

# STATE OF NEW YORK

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4859

2021-2022 Regular Sessions

## IN ASSEMBLY

February 8, 2021

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Introduced by M. of A. GOODELL, BRABENEC, FRIEND, HAWLEY, MORINELLO --  
Multi-Sponsored by -- M. of A. DiPIETRO, J. M. GIGLIO -- read once and  
referred to the Committee on Social Services

AN ACT to amend the social services law, in relation to additional  
options for local social services districts to implement effective  
welfare-to-work programs; and to repeal section 341 of such law relat-  
ing thereto

The People of the State of New York, represented in Senate and Assem-  
bly, do enact as follows:

1 Section 1. Legislative intent. The legislature hereby finds that it  
2 is in the public interest to assist public assistance recipients to  
3 obtain job training or work experience as a means of enhancing their  
4 ability to obtain employment, thereby increasing their financial inde-  
5 pendence and self-sufficiency and improving their standard of living. By  
6 providing local social services districts with additional flexibility  
7 and more options for the administration of the welfare-to-work program,  
8 local social services districts will be able to intervene and seek  
9 conciliation quickly in the event that a public assistance recipient  
10 fails to attend or participate in designated training or work experi-  
11 ence, thereby maximizing the opportunity for the recipient to success-  
12 fully participate in these programs. In the event an able-bodied public  
13 assistance recipient refuses to participate in these programs without  
14 good cause, local social services districts would have the ability to  
15 conduct a fair hearing using video conferencing equipment, thus minimiz-  
16 ing the cost to taxpayers for recipients who are unwilling to partic-  
17 ipate without good cause.

18 § 2. Section 341 of the social services law is REPEALED and a new  
19 section 341 is added to read as follows:

20 § 341. Conciliation; refusal to participate. 1. Notice of noncompli-  
21 ance. Consistent with federal law and regulations and this title, if a  
22 participant has failed or refused to comply with the requirements of

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 this title, the social services district shall notify the participant,  
2 verbally or in writing, in plain language that such failure or refusal  
3 has taken place, the specific instance or instances of refusal or fail-  
4 ure to comply, and the necessary actions that must be taken to avoid a  
5 pro-rata reduction in public assistance benefits. The notice shall also  
6 include an explanation in plain language of what would constitute good  
7 cause for non compliance and examples of acceptable forms of evidence  
8 that may warrant an exemption from work activities, including evidence  
9 of domestic violence, and physical or mental health limitations to  
10 demonstrate such good cause for failure to comply with the requirements  
11 of this title. If the notification was verbal, the social services  
12 district shall promptly send the participant written confirmation there-  
13 of.

14 2. Right to conciliation. (a) At the option of the social services  
15 district the social services district may engage in conciliation efforts  
16 with the participant at the same time as the verbal notice of noncompli-  
17 ance in an effort to resolve the reasons for any failure or refusal of  
18 the participant to comply with the requirements of this title and to  
19 enable the participant to avoid a pro-rata reduction in public assist-  
20 ance benefits for a period of time set forth in section three hundred  
21 forty-two of this title. It will be the responsibility of the partic-  
22 ipant to give reasons for such failure or refusal to comply with the  
23 requirements of this title. If the social services district determines  
24 as a result of such conciliation process that such failure or refusal  
25 was not willful and was for good cause, no further action shall be  
26 taken.

27 (b) If the conciliation effort was not undertaken at the same time as  
28 the verbal notice of noncompliance or in the event the notice of noncom-  
29 pliance was in writing, then the social services district must provide  
30 the participant with written notice that the participant has seven days  
31 to request conciliation with the district regarding such failure or  
32 refusal in the case of a safety net participant and ten days in the case  
33 of a family assistance participant. If such participant contacts the  
34 social services district within seven days in the case of a safety net  
35 participant or within ten days in the case of a family assistance  
36 participant, it will be the responsibility of the participant to give  
37 reasons for such failure or refusal. Unless extended by mutual agreement  
38 of the participant and the social services district, conciliation shall  
39 terminate and a determination shall be made within fourteen days of the  
40 date a request for conciliation is made in the case of a safety net  
41 participant or within thirty days of the conciliation notice in the case  
42 of a family assistance participant.

43 3. Conciliation procedure. (a) The office of temporary and disability  
44 assistance shall establish in regulations a conciliation procedure for  
45 the resolution of disputes related to an individual's participation in  
46 programs pursuant to this title.

47 (b) The social services district shall contract with an independent  
48 entity, approved by the office of temporary and disability assistance,  
49 or shall use designated trained staff at the supervisory level who have  
50 no direct responsibility for the participant's case to mediate disputes  
51 in the conciliation conference. If no such supervisory staff or inde-  
52 pendent entity is available, the social services district may designate  
53 another trained individual, who has no direct responsibility for the  
54 participant's case to mediate disputes in the conciliation conference.

55 (c) If a participant's dispute cannot be resolved through such concil-  
56 iation procedure, a fair hearing or an opportunity for a fair hearing

1 shall be provided, as set forth in this section. No sanction relating to  
2 the subject dispute may be imposed during the conciliation process.

3 4. Right to a fair hearing. If the social services district deter-  
4 mines as the result of such conciliation process that such failure or  
5 refusal was willful and without good cause, or in the event that the  
6 participant does not contact the social services district within the  
7 specified number of days to request conciliation, then the district  
8 shall provide the participant with a ten day written notice, in plain  
9 language and in a manner distinct from any previous notice, of its  
10 intent to discontinue or reduce assistance. Such notice shall include  
11 the reasons for such determination, the specific instance or instances  
12 of willful refusal or failure to comply without good cause with the  
13 requirements of this title, and the necessary actions that must be taken  
14 to avoid a pro-rata reduction in public assistance benefits pursuant to  
15 regulations of the office of temporary and disability assistance. Such  
16 notice shall also include a statement of the participant's right to  
17 request a fair hearing prior to the expiration of such ten day notice  
18 relating to such discontinuance or reduction. The fair hearing may be  
19 conducted using video conferencing equipment that allows each party to  
20 see and hear other parties.

21 5. Sanctions. (a) When any public assistance participant required to  
22 participate in work activities fails to comply with the provisions of  
23 this title, the social services district shall take such actions as  
24 prescribed by appropriate federal law and regulation and this title.

25 (b) When any safety net participant required to participate in work  
26 activities fails to comply with the provisions of this title, the social  
27 services district shall deny assistance to such participant in accord-  
28 ance with section three hundred forty-two of this title.

29 (c) To the extent that federal law requires, a social services  
30 district shall provide to those public assistance participants whose  
31 failure to comply has continued for three months or longer a written  
32 reminder of the option to end a sanction after the expiration of the  
33 applicable minimum sanction period by terminating the failure to comply  
34 as specified in subdivision three of this section. Such notice shall  
35 advise that the participant may immediately terminate the first or  
36 second sanction by participating in the program or accepting employment  
37 and that any subsequent sanction after six months have elapsed may be  
38 terminated by participating in the program or accepting employment.

39 (d) A social services district shall provide to those safety net  
40 participants whose failure to comply has continued for the length of the  
41 sanction period or longer a written reminder of the option to end a  
42 sanction after the expiration of the applicable minimum sanction period  
43 by terminating the failure to comply as specified in subdivision four of  
44 this section.

45 (e) Consistent with federal law and regulation, no action shall be  
46 taken pursuant to this section for failure to participate in the program  
47 or refusal to accept employment if:

48 (i) child care for a child under age thirteen (or day care for any  
49 incapacitated individual living in the same home as a dependent child)  
50 is necessary for an individual to participate or continue participation  
51 in activities pursuant to this title or accept employment and such care  
52 is not available and the social services district fails to provide such  
53 care;

54 (ii) the employment would result in the family of the participant  
55 experiencing a net loss of such cash income; provided, however, a  
56 participant may not claim good cause under this paragraph if the social

1 services district assures that the family will not experience a net loss  
2 of cash income by making a supplemental payment; net loss of cash income  
3 results if the family's gross income less necessary work-related  
4 expenses is less than the cash assistance the participant was receiving  
5 at the time the offer of employment is made; or

6 (iii) the participant meets other grounds for good cause set forth by  
7 the office of temporary and disability assistance in its implementation  
8 plan for this title which, at a minimum, must describe what circum-  
9 stances beyond the household's control will constitute "good cause".

10 6. Exemption. The provisions of this section shall not apply to  
11 persons who are residents of a city having a population of one million  
12 or more people.

13 § 3. The opening paragraph of paragraph (a) of subdivision 2 of  
14 section 341-a of the social services law, as added by chapter 562 of the  
15 laws of 2015, is amended to read as follows:

16 Consistent with federal law and regulations and this title, if a  
17 participant has failed or refused to comply with the requirements of  
18 this title and the district has determined that he or she is not exempt  
19 from such requirements and has verified that appropriate child care,  
20 transportation, and accommodations for disability were in place at the  
21 time of such failure or refusal, the social services district shall  
22 ~~[issue a re-engagement notice in plain language indicating]~~ notify the  
23 participant, verbally or in writing, that such failure or refusal has  
24 taken place and of the right of such participant to avoid a pro-rata  
25 reduction in public assistance benefits through the re-engagement or  
26 conciliation process. If the notification was verbal, the social  
27 services district shall promptly send the participant written confirma-  
28 tion thereof. "Re-engagement process" shall mean the process through  
29 which a participant may avoid a pro-rata reduction in public assistance  
30 benefits by agreeing to comply with the requirements of this title  
31 consistent with any medical condition which may limit the individual's  
32 ability to participate in work activities, by notifying the district  
33 that he or she has become exempt from the requirements of this title, or  
34 by resolving the reasons for such failure or refusal at a conciliation  
35 conference. At the option of the social services district, such  
36 district may engage in conciliation efforts with the participant at the  
37 same time as the verbal notice of noncompliance in an effort to resolve  
38 the reasons for any failure or refusal of the participant to comply with  
39 the provisions of this title and to enable the participant to avoid a  
40 pro-rata reduction in public assistance benefits for the period of time  
41 set forth in section three hundred forty-two of this title. The notice  
42 shall indicate that the participant has ten days to request re-engage-  
43 ment with the district. The notice shall indicate the specific instance  
44 or instances of willful refusal or failure to comply without good cause  
45 with the requirements of this title and the necessary actions that must  
46 be taken to avoid a pro-rata reduction in public assistance benefits and  
47 the district has verified that appropriate child care, transportation  
48 and accommodations for disability were in place at the time of such  
49 failure or refusal.

50 § 4. Paragraph (c) of subdivision 3 of section 341-a of the social  
51 services law, as added by chapter 562 of the laws of 2015, is amended to  
52 read as follows:

53 (c) If a participant's dispute cannot be resolved through such concil-  
54 iation procedure, an opportunity for a fair hearing shall be provided,  
55 and such fair hearing may be provided by means of video conferencing. No

1 sanction relating to the subject dispute may be imposed during the  
2 re-engagement process.  
3 § 5. This act shall take effect on the one hundred twentieth day after  
4 it shall have become a law.