

# STATE OF NEW YORK

7673

## IN SENATE

February 5, 2020

Introduced by Sen. BAILEY -- read twice and ordered printed, and when printed to be committed to the Committee on Children and Families

AN ACT to amend the family court act, in relation to providing for the confidentiality, sealing, and expungement of juvenile delinquency records; and to repeal section 375.3 of the family court act relating thereto

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The family court act is amended by adding a new section  
2 301.6 to read as follows:

3 § 301.6. Juvenile delinquency records. 1. An officer of the court with  
4 whom the proceedings pursuant to this article are filed, or his or her  
5 clerk, either before or after the conclusion of said proceeding, shall  
6 not permit a copy of any of the documents relating to such proceeding to  
7 be taken or seen by any person other than the respondent, the attorney  
8 for the child, an attorney employed by the presentment agency, or an  
9 official employed by the probation service, except by order of the  
10 court.

11 2. Notwithstanding the provisions of subdivision one of this section,  
12 an officer of the court, or his or her clerk, shall not permit access of  
13 any person to a record which has been sealed pursuant to section 375.1,  
14 375.2, or 375.3.

15 § 2. Section 375.1 of the family court act, as added by chapter 920 of  
16 the laws of 1982, subdivision 1 as amended by chapter 41 of the laws of  
17 2010, paragraphs (d), (f) and (h) of subdivision 2 and subdivision 3 as  
18 amended and paragraph (i) of subdivision 2 as added by chapter 398 of  
19 the laws of 1983, is amended to read as follows:

20 § 375.1. Order upon termination of a delinquency action in favor of  
21 the respondent. 1. Upon termination of a delinquency proceeding against  
22 a respondent in favor of such respondent, pursuant to subdivision three  
23 of this section except paragraphs (g) and (h) of such subdivision,  
24 unless the presentment agency upon written motion with not less than  
25 eight days notice to such respondent demonstrates to the satisfaction of  
26 the court that the interests of justice require otherwise or the court

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 on its own motion with not less than eight days notice to such respond-  
2 ent determines that the interest of justice require otherwise and states  
3 the reason for such determination on the record, [~~the clerk of~~] the  
4 court shall [~~immediately notify the counsel for the child, the director  
5 of the appropriate presentment agency, and the heads of the appropriate  
6 probation department and police department or other law enforcement  
7 agency, that the proceeding has terminated in favor of the respondent  
8 and, unless the court has directed otherwise, that the records of such  
9 action or proceeding, other than those destroyed pursuant to section  
10 354.1 of this act, shall be sealed. Upon receipt of such notification  
11 all official records and papers, including judgments and orders of the  
12 court, but not including public court decisions or opinions or records  
13 and briefs on appeal, relating to the arrest, the prosecution and the  
14 probation service proceedings, including all duplicates or copies there-  
15 of, on file with the court, police agency, probation service and  
16 presentment agency shall be sealed and not made available to any person  
17 or public or private agency. Such records shall remain sealed during the  
18 pendency of any motion made pursuant to this subdivision] enter an order  
19 sealing the appropriate records.~~

20 2. Upon entry of an order pursuant to subdivision one or pursuant to  
21 section 375.2 or 375.3 the clerk of court shall immediately seal the  
22 relevant court records and shall immediately notify the director of the  
23 appropriate presentment agency, and the heads of the appropriate  
24 probation department, detention facility, police department or other law  
25 enforcement agency that, unless the court has directed otherwise, the  
26 records of such action or proceeding, other than those destroyed pursu-  
27 ant to section 354.1, shall be sealed.

28 3. For the purposes of subdivision one, a delinquency proceeding shall  
29 be considered terminated in favor of a respondent where:

- 30 (a) the petition is withdrawn; or  
31 (b) the petition is dismissed under section 315.1 or 315.2 and the  
32 presentment agency has not appealed from such order or the determination  
33 of an appeal or appeals from such order has been against the presentment  
34 agency; or  
35 (c) the petition has been deemed to have been dismissed under section  
36 315.3 and the presentment agency has not appealed from such order or the  
37 determination of an appeal or appeals from such order has been against  
38 the presentment agency; or  
39 (d) the petition is dismissed without prejudice under subdivision four  
40 of section 325.3 and the presentment agency has not appealed from such  
41 order or the determination of an appeal or appeals from such order has  
42 been against the presentment agency; or  
43 (e) the entire petition has been dismissed under subdivision two of  
44 section 345.1; or  
45 (f) the petition is dismissed under subdivision two of section 352.1;  
46 or  
47 (g) prior to the filing of a petition, the probation department has  
48 adjusted the case or terminated the case without adjustment; or  
49 (h) prior to the filing of a petition the presentment agency chooses  
50 not to proceed to petition; or  
51 (i) the petition is dismissed pursuant to a motion made in accordance  
52 with subdivision eight, nine or ten of section 332.1.

53 [~~3.~~] 4. Records sealed pursuant to subdivision one shall be made  
54 available to the respondent or his designated agent and the records and  
55 papers of a probation service shall be available to any probation

1 service for the purpose of complying with subdivision four of section  
2 308.1.

3 ~~[4-]~~ 5. If prior to the filing of a petition the presentment agency  
4 elects not to commence a delinquency action it shall serve a certifi-  
5 cation of such disposition upon the appropriate probation service and  
6 the appropriate police department or law enforcement agency, which, upon  
7 receipt thereto, shall comply with the provision of subdivision one in  
8 the same manner as is required with respect to an order of the court.

9 ~~[5-]~~ 6. If the probation service adjusts a delinquency case it shall  
10 serve a certification of such disposition upon the appropriate police  
11 department or law enforcement agency which, upon receipt thereof, shall  
12 comply with the provisions of subdivision one in the same manner as is  
13 required thereunder with respect to an order of a court.

14 ~~[6-]~~ 7. A respondent in whose favor a delinquency proceeding was  
15 terminated prior to the effective date of this section may upon motion  
16 apply to the court, upon not less than twenty days notice to the  
17 presentment agency, for an order granting him the relief set forth in  
18 subdivision one, and such order shall be granted unless the presentment  
19 agency demonstrates to the satisfaction of the court that the interests  
20 of justice require otherwise. A respondent in whose favor a delinquency  
21 action or proceeding was terminated as defined by subdivisions four and  
22 five, prior to the effective date of this section, may apply to the  
23 appropriate presentment agency or probation service for a certification  
24 as described in such subdivisions granting him the relief set forth  
25 therein and such certification shall be granted by such presentment  
26 agency or probation service.

27 § 3. Subdivisions 1, 5 and 6 of section 375.2 of the family court act,  
28 subdivisions 1 and 5 as added by chapter 920 of the laws of 1982, and  
29 subdivision 6 as amended by section 77 of part WWW of chapter 59 of the  
30 laws of 2017, are amended to read as follows:

31 1. If an action has resulted in a finding of delinquency pursuant to  
32 subdivision one of section 352.1, ~~[other than a finding that the~~  
33 ~~respondent committed a designated felony act,]~~ and the records have not  
34 been sealed pursuant to section 375.1 or 375.3, the court may, in the  
35 interest of justice and upon motion of the respondent, order the sealing  
36 of appropriate records pursuant to subdivision one of section 375.1.

37 5. The court shall not order the sealing of any record except as  
38 prescribed by this section or section 375.1 or 375.3.

39 6. ~~[Such a motion cannot be filed until the respondent's sixteenth~~  
40 ~~birthday, or, commencing October first, two thousand eighteen, the~~  
41 ~~respondent's seventeenth birthday, or commencing October first, two~~  
42 ~~thousand nineteen, the respondent's eighteenth birthday]~~ If the finding  
43 relates to an act committed before the respondent attained age sixteen  
44 such a motion cannot be filed until the respondent's sixteenth birthday.  
45 If the finding related to an act committed subsequent to the respond-  
46 ent's sixteenth birthday, such motion cannot be filed until the respond-  
47 ent has attained age eighteen.

48 § 4. Section 375.3 of the family court act is REPEALED and a new  
49 section 375.3 is added to read as follows:

50 § 375.3. Post-finding sealing. 1. If an action has resulted in a find-  
51 ing of delinquency pursuant to subdivision one of section 352.1 and  
52 every specific count of the petition upon which the fact-finding order  
53 entered pursuant to section 345.1 constitutes a misdemeanor if committed  
54 by an adult, and four years have elapsed since the finding was entered,  
55 the court shall enter an order sealing the appropriate records pursuant  
56 to subdivision one of section 375.1 and the clerk shall immediately

1 transmit the notifications pursuant to subdivision two of section 375.1,  
2 and section 375.4.

3 2. If after an action has resulted in a finding of delinquency pursu-  
4 ant to subdivision one of section 352.1 and every specific count or  
5 counts of the petition upon which the order entered pursuant to section  
6 345.1 constitute a misdemeanor or a non-violent felony if committed by  
7 an adult, and: (a) five years have elapsed since the finding was  
8 entered; (b) the respondent has had no subsequent adjudication of juve-  
9 venile delinquency or a criminal conviction; and (c) no juvenile delin-  
10 quency or criminal proceeding is then pending, the court shall, upon  
11 motion of the respondent, order the sealing of appropriate records  
12 pursuant to subdivision one of section 375.1 and the clerk of court  
13 shall immediately transmit the notifications pursuant to subdivision two  
14 of section 375.1, and section 375.4.

15 § 5. The family court act is amended by adding a new section 375.4 to  
16 read as follows:

17 § 375.4. Notice of sealing. Upon entering an order sealing appropriate  
18 records pursuant to sections 375.1, 375.2 and 375.3 the clerk of court  
19 shall serve the respondent and the attorney for the child with a copy of  
20 such order by ordinary mail sent to the last known address of the  
21 respondent and the attorney for the child.

22 § 6. The family court act is amended by adding a new section 375.5 to  
23 read as follows:

24 § 375.5. Expungement. 1. The court shall order the expungement of  
25 court records, and the records of the appropriate presentment agency and  
26 probation department, when the entire petition has been dismissed under  
27 subdivision two of section 345.1.

28 2. Upon expiration of one year after termination of a delinquency  
29 proceeding in favor of a child under paragraph (g) or (h) of subdivision  
30 three of section 375.1 the director of the appropriate presentment agen-  
31 cy, and/or the head of the appropriate probation department, shall  
32 destroy the agency's records of such proceeding when the highest charge  
33 made by the police department is a class E felony or a misdemeanor, and  
34 the child was less than thirteen years of age when the alleged act  
35 occurred. As appropriate, the presentment agency shall serve a certif-  
36 ication of disposition upon the appropriate probation service, which  
37 shall destroy the probation service's records in the same manner  
38 required with respect to an order for the court.

39 3. Upon the filing of a written motion by the respondent on notice to  
40 the appropriate presentment agency, the court shall order the expunge-  
41 ment of court records, and/or the records of the appropriate presentment  
42 agency or probation department, when:

43 (a) the respondent has no juvenile delinquency or criminal history  
44 aside from the proceeding in which the motion is made;

45 (b) the proceeding did not result in a felony finding; and

46 (c) respondent has reached the age of twenty-one.

47 4. In all other cases except those in which a designated felony find-  
48 ing was made, the court, upon the filing of a written motion by the  
49 respondent on notice to the appropriate presentment agency, has the  
50 authority to order in its discretion and in the interests of justice,  
51 the expungement of court records, and/or the records of the appropriate  
52 presentment agency or probation department.

53 § 7. This act shall take effect on the ninetieth day after it shall  
54 have become a law.