act shall take effect immediately.

39 PART OO

40 Intentionally Omitted

41 PART PP

42 Section 1. Section 217 of the county law is amended to read as 43 follows:

§ 217. County jail. Each county shall continue to maintain a county jail as prescribed by law; provided, however, this section shall not prohibit contiguous counties from jointly maintaining a jail pursuant to a shared services agreement that has been reviewed and approved by the New York state commission of correction. The commission's review and approval of a shared services agreement shall be limited to the portions of the agreement that directly affect the care, custody, correction, treatment, supervision, discipline, and other correctional programs for

52 <u>all persons confined in the jail</u>.

§ 2. Subdivision 1 of section 500-a of the correction law is amended by adding a new paragraph (h) to read as follows:

- (h) Notwithstanding any other law to the contrary, nothing in this subdivision shall prohibit contiguous counties from jointly maintaining a jail pursuant to section two hundred seventeen of the county law.
- § 3. Subdivision 1 of section 500-c of the correction law, as added by chapter 907 of the laws of 1984, is amended to read as follows:
- 1. Except as provided in subdivision two of this section, the sheriff of each county shall have custody of the county jail of such county; provided however, that for contiguous counties jointly maintaining a jail pursuant to section two hundred seventeen of the county law, the sheriff of the county in which such jail is located shall regularly consult with the sheriff of any county jointly maintaining the jail.
- § 4. Paragraph (b) of subdivision 3 of section 259-i of the executive law, as amended by section 11 of part E of chapter 62 of the laws of 2003, is amended to read as follows:
- (b) A person who shall have been taken into custody pursuant to this subdivision for violation of one or more conditions of presumptive release, parole, conditional release or post-release supervision shall, insofar as practicable, be incarcerated in the county or city in which the arrest occurred. Notwithstanding any other law to the contrary, nothing in this subdivision shall prohibit contiguous counties from jointly maintaining a jail pursuant to section two hundred seventeen of the county law.
- § 5. Paragraph (a) of subdivision 16 of section 2 of the correction law, as amended by chapter 681 of the laws of 1990, is amended to read as follows:
- (a) "Local correctional facility." Any place [operated] maintained by [a county] one or more contiguous counties, or the city of New York as a place for the confinement of persons duly committed to secure their attendance as witnesses in any criminal case, charged with crime and committed for trial or examination, awaiting the availability of a court, duly committed for any contempt or upon civil process, convicted of any offense and sentenced to imprisonment therein or awaiting transportation under sentence to imprisonment in a correctional facility, or pursuant to any other applicable provisions of law.
- § 6. Subdivision 1 of section 751 of the judiciary law, as amended by chapter 399 of the laws of 1988, is amended to read as follows:
- 1. Except as provided in subdivisions (2), (3) and (4), punishment for a contempt, specified in section seven hundred fifty, may be by fine, not exceeding one thousand dollars, or by imprisonment, not exceeding thirty days, in the jail of the county where the court is sitting, or both, in the discretion of the court. If the county jail in which the court is sitting has entered into a shared services agreement pursuant to section two hundred seventeen of the county law, the person may be imprisoned in a jail in the contiguous county that is party to such agreement. Where the punishment for contempt is based on a violation of an order of protection issued under section 530.12 or 530.13 of the criminal procedure law, imprisonment may be for a term not exceeding three months. Where a person is committed to jail, for the nonpayment of a fine, imposed under this section, he must be discharged at the expiration of thirty days; but where he is also committed for a definite time, the thirty days must be computed from the expiration of the definite time.

Such a contempt, committed in the immediate view and presence of the court, may be punished summarily; when not so committed, the party

charged must be notified of the accusation, and have a reasonable time to make a defense.

- § 7. Subdivision 4 of section 40 of the correction law, as amended by chapter 247 of the laws of 2018, is amended to read as follows:
- 4. "Municipal official" means (a) the sheriff or, where a local correctional facility is under the jurisdiction of a county department, the head of such department, and clerk of the board of supervisors, in the case of a county jail; (b) [the] any sheriff or other officer having custody or administrative jurisdiction and the clerk of [the] any board of supervisors, in the case of a [county penitentiary] jail maintained by two or more contiquous counties pursuant to section two hundred seventeen of the county law; (c) the clerk of the board of supervisors in the case of a county lockup; (d) the mayor and the city clerk, in the case of a city jail or lockup; (e) the supervisor and town clerk, in the case of a town lockup; (f) the mayor and village clerk, in the case of a village lockup; (g) the clerk of the board of supervisors of the county wherein located and the officer having custody or control, in the case of a court detention pen or a hospital prison ward.
- § 8. Paragraph (b) of subdivision 3 of section 430.20 of the criminal procedure law, as amended by chapter 788 of the laws of 1971, is amended to read as follows:
- (b) In any other case, commitment must be to the county jail[, work-house or penitentiary, or to a penitentiary outside the county] or, in a county jointly maintaining a jail pursuant to section two hundred seventeen of the county law, to such jail, and the order of commitment must specify the institution to which the defendant is to be delivered.
- § 9. Subdivision 35 of section 1.20 of the criminal procedure law is amended to read as follows:
- 35. "Commitment to the custody of the sheriff," when referring to an order of a court located in a county or city which has established a department of correction, means commitment to the commissioner of correction of such county or city. When referring to an order of a court located in a county jointly maintaining a jail pursuant to section two hundred seventeen of the county law, "commitment to the custody of the sheriff" shall mean commitment to the sheriff of the county in which such jail is located.
- § 10. Paragraph a of subdivision 7 of section 3202 of the education law, as amended by chapter 564 of the laws of 2001, is amended to read as follows:
- a. A person under twenty-one years of age who has not received a high school diploma and who is incarcerated in a correctional facility maintained by [a county] one or more contiguous counties or by the city of New York or in a youth shelter is eligible for educational services pursuant to this subdivision and in accordance with the regulations of the commissioner. Such services shall be provided by the school district in which the facility or youth shelter is located, within the limits of the funds allocated by the commissioner for such purposes pursuant to section thirty-six hundred two of this chapter and pursuant to a plan approved by the commissioner. School districts shall submit such plan by July fifteenth of each school year. Boards of education are authorized to contract for the provision of such educational services by a board of cooperative educational services or by another public school district.
- § 11. This act shall take effect immediately; provided that the amendments to subdivision 1 of section 500-c of the correction law made by

1 section three of this act shall not affect the repeal of such section 2 and shall be deemed repealed therewith.

3 PART QQ

4 Section 1. The state comptroller is hereby authorized and directed to 5 loan money in accordance with the provisions set forth in subdivision 5 of section 4 of the state finance law to the following funds and/or 7 accounts:

- 1. DOL-Child performer protection account (20401).
- 9 2. Local government records management account (20501).
- 10 3. Child health plus program account (20810).
- 4. EPIC premium account (20818).
- 12 5. Education New (20901).

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- 6. VLT Sound basic education fund (20904).
- 7. Sewage treatment program management and administration fund (21000).
- 16 8. Hazardous bulk storage account (21061).
  - 9. Utility environmental regulatory account (21064).
- 18 10. Federal grants indirect cost recovery account (21065).
- 19 11. Low level radioactive waste account (21066).
- 20 12. Recreation account (21067).
- 21 13. Public safety recovery account (21077).
- 22 14. Environmental regulatory account (21081).
- 23 15. Natural resource account (21082).
- 24 16. Mined land reclamation program account (21084).
- 25 17. Great lakes restoration initiative account (21087).
- 26 18. Environmental protection and oil spill compensation fund (21200).
- 27 19. Public transportation systems account (21401).
- 28 20. Metropolitan mass transportation (21402).
- 29 21. Operating permit program account (21451).
- 30 22. Mobile source account (21452).
- 31 23. Statewide planning and research cooperative system account 32 (21902).
- 33 24. New York state thruway authority account (21905).
- 34 25. Mental hygiene program fund account (21907).
  - 26. Mental hygiene patient income account (21909).
- 36 27. Financial control board account (21911).
- 37 28. Regulation of racing account (21912).
- 38 29. State university dormitory income reimbursable account (21937).
- 39 30. Criminal justice improvement account (21945).
- 40 31. Environmental laboratory reference fee account (21959).
- 41 32. Training, management and evaluation account (21961).
- 42 33. Clinical laboratory reference system assessment account (21962).
- 43 34. Indirect cost recovery account (21978).
- 44 35. Multi-agency training account (21989).
- 45 36. Bell jar collection account (22003).
- 46 37. Industry and utility service account (22004).
- 47 38. Real property disposition account (22006).
- 48 39. Parking account (22007).
- 49 40. Courts special grants (22008).
- 50 41. Asbestos safety training program account (22009).
- 51 42. Camp Smith billeting account (22017).
- 43. Batavia school for the blind account (22032).
- 53 44. Investment services account (22034).
- 54 45. Surplus property account (22036).

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46. Financial oversight account (22039).
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      47. Regulation of Indian gaming account (22046).
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      48. Rome school for the deaf account (22053).
      49. Seized assets account (22054).
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      50. Administrative adjudication account (22055).
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      51. Federal salary sharing account (22056).
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      52. New York City assessment account (22062).
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      53. Cultural education account (22063).
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      54. Local services account (22078).
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      55. DHCR mortgage servicing account (22085).
      56. Housing indirect cost recovery account (22090).
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      57. DHCR-HCA application fee account (22100).
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      58. Low income housing monitoring account (22130).
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      59. Corporation administration account (22135).
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      60. New York State Home for Veterans in the Lower-Hudson Valley
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    account (22144).
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      61. Deferred compensation administration account (22151).
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      62. Rent revenue other New York City account (22156).
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      63. Rent revenue account (22158).
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      64. Tax revenue arrearage account (22168).
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      65. New York state medical indemnity fund account (22240).
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      66. Behavioral health parity compliance fund (22246).
      67. State university general income offset account (22654).
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      68. Lake George park trust fund account (22751).
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      69. State police motor vehicle law enforcement account (22802).
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      70. Highway safety program account (23001).
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      71. DOH drinking water program account (23102).
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      72. NYCCC operating offset account (23151).
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      73. Commercial gaming regulation account (23702).
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      74. Highway use tax administration account (23801).
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      75. New York state secure choice administrative account (23806).
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      76. Fantasy sports administration account (24951).
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      77. Highway and bridge capital account (30051).
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      78. Aviation purpose account (30053).
      79. State university residence hall rehabilitation fund (30100).
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      80. State parks infrastructure account (30351).
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      81. Clean water/clean air implementation fund (30500).
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      82. Hazardous waste remedial cleanup account (31506).
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      83. Youth facilities improvement account (31701).
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      84. Housing assistance fund (31800).
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      85. Housing program fund (31850).
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      86. Highway facility purpose account (31951).
      87. Information technology capital financing account (32215).
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      88. New York racing account (32213).
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      89. Capital miscellaneous gifts account (32214).
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      90. New York environmental protection and spill remediation account
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    (32219).
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      91. Mental hygiene facilities capital improvement fund (32300).
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      92. Correctional facilities capital improvement fund (32350).
      93. New York State Storm Recovery Capital Fund (33000).
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      94. OGS convention center account (50318).
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      95. Empire Plaza Gift Shop (50327).
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      96. Centralized services fund (55000).
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      97. Archives records management account (55052).
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      98. Federal single audit account (55053).
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      Civil service administration account (55055).
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- 1 100. Civil service EHS occupational health program account (55056).
- 2 101. Banking services account (55057).
- 3 102. Cultural resources survey account (55058).
- 4 103. Neighborhood work project account (55059).
- 5 104. Automation & printing chargeback account (55060).
- 6 105. OFT NYT account (55061).
- 7 106. Data center account (55062).
- 8 107. Intrusion detection account (55066).
- 9 108. Domestic violence grant account (55067).
- 10 109. Centralized technology services account (55069).
- 11 110. Labor contact center account (55071).
- 12 111. Human services contact center account (55072).
- 13 112. Tax contact center account (55073).
- 14 113. Department of law civil recoveries account (55074).
- 15 114. Executive direction internal audit account (55251).
- 16 115. CIO Information technology centralized services account (55252).
- 17 116. Health insurance internal service account (55300).
- 18 117. Civil service employee benefits division administrative account 19 (55301).
- 20 118. Correctional industries revolving fund (55350).
- 21 119. Employees health insurance account (60201).
- 22 120. Medicaid management information system escrow fund (60900).
- 23 121. New York state cannabis revenue fund.
- § 1-a. The state comptroller is hereby authorized and directed to loan money in accordance with the provisions set forth in subdivision 5 of section 4 of the state finance law to any account within the following federal funds, provided the comptroller has made a determination that sufficient federal grant award authority is available to reimburse such loans:
- 1. Federal USDA-food and nutrition services fund (25000).
- 31 2. Federal health and human services fund (25100).
- 32 3. Federal education fund (25200).

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- 4. Federal block grant fund (25250).
- 5. Federal miscellaneous operating grants fund (25300).
- 35 6. Federal unemployment insurance administration fund (25900).
- 36 7. Federal unemployment insurance occupational training fund (25950).
  - 8. Federal emergency employment act fund (26000).
- 9. Federal capital projects fund (31350).
- § 2. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, upon request of the director of the budget, on or before March 31, 2022, up to the unencumbered balance or the following amounts:

Economic Development and Public Authorities:

- 1. \$1,175,000 from the miscellaneous special revenue fund, underground facilities safety training account (22172), to the general fund.
- 2. An amount up to the unencumbered balance from the miscellaneous special revenue fund, business and licensing services account (21977), to the general fund.
- 3. \$14,810,000 from the miscellaneous special revenue fund, code enforcement account (21904), to the general fund.
- 52 4. \$3,000,000 from the general fund to the miscellaneous special 53 revenue fund, tax revenue arrearage account (22168). 54 Education:
- 1. \$2,520,000,000 from the general fund to the state lottery fund, education account (20901), as reimbursement for disbursements made from

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such fund for supplemental aid to education pursuant to section 92-c of the state finance law that are in excess of the amounts deposited in such fund for such purposes pursuant to section 1612 of the tax law. 3

- \$746,000,000 from the general fund to the state lottery fund, VLT education account (20904), as reimbursement for disbursements made from such fund for supplemental aid to education pursuant to section 92-c of the state finance law that are in excess of the amounts deposited in such fund for such purposes pursuant to section 1612 of the tax law.
- 3. \$125,600,000 from the general fund to the New York state commercial gaming fund, commercial gaming revenue account (23701), as reimbursement for disbursements made from such fund for supplemental aid to education pursuant to section 97-nnnn of the state finance law that are in excess the amounts deposited in such fund for purposes pursuant to section 1352 of the racing, pari-mutuel wagering and breeding law.
- 4. \$6,000,000 from the interactive fantasy sports fund, fantasy sports education account (24950), to the state lottery fund, education account (20901), as reimbursement for disbursements made from such fund for supplemental aid to education pursuant to section 92-c of the state finance law.
- 5. An amount up to the unencumbered balance from the charitable gifts trust fund, elementary and secondary education account (24901), to the general fund, for payment of general support for public schools pursuant to section 3609-a of the education law.
- 6. Moneys from the state lottery fund (20900) up to an amount deposited in such fund pursuant to section 1612 of the tax law in excess of the current year appropriation for supplemental aid to education pursuant to section 92-c of the state finance law.
- \$300,000 from the New York state local government records management improvement fund, local government records management account (20501), to the New York state archives partnership trust fund, archives partnership trust maintenance account (20351).
- 8. \$900,000 from the general fund to the miscellaneous special revenue fund, Batavia school for the blind account (22032).
- 9. \$900,000 from the general fund to the miscellaneous special revenue fund, Rome school for the deaf account (22053).
- \$343,400,000 from the state university dormitory income fund (40350) to the miscellaneous special revenue fund, state university dormitory income reimbursable account (21937).
- \$8,318,000 from the general fund to the state university income 40 fund, state university income offset account (22654), for the state's 41 share of repayment of the STIP loan.
  - 12. Intentionally omitted.
  - \$7,850,000 from the miscellaneous special revenue fund, office of the professions account (22051), to the miscellaneous capital projects fund, office of the professions electronic licensing account (32222).
  - 14. \$24,000,000 from any of the state education department's special revenue and internal service funds to the miscellaneous special revenue fund, indirect cost recovery account (21978).
  - 15. \$4,200,000 from any of the state education department's special revenue or internal service funds to the capital projects fund (30000).
    - 16. Intentionally omitted.
- 52 17. \$12,500,000 from the School Capital Facilities Financing Reserve 53 Capital Projects Fund account (30000), for excess debt Fund to the 54 service reserve fund balances related to bonds that have been fully 55 Such excess funds shall be used to support the development of a modernized State aid data system for the education department.

#### Environmental Affairs:

- 1. \$16,000,000 from any of the department of environmental conservation's special revenue federal funds, and/or federal capital funds, to the environmental conservation special revenue fund, federal indirect recovery account (21065).
- 2. \$5,000,000 from any of the department of environmental conservation's special revenue federal funds, and/or federal capital funds, to the conservation fund (21150) or Marine Resources Account (21151) as necessary to avoid diversion of conservation funds.
- 3. \$3,000,000 from any of the office of parks, recreation and historic preservation capital projects federal funds and special revenue federal funds to the miscellaneous special revenue fund, federal grant indirect cost recovery account (22188).
- 4. \$1,000,000 from any of the office of parks, recreation and historic preservation special revenue federal funds to the miscellaneous capital projects fund, I love NY water account (32212).
- 5. \$28,000,000 from the general fund to the environmental protection fund, environmental protection fund transfer account (30451).
- 6. \$1,800,000 from the general fund to the hazardous waste remedial fund, hazardous waste oversight and assistance account (31505).
- 7. An amount up to or equal to the cash balance within the special revenue-other waste management & cleanup account (21053) to the capital projects fund (30000) for services and capital expenses related to the management and cleanup program as put forth in section 27-1915 of the environmental conservation law.
- 8. \$1,800,000 from the miscellaneous special revenue fund, public service account (22011) to the miscellaneous special revenue fund, utility environmental regulatory account (21064).
- 9. \$7,000,000 from the general fund to the enterprise fund, state fair account (50051).
- 10. \$4,000,000 from the waste management & cleanup account (21053) to the general fund.
- 11. \$3,000,000 from the waste management & cleanup account (21053) to the environmental protection fund transfer account (30451).

#### Family Assistance:

- 1. \$7,000,000 from any of the office of children and family services, office of temporary and disability assistance, or department of health special revenue federal funds and the general fund, in accordance with agreements with social services districts, to the miscellaneous special revenue fund, office of human resources development state match account (21967).
- 2. \$4,000,000 from any of the office of children and family services or office of temporary and disability assistance special revenue federal funds to the miscellaneous special revenue fund, family preservation and support services and family violence services account (22082).
- 3. \$18,670,000 from any of the office of children and family services, office of temporary and disability assistance, or department of health special revenue federal funds and any other miscellaneous revenues generated from the operation of office of children and family services programs to the general fund.
- 4. \$175,000,000 from any of the office of temporary and disability assistance or department of health special revenue funds to the general fund.
- 54 5. \$2,500,000 from any of the office of temporary and disability 55 assistance special revenue funds to the miscellaneous special revenue

- fund, office of temporary and disability assistance program account
- 6. \$35,000,000 from any of the office of children and family services, 3 office of temporary and disability assistance, department of labor, and department of health special revenue federal funds to the office of children and family services miscellaneous special revenue fund, multiagency training contract account (21989).
  - 7. \$205,000,000 from the miscellaneous special revenue fund, youth facility per diem account (22186), to the general fund.
- 10 8. \$621,850 from the general fund to the combined gifts, grants, and bequests fund, WB Hoyt Memorial account (20128). 11
- 9. \$5,000,000 from the miscellaneous special revenue fund, state 12 13 central registry (22028), to the general fund.

General Government:

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- 15 1. \$1,566,000 from the miscellaneous special revenue fund, examination 16 and miscellaneous revenue account (22065) to the general fund.
  - 2. \$12,000,000 from the general fund to the health insurance revolving fund (55300).
- 19 \$292,400,000 from the health insurance reserve receipts fund 20 (60550) to the general fund.
- 21 4. \$150,000 from the general fund to the not-for-profit revolving loan 22 fund (20650).
- 5. \$150,000 from the not-for-profit revolving loan fund (20650) to the 23 24 general fund.
- 25 6. \$3,000,000 from the miscellaneous special revenue fund, 26 property account (22036), to the general fund.
- 27 7. \$19,000,000 from the miscellaneous special revenue fund, revenue 28 arrearage account (22024), to the general fund.
  - 8. \$1,826,000 from the miscellaneous special revenue fund, revenue arrearage account (22024), to the miscellaneous special revenue fund, authority budget office account (22138).
- 32 9. \$1,000,000 from the agencies enterprise fund, parking services 33 account (22007), to the general fund, for the purpose of reimbursing the 34 costs of debt service related to state parking facilities.
- 35 10. \$3,435,000 from the general fund to the centralized services fund, 36 COPS account (55013).
- 11. \$11,460,000 from the general fund to the agencies internal service 38 fund, central technology services account (55069), for the purpose of enterprise technology projects.
- 12. \$10,000,000 from the general fund to the agencies internal service 40 41 fund, state data center account (55062).
- 42 13. \$12,000,000 from the agencies enterprise fund, parking services account (22007), to the centralized services, building support services 43 44 account (55018).
- 45 14. \$30,000,000 from the general fund to the internal service fund, 46 business services center account (55022).
- 47 15. \$8,000,000 from the general fund to the internal service fund, building support services account (55018). 48
- 49 16. \$1,500,000 from the agencies enterprise fund, special events 50 account (20120), to the general fund.

51 Health:

52 1. A transfer from the general fund to the combined gifts, grants and 53 bequests fund, breast cancer research and education account (20155), up an amount equal to the monies collected and deposited into that 54 to 55 account in the previous fiscal year.

- 2. A transfer from the general fund to the combined gifts, grants and bequests fund, prostate cancer research, detection, and education account (20183), up to an amount equal to the moneys collected and 3 deposited into that account in the previous fiscal year.
  - 3. A transfer from the general fund to the combined gifts, grants and bequests fund, Alzheimer's disease research and assistance account (20143), up to an amount equal to the moneys collected and deposited into that account in the previous fiscal year.
- 9 4. \$33,134,000 from the HCRA resources fund (20800) to the miscellaneous special revenue fund, empire state stem cell trust fund account 10 11 (22161).
  - 5. Intentionally omitted.

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- 13 6. \$2,000,000 from the miscellaneous special revenue fund, vital 14 health records account (22103), to the miscellaneous capital projects 15 fund, healthcare IT capital subfund (32216).
  - 7. Intentionally omitted.
  - 8. \$91,304,000 from the HCRA resources fund (20800) to the capital projects fund (30000).
  - 9. \$6,550,000 from the general fund to the medical marihuana trust fund, health operation and oversight account (23755).
  - 10. An amount up to the unencumbered balance from the charitable gifts trust fund, health charitable account (24900), to the general fund, for payment of general support for primary, preventive, and inpatient health care, dental and vision care, hunger prevention and nutritional assistance, and other services for New York state residents with the overall goal of ensuring that New York state residents have access to quality health care and other related services.
- 28 11. \$500,000 from the miscellaneous special revenue fund, New York 29 State cannabis revenue fund, to the miscellaneous special revenue fund, 30 environmental laboratory fee account (21959).
- 31 12. An amount up to the unencumbered balance from the public health 32 emergency charitable gifts trust fund to the general fund, for payment 33 of goods and services necessary to respond to a public health disaster emergency or to assist or aid in responding to such a disaster. 34
  - 13. Intentionally omitted.
- 36 14. Intentionally omitted.
  - 15. Intentionally omitted.
- 38 16. Intentionally omitted.
  - Labor:
- 1. \$600,000 from the miscellaneous special revenue fund, DOL fee and 41 penalty account (21923), to the child performer's protection fund, child performer protection account (20401).
- 2. \$11,700,000 from the unemployment insurance interest and penalty 43 fund, unemployment insurance special interest and penalty account 44 45 (23601), to the general fund.
  - 3. \$50,000,000 from the DOL fee and penalty account (21923), unemployment insurance special interest and penalty account (23601), and public work enforcement account (21998), to the general fund.

Mental Hygiene:

- 50 \$10,000,000 from the general fund, to the miscellaneous special 51 revenue fund, federal salary sharing account (22056).
- 52 2. \$3,800,000 from the general fund, to the agencies internal service 53 fund, civil service EHS occupational health program account (55056).
- 54 \$3,000,000 from the chemical dependence service fund, substance abuse services fund account (22700), to the mental hygiene capital 55 improvement fund (32305).

Public Protection:

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- 1. \$1,350,000 from the miscellaneous special revenue fund, emergency management account (21944), to the general fund.
- 2. \$2,587,000 from the general fund to the miscellaneous special revenue fund, recruitment incentive account (22171).
- 3. \$22,773,000 from the general fund to the correctional industries revolving fund, correctional industries internal service (55350).
- 9 \$2,000,000,000 from any of the division of homeland security and emergency services special revenue federal funds to the general fund. 10
  - 5. Intentionally omitted.
  - 6. \$115,420,000 from the state police motor vehicle law enforcement and motor vehicle theft and insurance fraud prevention fund, state police motor vehicle enforcement account (22802), to the general fund for state operation expenses of the division of state police.
  - 7. \$131,500,000 from the general fund to the correctional facilities capital improvement fund (32350).
- 8. \$5,000,000 from the general fund to the dedicated highway and 18 bridge trust fund (30050) for the purpose of work zone safety activities 19 20 provided by the division of state police for the department of transpor-21 tation.
- 22 9. \$10,000,000 from the miscellaneous special revenue fund, statewide 23 public safety communications account (22123), to the capital projects 24 fund (30000).
  - 10. Intentionally omitted.
  - 11. \$1,000,000 from the general fund to the agencies internal service fund, neighborhood work project account (55059).
  - 12. \$7,980,000 from the miscellaneous special revenue fund, fingerprint identification & technology account (21950), to the general fund.
  - 13. \$1,100,000 from the state police motor vehicle law enforcement and motor vehicle theft and insurance fraud prevention fund, motor vehicle theft and insurance fraud account (22801), to the general fund.
- 14. \$30,500,000 from the miscellaneous special revenue fund, statewide public safety communications account (22123), to the general fund. 34

Transportation:

- 1. \$20,000,000 from the general fund to the mass transportation operating assistance fund, public transportation systems operating assistance account (21401), of which \$12,000,000 constitutes the base need for operations.
- 40 2. \$727,500,000 from the general fund to the dedicated highway and 41 bridge trust fund (30050).
- 42 3. \$244,250,000 from the general fund to the MTA financial assistance fund, mobility tax trust account (23651). 43
  - 4. \$5,000,000 from the miscellaneous special revenue fund, transportation regulation account (22067) to the dedicated highway and bridge trust fund (30050), for disbursements made from such fund for motor carrier safety that are in excess of the amounts deposited in the dedicated highway and bridge trust fund (30050) for such purpose pursuant to section 94 of the transportation law.
- \$3,000,000 from the miscellaneous special revenue fund, traffic 50 51 adjudication account (22055), to the general fund.
- 52 6. \$8,557,000 from the mass transportation operating assistance fund, 53 metropolitan mass transportation operating assistance account (21402), 54 to the capital projects fund (30000).
- 55 7. \$5,000,000 from the miscellaneous special revenue fund, transportation regulation account (22067) to the general fund, for disbursements

1 made from such fund for motor carrier safety that are in excess of the amounts deposited in the general fund for such purpose pursuant section 94 of the transportation law. 3

- 4 8. Intentionally omitted.
- 5 9. Intentionally omitted.

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- 10. Intentionally omitted.
- 7 11. Intentionally omitted.
  - 12. Intentionally omitted.
- 9 13. Intentionally omitted.
  - 14. Intentionally omitted.
- 11 \$28,179,530 from the general fund, to the mass transportation 12 operating assistance fund, public transportation systems operating 13 assistance account (21401).
- 14 \$695,220,140 from the general fund, to the mass transportation 15 operating assistance fund, metropolitan mass transportation operating assistance account (21402). 16
- \$101,938,200 from the general fund, to the dedicated mass trans-17 18 portation trust fund, transit account (20851).
- 19 18. \$18,553,200 from the general fund, to the dedicated mass transpor-20 tation trust fund, commuter rail account (20852).
- 21 19. \$8,688,200 from the general fund, to the dedicated mass transpor-22 tation trust fund, non-MTA account (20853).
- 20. \$71,077,300 from the general fund, to the metropolitan transporta-23 tion authority financial assistance fund, mobility tax trust account 24 25 (23651).
- 21. \$20,051,800 from the general fund, to the New York central busi-26 27 ness district trust fund (23653). 28

## Miscellaneous:

- 1. \$250,000,000 from the general fund to any funds or accounts for the purpose of reimbursing certain outstanding accounts receivable balances or fund spending expected to be incurred to maintain essential governmental operations which are in excess of available cash resulting from a reduction of dedicated revenue sources that were waived or otherwise 34 impacted by reduced utilization directly or indirectly associated with executive order and/or societal response to the novel coronavirus, COVID-19. 2. \$500,000,000 from the general fund to the debt reduction reserve fund (40000).
  - 3. \$450,000,000 from the New York state storm recovery capital fund (33000) to the revenue bond tax fund (40152).
  - 4. \$15,500,000 from the general fund, community projects account GG (10256), to the general fund, state purposes account (10050).
- 42 5. \$100,000,000 from any special revenue federal fund to the general 43 fund, state purposes account (10050).
- 44 § 3. Notwithstanding any law to the contrary, and in accordance with 45 section 4 of the state finance law, the comptroller is hereby authorized 46 and directed to transfer, on or before March 31, 2022:
- 47 1. Upon request of the commissioner of environmental conservation, up to \$12,745,400 from revenues credited to any of the department of envi-48 ronmental conservation special revenue funds, including \$4,000,000 from 49 50 the environmental protection and oil spill compensation fund (21200), and \$1,834,600 from the conservation fund (21150), to the environmental 51 conservation special revenue fund, indirect charges account (21060). 52
- 53 2. Upon request of the commissioner of agriculture and markets, up to 54 \$3,000,000 from any special revenue fund or enterprise fund within the 55 department of agriculture and markets to the general fund, to pay appropriate administrative expenses.

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3. Upon request of the commissioner of agriculture and markets, up to \$2,000,000 from the state exposition special fund, state fair receipts account (50051) to the miscellaneous capital projects fund, state fair capital improvement account (32208).

- 4. Upon request of the commissioner of the division of housing and community renewal, up to \$6,221,000 from revenues credited to any division of housing and community renewal federal or miscellaneous special revenue fund to the miscellaneous special revenue fund, housing indirect cost recovery account (22090).
- 5. Upon request of the commissioner of the division of housing and community renewal, up to \$5,500,000 may be transferred from any miscellaneous special revenue fund account, to any miscellaneous special revenue fund.
- 6. Upon request of the commissioner of health up to \$13,225,000 from revenues credited to any of the department of health's special revenue funds, to the miscellaneous special revenue fund, administration account (21982).
- § 4. On or before March 31, 2022, the comptroller is hereby authorized directed to deposit earnings that would otherwise accrue to the general fund that are attributable to the operation of section 98-a of the state finance law, to the agencies internal service fund, banking services account (55057), for the purpose of meeting direct payments from such account.
- 5. Notwithstanding any law to the contrary, upon the direction of the director of the budget and upon requisition by the state university of New York, the dormitory authority of the state of New York is directed to transfer, up to \$22,000,000 in revenues generated from the sale of notes or bonds, the state university income fund general revenue (22653) for reimbursement of bondable equipment for further transfer to the state's general fund.
- § 6. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, upon request of the director of the budget and upon consultation with the state university chancellor or his or her designee, on or before March 31, 2022, up to \$16,000,000 from the state university income fund general revenue account (22653) to the state general fund for debt service costs related to campus supported capital project costs for the NY-SUNY 2020 challenge grant program at the University at Buffalo.
- § 7. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, upon request of the director of the budget and upon consultation with the state university chancellor or his or her designee, on or before March 31, 2022, up to \$6,500,000 from the state university income fund general revenue account (22653) to the state general fund for debt service costs related to campus supported capital project costs for the NY-SUNY 2020 challenge grant program at the University at Albany.
- § 8. Notwithstanding any law to the contrary, the state university chancellor or his or her designee is authorized and directed to transfer estimated tuition revenue balances from the state university collection fund (61000) to the state university income fund, state university general revenue offset account (22655) on or before March 31, 2022.
- 9. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized 55 and directed to transfer, upon request of the director of the budget, up

1 to \$978,934,300 from the general fund to the state university income 2 fund, state university general revenue offset account (22655) during the 3 period of July 1, 2021 through June 30, 2022 to support operations at 4 the state university.

§ 10. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, upon request of the director of the budget, up to \$20,000,000 from the general fund to the state university income fund, state university general revenue offset account (22655) during the period of July 1, 2021 to June 30, 2022 to support operations at the state university in accordance with the maintenance of effort pursuant to subparagraph (4) of paragraph h of subdivision 2 of section 355 of the education law.

§ 11. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, upon request of the state university chancellor or his or her designee, up to \$55,000,000 from the state university income fund, state university hospitals income reimbursable account (22656), for services and expenses of hospital operations and capital expenditures at the state university hospitals; and the state university income fund, Long Island veterans' home account (22652) to the state university capital projects fund (32400) on or before June 30, 2022.

§ 12. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller, after consultation with the state university chancellor or his or her designee, is hereby authorized and directed to transfer moneys, in the first instance, from the state university collection fund, Stony Brook hospital collection account (61006), Brooklyn hospital collection account (61007), and Syracuse hospital collection account (61008) to the state university income fund, state university hospitals income reimbursable account (22656) the event insufficient funds are available in the state university income fund, state university hospitals income reimbursable account (22656) to permit the full transfer of moneys authorized for transfer, to the general fund for payment of debt service related to the SUNY hospitals. Notwithstanding any law to the contrary, the comptroller is also hereby authorized and directed, after consultation with the state university chancellor or his or her designee, to transfer moneys from the state university income fund to the state university income fund, state university hospitals income reimbursable account (22656) in the event insufficient funds are available in the state university income fund, state university hospitals income reimbursable account (22656) to pay hospital operating costs or to permit the full transfer of moneys authorized for transfer, to the general fund for payment of debt service related to the SUNY hospitals on or before March 31, 2022.

§ 13. Notwithstanding any law to the contrary, upon the direction of the director of the budget and the chancellor of the state university of New York or his or her designee, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer monies from the state university dormitory income fund (40350) to the state university residence hall rehabilitation fund (30100), and from the state university residence hall rehabilitation fund (30100) to the state university dormitory income fund (40350), in an amount not to exceed \$80 million from each fund.

§ 14. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, at the request of the director of the budget,

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up to \$1 billion from the unencumbered balance of any special revenue fund or account, agency fund or account, internal service fund or 3 account, enterprise fund or account, or any combination of such funds and accounts, to the general fund. The amounts transferred pursuant to this authorization shall be in addition to any other transfers expressly authorized in the 2021-22 budget. Transfers from federal funds, debt service funds, capital projects funds, the community projects fund, or funds that would result in the loss of eligibility for federal benefits or federal funds pursuant to federal law, rule, or regulation as assentto in chapter 683 of the laws of 1938 and chapter 700 of the laws of 1951 are not permitted pursuant to this authorization.

§ 15. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, at the request of the director of the budget, up to \$100 million from any non-general fund or account, or combination of funds and accounts, to the miscellaneous special revenue fund, technology financing account (22207), the miscellaneous capital projects fund, the federal capital projects account (31350), information technology capital financing account (32215), or the centralized technology services account (55069), for the purpose of consolidating technology procurement and services. The amounts transferred to the miscellaneous special revenue fund, technology financing account (22207) pursuant to this authorization shall be equal to or less than the amount of such monies intended to support information technology costs which are attributable, according to a plan, to such account made in pursuance to an appropriation by law. Transfers to the technology financing account shall be completed from amounts collected by non-general funds or accounts pursuant to a fund deposit schedule or permanent statute, and shall be transferred to the technology financing account pursuant to a schedule agreed upon by the affected agency commissioner. Transfers from funds that would result in the loss of eliqibility for federal benefits or federal funds pursuant to federal law, rule, or regulation as assentto in chapter 683 of the laws of 1938 and chapter 700 of the laws of 1951 are not permitted pursuant to this authorization.

§ 16. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, at the request of the director of the budget, up to \$400 million from any non-general fund or account, or combination funds and accounts, to the general fund for the purpose of consolidating technology procurement and services. The amounts transferred pursuant to this authorization shall be equal to or less than the amount such monies intended to support information technology costs which are attributable, according to a plan, to such account made in pursuance to an appropriation by law. Transfers to the general fund shall be completed from amounts collected by non-general funds or accounts pursuant to a fund deposit schedule. Transfers from funds that would result in the loss of eligibility for federal benefits or federal funds pursuant to federal law, rule, or regulation as assented to in chapter 683 of the laws of 1938 and chapter 700 of the laws of 1951 are not permitted pursuant to this authorization.

§ 17. Notwithstanding any provision of law to the contrary, as deemed feasible and advisable by its trustees, the power authority of the state of New York is authorized and directed to transfer to the state treasury to the credit of the general fund up to \$20,000,000 for the state fiscal year commencing April 1, 2021, the proceeds of which will be utilized to support energy-related state activities.

- § 18. Notwithstanding any provision of law, rule or regulation to the contrary, the New York state energy research and development authority is authorized and directed to make the following contributions to the state treasury to the credit of the general fund on or before March 31, 2022: \$913,000.
- § 19. Notwithstanding any provision of law, rule or regulation to the contrary, the New York state energy research and development authority is authorized and directed to transfer five million dollars to the credit of the Environmental Protection Fund on or before March 31, 2022 from proceeds collected by the authority from the auction or sale of carbon dioxide emission allowances allocated by the department of environmental conservation.
- § 20. Subdivision 5 of section 97-rrr of the state finance law, as amended by section 20 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
- 5. Notwithstanding the provisions of section one hundred seventy-one-a of the tax law, as separately amended by chapters four hundred eighty-one and four hundred eighty-four of the laws of nineteen hundred eighty-one, and notwithstanding the provisions of chapter ninety-four of the laws of two thousand eleven, or any other provisions of law to the contrary, during the fiscal year beginning April first, two thousand [twenty] twenty-one, the state comptroller is hereby authorized and directed to deposit to the fund created pursuant to this section from amounts collected pursuant to article twenty-two of the tax law and pursuant to a schedule submitted by the director of the budget, up to [\$2,073,116,000] \$1,938,865,000, as may be certified in such schedule as necessary to meet the purposes of such fund for the fiscal year beginning April first, two thousand [twenty] twenty-one.
- § 21. Notwithstanding any law to the contrary, the comptroller is hereby authorized and directed to transfer, upon request of the director of the budget, on or before March 31, 2022, the following amounts from the following special revenue accounts to the capital projects fund (30000), for the purposes of reimbursement to such fund for expenses related to the maintenance and preservation of state assets:
- 1. \$43,000 from the miscellaneous special revenue fund, administrative program account (21982).
  - 2. \$1,478,000 from the miscellaneous special revenue fund, helen hayes hospital account (22140).
  - 3. \$366,000 from the miscellaneous special revenue fund, New York city veterans' home account (22141).
  - 4. \$513,000 from the miscellaneous special revenue fund, New York state home for veterans' and their dependents at oxford account (22142).
  - 5. \$159,000 from the miscellaneous special revenue fund, western New York veterans' home account (22143).
  - 6. \$323,000 from the miscellaneous special revenue fund, New York state for veterans in the lower-hudson valley account (22144).
  - 7. \$2,550,000 from the miscellaneous special revenue fund, patron services account (22163).
  - 8. \$7,502,241 from the miscellaneous special revenue fund, state university general income reimbursable account (22653).
- 9. \$135,656,957 from the miscellaneous special revenue fund, state university revenue offset account (22655).
- 10. \$49,329,802 from the state university dormitory income fund, state university dormitory income fund (40350).
- 55 11. \$1,000,000 from the miscellaneous special revenue fund, litigation settlement and civil recovery account (22117).

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§ 22. Subdivision 5 of section 4 of the state finance law, as amended by section 16 of part PP of chapter 56 of the laws of 2009, is amended to read as follows:

4 5. No money or other financial resources shall be transferred or temporarily loaned from one fund to another without specific statutory authorization for such transfer or temporary loan, except that money or other financial resources of a fund may be temporarily loaned to the 7 general fund during the state fiscal year provided that such loan shall 9 be repaid in full no later than [(a) four months after it was made or 10 (b) by the end of the same fiscal year in which it was made, [whichever 11 period is shorter, ] so that an accurate accounting and reporting of the balance of financial resources in each fund may be made. The comptroller 12 13 is hereby authorized to temporarily loan money from the general fund or 14 any other fund to the fund/accounts that are authorized to receive a 15 loan. Such loans shall be limited to the amounts immediately required to 16 meet disbursements, made in pursuance of an appropriation by law and 17 authorized by a certificate of approval issued by the director of the budget with copies thereof filed with the comptroller and the chair of 18 the senate finance committee and the chair of the assembly ways and 19 20 means committee. The director of the budget shall not issue such a 21 certificate unless he or she shall have determined that the amounts to 22 be so loaned are receivable on account. When making loans, the comptroller shall establish appropriate accounts and if the loan is not 23 repaid by the end of the month, provide on or before the fifteenth day 24 25 of the following month to the director of the budget, the chair of the 26 senate finance committee and the chair of the assembly ways and means 27 committee, an accurate accounting and report of the financial resources of each such fund at the end of such month. Within ten days of the 28 receipt of such accounting and reporting, the director of the budget 29 30 shall provide the comptroller and the chair of the senate finance 31 committee and the chair of the assembly ways and means committee an 32 expected schedule of repayment by fund and by source for each outstand-33 ing loan. Repayment shall be made by the comptroller from the first cash 34 receipt of this fund.

23. The opening paragraph of subdivision 3 of section 93-b of the state finance law, as amended by section 1 of part M of chapter 57 the laws of 2016, is amended to read as follows:

Notwithstanding any other provisions of law to the contrary, [gommenting on April first, two thousand fifteen, and continuing through March thirty-first, two thousand twenty-one, ] the comptroller is hereby authorized to transfer monies from the dedicated infrastructure investment fund to the general fund, and from the general fund to the dedicated infrastructure investment fund, in an amount determined by the director of the budget to the extent moneys are available in the fund; provided, however, that the comptroller is only authorized to transfer monies from the dedicated infrastructure investment fund to the general fund in the event of an economic downturn as described in paragraph (a) of this subdivision; and/or to fulfill disallowances and/or settlements related to over-payments of federal medicare and medicaid revenues in excess of one hundred million dollars from anticipated levels, as determined by the director of the budget and described in paragraph (b) of this subdivision.

24. Notwithstanding any other law, rule, or regulation to the 54 contrary, the state comptroller is hereby authorized and directed to use any balance remaining in the mental health services fund debt service appropriation, after payment by the state comptroller of all obligations

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required pursuant to any lease, sublease, or other financing arrangement between the dormitory authority of the state of New York as successor to 3 the New York state medical care facilities finance agency, and the facilities development corporation pursuant to chapter 83 of the laws of 1995 and the department of mental hygiene for the purpose of making payments to the dormitory authority of the state of New York for the 7 amount of the earnings for the investment of monies deposited in the mental health services fund that such agency determines will or may have 9 to be rebated to the federal government pursuant to the provisions of 10 internal revenue code of 1986, as amended, in order to enable such 11 agency to maintain the exemption from federal income taxation on the interest paid to the holders of such agency's mental services facilities 12 13 improvement revenue bonds. Annually on or before each June 30th, such 14 agency shall certify to the state comptroller its determination of the 15 amounts received in the mental health services fund as a result of the 16 investment of monies deposited therein that will or may have to rebated to the federal government pursuant to the provisions of the 17 internal revenue code of 1986, as amended. 18 19

§ 25. Subdivision 1 of section 16 of part D of chapter 389 of the laws of 1997, relating to the financing of the correctional facilities improvement fund and the youth facility improvement fund, as amended by section 28 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

Subject to the provisions of chapter 59 of the laws of 2000, but notwithstanding the provisions of section 18 of section 1 of chapter 174 of the laws of 1968, the New York state urban development corporation is hereby authorized to issue bonds, notes and other obligations in an aggregate principal amount not to exceed [eight billion eight hundred seventeen million two hundred ninety-nine thousand dollars \$8,817,299,000] nine billion one hundred thirty-nine million six hundred nineteen thousand dollars \$9,139,619,000, and shall include all bonds, notes and other obligations issued pursuant to chapter 56 of the laws of 1983, as amended or supplemented. The proceeds of such bonds, notes or other obligations shall be paid to the state, for deposit in the correc-34 tional facilities capital improvement fund to pay for all or any portion the amount or amounts paid by the state from appropriations or reappropriations made to the department of corrections and community supervision from the correctional facilities capital improvement fund for capital projects. The aggregate amount of bonds, notes or other obligations authorized to be issued pursuant to this section shall exclude 40 41 bonds, notes or other obligations issued to refund or otherwise repay bonds, notes or other obligations theretofore issued, the proceeds of 43 which were paid to the state for all or a portion of the amounts 44 expended by the state from appropriations or reappropriations made to the department of corrections and community supervision; provided, 46 however, that upon any such refunding or repayment the total aggregate principal amount of outstanding bonds, notes or other obligations may be greater than [eight billion eight hundred seventeen million two hundred ninety-nine thousand dollars \$8,817,299,000] nine billion one hundred thirty-nine million six hundred nineteen thousand dollars \$9,139,619,000, only if the present value of the aggregate debt service of the refunding or repayment bonds, notes or other obligations to be issued shall not exceed the present value of the aggregate debt service 54 of the bonds, notes or other obligations so to be refunded or repaid. 55 For the purposes hereof, the present value of the aggregate debt service the refunding or repayment bonds, notes or other obligations and of

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the aggregate debt service of the bonds, notes or other obligations so refunded or repaid, shall be calculated by utilizing the effective interest rate of the refunding or repayment bonds, notes or other obli-3 gations, which shall be that rate arrived at by doubling the semi-annual interest rate (compounded semi-annually) necessary to discount the debt service payments on the refunding or repayment bonds, notes or other 7 obligations from the payment dates thereof to the date of issue of the refunding or repayment bonds, notes or other obligations and to the 9 price bid including estimated accrued interest or proceeds received by 10 the corporation including estimated accrued interest from the sale ther-11 eof.

§ 26. Subdivision (a) of section 27 of part Y of chapter 61 of the laws of 2005, relating to providing for the administration of certain funds and accounts related to the 2005-2006 budget, as amended by section 29 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

(a) Subject to the provisions of chapter 59 of the laws of 2000, but notwithstanding any provisions of law to the contrary, the urban development corporation is hereby authorized to issue bonds or notes in one or more series in an aggregate principal amount not to exceed [three hundred twenty-three million one hundred thousand dollars \$323,100,000] three hundred seventy-four million six hundred thousand dollars \$374,600,000, excluding bonds issued to finance one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or 25 notes issued to refund or otherwise repay such bonds or notes previously issued, for the purpose of financing capital projects including IT initiatives for the division of state police, debt service and leases; and to reimburse the state general fund for disbursements made therefor. Such bonds and notes of such authorized issuer shall not be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by the state to such authorized issuer for debt service and related expenses pursuant to any service contract executed pursuant to subdivision (b) of this section and such bonds and notes shall contain on the face thereof a statement to such effect. Except for purposes of complying with the internal revenue code, any interest income earned on bond proceeds shall only be used to pay debt service on such bonds.

- § 27. Subdivision 3 of section 1285-p of the public authorities law, as amended by section 30 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
- 3. The maximum amount of bonds that may be issued for the purpose of financing environmental infrastructure projects authorized by this section shall be [six billion three hundred seventy-four million ten thousand dollars \$6,374,010,000] seven billion one hundred thirty million ten thousand dollars \$7,130,010,000, exclusive of bonds issued to fund any debt service reserve funds, pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay bonds or notes previously issued. Such bonds and notes of the corporation shall not be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by the state to the corporation for debt service and related expenses pursuant to any service contracts executed pursuant to subdivision one of this section, and such bonds and notes shall contain on the face thereof a statement to such effect.
- § 28. Subdivision (a) of section 48 of part K of chapter 81 of the 56 laws of 2002, relating to providing for the administration of certain

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funds and accounts related to the 2002-2003 budget, as amended by section 31 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

- (a) Subject to the provisions of chapter 59 of the laws of 2000 but notwithstanding the provisions of section 18 of the urban development corporation act, the corporation is hereby authorized to issue bonds or notes in one or more series in an aggregate principal amount not to exceed [three hundred fourteen million dollars \$314,000,000] hundred forty-seven million five hundred thousand dollars \$347,500,000, excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously issued, for the purpose of financing capital costs related to homeland security and 14 training facilities for the division of state police, the division of 15 military and naval affairs, and any other state agency, including the reimbursement of any disbursements made from the state capital projects fund, and is hereby authorized to issue bonds or notes in one or more series in an aggregate principal amount not to exceed [\$\frac{\pi\_{1,115,800,000}}{\pi\_{0,000}} one billion one hundred fifteen million eight hundred thousand dollars] one billion two hundred seventy-eight million eight hundred thousand 20 21 dollars \$1,278,800,000, excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds 22 23 or notes issued to refund or otherwise repay such bonds or notes previ-24 ously issued, for the purpose of financing improvements to State office 25 buildings and other facilities located statewide, including reimbursement of any disbursements made from the state capital projects fund. Such bonds and notes of the corporation shall not be a debt of the 28 state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by the state to 30 the corporation for debt service and related expenses pursuant to any service contracts executed pursuant to subdivision (b) of this section, 32 and such bonds and notes shall contain on the face thereof a statement to such effect.
  - § 29. Paragraph (c) of subdivision 19 of section 1680 of the public authorities law, as amended by section 32 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
- (c) Subject to the provisions of chapter fifty-nine of the laws of two thousand, the dormitory authority shall not issue any bonds for state university educational facilities purposes if the principal amount of bonds to be issued when added to the aggregate principal amount of bonds issued by the dormitory authority on and after July first, nineteen hundred eighty-eight for state university educational facilities will exceed [fourteen billion seven hundred forty-one million eight hundred sixty-four thousand dollars \$14,741,864,000] fifteen billion four 44 hundred fifty-five million eight hundred sixty-four thousand dollars \$15,455,864,000; provided, however, that bonds issued or to be issued shall be excluded from such limitation if: (1) such bonds are issued to university construction bonds and state university refund state construction notes previously issued by the housing finance agency; or (2) such bonds are issued to refund bonds of the authority or other obligations issued for state university educational facilities purposes and the present value of the aggregate debt service on the refunding bonds does not exceed the present value of the aggregate debt service on the bonds refunded thereby; provided, further that upon certification by 55 the director of the budget that the issuance of refunding bonds or other 56 obligations issued between April first, nineteen hundred ninety-two and

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1 March thirty-first, nineteen hundred ninety-three will generate long term economic benefits to the state, as assessed on a present value basis, such issuance will be deemed to have met the present value test 3 noted above. For purposes of this subdivision, the present value of the aggregate debt service of the refunding bonds and the aggregate debt service of the bonds refunded, shall be calculated by utilizing the true 7 interest cost of the refunding bonds, which shall be that rate arrived at by doubling the semi-annual interest rate (compounded semi-annually) 9 necessary to discount the debt service payments on the refunding bonds 10 from the payment dates thereof to the date of issue of the refunding bonds to the purchase price of the refunding bonds, including interest 11 accrued thereon prior to the issuance thereof. The maturity of 12 bonds, other than bonds issued to refund outstanding bonds, shall not 13 14 exceed the weighted average economic life, as certified by the state 15 university construction fund, of the facilities in connection with which 16 the bonds are issued, and in any case not later than the earlier of thirty years or the expiration of the term of any lease, sublease or 17 other agreement relating thereto; provided that no note, including 18 renewals thereof, shall mature later than five years after the date of 19 20 issuance of such note. The legislature reserves the right to amend or 21 repeal such limit, and the state of New York, the dormitory authority, the state university of New York, and the state university construction 22 fund are prohibited from covenanting or making any other agreements with 23 24 or for the benefit of bondholders which might in any way affect 25 right.

§ 30. Paragraph (c) of subdivision 14 of section 1680 of the public authorities law, as amended by section 33 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

28 29 (c) Subject to the provisions of chapter fifty-nine of the laws of two 30 thousand, (i) the dormitory authority shall not deliver a series of 31 bonds for city university community college facilities, except to refund 32 or to be substituted for or in lieu of other bonds in relation to city 33 university community college facilities pursuant to a resolution of the 34 dormitory authority adopted before July first, nineteen hundred eighty-35 five or any resolution supplemental thereto, if the principal amount of 36 bonds so to be issued when added to all principal amounts of bonds previously issued by the dormitory authority for city university commu-38 nity college facilities, except to refund or to be substituted in lieu 39 of other bonds in relation to city university community college facili-40 ties will exceed the sum of four hundred twenty-five million dollars and (ii) the dormitory authority shall not deliver a series of bonds issued 41 42 for city university facilities, including community college facilities, 43 pursuant to a resolution of the dormitory authority adopted on or after July first, nineteen hundred eighty-five, except to refund or to be 44 45 substituted for or in lieu of other bonds in relation to city university 46 facilities and except for bonds issued pursuant to a resolution supple-47 mental to a resolution of the dormitory authority adopted prior to July first, nineteen hundred eighty-five, if the principal amount of bonds so 48 to be issued when added to the principal amount of bonds previously 49 50 issued pursuant to any such resolution, except bonds issued to refund or to be substituted for or in lieu of other bonds in relation to city 51 university facilities, will exceed [nine billion two hundred twenty two 52 53 million seven hundred thirty-two thousand dollars \$9,222,732,000] nine 54 billion five hundred forty-eight million eight hundred thirty thousand dollars \$9,548,830,000. The legislature reserves the right to amend or 55 repeal such limit, and the state of New York, the dormitory authority,

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the city university, and the fund are prohibited from covenanting or making any other agreements with or for the benefit of bondholders which might in any way affect such right.

31. Subdivision 10-a of section 1680 of the public authorities law, as amended by section 34 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

Subject to the provisions of chapter fifty-nine of the laws of two thousand, but notwithstanding any other provision of the law to the contrary, the maximum amount of bonds and notes to be issued after March thirty-first, two thousand two, on behalf of the state, in relation to any locally sponsored community college, shall be [ene billion fifty one million six hundred forty thousand dollars \$1,051,640,000] one billion sixty-six million two hundred fifty-seven thousand \$1,066,257,000. Such amount shall be exclusive of bonds and notes issued to fund any reserve fund or funds, costs of issuance and to refund any outstanding bonds and notes, issued on behalf of the state, relating to a locally sponsored community college.

§ 32. Subdivision 1 of section 17 of part D of chapter 389 of the laws of 1997, relating to the financing of the correctional facilities improvement fund and the youth facility improvement fund, as amended by section 35 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

22 Subject to the provisions of chapter 59 of the laws of 2000, but 24 notwithstanding the provisions of section 18 of section 1 of chapter 174 of the laws of 1968, the New York state urban development corporation is hereby authorized to issue bonds, notes and other obligations in an 27 aggregate principal amount not to exceed [eight hundred forty million 28 three hundred fifteen thousand dollars \$840,315,000] eight hundred seventy-six million fifteen thousand dollars \$876,015,000, which authorization increases the aggregate principal amount of bonds, notes and 31 other obligations authorized by section 40 of chapter 309 of the laws of 32 1996, and shall include all bonds, notes and other obligations issued 33 pursuant to chapter 211 of the laws of 1990, as amended or supplemented. 34 The proceeds of such bonds, notes or other obligations shall be paid to 35 the state, for deposit in the youth facilities improvement fund, to pay for all or any portion of the amount or amounts paid by the state from appropriations or reappropriations made to the office of children and 38 family services from the youth facilities improvement fund for capital projects. The aggregate amount of bonds, notes and other obligations 40 authorized to be issued pursuant to this section shall exclude bonds, 41 notes or other obligations issued to refund or otherwise repay bonds, 42 notes or other obligations theretofore issued, the proceeds of which 43 were paid to the state for all or a portion of the amounts expended by 44 state from appropriations or reappropriations made to the office of 45 children and family services; provided, however, that upon any such 46 refunding or repayment the total aggregate principal amount of outstanding bonds, notes or other obligations may be greater than [eight hundred forty million three hundred fifteen thousand dollars \$840,315,000] eight hundred seventy-six million fifteen thousand dollars \$876,015,000, only 49 if the present value of the aggregate debt service of the refunding or 50 51 repayment bonds, notes or other obligations to be issued shall not 52 exceed the present value of the aggregate debt service of the bonds, notes or other obligations so to be refunded or repaid. For the purposes 54 hereof, the present value of the aggregate debt service of the refunding 55 or repayment bonds, notes or other obligations and of the aggregate debt service of the bonds, notes or other obligations so refunded or repaid,

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shall be calculated by utilizing the effective interest rate of the refunding or repayment bonds, notes or other obligations, which shall be that rate arrived at by doubling the semi-annual interest rate (compounded semi-annually) necessary to discount the debt service payments on the refunding or repayment bonds, notes or other obligations from the payment dates thereof to the date of issue of the refunding or repayment bonds, notes or other obligations and to the price bid including estimated accrued interest or proceeds received by the corporation including estimated accrued interest from the sale thereof.

§ 33. Paragraph b of subdivision 2 of section 9-a of section 1 of chapter 392 of the laws of 1973, constituting the New York state medical care facilities finance agency act, as amended by section 36 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

14 b. The agency shall have power and is hereby authorized from time to 15 time to issue negotiable bonds and notes in conformity with applicable 16 provisions of the uniform commercial code in such principal amount as, 17 in the opinion of the agency, shall be necessary, after taking into 18 account other moneys which may be available for the purpose, to provide 19 sufficient funds to the facilities development corporation, or any 20 successor agency, for the financing or refinancing of or for the design, 21 construction, acquisition, reconstruction, rehabilitation or improvement 22 of mental health services facilities pursuant to paragraph a of this 23 subdivision, the payment of interest on mental health services improve-24 ment bonds and mental health services improvement notes issued for such 25 purposes, the establishment of reserves to secure such bonds and notes, 26 the cost or premium of bond insurance or the costs of any financial 27 mechanisms which may be used to reduce the debt service that would be 28 payable by the agency on its mental health services facilities improve-29 ment bonds and notes and all other expenditures of the agency incident 30 to and necessary or convenient to providing the facilities development 31 corporation, or any successor agency, with funds for the financing or 32 refinancing of or for any such design, construction, acquisition, recon-33 struction, rehabilitation or improvement and for the refunding of mental 34 hygiene improvement bonds issued pursuant to section 47-b of the private 35 housing finance law; provided, however, that the agency shall not issue 36 mental health services facilities improvement bonds and mental health 37 services facilities improvement notes in an aggregate principal amount 38 exceeding [nine billion nine hundred twenty-seven million two hundred seventy-six thousand dollars \$9,927,276,000] ten billion four hundred 39 seventy-six million seven hundred seventy-three thousand dollars 40 \$10,476,773,000, excluding mental health services facilities improvement 41 42 bonds and mental health services facilities improvement notes issued to refund outstanding mental health services facilities improvement bonds 43 and mental health services facilities improvement notes; provided, 44 45 however, that upon any such refunding or repayment of mental health 46 services facilities improvement bonds and/or mental health services 47 facilities improvement notes the total aggregate principal amount of outstanding mental health services facilities improvement bonds and 48 mental health facilities improvement notes may be greater than [nine 49 billion nine hundred twenty-seven million two hundred seventy-six thou-50 sand dollars \$9,927,276,000] ten billion four hundred seventy-six 51 million seven hundred seventy-three thousand dollars \$10,476,773,000, 52 only if, except as hereinafter provided with respect to mental health 54 services facilities bonds and mental health services facilities notes issued to refund mental hygiene improvement bonds authorized to be 55 issued pursuant to the provisions of section 47-b of the private housing

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finance law, the present value of the aggregate debt service of the refunding or repayment bonds to be issued shall not exceed the present value of the aggregate debt service of the bonds to be refunded or 3 repaid. For purposes hereof, the present values of the aggregate debt service of the refunding or repayment bonds, notes or other obligations and of the aggregate debt service of the bonds, notes or other obligations so refunded or repaid, shall be calculated by utilizing the 7 effective interest rate of the refunding or repayment bonds, notes or 9 other obligations, which shall be that rate arrived at by doubling the 10 semi-annual interest rate (compounded semi-annually) necessary to 11 discount the debt service payments on the refunding or repayment bonds, notes or other obligations from the payment dates thereof to the date of 12 13 issue of the refunding or repayment bonds, notes or other obligations 14 and to the price bid including estimated accrued interest or proceeds 15 received by the authority including estimated accrued interest from the 16 sale thereof. Such bonds, other than bonds issued to refund outstanding 17 bonds, shall be scheduled to mature over a term not to exceed the average useful life, as certified by the facilities development corporation, 18 of the projects for which the bonds are issued, and in any case shall 19 20 not exceed thirty years and the maximum maturity of notes or any 21 renewals thereof shall not exceed five years from the date of original issue of such notes. Notwithstanding the provisions of this 22 section, the agency shall have the power and is hereby authorized to 23 24 issue mental health services facilities improvement bonds and/or mental 25 health services facilities improvement notes to refund outstanding 26 mental hygiene improvement bonds authorized to be issued pursuant to the provisions of section 47-b of the private housing finance law and the 27 28 amount of bonds issued or outstanding for such purposes shall not be 29 included for purposes of determining the amount of bonds issued pursuant 30 to this section. The director of the budget shall allocate the aggregate 31 principal authorized to be issued by the agency among the office of 32 mental health, office for people with developmental disabilities, and 33 the office of addiction services and supports, in consultation with 34 their respective commissioners to finance bondable appropriations previ-35 ously approved by the legislature. 36

§ 34. Subdivision (a) of section 28 of part Y of chapter 61 of the laws of 2005, relating to providing for the administration of certain funds and accounts related to the 2005-2006 budget, as amended by section 37 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:

(a) Subject to the provisions of chapter 59 of the laws of 2000, but notwithstanding any provisions of law to the contrary, one or more authorized issuers as defined by section 68-a of the state finance law are hereby authorized to issue bonds or notes in one or more series in an aggregate principal amount not to exceed [ene hundred fifty seven million dollars \$157,000,000] one hundred seventy-two million dollars \$172,000,000, excluding bonds issued to finance one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously issued, for the purpose of financing capital projects for public protection facilities in the Division of Military and Naval Affairs, debt service and leases; and to reimburse the state general fund for disbursements made therefor. Such bonds and notes of such authorized issuer shall not be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by the state to such authorized issuer for debt

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service and related expenses pursuant to any service contract executed pursuant to subdivision (b) of this section and such bonds and notes shall contain on the face thereof a statement to such effect. Except for purposes of complying with the internal revenue code, any interest income earned on bond proceeds shall only be used to pay debt service on such bonds.

- § 35. Section 53 of section 1 of chapter 174 of the laws of 1968, constituting the New York state urban development corporation act, as amended by section 38 of part JJ of chapter 56 of the laws of 2020, amended to read as follows:
- 53. 1. Notwithstanding the provisions of any other law to the contrary, the dormitory authority and the urban development corporation are hereby authorized to issue bonds or notes in one or more series for the purpose of funding project costs for the acquisition of equipment, including but not limited to the creation or modernization of information technology systems and related research and development equipment, health and safety equipment, heavy equipment and machinery, the creation improvement of security systems, and laboratory equipment and other state costs associated with such capital projects. The aggregate princi-20 pal amount of bonds authorized to be issued pursuant to this section not exceed [one hundred] two hundred ninety-three million dollars [\$193,000,000] \$293,000,000, excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes 24 previously issued. Such bonds and notes of the dormitory authority and the urban development corporation shall not be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of 28 any funds other than those appropriated by the state to the dormitory authority and the urban development corporation for principal, interest, 30 and related expenses pursuant to a service contract and such bonds and 31 notes shall contain on the face thereof a statement to such effect. 32 Except for purposes of complying with the internal revenue code, any interest income earned on bond proceeds shall only be used to pay debt service on such bonds.
- 35 Notwithstanding any other provision of law to the contrary, in 36 order to assist the dormitory authority and the urban development corporation in undertaking the financing for project costs for the acquisi-38 tion of equipment, including but not limited to the creation or modernization of information technology systems and related research and 39 development equipment, health and safety equipment, heavy equipment and 40 machinery, the creation or improvement of security systems, and labora-41 42 tory equipment and other state costs associated with such capital 43 projects, the director of the budget is hereby authorized to enter into 44 one or more service contracts with the dormitory authority and the urban 45 development corporation, none of which shall exceed thirty years in 46 duration, upon such terms and conditions as the director of the budget 47 and the dormitory authority and the urban development corporation agree, 48 as to annually provide to the dormitory authority and the urban 49 development corporation, in the aggregate, a sum not to exceed the prin-50 cipal, interest, and related expenses required for such bonds and notes. 51 Any service contract entered into pursuant to this section shall provide 52 that the obligation of the state to pay the amount therein provided 53 shall not constitute a debt of the state within the meaning of any 54 constitutional or statutory provision and shall be deemed executory only 55 to the extent of monies available and that no liability shall be incurred by the state beyond the monies available for such purpose,

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subject to annual appropriation by the legislature. Any such contract or any payments made or to be made thereunder may be assigned and pledged by the dormitory authority and the urban development corporation as security for its bonds and notes, as authorized by this section.

- § 36. Subdivision (b) of section 11 of chapter 329 of the laws of 1991, amending the state finance law and other laws relating to the establishment of the dedicated highway and bridge trust fund, as amended by section 39 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
- (b) Any service contract or contracts for projects authorized pursuant to sections 10-c, 10-f, 10-g and 80-b of the highway law and section 14-k of the transportation law, and entered into pursuant to subdivision of this section, shall provide for state commitments to provide 14 annually to the thruway authority a sum or sums, upon such terms and conditions as shall be deemed appropriate by the director of the budget, to fund, or fund the debt service requirements of any bonds or any obligations of the thruway authority issued to fund or to reimburse the state for funding such projects having a cost not in excess of [eleven billion three hundred forty-nine million eight hundred seventy-five 20 thousand dollars \$11,349,875,000] eleven billion eight hundred thirtyseven million two hundred twenty-seven thousand dollars \$11,837,227,000 cumulatively by the end of fiscal year  $[\frac{2020-21}{2020-21}]$  2021-22.
  - § 37. Subdivision 1 of section 1689-i of the public authorities law, as amended by section 40 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
  - 1. The dormitory authority is authorized to issue bonds, at the request of the commissioner of education, to finance eligible library construction projects pursuant to section two hundred seventy-three-a of the education law, in amounts certified by such commissioner not to exceed a total principal amount of [two hundred sixty five million dollars \$265,000,000] two hundred ninety-nine million dollars \$299,000,000.
  - 38. Section 44 of section 1 of chapter 174 of the laws of 1968, constituting the New York state urban development corporation act, amended by section 41 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
- 37 § 44. Issuance of certain bonds or notes. 1. Notwithstanding the 38 provisions of any other law to the contrary, the dormitory authority and 39 the corporation are hereby authorized to issue bonds or notes in one or more series for the purpose of funding project costs for the regional 40 41 economic development council initiative, the economic transformation 42 program, state university of New York college for nanoscale and science 43 engineering, projects within the city of Buffalo or surrounding envi-44 rons, the New York works economic development fund, projects for the 45 retention of professional football in western New York, the empire state 46 economic development fund, the clarkson-trudeau partnership, the New 47 York genome center, the cornell university college of veterinary medicine, the olympic regional development authority, projects at nano 48 Utica, onondaga county revitalization projects, Binghamton university 49 50 school of pharmacy, New York power electronics manufacturing consortium, 51 regional infrastructure projects, high tech innovation and economic 52 development infrastructure program, high technology manufacturing projects in Chautauqua and Erie county, an industrial scale research and 54 development facility in Clinton county, upstate revitalization initi-55 ative projects, downstate revitalization initiative, market New York 56 projects, fairground buildings, equipment or facilities used to house

and promote agriculture, the state fair, the empire state trail, the moynihan station development project, the Kingsbridge armory project, strategic economic development projects, the cultural, arts and public 3 spaces fund, water infrastructure in the city of Auburn and town of Owasco, a life sciences laboratory public health initiative, not-forprofit pounds, shelters and humane societies, arts and cultural facili-7 ties improvement program, restore New York's communities initiative, heavy equipment, economic development and infrastructure projects, 9 Roosevelt Island operating corporation capital projects, Lake Ontario 10 regional projects, Pennsylvania station and other transit projects and 11 other state costs associated with such projects. The aggregate principal amount of bonds authorized to be issued pursuant to this section shall 12 13 not exceed [ten billion three hundred thirty-four million eight hundred 14 fifty-one thousand dollars \$10,334,851,000] eleven billion two hundred 15 fifty-four million two hundred two thousand dollars \$11,254,202,000, 16 excluding bonds issued to fund one or more debt service reserve funds, 17 to pay costs of issuance of such bonds, and bonds or notes issued to 18 refund or otherwise repay such bonds or notes previously issued. Such 19 bonds and notes of the dormitory authority and the corporation shall not 20 a debt of the state, and the state shall not be liable thereon, nor 21 shall they be payable out of any funds other than those appropriated by the state to the dormitory authority and the corporation for principal, 22 interest, and related expenses pursuant to a service contract and such 23 bonds and notes shall contain on the face thereof a statement to such 24 25 effect. Except for purposes of complying with the internal revenue code, 26 any interest income earned on bond proceeds shall only be used to pay 27 debt service on such bonds.

28 2. Notwithstanding any other provision of law to the contrary, in 29 order to assist the dormitory authority and the corporation in undertak-30 ing the financing for project costs for the regional economic develop-31 ment council initiative, the economic transformation program, state 32 university of New York college for nanoscale and science engineering, projects within the city of Buffalo or surrounding environs, the New 33 34 York works economic development fund, projects for the retention of 35 professional football in western New York, the empire state economic 36 development fund, the clarkson-trudeau partnership, the New York genome 37 center, the cornell university college of veterinary medicine, the olym-38 pic regional development authority, projects at nano Utica, onondaga 39 county revitalization projects, Binghamton university school of pharma-40 cy, New York power electronics manufacturing consortium, regional 41 infrastructure projects, New York State Capital Assistance Program for 42 Transportation, infrastructure, and economic development, high tech 43 innovation and economic development infrastructure program, high tech-44 nology manufacturing projects in Chautauqua and Erie county, an indus-45 trial scale research and development facility in Clinton county, upstate 46 revitalization initiative projects, downstate revitalization initiative, 47 market New York projects, fairground buildings, equipment or facilities 48 used to house and promote agriculture, the state fair, the empire state trail, the moynihan station development project, the Kingsbridge armory 49 50 project, strategic economic development projects, the cultural, arts and 51 public spaces fund, water infrastructure in the city of Auburn and town 52 of Owasco, a life sciences laboratory public health initiative, not-forprofit pounds, shelters and humane societies, arts and cultural facili-54 ties improvement program, restore New York's communities initiative, 55 heavy equipment, economic development and infrastructure projects, Roosevelt Island operating corporation capital projects, Lake Ontario

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regional projects, Pennsylvania station and other transit projects and other state costs associated with such projects the director of the budget is hereby authorized to enter into one or more service contracts 3 with the dormitory authority and the corporation, none of which shall exceed thirty years in duration, upon such terms and conditions as the director of the budget and the dormitory authority and the corporation 7 agree, so as to annually provide to the dormitory authority and the corporation, in the aggregate, a sum not to exceed the principal, inter-9 est, and related expenses required for such bonds and notes. Any service 10 contract entered into pursuant to this section shall provide that the 11 obligation of the state to pay the amount therein provided shall not constitute a debt of the state within the meaning of any constitutional 12 13 or statutory provision and shall be deemed executory only to the extent 14 of monies available and that no liability shall be incurred by the state 15 beyond the monies available for such purpose, subject to annual appro-16 priation by the legislature. Any such contract or any payments made or 17 to be made thereunder may be assigned and pledged by the dormitory 18 authority and the corporation as security for its bonds and notes, 19 authorized by this section.

- § 39. Subdivision 1 of section 386-b of the public authorities law, as amended by section 42 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
- 23 1. Notwithstanding any other provision of law to the contrary, 24 authority, the dormitory authority and the urban development corporation 25 are hereby authorized to issue bonds or notes in one or more series for 26 the purpose of financing peace bridge projects and capital costs of 27 state and local highways, parkways, bridges, the New York state thruway, 28 Indian reservation roads, and facilities, and transportation infrastruc-29 projects including aviation projects, non-MTA mass transit 30 projects, and rail service preservation projects, including work appur-31 tenant and ancillary thereto. The aggregate principal amount of bonds 32 authorized to be issued pursuant to this section shall not exceed [six 33 billion nine hundred forty-two million four hundred sixty-three thousand 34 dollars \$6,942,463,000] eight billion eight hundred thirty-nine million nine hundred sixty-three thousand dollars \$8,839,963,000, excluding 35 36 bonds issued to fund one or more debt service reserve funds, to pay 37 costs of issuance of such bonds, and to refund or otherwise repay such 38 bonds or notes previously issued. Such bonds and notes of the authority, the dormitory authority and the urban development corporation shall 39 40 not be a debt of the state, and the state shall not be liable thereon, 41 nor shall they be payable out of any funds other than those appropriated 42 by the state to the authority, the dormitory authority and the urban 43 development corporation for principal, interest, and related expenses pursuant to a service contract and such bonds and notes shall contain on 44 45 the face thereof a statement to such effect. Except for purposes of 46 complying with the internal revenue code, any interest income earned on 47 bond proceeds shall only be used to pay debt service on such bonds.
  - § 40. Paragraph (a) of subdivision 2 of section 47-e of the private housing finance law, as amended by section 43 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
  - (a) Subject to the provisions of chapter fifty-nine of the laws of two thousand, in order to enhance and encourage the promotion of housing programs and thereby achieve the stated purposes and objectives of such housing programs, the agency shall have the power and is hereby authorized from time to time to issue negotiable housing program bonds and notes in such principal amount as shall be necessary to provide suffi-

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1 cient funds for the repayment of amounts disbursed (and not previously reimbursed) pursuant to law or any prior year making capital appropriations or reappropriations for the purposes of the housing program; 3 provided, however, that the agency may issue such bonds and notes in an aggregate principal amount not exceeding [six billion five hundred thirty-one million five hundred twenty-three thousand dollars 7 \$6,531,523,000] seven billion eighty-six million six hundred seven thousand dollars \$7,086,607,000, plus a principal amount of bonds issued to 9 fund the debt service reserve fund in accordance with the debt service 10 reserve fund requirement established by the agency and to fund any other 11 reserves that the agency reasonably deems necessary for the security or marketability of such bonds and to provide for the payment of fees and 12 13 other charges and expenses, including underwriters' discount, trustee 14 and rating agency fees, bond insurance, credit enhancement and liquidity 15 enhancement related to the issuance of such bonds and notes. No reserve fund securing the housing program bonds shall be entitled or eligible to 16 17 receive state funds apportioned or appropriated to maintain or restore such reserve fund at or to a particular level, except to the extent of 18 19 any deficiency resulting directly or indirectly from a failure of the 20 state to appropriate or pay the agreed amount under any of the contracts 21 provided for in subdivision four of this section.

- § 41. Subdivision 1 of section 50 of section 1 of chapter 174 of the laws of 1968, constituting the New York state urban development corporation act, as amended by section 44 of part JJ of chapter 56 of laws of 2020, is amended to read as follows:
- Notwithstanding the provisions of any other law to the contrary, the dormitory authority and the urban development corporation are hereby authorized to issue bonds or notes in one or more series for the purpose of funding project costs undertaken by or on behalf of the state education department, special act school districts, state-supported schools 30 for the blind and deaf, approved private special education schools, non-public schools, community centers, day care facilities, residential 33 camps, day camps, and other state costs associated with such capital projects. The aggregate principal amount of bonds authorized to be 34 issued pursuant to this section shall not exceed [one hundred fifty five 36 million dollars \$155,000,000] two hundred twenty-six million dollars 37 \$226,000,000, excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously Such bonds and notes of the dormitory authority and the urban development corporation shall not be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by the state to the dormitory authority and the urban development corporation for principal, interest, and related expenses pursuant to a service contract and such bonds and notes shall contain on the face thereof a statement to such effect. Except for purposes of complying with the internal revenue code, any interest income earned on bond proceeds shall only be used to pay debt service on such bonds.
  - 42. Subdivision 1 of section 47 of section 1 of chapter 174 of the laws of 1968, constituting the New York state urban development corporation act, as amended by section 45 of part JJ of chapter 56 of the laws of 2020, is amended to read as follows:
  - 1. Notwithstanding the provisions of any other law to the contrary, the dormitory authority and the corporation are hereby authorized to issue bonds or notes in one or more series for the purpose of funding

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1 project costs for the office of information technology services, department of law, and other state costs associated with such capital projects. The aggregate principal amount of bonds authorized to be 3 issued pursuant to this section shall not exceed [eight hundred thirty million fifty-four thousand dollars, \$830,054,000] nine hundred fortynine million two hundred fifty-four thousand dollars \$949,254,000 7 excluding bonds issued to fund one or more debt service reserve funds, 8 to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously issued. Such 9 10 bonds and notes of the dormitory authority and the corporation shall not 11 be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by 12 13 the state to the dormitory authority and the corporation for principal, 14 interest, and related expenses pursuant to a service contract and such 15 bonds and notes shall contain on the face thereof a statement to such 16 effect. Except for purposes of complying with the internal revenue code, 17 any interest income earned on bond proceeds shall only be used to pay 18 debt service on such bonds. 19

- § 43. Paragraph (b) of subdivision 1 of section 385 of the public authorities law, as amended by section 1 of part G of chapter 60 of the laws of 2005, is amended to read as follows:
- 21 22 (b) The authority is hereby authorized, as additional corporate 23 purposes thereof solely upon the request of the director of the budget: 24 (i) to issue special emergency highway and bridge trust fund bonds and 25 notes for a term not to exceed thirty years and to incur obligations 26 secured by the moneys appropriated from the dedicated highway and bridge 27 trust fund established in section eighty-nine-b of the state finance law; (ii) to make available the proceeds in accordance with instructions 28 provided by the director of the budget from the sale of such special 29 30 emergency highway and bridge trust fund bonds, notes or other obli-31 gations, net of all costs to the authority in connection therewith, 32 the purposes of financing all or a portion of the costs of activities 33 for which moneys in the dedicated highway and bridge trust fund estab-34 lished in section eighty-nine-b of the state finance law are authorized 35 to be utilized or for the financing of disbursements made by the state 36 the activities authorized pursuant to section eighty-nine-b of the 37 state finance law; and (iii) to enter into agreements with the commis-38 sioner of transportation pursuant to section ten-e of the highway law 39 with respect to financing for any activities authorized pursuant to 40 section eighty-nine-b of the state finance law, or agreements with the 41 commissioner of transportation pursuant to sections ten-f and ten-g of 42 the highway law in connection with activities on state highways pursuant 43 these sections, and (iv) to enter into service contracts, contracts, 44 agreements, deeds and leases with the director of the budget or the 45 commissioner of transportation and project sponsors and others to 46 provide for the financing by the authority of activities authorized 47 pursuant to section eighty-nine-b of the state finance law, and each of 48 the director of the budget and the commissioner of transportation are hereby authorized to enter into service contracts, contracts, agree-49 50 ments, deeds and leases with the authority, project sponsors or others 51 to provide for such financing. The authority shall not issue any bonds or notes in an amount in excess of [\$\frac{\\$16.5 \text{ billion}}{\text{billion}}\] eighteen billion one 52 53 hundred fifty million dollars \$18,150,000,000, plus a principal amount 54 of bonds or notes: (A) to fund capital reserve funds; (B) to provide 55 capitalized interest; and, (C) to fund other costs of issuance. computing for the purposes of this subdivision, the aggregate amount of

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indebtedness evidenced by bonds and notes of the authority issued pursuant to this section, as amended by a chapter of the laws of nineteen hundred ninety-six, there shall be excluded the amount of bonds or notes 3 issued that would constitute interest under the United States Internal Revenue Code of 1986, as amended, and the amount of indebtedness issued to refund or otherwise repay bonds or notes.

- § 44. Subdivision 1 of section 386-a of the public authorities law, as amended by section 44 of part TTT of chapter 59 of the laws of 2019, is amended to read as follows:
- 10 1. Notwithstanding any other provision of law to the contrary, 11 authority, the dormitory authority and the urban development corporation are hereby authorized to issue bonds or notes in one or more series for 12 13 the purpose of assisting the metropolitan transportation authority in 14 the financing of transportation facilities as defined in subdivision 15 seventeen of section twelve hundred sixty-one of this chapter or other 16 capital projects. The aggregate principal amount of bonds authorized to 17 be issued pursuant to this section shall not exceed [two billion one hundred seventy-nine million eight hundred fifty-six thousand dollars \$2,179,856,000] twelve billion five hundred fifteen million eight 18 19 20 hundred fifty-six thousand dollars \$12,515,856,000, excluding bonds 21 issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and to refund or otherwise repay such bonds or 22 notes previously issued. Such bonds and notes of the authority, the 23 dormitory authority and the urban development corporation shall not be a 24 25 debt of the state, and the state shall not be liable thereon, nor shall 26 they be payable out of any funds other than those appropriated by the 27 state to the authority, the dormitory authority and the urban development corporation for principal, interest, and related expenses pursuant 28 29 to a service contract and such bonds and notes shall contain on the face 30 thereof a statement to such effect. Except for purposes of complying 31 with the internal revenue code, any interest income earned on bond 32 proceeds shall only be used to pay debt service on such bonds.
- 33 § 45. Intentionally omitted.
  - § 46. Intentionally omitted.
  - § 47. Intentionally omitted.
- 36 § 48. Intentionally omitted.
  - § 49. Section 3238-a of the public authorities law, as amended by section 1 of part V of chapter 63 of the laws of 2003, is amended to read as follows:
  - 3238-a. Payment to city of New York. 1. Notwithstanding any inconsistent provision of law, the corporation shall transfer to the city of New York one hundred seventy million dollars from the resources of the corporation pursuant to section thirty-two hundred thirty-nine of this title[. Such payment]; provided, however, that on and after July first, two thousand twenty, the obligation of the corporation to make such transfer shall be conditioned on any bonds issued by the sales tax asset receivables corporation that are secured by the corporation's payments described in this subdivision being outstanding in accordance with the trust indenture under which they were issued, while any such bonds are outstanding such payments shall be made during each city fiscal year. Such payments from the corporation shall be made from the fund established by section ninety-two-r of the state finance law and in accordance with the provisions thereof.
- 2. The city of New York, acting by the mayor alone, may assign all or 55 any portion of such amount to any not-for-profit corporation incorporated pursuant to section fourteen hundred eleven of the not-for-profit

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corporation law and, upon such assignment, the amount so assigned shall be the property of such not-for-profit corporation for all purposes. Following notice from the city of New York to the corporation and the 3 comptroller of such assignment, such payment shall be made directly to the city's assignee. If such not-for-profit corporation issues bonds and/or notes, the state does hereby pledge and agree with the holders of any issue of bonds and/or notes secured by such a pledge that the state 7 8 will not limit or alter the rights vested in such not-for-profit corpo-9 ration to fulfill the terms of any agreements made with such holders or 10 in any way impair the rights and remedies of such holders or the securi-11 ty for such bonds and/or notes until such bonds and/or notes, together with the interest thereon and all costs and expenses in connection with 12 13 any action or proceeding by or on behalf of such holders, are fully paid 14 and discharged. The foregoing pledge and agreement may be included in 15 any agreement with the holders of such bonds or notes. Nothing contained 16 in this section shall be deemed to restrict the right of the state to 17 amend, modify, repeal or otherwise alter statutes imposing or relating to the taxes subject to such assignment, but such taxes shall in all 18 19 events continue to be so payable, as assigned, so long as any such taxes 20 are imposed.

- 3. The state may, at any time, provide proceeds of state supported debt, as defined in subdivision one of section sixty-seven-a of the state finance law, or other available monies, to the trustee for the bonds of the sales tax asset receivable corporation secured by the corporation's payments described in subdivision one of this section in an amount sufficient to fully pay and discharge such bonds by means of a legal defeasance of all such outstanding bonds in accordance with the trust indenture under which they were issued. Upon any such legal defeasance of such bonds, the corporation's obligation contained in subdivision one of this section to transfer funds to the city of New York shall be deemed satisfied and fully discharged.
- 4. Notwithstanding any inconsistent provision of law, the dormitory authority of the state of New York and the New York state urban development corporation are hereby authorized to issue bonds in one or more series pursuant to article five-C or article five-F of the state finance law in an aggregate principal amount sufficient to (i) finance the legal defeasance of all of the outstanding bonds of the sales tax asset receivable corporation secured by the corporation's payments described in subdivision one of this section, (ii) one or more related debt service reserve funds, and (iii) costs of issuance attributable to such bonds, and the issuance of such bonds is hereby determined to be for an "authorized purpose", as defined in subdivision two of section sixtyeight-a and subdivision two of section sixty-nine-m of the state finance law, as the case may be.
- § 50. Paragraph a of subdivision 5 of section 89-b of the state finance law, as amended by section 11 of part C of chapter 57 of the laws of 2014, is amended to read as follows:
- a. Moneys in the dedicated highway and bridge trust fund shall, following appropriation by the legislature, be utilized for: struction, replacement, reconditioning, restoration, rehabilitation and preservation of state, county, town, city and village roads, highways, parkways, and bridges thereon, to restore such facilities to their intended functions; construction, reconstruction, enhancement 54 improvement of state, county, town, city, and village roads, highways, 55 parkways, and bridges thereon, to address current and projected capacity problems including costs for traffic mitigation activities; aviation

1 projects authorized pursuant to section fourteen-j of the transportation law and for payments to the general debt service fund of amounts equal 3 to amounts required for service contract payments related to aviation projects as provided and authorized by section three hundred eighty-six of the public authorities law; programs to assist small and minority and women-owned firms engaged in transportation construction and recon-7 struction projects, including a revolving fund for working capital loans, and a bonding guarantee assistance program in accordance with 9 provisions of this chapter; matching federal grants or apportionments to 10 the state for highway, parkway and bridge capital projects; the acquisi-11 tion of real property and interests therein required or expected to be required in connection with such projects; preventive maintenance activ-12 13 ities necessary to ensure that highways, parkways and bridges meet or 14 exceed their optimum useful life; expenses of control of snow and ice on 15 state highways by the department of transportation including but not 16 limited to personal services, nonpersonal services and fringe benefits, 17 payment of emergency aid for control of snow and ice in municipalities 18 pursuant to section fifty-five of the highway law, expenses of control 19 snow and ice on state highways by municipalities pursuant to section 20 twelve of the highway law, and for expenses of arterial maintenance 21 agreements with cities pursuant to section three hundred forty-nine of the highway law; personal services, nonpersonal services, and fringe 22 benefit costs of the department of transportation for bus safety inspection activities, rail safety inspection activities, and truck 23 24 safety inspection activities; costs of the department of motor vehicles, 25 26 including but not limited to personal and nonpersonal services; costs of 27 engineering and administrative services of the department of transporta-28 tion, including but not limited to fringe benefits; the contract services provided by private firms in accordance with section fourteen 29 30 the transportation law; personal services and nonpersonal services, 31 for activities including but not limited to the preparation of designs, 32 plans, specifications and estimates; construction management and super-33 vision activities; costs of appraisals, surveys, testing and environmental impact statements for transportation projects; expenses in 34 35 connection with buildings, equipment, materials and facilities used or 36 useful in connection with the maintenance, operation, and repair of 37 highways, parkways and bridges thereon; and project costs 38 construction, reconstruction, improvement, reconditioning and preserva-39 tion of rail freight facilities and intercity rail passenger facilities and equipment; construction, reconstruction, improvement, reconditioning 40 41 and preservation of state, municipal and privately owned ports; 42 construction, reconstruction, improvement, reconditioning and preserva-43 tion of municipal airports; privately owned airports and aviation capi-44 tal facilities, excluding airports operated by the state or operated by 45 a bi-state municipal corporate instrumentality for which federal funding 46 is not available provided the project is consistent with an approved 47 airport layout plan; and construction, reconstruction, enhancement, 48 improvement, replacement, reconditioning, restoration, rehabilitation and preservation of state, county, town, city and village roads, high-49 ways, parkways and bridges; and construction, reconstruction, improve-50 ment, reconditioning and preservation of fixed ferry facilities of 51 52 municipal and privately owned ferry lines for transportation purposes, 53 and the payment of debt service required on any bonds, notes or other 54 obligations and related expenses for highway, parkway, bridge and 55 project costs for: construction, reconstruction, improvement, reconditioning and preservation of rail freight facilities and intercity rail

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1 passenger facilities and equipment; construction, reconstruction, improvement, reconditioning and preservation of state, municipal and privately owned ports; construction, reconstruction, improvement, reconditioning and preservation of municipal airports; privately owned airports and aviation capital facilities, excluding airports operated by the state or operated by a bi-state municipal corporate instrumentality 7 for which federal funding is not available provided the project is consistent with an approved airport layout plan; construction, recon-9 struction, enhancement, improvement, replacement, reconditioning, resto-10 ration, rehabilitation and preservation of state, county, town, city and 11 village roads, highways, parkways and bridges; and construction, reconstruction, improvement, reconditioning and preservation of fixed ferry 12 13 facilities of municipal and privately owned ferry lines for transporta-14 tion purposes, purposes authorized on or after the effective date of 15 this section. Beginning with disbursements made on and after the first 16 day of April, nineteen hundred ninety-three, moneys in such fund shall 17 be available to pay such costs or expenses made pursuant to appropri-18 ations or reappropriations made during the state fiscal year which began 19 on the first of April, nineteen hundred ninety-two. Beginning the first 20 day of April, nineteen hundred ninety-three, moneys in such fund shall 21 also be used for transfers to the general debt service fund and the [revenue bond tax] general fund of amounts equal to that respectively 22 required for service contract and financing agreement payments as 23 24 provided and authorized by section three hundred eighty of the public 25 authorities law, section eleven of chapter three hundred twenty-nine of 26 the laws of nineteen hundred ninety-one, as amended, and sections 27 sixty-eight-c and sixty-nine-o of this chapter. 28

§ 51. Paragraph c of subdivision 5 of section 89-b of the state finance law is REPEALED.

§ 52. Subdivision 5 of section 97-f of the state finance law, as amended by section 49 of part TTT of chapter 59 of the laws of 2019, is amended to read as follows:

5. The comptroller shall from time to time, but in no event later than fifteenth day of each month, pay over for deposit in the mental hygiene general fund state operations account, including moneys pursuant to subdivision eight of this section, all moneys in the mental health services fund in excess of the amount of money required to be maintained on deposit in the mental health services fund. Subject to subdivision nine of this section, the amount required to be maintained in such fund shall be (i) twenty percent of the amount of the next payment coming due relating to the mental health services facilities improvement program under any agreement between the facilities development corporation and the New York state medical care facilities finance agency multiplied by the number of months from the date of the last such payment with respect to payments under any such agreement required to be made semi-annually, plus (ii) those amounts specified in any such agreement with respect to payments required to be made other than semi-annually, including for variable rate bonds, interest rate exchange or similar agreements or other financing arrangements permitted by law. Concurrently with the making of any such payment, the facilities development corporation shall deliver to the comptroller, the director of the budget and the New York state medical care facilities finance agency a certificate stating the aggregate amount to be maintained on deposit in the mental health services fund to comply in full with the provisions of this subdivision.

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§ 53. Subdivision 8 of section 97-f of the state finance law, amended by section 49 of part TTT of chapter 59 of the laws of 2019, is amended to read as follows:

8. [In addition to the amounts required to be maintained on deposit in the mental health services fund pursuant to subdivision five of this section and subject to subdivision nine of this section, the fund shall maintain on deposit an amount equal to the debt service and other cash requirements on mental health services facilities bonds issued by authorized issuers pursuant to sections sixty-eight-b and sixty-nine-n of this chapter. The amount required to be maintained in such fund shall be (i) twenty percent of the amount of the next payment coming due relating to mental health services facilities bonds issued by an authorized issuer multiplied by the number of months from the date of the last such payment with respect to payments required to be made semi-annually, plus (ii) those amounts specified in any financing agreement between the issuer and the state, acting through the director of the budget, with respect to payments required to be made other than semi-annually, including for variable rate bonds, interest rate exchange or similar agreements or other financing arrangements permitted by law. Concurrently with the making of any such payment, the facilities development corporation shall deliver to the comptroller, the director of the budget and the New York state medical care facilities finance agency a certificate stating the aggregate amount to be maintained on deposit in the mental health services fund to comply in full with the provisions of this subdivision.

No later than five days prior to the payment to be made by the state comptroller on such mental health services facilities bonds pursuant to sections ninety-two-z and ninety-two-h of this article, the] The amount of [such] payment on such mental health services facilities bonds pursuant to sections ninety-two-z and ninety-two-h of this article, shall be transferred by the state comptroller from the mental health services fund to the [revenue bond tax fund established by section ninety-two-z of this article and the sales tax revenue bond fund established by section ninety-two-h of this article] mental hygiene general fund state operation account. The accumulation of moneys pursuant to this subdivision and subsequent transfer to the [revenue bond tax fund and the sales tax revenue bond fund mental hygiene general fund state operation account shall be subordinate in all respects to payments to be made to the New York state medical care facilities finance agency and to any pledge or assignment pursuant to subdivision six of this section.

- § 54. Subdivision 9 of section 97-f of the state finance law, as added by section 49 of part TTT of chapter 59 of the laws of 2019, is amended to read as follows:
- 9. In determining the amounts required to be maintained in the mental health services fund under [subdivisions] subdivision five [and eight] of this section in each month, the amount of receipts associated with loans, leases and other agreements with voluntary agencies accumulated and set aside in the mental hygiene facilities improvement fund income account under paragraph g of subdivision three of section nine of the facilities development corporation act shall be taken into account as a credit but only if such crediting does not result in the amounts required to be maintained in the mental health services fund exclusive of any credit to be less than the amount required under subdivision five 54 of this section in each month.
- 55 § 55. Subdivision (j) of section 92-dd of the state finance law is 56 REPEALED.

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§ 56. Subdivision 3-a of section 2872 of the public health law is REPEALED and a new subdivision 3-a is added to read as follows:

- 3-a. "Secured hospital project bonds" shall mean outstanding bonds issued on behalf of a not-for-profit hospital corporation organized under the laws of this state, which hospital has previously been designated by the commissioner and the public health council to be eligible to receive distributions from the reimbursement pools established pursuant to paragraph (c) of subdivision nine of section twenty-eight hundred seven-a of this chapter, or any successor pool or pools established to serve a substantially similar purpose to such pools.
- § 57. Section 2874 of the public health law is amended by adding a new subdivision 5 to read as follows:
- 5. The dormitory authority of the state of New York and the New York state urban development corporation are each hereby authorized to issue bonds in one or more series pursuant to article 5-C or article 5-F of the state finance law for the purpose of refunding outstanding secured hospital project bonds, as defined in subdivision three-a of section twenty-eight hundred seventy-two of this article, and to finance one or more related debt service reserve funds and to pay costs of issuance attributable to such refunding bonds. The use of all savings resulting from the refunding of any outstanding secured hospital project bonds, including original issue premium, shall be determined by the director of the budget.
- 24 58. This act shall take effect immediately and shall be deemed to have been in full force and effect on and after April 1, 2021; provided, 25 however, that the provisions of sections one, one-a, two, three, four, 27 five, six, seven, eight, twelve, thirteen, fourteen, fifteen, sixteen, seventeen, eighteen, nineteen, twenty-one, and twenty-two of this act 28 shall expire March 31, 2022 when upon such date the provisions of such 29 30 sections shall be deemed repealed; and provided further that the amend-31 ments to section 3238-a of the public authorities law made by section 32 forty-nine of this act shall be subject to the repeal of such section 33 and shall expire and be deemed repealed therewith.

34 PART RR

35 Intentionally Omitted

36 PART SS

37 Intentionally Omitted

38 PART TT

39 Section 1. Subdivision 2 of section 164 of the civil service law, as 40 amended by section 1 of part J of chapter 55 of the laws of 2015, is 41 amended to read as follows:

- 2. During the fiscal year ending March thirty-first, two thousand [sixteen] twenty-two, the president may establish an amnesty period not to exceed sixty days. During this amnesty period when any employee enrolled in the plan voluntarily identifies any ineligible dependent:
- (a) the termination of the ineligible dependent's coverage resulting from such employee's timely compliance shall be made on a current basis;
- 48 (b) the plan shall not seek recovery of any claims paid based on the 49 coverage of the ineligible dependent;

- (c) the employee shall not be entitled to any refund of premium paid on behalf of any such ineligible dependent; and
- (d) the employee shall not be subject to any disciplinary, civil or 3 4 criminal action, directly as a result of the coverage of the ineligible
  - § 2. This act shall take effect immediately.

7 PART UU

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8 Intentionally Omitted

9 PART VV

Section 1. The section heading of section 421-f of the real property tax law, as amended by chapter 590 of the laws of 1994, is amended read as follows:

13 Exemption of capital improvements to residential buildings and certain 14 new construction.

15 § 2. Section 421-f of the real property tax law is amended by adding a 16 new subdivision 1-a to read as follows:

1-a. Buildings classified as class one property in section eighteen hundred two of this chapter reconstructed, altered, improved, or newly constructed in a special assessing unit that is not a city shall be exempt from taxation and special ad valorem levies to the extent provided hereinafter in the same manner and to the same extent to county, town, special district and school district taxes levied on the assessment roll prepared by such special assessing unit. Additional buildings and yard improvements shall be excluded from receiving this exemption. An application shall not be required to receive the exemption.

- § 3. Subdivisions 2 and 3 of section 421-f of the real property tax law, as amended by chapter 590 of the laws of 1994, subparagraph (ii) of paragraph (a) of subdivision 2 and subdivision 3 as further amended by subdivision (b) of section 1 of part W of chapter 56 of the laws of 2010, are amended to read as follows:
- 2. (a) Such buildings shall be exempt for a period of one year to the extent of one hundred per centum of the increase in assessed value thereof attributable to such reconstruction, alteration or improvement, and new construction pursuant to subdivision one-a of this section, and for an additional period of seven years subject to the following:
- (i) The extent of such exemption shall be decreased by twelve and one-half per centum of the "exemption base" each year during such additional period. The "exemption base" shall be the increase in assessed value as determined in the initial year of the term of the exemption, except as provided in subparagraph (ii) of this paragraph.
- (ii) In any year in which a change in level of assessment of fifteen 43 percent or more is certified for a final assessment roll pursuant to the rules of the commissioner, the exemption base shall be multiplied by a fraction, the numerator of which shall be the total assessed value of the parcel on such final assessment roll (after accounting for any physical or quantity changes to the parcel since the immediately preceding 48 assessment roll), and the denominator of which shall be the total assessed value of the parcel on the immediately preceding final assess-50 ment roll. The result shall be the new exemption base. The exemption 51 shall thereupon be recomputed to take into account the new exemption 52 base, notwithstanding the fact that the assessor receives certification

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1 of the change in level of assessment after the completion, verification and filing of the final assessment roll. In the event the assessor does 3 not have custody of the roll when such certification is received, the assessor shall certify the recomputed exemption to the local officers having custody and control of the roll, and such local officers are hereby directed and authorized to enter the recomputed exemption certi-7 fied by the assessor on the roll. The assessor shall give written notice of such recomputed exemption to the property owner, who may, if he or 8 9 she believes that the exemption was recomputed incorrectly, apply for a 10 correction in the manner provided by title three of article five of this 11 chapter for the correction of clerical errors.

(iii) [Such Except in a special assessing unit that is not a city, such exemption shall be limited to eighty thousand dollars in increased market value, or such other sum less than eighty thousand dollars, but less than five thousand dollars as may be provided by the local law or resolution, of the property attributable to such reconstruction, alteration or improvement and any increase in market value greater than such amount shall not be eligible for the exemption pursuant to this section. In a special assessing unit that is not a city, the exemption shall be limited to seven hundred fifty thousand dollars in increased market value. For the purposes of this section, the market value of the reconstruction, alteration or improvement, or new construction as authorized by subdivision one-a of this section, shall be equal to the increased assessed value attributable to such reconstruction, alteration [er], improvement or new construction divided by the class [] one ratio in a special assessing unit or the most recently established state equalization rate or special equalization rate in the remainder of the state, except where the state equalization rate or special equalization rate equals or exceeds ninety-five percent, in which case the increase in assessed value attributable to such reconstruction, alteration [ex], improvement or new construction shall be deemed to equal the market value of such reconstruction, alteration or improvement.

- (b) [No] Except in a special assessing unit that is not a city, such exemption shall be granted for reconstruction, alterations or improvements unless:
- (i) such reconstruction, alteration or improvement was commenced subsequent to the effective date of the local law or resolution adopted pursuant to subdivision one of this section; and
- (ii) the value of such reconstruction, alteration or improvement exceeds three thousand dollars; and
- (iii) the greater portion, as so determined by square footage, of the building reconstructed, altered or improved is at least five years old.
- (c) For purposes of this section the terms reconstruction, alteration and improvement shall not include ordinary maintenance and repairs.
- 3. [Such Except in a special assessing unit that is not a city, such exemption shall be granted only upon application by the owner of such building on a form prescribed by the commissioner. The application shall filed with the assessor of the city, town, village or county having the power to assess property for taxation on or before the appropriate taxable status date of such city, town, village or county. In a special assessing unit that is not a city, the exemption shall be applied based upon that completion of reconstruction, alteration, improvement or new construction on or before the applicable taxable status date of the 54 special assessing unit; provided, however that the exemption for such reconstruction, alteration, improvement or new construction that occurred after the taxable status date of such special assessing unit

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for the two thousand nineteen -- two thousand twenty assessment roll and on or before the taxable status date of such special assessing unit for the two thousand twenty -- two thousand twenty-one assessment roll 3 shall be applied beginning with the two thousand twenty-one -- two thousand twenty-two assessment roll.

- § 4. Subdivisions 5, 6 and 7 of section 421-f of the real property tax law, as amended by chapter 590 of the laws of 1994, are amended to read as follows:
- 5. For the purposes of this section, except in a special assessing unit that is not a city, a residential building shall mean any building or structure designed and occupied exclusively for residential purposes by not more than two families.
- 6. In the event that a building granted an exemption pursuant to this section ceases to be used primarily for residential purposes [ex], is no longer classified as class one property in a special assessing unit that is not a city, or title thereto is transferred to other than the heirs or distributees of the owner in other than a special assessing unit that is not a city, the exemption granted pursuant to this section shall cease.
- 7. (a) [A] Except for a special assessing unit that is not a city, a county, city, town or village may, by its local law, or school district, by its resolution:
- (i) reduce the per centum of exemption otherwise allowed pursuant to this section;
- (ii) limit eligibility for the exemption to those forms of reconstruction, alterations or improvements as are prescribed in such local law or resolution;
- (iii) provide that the exemption shall be applicable only to those improvements which would otherwise result in an increase in the assessed valuation of the real property but which consist of an addition, remodeling or modernization to an existing residential structure to prevent physical deterioration of the structure or to comply with applicable building, sanitary, health and/or fire codes.
- (b) No such local law or resolution shall reduce or repeal an exemption granted pursuant to this section until the expiration of the period for which such exemption was granted.
- § 5. Applicability. This act shall be applicable beginning with the two thousand twenty -- two thousand twenty-one assessment roll through and including the two thousand twenty-two -- two thousand twenty-three assessment roll.
- § 6. Severability. If any clause, sentence, paragraph, section or part 42 this act shall be adjudged by any court of competent jurisdiction to be invalid and after exhaustion of all further judicial review, the 43 judgment shall not affect, impair or invalidate the remainder thereof, 45 but shall be confined in its operation to the clause, sentence, para-46 graph, section or part of this act directly involved in the controversy in which the judgment shall have been rendered.
  - § 7. This act shall take effect immediately.

49 PART WW

Intentionally Omitted

51 PART XX

1 Section 1. The correction law is amended by adding a new section 626 2 to read as follows:

- § 626. Medication assisted treatment in correctional facilities. 1. For purposes of this section "medication assisted treatment" means treatment of chemical dependence or abuse and concomitant conditions with medications requiring a prescription or order from an authorized prescribing professional.
- 2. (a) The commissioner, in conjunction with the office of alcoholism and substance abuse services, shall establish a program to be adminis-tered at correctional facilities within the department in the state, for the purpose of employing medication assisted treatment for inmates in such facilities who are undergoing treatment for a substance use disor-der. Such program shall include all forms of medication assisted treat-ments approved for the treatment of a substance use disorder by the Federal Food and Drug Administration for the duration of an inmate's incarceration and shall provide an individualized treatment plan for each participant. After a medical screening, inmates who are determined to suffer from a substance use disorder, for which FDA approved addiction medications exist shall be offered placement in the medication assisted treatment program. Placement in such program shall not be mandatory. Each participating inmate shall work with an authorized specialist to determine an individualized treatment plan, including an appropriate level of counseling. Decisions regarding type, dosage, or duration of any medication regimen shall be made by a qualified health care professional licensed or certified under title eight of the educa-tion law who is authorized to administer such medication in conjunction with the inmate.
  - (b) i. Such program shall also include conditions for a reentry strategy for inmates who have participated in medication assisted treatment. Such strategy shall include, but not be limited to, providing each participating inmate with information on available treatment facilities in their area, information on available housing and employment resources, and any other information that will assist the inmate in continued recovery once released. Such program shall also assist the inmate in Medicaid enrollment, prior to release.
  - ii. Such program shall provide participating inmates preparing for release from prison with a one-week supply of any necessary medication, where permissible under federal laws and regulations to continue their medication assisted treatment in an effort to prevent relapse.
  - (c) Reentry planning and community supervision should include a collaborative relationship between clinical and parole staff including sharing of accurate information regarding the inmate's participation in medication assisted treatment to ensure that their medication is not deemed illicit or illegal. Additionally, procedures shall be developed to assist any reentrant who communicates a relapse with their parole officer or who fails a drug test, to receive substance use disorder support in lieu of arrest and/or incarceration.
  - 3. The commissioner shall submit within one year of the effective date of this section and annually thereafter, a report to the governor, the temporary president of the senate and the speaker of the assembly on the effectiveness of the program established pursuant to this section. Such reports shall include an analysis of the impact of such program on the participating inmates, including factors such as institutional adjustment, behavior infractions, reentry rates, HIV and hepatitis C treatment, and program participation, among related relevant factors. The reports shall also include the impact on institutional safety and

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40 41 performance and any recommendations for additional legislative enactments that may be needed or required to improve or enhance the program as determined to be appropriate by the commissioner.

- 4. Participation in the medication assisted treatment program shall not be withheld from a qualified inmate. An inmate may enter into such program at any time during his or her incarceration. An inmate using medication assisted treatment prior to such inmate's incarceration shall be eligible to, upon request by such inmate, continue such treatment in the medication assisted treatment program for any period of time during the duration of such inmate's incarceration. No person shall be denied participation in the program on the basis of a positive drug screening upon entering custody or upon intake into the program; nor shall any person receive a disciplinary infraction for such positive drug screening. No person shall be removed from, or denied participation in the program on the basis of having received any disciplinary infraction: (a) before entry into the program; or (b) during participation in the program.
- § 2. Section 45 of the correction law is amended by adding a new subdivision 18 to read as follows:
  - 18. Establish standards and guidelines for a program of medication assisted treatment for inmates in county jails and/or county correctional facilities equivalent to the program established in state correctional facilities pursuant to section six hundred twenty-six of this chapter and submit an annual report consistent with the requirements of subdivision three of such section.
- The mental hygiene law is amended by adding a new section 19.18-c to read as follows:
- § 19.18-c Corrections-based substance use disorder treatment and transition services.
- The commissioner, in consultation with local governmental units, county sheriffs, the New York city department of corrections and other stakeholders, shall implement a jail-based substance use disorder treatment and transition services program that supports the initiation, operation and enhancement of substance use disorder treatment and transition services for persons with substance use disorder who are incarcerated in jails.
- 2. The services to be provided by such program shall be in accordance with plans developed by participating local governmental units, in collaboration with county sheriffs, taking into account local needs and available resources. These plans must be approved by the commissioner and shall include, but not be limited to, the following:
  - (a) Alcohol, benzodiazepine, heroin and opioid withdrawal management;
- 42 43 (b) At least one formulation of every form of medication assisted 44 treatments approved for the treatment of a substance use disorder by the 45 Federal Food and Drug Administration necessary to ensure that each indi-46 vidual participating in the program receives the particular form found to be the most effective at treating and meeting their individual needs. 47 The commissioner may allow jails a limited exemption to providing opioid 48 full agonist treatment medications where the commissioner determines 49 that no providers that have received the required accreditation are 50 51 located within a reasonable distance of the facility. Jails that do not 52 have the resources available to meet standards set forth herein may 53 apply to the commissioner for a limited exception allowing such jail to enter into an agreement with a community- or jail-based program offering 54 55 substance use disorder treatment and transition services to provide such

1 services to individuals in such jails. Any such determination shall be 2 reviewed on a regular basis;

- (c) Group and individual counseling and clinical support;
- (d) Peer support;

- (e) Discharge planning; and
- (f) Re-entry and transitional supports.
- 3. (a) After a medical screening, incarcerated individuals who are determined to suffer from a substance use disorder for which medication assisted treatment exists shall be offered placement in the medication assisted treatment program. Placement in such program shall not be mandatory.
- 12 (b) Each participating incarcerated individual shall work with an
  13 authorized specialist to develop an individualized treatment plan,
  14 including an appropriate level of counseling and planning for continuity
  15 of care upon return to the community.
  - (c) Decisions regarding type, dosage, or duration of any medication regimen shall be made by a qualified health care professional licensed or certified under title eight of the education law who is authorized to administer such medication in conjunction with the incarcerated individual.
  - (d) Participation in the medication assisted treatment program shall not be unreasonably withheld from a qualified incarcerated individual. An incarcerated individual using medication assisted treatment prior to such individual's incarceration shall be eligible to, upon request by such individual, continue such treatment in the medication assisted treatment program for any period of time during the duration of such individual's incarceration.
- (e) No person shall be denied participation in the program on the basis of a positive drug screening upon entering custody or upon intake into the program; nor shall any person receive a disciplinary infraction for such positive drug screening. No person shall be removed from, or denied participation in the program on the basis of having received any disciplinary infraction: (1) before entry into the program; or (2) during participation in the program.
  - 4. Within amounts appropriated therefor, funding shall be made available pursuant to criteria established by the office of alcoholism and substance abuse services in consultation with local governmental units, which shall take into consideration the local needs and resources as identified by local governmental units, the average daily jail population, the average number of persons incarcerated in the jail that require substance use disorder services and such other factors as may be deemed necessary.
  - 5. Any jail-based substance use disorder treatment and transition services program that is already in operation at the time this act shall have become law and meets or exceeds the standards set forth in this section shall be deemed to have met the requirements of subdivisions one and two of this section. Such programs shall certify annually in writing to the commissioner that they have met or exceeded the standards set forth herein.
- § 4. This act shall take effect on the one hundred twentieth day after it shall have become a law. Effective immediately, the addition, amendment and/or repeal of any rule or regulation necessary for the implementation of this act on its effective date are authorized to be made on or before such date.

55 PART YY

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17 18 Section 1. Paragraph b of subdivision 10 of section 54 of the state finance law is amended by adding a new subparagraph (vi) to read as follows:

- (vi) Notwithstanding subparagraphs (i) and (v) of this paragraph, within amounts appropriated in the state fiscal year commencing April first, two thousand twenty-one, and annually thereafter, there shall be apportioned and paid to each municipality a base level grant in an amount equal to the base level grant received by such municipality in the state fiscal year that commenced April first, two thousand eighteen; provided, however, that in no case shall a municipality's base level grant amount be lower than the base level grant it was scheduled to receive in the state fiscal year commencing April first, two thousand twenty, notwithstanding the authority granted to the budget director to reduce such amounts as authorized by paragraph (f) of section one of chapter fifty-three of the laws of two thousand twenty.
- § 2. Paragraph 3 of subdivision (c) of section 1261 of the tax law, as amended by section 1 of part NN of chapter 55 of the laws of 2020, is amended to read as follows:
- 19 (3) However, the taxes, penalties and interest which (i) the county of 20 Nassau, (ii) the county of Erie, to the extent the county of Erie is 21 contractually or statutorily obligated to allocate and apply or pay net collections to the city of Buffalo and to the extent that such county 22 has set aside net collections for educational purposes attributable to 23 24 the Buffalo school district, or the city of Buffalo or (iii) the county 25 of Erie is authorized to impose pursuant to section twelve hundred ten 26 of this article, other than such taxes in the amounts described, respec-27 tively, in subdivisions one and two of section one thousand two hundred 28 sixty-two-e of this part, during the period that such section authorizes 29 Nassau county to establish special or local assistance programs there-30 under, together with any penalties and interest related thereto, and 31 after the comptroller has reserved such refund fund and such costs, 32 shall, commencing on the next payment date after the effective date of 33 this sentence and of each month thereafter, until such date as (i) the 34 Nassau county interim finance authority shall have no obligations 35 outstanding, or (ii) the Buffalo fiscal stability authority shall cease 36 to exist, or (iii) the Erie county fiscal stability authority shall 37 cease to exist, be paid by the comptroller, respectively, to (i) the 38 Nassau county interim finance authority to be applied by the Nassau 39 county interim finance authority, or (ii) to the Buffalo fiscal stability authority to be applied by the Buffalo fiscal stability authority, or 40 41 (iii) to the Erie county fiscal stability authority to be applied by the 42 Erie county fiscal stability authority, as the case may be, in the following order of priority: first pursuant to the Nassau county interim 43 44 finance authority's contracts with bondholders or the Buffalo fiscal 45 stability authority's contracts with bondholders or the Erie county 46 fiscal stability authority's contracts with bondholders, respectively, 47 then to pay the Nassau county interim finance authority's operating expenses not otherwise provided for or the Buffalo fiscal stability 48 49 authority's operating expenses not otherwise provided for or the Erie 50 county fiscal stability authority's operating expenses not otherwise 51 provided for, respectively, [then (i) for the Nassau county interim finance authority to pay to the state as soon as practicable in the 52 53 months of May and December each year, the amount necessary to fulfill 54 the town and village distribution requirement on behalf of Nassau county 55 <del>pursuant to paragraph five-a of this subdivision, or (ii) for the</del> 56 Buffalo fiscal stability authority to pay to the state as soon as prac-

ticable in the months of May and December each year, the percentage of the amount necessary to fulfill the town and village distribution 3 requirement on behalf of Erie county pursuant to paragraph five-a of this subdivision that equates to the percentage of the county net 4 collections that the city of Buffalo and the Buffalo city school district, together, are due in the months of May and December each year, 6 7 or (iii) for the Eric county fiscal stability authority to pay to the state as soon as practicable in the months of May and December each year, the amount necessary to fulfill the town and village distribution 9 requirement on behalf of Eric county pursuant to paragraph five-a of 10 11 this subdivision, less the amount being paid to the state by the Buffalo 12 **fiscal stability authority in each respective month,** and then (i)
13 pursuant to the Nassau county interim finance authority's agreements
14 with the county of Nassau, which agreements shall require the Nassau 15 county interim finance authority to transfer such taxes, penalties and 16 interest remaining after providing for contractual or other obligations of the Nassau county interim finance authority, and subject to any 17 agreement between such authority and the county of Nassau, to the county 18 of Nassau as frequently as practicable; or (ii) pursuant to the Buffalo 19 20 fiscal stability authority's agreements with the city of Buffalo, which 21 agreements shall require the Buffalo fiscal stability authority to 22 transfer such taxes, penalties and interest remaining after providing for contractual or other obligations of the Buffalo fiscal stability 23 24 authority, and subject to any agreement between such authority and the city of Buffalo, to the city of Buffalo or the city of Buffalo school 25 26 district, as the case may be, as frequently as practicable; or (iii) 27 pursuant to the Erie county fiscal stability authority's agreements with 28 the county of Erie, which agreements shall require the Erie county fiscal stability authority to transfer such taxes, penalties and inter-29 30 est remaining after providing for contractual or other obligations of 31 the Erie county fiscal stability authority, and subject to any agreement 32 between such authority and the county of Erie, to the county of Erie as 33 frequently as practicable. During the period that the comptroller is 34 required to make payments to the Nassau county interim finance authority 35 described in the previous sentence, the county of Nassau shall have no 36 right, title or interest in or to such taxes, penalties and interest 37 required to be paid to the Nassau county interim finance authority, except as provided in such authority's agreements with the county of 38 Nassau. During the period that the comptroller is required to make 39 40 payments to the Buffalo fiscal stability authority described in the 41 second previous sentence, the city of Buffalo and such school district 42 shall have no right, title or interest in or to such taxes, penalties 43 and interest required to be paid to the Buffalo fiscal stability author-44 ity, except as provided in such authority's agreements with the city of 45 Buffalo. During the period that the comptroller is required to make 46 payments to the Erie county fiscal stability authority described in the 47 third previous sentence, the county of Erie shall have no right, title 48 or interest in or to such taxes, penalties and interest required to be paid to the Erie county fiscal stability authority, except as provided 49 50 in such authority's agreements with the county of Erie. 51

- § 3. Paragraph 5-a of subdivision (c) of section 1261 of the tax law is REPEALED.
- 53 § 4. Subdivision 5 of section 3657 of the public authorities law, as 54 amended by section 3 of part NN of chapter 55 of the laws of 2020, is 55 amended to read as follows:

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- 5. Tax revenues received by the authority pursuant to section twelve hundred sixty-one of the tax law, together with any other revenues received by the authority, shall be applied in the following order of priority: first pursuant to the authority's contracts with bondholders, then to pay the authority's operating expenses not otherwise provided for, [then to pay to the state pursuant to paragraph three of subdivision (c) of section twelve hundred sixty one of the tax law, and then, subject to the authority's agreements with the county, to transfer the balance of such tax revenues not required to meet contractual or other obligations of the authority to the county as frequently as practicable.
- § 5. Subdivision 5 of section 3865 of the public authorities law, as amended by section 4 of part NN of chapter 55 of the laws of 2020, is amended to read as follows:
- 5. Revenues of the authority shall be applied in the following order of priority: first to pay debt service or for set asides to pay debt service on the authority's bonds, notes, or other obligations and to replenish any reserve funds securing such bonds, notes or other obligations of the authority, in accordance with the provision of any indenture or bond resolution of the authority; then to pay the authority's 20 operating expenses not otherwise provided for; [then to pay to the state 21 pursuant to paragraph three of subdivision (c) of section twelve hundred 22 **sixty-one of the tax law**] and then, subject to the authority's agree-23 ment with the city, for itself or on behalf of the city's dependent school district and any other covered organization, to transfer as frequently as practicable the balance of revenues not required to meet contractual or other obligations of the authority to the city or the city's dependent school district as provided in subdivision seven of this section.
  - 6. Subdivision 5 of section 3965 of the public authorities law, as amended by section 5 of part NN of chapter 55 of the laws of 2020, amended to read as follows:
  - 5. Revenues of the authority shall be applied in the following order of priority: first to pay debt service or for set asides to pay debt service on the authority's bonds, notes, or other obligations and to replenish any reserve funds securing such bonds, notes or other obligations of the authority in accordance with the provision of indenture or bond resolution of the authority; then to pay the authority's operating expenses not otherwise provided for; [then to pay to the state pursuant to paragraph three of subdivision (c) of section twelve hundred sixty-one of the tax law;] and then, subject to the authority's agreements with the county for itself or on behalf of any covered organization to transfer as frequently as practicable the balance of revenues not required to meet contractual or other obligations of the authority to the county as provided in subdivision seven of this section.
    - § 7. This act shall take effect immediately.

46 PART ZZ

47 Section 1. Subdivision 1 of section 924-a of the real property tax law, as amended by chapter 26 of the laws of 2003, is amended to read as 48 follows: 49

1. The amount of interest to be added on all taxes received after the interest free period and all delinquent taxes shall be one-twelfth the 52 rate of interest as determined pursuant to subdivision two or two-a of this section rounded to the nearest one-hundredth of a percentage point, 54 except as otherwise provided by a general or special law, or a local law

adopted by a city pursuant to the municipal home rule law or any special Such interest shall be added for each month or fraction thereof until such taxes are paid; provided however, that notwithstanding any 3 provision of general, special, or local law or resolution to the contrary, in no case shall the interest rate exceed seven and one-half per centum per annum of delinquent tax payments due on owner occupied residential real property containing three or fewer dwelling units; and 7 8 provided further that this limitation shall apply to units held in 9 condominium form; and provided further that such limitation shall apply to all buildings held in cooperative form regardless of owner occupancy 10 status; and provided further that this limitation shall not apply to 11 real property that is vacant and abandoned, as defined in subdivision 12 13 two of section thirteen hundred nine of the real property actions and 14 proceedings law, which was listed on the statewide vacant and abandoned 15 property electronic registry, as defined in section thirteen hundred ten 16 of the real property actions and proceedings law, and remains on such 17 registry.

18 § 2. This act shall take effect immediately.

19 PART AAA

20 Section 1. Short title. This act shall be known and may be cited as 21 the "community violence intervention and prevention act".

§ 2. The executive law is amended by adding a new article 22-A to read as follows:

ARTICLE 22-A

COMMUNITY VIOLENCE INTERVENTION AND PREVENTION ACT

Section 637-a. Legislative findings.

637-b. Definitions.

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637-c. Community violence intervention and prevention grants.

§ 637-a. Legislative findings. The legislature hereby finds and declares that gun violence and other forms of violence constitute a crisis that poses a serious threat to the health and quality of life of all residents of the state of New York. An epidemic of violence is tearing at the fabric of life in many urban areas. The legislature further finds that funds from the Victims of Crime Act should be used to support hospital based violence intervention programs and community based violence intervention programs.

- § 637-b. Definitions. For the purposes of this article, the following terms shall have the following meanings:
- 39 <u>1. "Evidence-based health program" means a program or an initiative</u> 40 <u>that:</u>
- 41 <u>(a) is developed and evaluated through scientific research and data</u>
  42 <u>collection;</u>
- (b) uses public health principles that demonstrate measurable positive outcomes in preventing gun violence; and
  - (c) is implemented by a nonprofit organization or public agency.
- 2. "Evidence-informed health program" means a program, an approach, or an initiative that is:
  - (a) based on public health principles;
- 49 <u>(b) capable of being studied and evaluated through research and data</u> 50 collection:
  - (c) for the purpose of reducing gun violence;
- 52 (d) directed to influence factors determined to affect gun violence;
  53 and
  - (e) implemented by a nonprofit organization or public agency.

- 3. "Hospital-based violence intervention program" means a violence 1 2 intervention program that:
  - (a) is operated by:
  - (i) a hospital; or

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- (ii) an individual or entity in collaboration with a hospital; and
- (b) provides intensive counseling, case management, and social services to individuals who are recovering from injuries resulting from violence or who were witnesses to acts of violence.
- 9 4. "Community-based violence intervention program" means a violence 10 intervention program that is operated by:
  - (a) a nonprofit organization; and
- (b) provides intensive counseling, case management, and social 12 services to individuals who are recovering from injuries resulting from 13 14 violence or who were witnesses to acts of violence.
- 5. "Director" shall mean the head of the office of victim services as 15 16 set forth by section six hundred twenty-two of this chapter.
  - 6. "Crime" shall mean:
- (a) an act committed in New York state which would, if committed by a 18 19 mentally competent criminally responsible adult, who has no legal 20 exemption or defense, constitute a crime as defined in and proscribed by 21 law; or
  - (b) an act committed outside the state of New York against a resident of the state of New York which would be compensable had it occurred within the state of New York and which occurred in a state which does not have an eliqible crime victim compensation program as such term is defined in the federal Victims of Crime Act of 1984; or
  - (c) an act of terrorism, as defined in section 2331 of title 18, United States Code, committed outside of the United States against a resident of New York state.
- 30 7. "Elderly victim" shall mean a person sixty years of age or older 31 who suffers loss or damage as a direct result of a crime.
- § 637-c. Community violence intervention and prevention grants. 32 33 The office of victim services shall promulgate regulations relating to 34 community violence intervention and prevention grants for hospital-based 35 violence intervention programs and community-based violence intervention programs, which shall be funded from the community violence intervention and prevention fund established by section ninety-seven-bbbbb of the 37 state finance law.
  - (a) These regulations shall be designed to promote:
- (i) alternative funding sources other than the state, including local 40 government and private sources as well as funding from the federal 41 42 Victims of Crime Act of 1984;
- (ii) coordination of public and private efforts to aid individuals who 43 are recovering from injuries resulting from violence or who were 44 45 witnesses to acts of violence; and
- 46 (iii) long range development of services to victims of violent crimes 47 in the community, to perpetrators of violent crimes and to witnesses of violent crimes involved in criminal prosecutions. 48
  - (b) These regulations shall also provide for:
  - (i) clearly defined and measurable objectives;
- 51 (ii) evidence that the proposed evidence-based health programs or evidence-informed health programs would likely reduce qun violence; 52
- 53 (iii) a description of how the local government or nonprofit organiza-54 tion proposes to use the funding to reduce rates of gun violence by:
- (A) establishing or enhancing evidence-based health programs or 55 evidence-informed health programs; 56

- (B) enhancing coordination of existing violence intervention and prevention programs, if any, to minimize duplication of services; and
  - (C) a plan for the collection of relevant data; and
- (iv) outreach to the community and education and training of law enforcement and other criminal justice officials to the needs of victims of violent crimes in the community, to perpetrators of violent crimes and to witnesses of violent crimes involved in criminal prosecutions.
- § 3. The state finance law is amended by adding a new section 97-bbbbb to read as follows:
- § 97-bbbbb. Community violence intervention and prevention fund. 1. There is hereby established in the joint custody of the state comptroller and the commissioner of taxation and finance a fund to be known as the "community violence intervention and prevention fund".
- 2. The community violence intervention and prevention fund shall consist of at least ten million dollars or ten percent of all monies received under the federal victims of Crime Act of 1984, whichever is greater; and all monies appropriated, credited, or transferred thereto from any other fund or source pursuant to law.
- 3. Monies of the community violence intervention and prevention fund, following appropriation by the legislature shall be expended only:
  - (a) pursuant to article twenty-two-A of the executive law;
- (b) to support effective violence reduction strategies by providing grants to local governments and nonprofit organizations to fund evidence-based health programs or evidence-informed health programs; and
- (c) for the evaluation of the efficacy of evidence-based health programs or evidence-informed health programs awarded grants through the fund.
- 4. Monies shall be payable from the fund on the audit and warrant of the comptroller on vouchers approved and certified by the commissioner of taxation and finance.
- § 4. If any provision or clause of this act or application thereof to any person or circumstances is held invalid, such invalidity shall not affect other provisions or applications of this act which can be given effect without the invalid provision or application, and to this end the provisions of this act are declared to be severable.
- § 5. This act shall take effect immediately.

37 PART BBB

Section 1. Notwithstanding any provision contained herein or any other law to the contrary, the director of the budget may withhold all or some the amounts appropriated herein in the 2021-22 adopted budget, including amounts that are to be paid on specific dates prescribed in law or regulation, from any local government entity which has a police agency operating with police officers as defined under section 1.20 of the criminal procedure law who, by April 1, 2021, fails to submit to the director of the budget the certification required by Executive Order 203 issued on June 12, 2020 and titled "New York State Police Reform and Reinvention Collaborative". The director of the budget shall discontinue and reverse any withholds made pursuant to this provision upon receipt of the certification required by Executive Order 203. To the extent the state is obligated to make payment to any local government entity which 51 has a police agency operating with police officers as defined under 52 section 1.20 of the criminal procedure law pursuant to any appropri-ation contained herein, such obligation shall be reduced commensurate with the amount of payments withheld for the amount of time such

1 payments are withheld by the director of the budget pursuant to this provision until such time that the certification is provided.

§ 2. This act shall take effect immediately.

## PART CCC

Section 1. This act enacts into law components of legislation that would enable public employers to offer a temporary retirement incentive 7 to their employees, as well as to provide an age 55/25 years temporary incentive for certain public employees. Each component is wholly contained within a Subpart identified as Subparts A and B. The effective 10 date for each particular provision contained within such Subpart is set forth in the last section of such Subpart. Any provision in any section 11 12 contained within a Subpart, including the effective date of the Subpart, 13 which makes reference to a section "of this act", when used in 14 connection with that particular component, shall be deemed to mean and refer to the corresponding section of the Subpart in which it is found, 15 unless noted otherwise. The benefits of this act shall not be applicable 16 to anyone who first became a member of a public retirement system of the 17 18 state on or after January first, two thousand ten.

§ 2. Legislative findings. The legislature finds and declares that the 20 retirement benefits provided for in this act are designed to achieve cost-savings for public employers and to avoid layoffs of public employin this time of fiscal need. Therefore, the retirement incentive 23 benefit provided for in Subpart A of this act and the age 55/25 years retirement benefit provided for in Subpart B of this act are intended only to be temporary in nature for employees who are eligible to receive and qualify for the applicable benefit during the applicable time periods specified within each Subpart. Further, nothing in this act shall be construed to create an expectation of a future or continuing retirement 29 benefit for any public employee who is not eliqible to receive and qual-30 ify for the retirement benefits in this act during the applicable time 31 periods.

32 SUBPART A

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Section 1. Definitions. As used in this act, unless the context clear-34 ly requires otherwise:

"Retirement system" means the New York state and local employees' retirement system, the New York state teachers' retirement system, the New York city teachers' retirement system, the New York city board of education retirement system or the New York city employees' retirement system, exclusive of the retirement plans established pursuant to sections 13-156 and 13-157 of the administrative code of the city of New

- b. "Teachers' retirement system" means the New York state teachers' retirement system or the New York city teachers' retirement system.
- c. "Optional retirement program" means the programs established pursuant to the provisions of section 181, 391 or 6251 of the education law; or continued pursuant to section 3 of chapter 980 of the laws of 1962.
- d. "State employer" means (a) the executive branch of the state, (b) 47 48 the state-operated institutions of the state university of New York, (c) 49 the statutory and contract colleges operated pursuant to section 357 of 50 the education law, (d) the state university construction fund (herein-51 after referred to in this act as the "fund"), (e) a cooperative extension association (hereinafter referred to in this act as the "associ-

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1 ation"), (f) the city university of New York as defined in subdivision 2 of section 6202 of the education law, (g) the unified court system, (h) the senate, (i) the assembly, and (j) joint legislative employers.

- e. (a) "Participating employer" means an employer, other than a state employer, which participates in a retirement system; such term shall include a community college operating under the program of the state university of New York.
- (b) "Educational employer" means a participating employer which is a school district, a board of cooperative educational services, a vocational education and extension board, an institution for the instruction of the deaf and of the blind as enumerated in section 4201 of the education law, or a school district as enumerated in section 1 of chapter 566 of the laws of 1967, as amended.
- f. "Eligible employee" means a person who is a member of a retirement system or a participant in an optional retirement program who is an employee in the executive branch of a state employer or an employee of a state employer or a participating employer which makes an election under this section or section four of this act, but such term shall not include the following persons:
- (a) elected officials, judges or justices appointed to or serving in a court of record and acting village justices;
- (b) chief administrative officers of participating employers which participate in a teachers' retirement system;
- (c) officers described in sections 4, 41-a, 46, 61, 70, 70-a, (including those officers whose salary is established pursuant to salary plans under subdivision 3 of section 169), 180 and subdivision 1 of section 41 of the executive law and any agency or department head appointed by the governor, comptroller or attorney general;
- (d) appointed members of boards or commissions any of whose members are appointed by the governor or by another state officer or body;
- (e) nonjudicial officers and employees of the unified court system unless the chief administrator of the courts elects as provided herein, which election shall cover only nonjudicial officers and employees holding positions in any title in the classified service of the unified court system;
- (f) officers or employees of the senate unless the senate adopts a resolution authorizing the temporary president to file the election as provided in this subdivision;
- (g) officers or employees of the assembly unless the assembly adopts a resolution authorizing the speaker of the assembly to file the election as provided in this subdivision; and
  - (h) officers or employees of joint legislative employers unless:
- (i) with respect to officers or employees of the legislative library, legislative messenger service, legislative health service, legislative ethics commission, the legislative bill drafting commission, and the joint line of the legislative task force on demographic research and reapportionment, the senate and assembly adopt a concurrent resolution authorizing the temporary president of the senate and the speaker of the assembly to jointly file an election as provided in this subdivision;
- (ii) with respect to officers or employees of components of the senate as identified pursuant to section 90 of the legislative law, the senate adopts a resolution authorizing the temporary president to file an election for officers or employees of those components designated in such resolution; and
- (iii) with respect to officers or employees of components of the assembly as identified pursuant to section 90 of the legislative law,

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the assembly adopts a resolution authorizing the speaker of the assembly to file an election for officers or employees of those components designated in such resolution.

Any election under paragraphs (e) through (h) of this subdivision to make available the retirement incentive program provided by this act shall be in writing and filed with the state comptroller not later than ninety days after the effective date of this act. Notwithstanding any other provision of this act, each such filing shall specify the commencement date and the length of the open period. Only one open period shall be made available for employees covered by elections under paragraphs (e) through (h) of this subdivision.

For the purposes of such paragraphs (f), (g) or (h) of this subdivision, an employee of the legislature shall be as such term is defined in section 7-a, 7-b or 7-d of the legislative law or by any other provision of law which classifies employees of an entity to be legislative employees for all purposes, but shall not include senators or members of the assembly. The term "joint legislative employer" shall mean legislative commissions, committees, task forces, councils or similar bodies whose membership is comprised of both senators and assembly members, or which consist of commissioners, or the majority of whose membership is appointed by one or more of the following: the temporary president of the senate, the speaker of the assembly, the minority leader of the senate, and/or the minority leader of the assembly. The temporary president of the senate and the speaker of the assembly shall be the joint legislative employer of the employees of the legislature referred to sections 7-a and 7-b of the legislative law.

"Eligible title" means any title where a certain number of positions in that title, as identified by agency, department, work location or appointing authority, college or campus, as the case may be, would otherwise be identified for layoff but for this act because of economy, consolidation or abolition of functions, curtailment of activities or otherwise. However, an eligible title can also include a title as identified by agency, department, work location or appointing authority in which positions would not be eliminated but into which employees in titles affected by layoff can be transferred or reassigned pursuant to the civil service law, rule or regulation. The determination of eligible titles shall be made by: (a) the appointing authority, subject to the approval of the director of state operations for titles within the executive branch, (b) the board of trustees for the state university (including the association) subject to the approval of the director of state operations, the fund, the city university of New York and of each community college operating under the program of the state university, the person or persons who elect under paragraphs (e) through (h) of subdivision f of this section to offer the retirement incentive provided by this act, and (d) the chief executive officer or other comparable official for participating employers other than the community colleges.

h. "College faculty" means an employee, not in the classified service, a state employer described in paragraphs (b), (c), (d), (e) and (f) of subdivision d of this section or of a community college who is a member of a teachers' retirement system, the New York state and local employees' retirement system or a participant in an optional retirement program.

"Active service" means service while being paid on the payroll, 54 provided that (a) a leave of absence with pay shall be deemed active service; (b) other approved leave without pay not to exceed twelve weeks from February 1, 2021 and the commencement of the designated open peri-

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1 od; and (c) the period of time subsequent to the June 2021 school term and on or before August 31, 2021 for a teacher (or other employee employed on a school-year basis) who is otherwise in active service on 3 February 1, 2021 shall be deemed active service.

- j. "Open period" means the period beginning with the commencement date as defined in subdivision k of this section and shall not be more than 7 ninety days nor less than thirty days in length, as specified by the director of state operations or by a participating employer pursuant to 9 section four of this act, by the appropriate board of trustees for the 10 state university (including the association), the fund, the city univer-11 sity of New York or a community college operating under a program of the state university or by a state employer described in paragraphs (g), 12 13 (h), (i) and (j) of subdivision d of this section; provided however that 14 any such period shall not extend beyond September 30, 2021 for the exec-15 utive branch of a state employer described in paragraphs (a) and (b) 16 subdivision d of this section (except for college faculty), not beyond December 31, 2021 for participating employers, college faculty for a 17 state employer described in paragraph (b) of subdivision d of this 18 19 section, state employers described in paragraphs (c), (d) and (e) of 20 subdivision d of this section, not beyond January 31, 2022 for college 21 faculty of an employer described in paragraph (f) of subdivision d of this section, and not beyond August 31, 2021 for educational employers. 22 For the purposes of retirement pursuant to this act, a service retire-23 ment application must be filed with the appropriate retirement system 24 25 not less than fourteen days prior to the effective date of retirement to 26 become effective, unless a shorter period of time is permitted under 27
- "Commencement date" means the first day the retirement incentive authorized by this act shall be made available, which shall mean a date on or after the effective date of this act to be determined by the director of state operations for the executive branch of the state, and which date shall occur no later than thirty days before September 30, 2021 or for any participating employer a date on or after the effective 34 date of this act. For any other state employer, such term shall mean a date on or after the effective date of this act and shall occur no later than thirty days before September 30, 2021. The director of state operations shall notify the head of the appropriate retirement system of the date of each open period applicable to employees of the executive branch or of a state employer prior to the commencement date.
  - § 2. The determination of whether a title shall be considered eligible shall consider whether the reduction of a specific number of positions within a title would unacceptably:
  - Directly result in a reduction of the level of service required or mandated to protect and care for clients of the state or a participating employer or to assure public health and safety;
  - b. Endanger the health or safety of employees of the state or a participating employer; or
- c. Clearly result in a loss of significant revenue to the state or a participating employer or result in substantially increased overtime or 50 contractual costs. However, upon the determination of the director of 51 state operations, with respect to employees of the executive branch of a 52 state employer, any titles may be determined eligible if the vacancies created can be controlled by the use of transfer or reassignment 54 provisions of the civil service law, rules or regulations or other deployment of state employees.

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§ 3. a. Eliqibility for inclusion in the retirement incentive provided by section six of this act shall be determined: (a) by seniority: for participating employers and for state employers described in paragraphs (a), (b), (c), (d), (e) and (f) of subdivision d of section one of this act, other than for college faculty; seniority shall mean the date of original permanent appointment in the civil service of the state adjusted to include veteran's credits for those entitled to receive such credits pursuant to sections 80, 80-a and 85, if applicable, of the civil service law, as established in the official records of the department of civil service, regardless of the jurisdictional classification the position or the status of the incumbent; (b) by seniority, as applicable for the unified court system; (c) for state employers described in paragraphs (h), (i) and (j) of subdivision d of section one of this act as determined by the person or persons who make the election to offer the retirement incentive; and (d) for college faculty, by the board of trustees of the state university, city university and of each community college operating under the program of the state university.

b. All eligible employees serving in eligible titles desiring to avail themselves of the retirement incentive provided by section six of this act shall provide written notice to his or her employer on or before the twenty-first day preceding the end of the open period, or before the end of the applicable open period as such open period is determined by the director of state operations. Failure to provide such written notice shall render the employee ineligible for the retirement incentive provided by this act.

4. a. On or before August 31, 2021, a participating employer or a state employer described in paragraphs (b), (c), (d), (e) and (f) of subdivision d of section one of this act may elect to provide its employees the retirement incentive authorized by this act by (a) the enactment of a local law or (b) in the case of a participating employer which is not so empowered to act by local law or a state employer described in paragraphs (b), (c), (d), (e) and (f) of subdivision d of section one of this act, by the adoption of a resolution of its governing body; provided however, no local law or resolution enacted pursuant to this section shall in any manner supersede any local charter, provided further, that for an educational employer such election must be made by July 30, 2021. The local law or resolution shall specify the commencement date of the program and the length of the open period. For a community college operating under the program of state university of New York, such election shall be made by the board of trustees of such community college subject to the approval of its sponsor. A copy of such law or resolution shall be filed with the appropriate retirement system or systems, and, if applicable, on forms provided by such system. The local law or resolution shall be accompanied by the affidavit of the chief executive officer or other comparable official certifying to the information contained in subdivision b of this section.

b. Notwithstanding any other provision of law, the benefits provided by this act shall not be made available to any person who (a) has received any retirement incentive authorized by any provision of state law, or (b) who receives, has received or is eligible to receive a payment in a lump sum or in another form from a retirement incentive pursuant to the provisions of a collective bargaining agreement or by other arrangement with his or her employer, unless such person files a 54 written statement with his or her employer, a copy of which shall be forwarded to the appropriate retirement system, that he or she agrees to waive any right to such payment. A participating employer who makes an

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1 election pursuant to this section and who offers or has offered a retirement incentive pursuant to the provisions of a collective bargaining agreement or by other arrangement shall prepare, and file with each retirement system, a list containing the names and social security numbers of all persons described in this subdivision. A participating employer is authorized to exempt persons in its employ from the provisions of paragraph (b) of this subdivision. Such exemption shall be made part of the election made pursuant to this section.

- c. Notwithstanding any other provision of this act to the contrary, the mayor of the city of New York may declare employees of the community colleges of the city university of New York ineligible for the retirement incentive provided by this act by filing such notification with the chancellor of the city university of New York, with copies to the chair senate finance committee, the chair of the assembly ways and means committee and the director of the budget, in writing, no later than the thirtieth day next succeeding the effective date of this act.
- § 5. Notwithstanding any other provision of law, any eligible employee serving in an eligible title who:
- a. has been continuously in the active service of a state employer or of a participating employer from February 1, 2021 to the date immediately prior to the commencement date of the applicable open period;
- b. files an application for service retirement (or files the appropriate application and authorization form with the optional retirement program and a duly acknowledged retirement incentive form for such program with the appropriate personnel office) that is effective during the open period; and
- c. is otherwise eligible for a service retirement as of the effective date of the application for retirement shall be entitled to the retirement incentive provided in section six of this act. If not otherwise eligible for a service retirement, the following person shall be deemed to satisfy the eliqibility condition of this section: a person who is at least age fifty with ten or more years service as of the effective date of retirement (other than a member of a retirement plan which provides for half-pay pension upon completion of twenty-five years or less service without regard to age); a member of a retirement plan which provides for half-pay pension upon completion of twenty-five years of service without regard to age who has not accrued, excluding additional credit granted pursuant to this act, the minimum number of years of service required to retire with an allowance equal to fifty percent of final average salary under such plan, but has, with the inclusion of the additional credit provided under this act, accrued such number of years of credit; or a participant in an optional retirement plan at least fifty years of age with ten years of service on an annual salary basis with his or her employer as of the date of retirement.
- § 6. Notwithstanding any other provision of law, an eligible employee serving in an eligible title who is:
- a. A member of a retirement system and who is entitled to a retirement incentive pursuant to section five of this act shall receive a retirement incentive of one-twelfth of a year of additional retirement credit for each year of pension service credited as of the date of retirement, up to a maximum of three years of retirement service credit at the time of retirement, provided, however, that service credit provided under the provisions of sections 902 and 911 of the retirement and social security law shall not be included when calculating the additional retirement credit awarded pursuant to this act. For the New York city teachers' retirement system, the New York city employees' retirement system and

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the New York city board of education retirement system such incentive shall be available for all purposes, including fulfilling the qualifying service requirements of plan A and C, if applicable.

An eligible employee who is covered by the provisions of article 15 of the retirement and social security law shall retire under the provisions 6 of article 15 of the retirement and social security law. The amount of 7 such benefit for an eligible employee who is covered by article 15 of the retirement and social security law and retires under the provisions 9 of this section (other than a member with thirty or more years of 10 service in the New York state and local employees' retirement system or 11 a teachers' retirement system) shall be reduced by six percent for each 12 the first two years by which retirement precedes age sixty-two, plus 13 a further reduction of three percent for each year by which retirement 14 precedes age sixty, provided, however, the foregoing reductions shall 15 not apply: (i) in any case where an eligible employee can retire after 16 twenty-five years of service with immediate payability prior to the age 17 of sixty-two pursuant to section 604-b of the retirement and social security law or (ii) to any time period subsequent to the point at which 18 19 an eligible employee can retire for service without reduction of his or 20 her service retirement allowance pursuant to article 16 of the retire-21 ment and social security law. Such reduction shall be prorated for partial years. The amount of such benefit for an eligible employee with 22 thirty or more years of service who is a member of the New York state 23 24 and local employees' retirement system or a teachers' retirement system 25 or an eligible employee who is a participant in the optional twenty-five 26 year early retirement program for certain New York city members governed 27 by section 604-c of the retirement and social security law, as added by 28 chapter 96 of the laws of 1995 or a twenty-five year participant in the age fifty-five retirement program governed by section 604-i of the 29 30 retirement and social security law, with twenty-five or more years of 31 service and who is covered by article 15 of the retirement and social 32 security law shall be reduced by five percent for each year by which 33 retirement pursuant to this section precedes age fifty-five. The amount 34 of such benefit for an eligible New York city employee with five or more 35 years of service and who is a participant in the age fifty-seven retire-36 ment program governed by section 604-d of the retirement and social 37 security law shall be reduced by one-thirtieth for the first two years 38 by which retirement precedes age fifty-seven plus a further reduction of 39 one-twentieth for each year by which retirement precedes age fifty-five. Such reduction shall be prorated for partial years. There shall be no 40 41 reduction for an eligible New York city employee in a physically taxing 42 position with twenty-five or more years of service and who is a partic-43 ipant (i) in the optional twenty-five year early retirement program for 44 certain members governed by section 604-c of the retirement and social 45 security law, as added by chapter 96 of the laws of 1995, or (ii) in the 46 age fifty-seven retirement program governed by section 604-d of the 47 retirement and social security law.

An eligible employee serving in an eligible title who is covered by article 11 of the retirement and social security law shall retire under the provisions of such article. The amount of such benefit for an eligible employee covered by article 11 of the retirement and social security law other than a member of a teachers' retirement system or a member of the New York state and local employees' retirement system with thirty or 54 more years of service, a participant in the optional age fifty-five improved benefit retirement program for certain New York city employees governed by section 445-d of the retirement and social security law, as

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1 added by chapter 96 of the laws of 1995, with twenty-five or more years service, or a participant in the optional age fifty-five retirement 3 program for New York city teachers and certain other members governed by section 445-i of the retirement and social security law, with twentyfive or more years of service, shall be reduced by six percent for each the first two years by which retirement pursuant to this section 7 precedes age sixty-two, plus a further reduction of three percent for each year by which retirement pursuant to this section precedes age sixty, provided, however, the foregoing reductions shall not apply: (i) 9 10 in any case where an eligible employee can retire pursuant to a plan 11 which permits retirement for service with immediate payability, exclusive of this act, prior to the age of fifty-five or (ii) to any time 12 13 period subsequent to the point at which an eligible employee can retire 14 for service without reduction of his or her service retirement allowance 15 pursuant to article 16 of the retirement and social security law. Such 16 reduction shall be prorated for partial years. The amount of such bene-17 fit for an eligible employee who is a member of a teachers' retirement system or a member of the New York state and local employees' retirement 18 19 system with thirty or more years of service, a participant in the 20 optional age fifty-five improved benefit retirement program for certain 21 New York city employees governed by section 445-d of the retirement and social security law, as added by chapter 96 of the laws of 1995, with 22 twenty-five or more years of service, or a participant in the optional 23 24 age fifty-five retirement program for New York city teachers and certain 25 other members governed by section 445-i of the retirement and social 26 security law, with twenty-five or more years of service and who is 27 covered by article 11 of the retirement and social security law shall be reduced by five percent for each year by which retirement pursuant to 28 29 this section precedes age fifty-five. Such reduction shall be prorated 30 for partial years. There shall be no reduction for an eligible New York 31 city employee in a physically taxing position and who is a participant 32 in the optional age fifty-five improved benefit retirement program for 33 certain New York city employees governed by section 445-d of the retire-34 ment and social security law, as added by chapter 96 of the laws of 35 1995, with twenty-five or more years of service. 36

An eligible employee serving in an eligible title who is not covered by article 11 or 15 of the retirement and social security law shall retire under the provisions of the plan by which he or she is covered. The amount of such benefit shall be reduced by five percent for each year by which retirement pursuant to this section precedes age fifty-five, provided, however, the foregoing reductions shall not apply: (i) in any case where an eligible employee can retire pursuant to a plan which permits retirement for service with immediate payability, exclusive of this act, prior to the age of fifty-five or (ii) to any time period subsequent to the point at which an eligible employee can retire for service without reduction of his or her service retirement allowance pursuant to article 16 of the retirement and social security law. Such reduction shall be prorated for partial years.

An eligible employee serving in an eligible title who participates in a retirement plan which provides for a retirement allowance equal to fifty percent of final average salary upon the completion of twenty-five years of service without regard to age and who is otherwise eligible to retire shall retire under the provisions of such plan. Such employee shall, at the time of retirement, be credited with one-twelfth of a year of additional retirement service credit for each year of service credited under such plan as of the date of retirement, up to a maximum of

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three years of retirement service credit, subject to the provisions of subdivision b of this section. If such employee has not accrued, exclud-3 ing additional credit granted pursuant to this act, the minimum number of years of service required to retire with an allowance equal to fifty percent of final average salary under such plan, but has, with the inclusion of the additional credit provided under this act, accrued such number of years of credit, the benefit payable shall be the percentage of final average salary that would ordinarily be applicable to such 9 individual upon retirement with such amount of credit (including incen-10 tive credit), reduced by five per centum per year for each year by which 11 the number of years of service otherwise required to retire with an allowance equal to fifty percent of final average salary under such plan 12 13 exceeds the amount of service credited to such employee under such plan 14 at retirement (excluding the additional retirement incentive service 15 credit provided pursuant to this act). Such reduction shall be prorated 16 for partial years.

b. A participant in an optional retirement program who is entitled to a retirement incentive pursuant to section five of this act shall receive an additional employer contribution equal to an amount, which shall be calculated as follows: (one-twelfth for each year of service) multiplied by (fifteen percent) multiplied by (the employee's earnable annual salary rate in effect on March 1, 2021 or the effective date of this act if the employee retires prior to March 1, 2021), such amount not to exceed forty-five percent of such salary rate. Such contribution shall be made to the employee's retirement annuity under the optional retirement program up to the maximum contribution allowable under section 415 of the internal revenue code. Any contribution in excess of that limit shall be contributed by the employer to an internal revenue code section 403(b) contract on behalf of the employee to the extent it can be contributed on a before-tax basis under the maximum limits allowed under the internal revenue code. Contributions in excess of that amount shall be paid in cash to the participant in three equal installments during a twenty-four month period commencing on such eligible employee's effective date of retirement. Provided, however, if the employee is employed by the city university of New York and in the active service of such employer on October 1, 2021 or the effective date of this act if the employee retires prior to October 1, 2021, the employee's earnable annual salary rate shall be the annual salary rate in effect on such applicable date.

§ 7. a. An employee of a state employer, other than the city university of New York, who retires pursuant to this act may defer calculation of the value of accumulated sick leave credits, if any, and participation in the state health insurance plan.

b. Notwithstanding any other provision of law, any termination pay or leave arising from accrued sick leave or accrued annual leave for an eligible employee who has elected the retirement incentive provided by this act and who is a member of the New York city teachers' retirement system employed by the board of education of the city of New York shall be paid in three equal installments during a twenty-four month period commencing on such eligible employee's effective date of retirement.

c. An employee of the city of New York or the city university of New York, as defined in subdivision 2 of section 6202 of the education law, who retires under the retirement incentive provided by this act, who is eligible for terminal leave pursuant to an applicable collective bargaining agreement or a personnel policy or rule or retirement leave pursuant to section 3107 of the education law or who has an accrued

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1 annual leave balance on the effective date of retirement shall be paid in three equal installments two months, fourteen months and twenty-four months following such eligible employee's effective date of retirement.

- § 8. a. With respect to employees of the executive branch of a state employer, any position, other than a position supported by special revenue funds, vacated as a result of an eligible employee in an eligible title receiving the retirement incentive provided by section six of this act shall be eliminated unless such position is identified by the director of state operations as one into which another state employee can be appointed, transferred or reassigned pursuant to the civil service law, rules or regulations, in which case the former position of the state employee so appointed, transferred or reassigned shall be eliminated.
- b. The director of state operations shall direct the department of civil service to prepare a report designating the title, grade level, salary, and classification, according to appointing authority, (i) of each position which is eliminated pursuant to subdivision a of this section, (ii) of each position into which another state employee appointed, transferred, or reassigned and the former position of such state employee, and (iii) of each position which is eliminated as a result of an appointment, transfer or reassignment referred to in paragraph (ii) of this subdivision. Such report shall be available no later than ninety days after the last date of the open period related to such positions.
- § 9. Notwithstanding any inconsistent provision of section eight of this act or any other provision of law:
- a. A participating employer or a state employer described in paragraphs (b) through (e) of subdivision d of section one of this act shall not be required to eliminate the positions of eligible employees in eligible titles receiving the retirement incentive provided by section six of this act if such employer can demonstrate that it will achieve a compensation savings such that the total amount of base salary paid for the two-year period subsequent to the effective date of retirement for 34 such eligible employees in eligible titles to those new hires, if any, who otherwise would not have been hired by such employer after the effective date of this act but for the retirement incentive provided herein shall be no more than one-half of the total amount of base salary that would have been paid to such eligible employees from their date of retirement for such two-year period. Each such employer shall make available its plans for achieving these savings.
- b. The city of New York or the city university of New York, as defined in subdivision 2 of section 6202 of the education law, shall not be 43 required to eliminate the positions of eligible employees in eligible titles receiving the retirement incentive provided by section six of this act if such participating employer can demonstrate that it will achieve a compensation or equivalent headcount savings such that the total amount of compensation including benefits paid for the two-year period subsequent to the effective date of retirement for such eligible employees in eligible titles to those new hires, if any, who otherwise would not have been hired by such employer after the effective date of this act but for the retirement incentive provided herein shall be no more than one-half of the total amount of base salary that would have been paid to such eligible employees from their date of retirement for such two-year period. For purposes of this subdivision, the "city of New 55 York" shall mean the city of New York or a participating employer a majority of the members of whose governing body are: (a) appointed by

the mayor of the city of New York or other citywide elected official, a borough president of the city of New York, or any combination thereof; (b) designated by virtue of their city of New York office or position or their office or position with a participating employer whose governing board is described in paragraph (a) of this subdivision; or (c) appointed or designated by any combination of the foregoing. Each such employer shall make available its plans for achieving these savings.

- c. To the extent any transfer of personnel between the state employer described in paragraph (a) of subdivision d of section one of this act and the state employer described in paragraph (b) of subdivision d of section one of this act occurs pursuant to a voluntary transfer of state personnel, or otherwise, the provisions of subdivision a of this section with respect to achieving savings shall be applicable. Nothing herein shall be construed to impair the authority of the director of state operations pursuant to subdivision g of section one or section two of this act.
- § 10. Nothing in this act shall be used to provide benefits that shall exceed the limits contained in section 415 of the internal revenue code. Provided, however, any service retirement benefit which has been reduced because of section 415 of the internal revenue code shall be increased when (and consistent with) the dollar limits in section 415 of the internal revenue code are adjusted by the internal revenue service for cost of living increases. Such increases shall not increase the benefit in excess of the service retirement benefit otherwise payable.
- § 11. Any eligible employee who retires pursuant to the provisions of this act and enters or reenters public service as defined in subdivision e of section 210 of the retirement and social security law and joins or rejoins any public retirement system of the state as defined in subdivision 6 of section 152 of the retirement and social security law or elects to participate in an optional retirement program shall if the additional benefit was provided pursuant to: (a) subdivision a of section six of this act, forfeit the additional benefit authorized by this act at the time of his or her subsequent retirement; or (b) subdivision b of section six of this act, repay to the state or participating employer such additional contribution together with the appropriate interest as determined by the state comptroller.
- § 12. Notwithstanding any other provision of law, if the service retirement benefit of a member of a retirement system is subject to a maximum retirement benefit, the additional benefit authorized by this act will be computed by multiplying the final average salary times the number of years of service credit granted by section six of this act times the benefit fraction of the plan under which such member retires.
- § 13. The provisions of section 430 of the retirement and social secu-44 rity law shall not apply to any benefit or benefit improvement provided 45 by this act.
  - § 14. The pension benefit costs of subdivision a of section six of this act shall be paid by employers as provided by applicable law for each retirement system covered by this act over a period not to exceed five years commencing in the state fiscal year ending March 31, 2023.
  - § 15. Where an employee is eligible to receive the benefit authorized under section six and the retirement benefit provided for under section five of subpart B of part CCC of the chapter of the laws of 2021 which added this subpart, such employee may elect a section under which he or she will participate.
    - § 16. This act shall take effect immediately.

1 SUBPART B

Section 1. Definitions. As used in this act, unless the context clearly requires otherwise:

- a. "Retirement system" means the New York state and local employees' retirement system, the New York state teachers' retirement system, the New York city teachers' retirement system, the New York city board of education retirement system or the New York city employees' retirement system, exclusive of the retirement plans established pursuant to sections 13-156 and 13-157 of the administrative code of the city of New York.
- 11 b. "Teachers' retirement system" means the New York state teachers' 12 retirement system or the New York city teachers' retirement system.
  - c. "State employer" means (a) the executive branch of the state, (b) the state-operated institutions of the state university of New York, (c) the statutory and contract colleges operated pursuant to section 357 of the education law, (d) the state university construction fund (hereinafter referred to in this act as the "fund"), (e) a cooperative extension association (hereinafter referred to in this act as the "association"), and (f) the city university of New York as defined in subdivision 2 of section 6202 of the education law, (g) the unified court system, (h) the senate, (i) the assembly, and (j) joint legislative employers.
  - d. (a) "Participating employer" means an employer, other than a state employer, which participates in a retirement system; such term shall include a community college operating under the program of state university of New York.
  - (b) "Educational employer" means a participating employer which is a school district, a board of cooperative educational services, a vocational education and extension board, an institution for the instruction of the deaf and of the blind as enumerated in section 4201 of the education law, or a school district as enumerated in section 1 of chapter 566 of the laws of 1967, as amended.
  - e. "Eligible employee" means a person who is a member of a retirement system who is an employee in the executive branch of a state employer or an employee of a state employer or a participating employer who has attained age fifty-five and has at least twenty-five years of creditable service in a retirement system, but such term shall not include the following persons:
  - (a) elected officials, judges or justices appointed to or serving in court of record and acting village justices;
  - (b) chief administrative officers of participating employers which participate in a teachers' retirement system;
  - (c) officers described in sections 4, 41-a, 46, 61, 70, 70-a, 169 (including those officers whose salary is established pursuant to salary plans under subdivision 3 of section 169), 180 and subdivision 1 of section 41 of the executive law and any agency or department head appointed by the governor, comptroller or attorney general;
  - (d) appointed members of boards or commissions any of whose members are appointed by the governor or by another state officer or body;
- (e) nonjudicial officers and employees of the unified court system unless the chief administrator of the courts elects as provided herein, which election shall cover only nonjudicial officers and employees holding positions in any title in the classified service of the unified court system;

 (f) officers or employees of the senate unless the senate adopts a resolution authorizing the temporary president to file the election as provided in this subdivision;

- (g) officers or employees of the assembly unless the assembly adopts a resolution authorizing the speaker of the assembly to file the election as provided in this subdivision; and
  - (h) officers or employees of joint legislative employers unless:
- (i) with respect to officers or employees of the legislative library, legislative messenger service, legislative health service, legislative ethics committee, the legislative bill drafting commission, and the joint line of the legislative task force on demographic research and reapportionment, the senate and assembly adopt a concurrent resolution authorizing the temporary president of the senate and the speaker of the assembly to jointly file an election as provided in this subdivision;
- (ii) with respect to officers or employees of components of the senate as identified pursuant to section 90 of the legislative law, the senate adopts a resolution authorizing the temporary president to file an election for officers or employees of those components designated in such resolution; and
- (iii) with respect to officers or employees of components of the assembly as identified pursuant to section 90 of the legislative law, the assembly adopts a resolution authorizing the speaker of the assembly to file an election for officers or employees of those components designated in such resolution.

Any election under paragraphs (e) through (h) of this subdivision to make available the retirement incentive provided by this act shall be in writing and filed with the state comptroller not later than ninety days after the effective date of this act. Notwithstanding any other provision of this act, each such filing shall specify the commencement date of the open period.

For the purposes of such paragraph (f), (g) or (h) of this subdivision, an employee of the legislature shall be as such term is defined in section 7-a, 7-b or 7-d of the legislative law or by any other provision of law which classifies employees of an entity to be legislative employees for all purposes, but shall not include senators or members of the assembly. The term "joint legislative employer" shall mean legislative commissions, committees, task forces, councils or similar bodies whose membership is comprised of both senators and assembly members, or which consist of commissioners, or the majority of whose membership is appointed by one or more of the following: the temporary president of the senate, the speaker of the assembly, the minority leader of the senate, and/or the minority leader of the assembly. The temporary president of the senate and the speaker of the assembly shall be the joint legislative employer of the employees of the legislature referred to in sections 7-a and 7-b of the legislative law.

- f. "College faculty" means an employee, not in the classified service, of a state employer described in paragraphs (b), (c), (d), (e) and (f) of subdivision c of this section or of a community college who is a member of a teachers' retirement system, or the New York state and local employees' retirement system.
- g. "Active service" means service while being paid on the payroll, provided that (a) a leave of absence with pay shall be deemed active service; (b) other approved leave without pay not to exceed twelve weeks from February 1, 2021 and the commencement of the designated open period; and (c) the period of time subsequent to the June 2021 school term and on or before August 31, 2021 for a teacher (or other employee

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employed on a school-year basis) who is otherwise in active service on the effective date of this act shall be deemed active service.

h. "Open period" means the period beginning with the commencement date as defined in subdivision i of this section and shall be ninety days in length; provided however that there shall be only one such open period and any such period shall not extend beyond September 30, 2021 for a state employer and December 31, 2021 for a participating employer. For educational employers who make election after June 1, 2021, the open period shall begin immediately after such election, and shall not extend beyond August 31, 2021. For the purposes of retirement pursuant to this act, a service retirement application must be filed with the appropriate retirement system not less than fourteen days prior to the effective date of retirement to become effective, unless a shorter period of time is permitted under law.

i. "Commencement date" means the first day the retirement benefit mandated by this act shall be made available, which shall mean a date or dates on or after the effective date of this act to be determined by the director of state operations for the executive branch of the state, or for any other state employer or any participating employer which elects to participate pursuant to section three of this act a date on or after the effective date of this act; provided, however, that for an educational employer which elects to participate pursuant to section three of this act, the commencement date shall be June 1, 2021; or immediately after election of the retirement incentive for educational employers who elect after June 1, 2021 and provided, further that for participating employers which elect to participate pursuant to section three of this act, except the city of New York and participating employers which are not empowered to act by local law, the commencement date shall be October 1, 2021. The director of state operations shall notify the head of the appropriate retirement system of the date of the open period applicable to employees of the executive branch or of a state employer prior to the commencement date.

33 2. a. A state employer which elects to participate pursuant to 34 section three of this act, participating employer which is not empowered 35 to act by local law which elects to participate pursuant to section 36 three of this act, or the city of New York, if it elects to participate 37 pursuant to section three of this act shall establish a commencement 38 date for the retirement benefit established under section five of this 39 act in the following manner: (a) for the executive branch, the director state operations shall establish the commencement date in writing to 40 the appropriate retirement system; (b) for state employers described in 41 paragraphs (b), (c), (d), (e) and (f) of subdivision c of section one of 42 43 this act and participating employers that are not empowered to act by local law, its governing body shall adopt a resolution establishing a 44 45 commencement date; (c) for state employers described in paragraphs (g), 46 (h), (i) and (j) of subdivision c of section one of this act, the person 47 or persons who make the election to offer the retirement incentive 48 pursuant to subpart A of part CCC of the chapter of the laws of 2021 which added this subpart shall establish a commencement date in writing 49 50 to the appropriate retirement system; and (d) for the city of New York, 51 the chief executive officer shall issue an executive order establishing 52 the commencement date, provided, however, no executive order, in the case of the city of New York issued pursuant to this section, 54 any manner supersede any local charter. A copy of any such resolution or 55 executive order in the case of the city of New York establishing a commencement date shall be filed with the appropriate retirement system

or systems, and, if applicable, on forms provided by such system. The resolution or executive order in the case of the city of New York shall be accompanied by the affidavit of the chief executive officer or other comparable official certifying the commencement date.

- b. A state employer, participating employer which is not empowered to act by local law which elects to participate pursuant to section three of this act, or the city of New York if it elects to participate pursuant to section three of this act shall be required to establish a commencement date under paragraph a of this subdivision for the retirement benefit established under section five of this act. In the event that a state employer, participating employer which is not empowered to act by local law which elects to participate pursuant to section three of this act, or the city of New York if it elects to participate pursuant to section three of this act fails to establish a commencement date for the retirement benefit established under section five of this act, the commencement date for the eligible employees of a state employer shall be July 1, 2021. The commencement date for the eligible employees of all other employers referenced in this subdivision shall be September 1, 2021.
- 3. On or before September 1, 2021, a participating employer or a state employer described in paragraphs (b), (c), (d), (e) and (f) subdivision c of section one of this act may elect to provide its employees the retirement incentive authorized by this act by (a) the enactment of a local law or (b) in the case of a participating employer which is not so empowered to act by local law or a state employer described in paragraphs (b), (c), (d), (e) and (f) of subdivision c of section one of this act, by the adoption of a resolution of its governing body; provided however, no local law or resolution enacted pursuant to this section shall in any manner supersede any local charter, provided further, that for an educational employer such election must be made by July 1, 2021. For a community college operating under the program of state university of New York, such election shall be made by the board of trustees of such community college subject to the approval of its sponsor. A copy of such law or resolution shall be filed with the appropriate retirement system or systems, and, if applicable, on forms provided by such system. The local law or resolution shall be accompanied by the affidavit of the chief executive officer or other comparable official certifying the validity of such local law or resolution. executive branch of the state shall be deemed to have made an election under this section upon its enactment.
- § 4. Notwithstanding any other provision of law, any eligible employee who (a) has been continuously in the active service of a state employer or of a participating employer from February 1, 2021 to the date immediately prior to the commencement date of the applicable open period, (b) files an application for service retirement that is effective during the open period, and (c) is otherwise eligible for a service retirement as of the effective date of the application for retirement shall be entitled to the retirement benefit provided in section five of this act.
- § 5. a. Notwithstanding any other provision of law, an eligible employee who is: (a) a member of a retirement system and (b) who is entitled to a retirement benefit pursuant to section four of this act may retire during the open period without the reduction of his or her retirement benefit that would otherwise be imposed by article 11 or 15 of the retirement and social security law if he or she has attained the age of fifty-five and has completed at least twenty-five or more years of creditable service. An eligible employee who is covered by the

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1 provisions of articles 11 and 15 of the retirement and social security law shall retire under the provisions of articles 11 and 15 of retirement and social security law.

- b. The director of state operations, the chief executive officer of the city of New York, or chief executive officer or governing board, as appropriate, of the participating employer may deny participation in the retirement benefit provided by subdivision a of this section if the director of state operations, the chief executive officer of New York city or the chief executive officer or governing board of the participating employer makes a determination that the employee holds a position that is deemed critical to the maintenance of public health and safety.
- c. Where an employee is eligible for the retirement benefit under this section and the retirement incentive authorized pursuant to section six subpart A of part CCC of the chapter of the laws of 2021 which added this subpart, such employee shall elect a section under which he or she will participate. The benefits provided by subdivision a of this section shall not be conditioned upon a state or participating employer making the benefits of section six of subpart A of this part available to employees in their employ. Further, the benefits provided by subdivision a of this section shall not be available in conjunction with the benefits of section six of subpart A of part CCC of the chapter of the of 2021 which added this subpart.
- d. The action of the director of state operations, the chief executive officer of the city of New York, or chief executive officer or governing board, as appropriate, of the participating employer in denying the retirement benefit provided for in subdivision a of this section to any individual shall be subject to review in the manner provided for in article 78 of the civil practice law and rules. Such action for review pursuant to article seventy-eight of the civil practice law and rules shall only be commenced by the individual that was denied the retirement benefit provided by subdivision a of this section.
- e. After making any such determination under subdivision b of this section, the director of state operations, the chief executive officer 34 of the city of New York and the chief executive officer or governing board, as appropriate, of the participating employer shall notify the appropriate retirement system or teachers' retirement system of its determination.
  - The pension benefit costs of section five of this act shall be paid by employers as provided by applicable law for each retirement system covered by this act over a period not to exceed five years commencing in the state fiscal year ending March 31, 2023.
    - § 7. This act shall take effect immediately.
  - § 3. Severability clause. If any clause, sentence, paragraph, subdivision, section or subpart of this act shall be adjudged by any court competent jurisdiction to be invalid, such judgment shall not affect, impair, or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, section or subpart thereof directly involved in the controversy in which such judgment shall have been rendered. It is hereby declared to be the intent of the legislature that this act would have been enacted even if such invalid provisions had not been included herein.
- 53 This act shall take effect immediately; provided, however, that 54 the applicable effective date of Subparts A and B of this act shall be as specifically set forth in the last section of such Subparts.

FISCAL NOTE. -- Pursuant to Legislative Law, Section 50:

This bill would provide a temporary retirement incentive for fiscal year 2021-2022 for eligible members of the New York State Teachers' Retirement System (NYSTRS).

Subpart A of the retirement incentive would provide certain eligible employees of employers who elect to participate a retirement incentive of one-twelfth of a year of additional service credit per year of accrued service credit up to a maximum of three additional years. To be eligible, a member must be eligible to retire, or have attained age 50 or greater, with at least ten years of service. Members subject to an early retirement reduction and less than age 55 at retirement will have their benefit further reduced by 3% per year prior to age 55. Members not subject to an early retirement reduction and less than age 55 at retirement will have their benefit reduced by 5% per year prior to age 55.

Subpart B of the retirement incentive would permit eligible Tier 2, 3 and 4 members of employers who elect to participate to retire without early retirement reductions upon attainment of at least age 55 with 25 years of service. Currently 30 years of service are required.

In order to receive either the Subpart A or Subpart B benefit, an eligible member of an employer who has elected to participate must retire during the employer's designated open period. For Subpart A, such open period shall be at least 30 but not more than 90 days in length and for educational employers, shall not extend beyond August 31, 2021. For Subpart B, the open period shall begin immediately after the election to participate and shall not extend beyond August 31, 2021. Members may not receive a benefit under both Subpart A and Subpart B. Employers participating in Subpart A or Subpart B (or both) would pay the cost of the retirement incentive over a period not to exceed five years, beginning in the state fiscal year ending March 31, 2023.

It is not possible to accurately forecast the total cost to the New York State Teachers' Retirement System employers electing to participate in this retirement incentive because the number of eligible members electing to retire under the incentive, their ages and the amount of service credited cannot be readily estimated. The Subpart A cost, measured as the increase in the present value of benefit per participating member, however, will range from 5% to approximately 250% of final average salary, depending on the member's age, years of service, and tier at retirement. The Subpart B cost per participating member will range from 3% to approximately 200% of final average salary, depending on the member's age, years of service, and tier at retirement. The potential number of members eligible to benefit under Subpart A is much greater than under Subpart B.

Member data is from the System's most recent actuarial valuation files, consisting of data provided by the employers to the Retirement System. Data distributions and statistics can be found in the System's Comprehensive Annual Financial Report (CAFR). System assets are as reported in the System's financial statements, and can also be found in the CAFR. Actuarial assumptions and methods are provided in the System's Actuarial Valuation Report.

The source of this estimate is Fiscal Note 2021-9 dated February 3, 2021 prepared by the Actuary of the New York State Teachers' Retirement System and is intended for use only during the 2021 Legislative Session. I, Richard A. Young, am the Actuary for the New York State Teachers' Retirement System. I am a member of the American Academy of Actuaries and I meet the Qualification Standards of the American Academy of Actuaries to render the actuarial opinion contained herein.

FISCAL NOTE. -- Pursuant to Legislative Law, Section 50:

This bill would provide additional service credit (one-twelfth of a year for each year of non-sick leave, non-Article 19 service credited as of the date of retirement, up to a maximum of three years) for certain members of the New York State and Local Employees' Retirement System, New York State Teachers Retirement System, New York City Teachers Retirement System, New York City Board of Education and the New York City Employees' Retirement System. Further, for certain members who are not otherwise eligible for a service retirement benefit, this bill would provide the ability to retire with reductions. This benefit would be available to only targeted positions.

In addition, this bill would eliminate the early retirement reductions at 25 years of service instead of at 30 years of service for retirement during a specified 90 day period for Tier 2, 3 and 4 members of the New York State and Local Employees' Retirement System, New York State Teachers Retirement System, New York City Teachers Retirement System, New York City Board of Education and the New York City Employees' Retirement System. Employers electing this provision can declare health and safety positions to be ineligible.

Retiring members may not receive both the additional service credit and the elimination of the early retirement reductions at 25 years of service instead of at 30 years of service.

If this bill is enacted, insofar as it affects the New York State and Local Employees' Retirement System (ERS), the additional cost for each member who receives these benefits will vary depending on the member's age, years of service, retirement plan and final average salary.

We anticipate that the per-member cost (at retirement) of the additional service credit benefit will average approximately 65% of a member's final average salary. This cost will be borne by each employer electing the incentive over a period not to exceed five years commencing with a payment in the State fiscal year ending March 31, 2023.

We anticipate that the per-member cost (at retirement) of the elimination of the early retirement reductions at 25 years of service instead of at 30 years of service will average approximately 115% of a member's final average salary. This cost will be borne by each employer electing the incentive over a period not to exceed five years commencing with a payment in the State fiscal year ending March 31, 2023.

Summary of relevant resources:

Membership data as of March 31, 2020 was used in measuring the impact of the proposed change, the same data used in the April 1, 2020 actuarial valuation. Distributions and other statistics can be found in the 2020 Report of the Actuary and the 2020 Comprehensive Annual Financial Report.

The actuarial assumptions and methods used are described in the 2020 Annual Report to the Comptroller on Actuarial Assumptions, and the Codes, Rules and Regulations of the State of New York: Audit and Control.

The Market Assets and GASB Disclosures are found in the March 31, 2020 New York State and Local Retirement System Financial Statements and Supplementary Information.

I am a member of the American Academy of Actuaries and meet the Qualification Standards to render the actuarial opinion contained herein.

This fiscal note does not constitute a legal opinion on the viability of the proposed change nor is it intended to serve as a substitute for the professional judgment of an attorney.

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This estimate, dated December 30, 2020, and intended for use only during the 2021 Legislative Session, is Fiscal Note No. 2021-7, prepared by the Actuary for the New York State and Local Retirement System.

- § 2. Severability clause. If any clause, sentence, paragraph, subdivision, section or part of this act shall be adjudged by any court of competent jurisdiction to be invalid, such judgment shall not affect, impair, or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, section or part thereof directly involved in the controversy in which such judgment shall have been rendered. It is hereby declared to be the intent of the legislature that this act would have been enacted even if such invalid provisions had not been included herein.
- 10 § 3. This act shall take effect immediately provided, however, that 11 the applicable effective date of Parts A through CCC of this act shall 12 be as specifically set forth in the last section of such Parts.