

# STATE OF NEW YORK

4846--A

2019-2020 Regular Sessions

## IN SENATE

March 27, 2019

Introduced by Sens. SKOUFIS, METZGER -- read twice and ordered printed, and when printed to be committed to the Committee on Consumer Protection -- recommitted to the Committee on Consumer Protection in accordance with Senate Rule 6, sec. 8 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the general business law and the executive law, in relation to requiring certain non-licensed professionals to disclose information regarding risks

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The general business law is amended by adding a new section  
2 391-u to read as follows:

3 § 391-u. Self-help practitioner disclosure requirements. 1. Defi-  
4 nitions:

5 (a) "Self-help practitioner" means any individual, his or her agent or  
6 employee, or company that is publicly holding himself or herself out as  
7 a "self-help guru" or other similar term in advertising or marketing and  
8 is offering paid help to a client through financial, spiritual or educa-  
9 tional guidance for the sake of improving personal awareness, identify-  
10 ing and developing personal talent and potential, enhancing the quality  
11 of life of a person and/or contributing to the realization of personal  
12 aspirations. A "self-help practitioner" shall not include any individ-  
13 ual licensed pursuant to the provisions of title eight of the education  
14 law and such licensed individuals shall be exempt from any requirements  
15 of this article.

16 (b) "Large print format" shall mean a printed font size of sixteen  
17 points or larger.

18 2. Every self-help practitioner that offers services to clients shall:

19 (a) at the time of each initial contract for services, provide the  
20 client with a copy of the contract in which a disclosure of risk is  
21 displayed in large print format. Such disclosure of risk shall clearly  
22 explain, in plain language, that what the risks are in contracting with  
23 such self-help practitioner;

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 (b) at the time of each initial contract for services, provide the  
2 client with a copy of any credentials, training, or certifications held  
3 by the self-help practitioner; and

4 (c) produce a comprehensive risk management plan to ensure that steps  
5 will be taken at each service or session to provide protection for  
6 clients in the event that there is a potential risk of physical or  
7 emotional harm. Every self-help practitioner shall be responsible for  
8 ensuring that licensed professionals, including but not limited to,  
9 medical professionals, social workers and psychologists, are made avail-  
10 able to clients at each session or service when there is a possibility  
11 of physical or emotional risk.

12 3. A violation by any self-help practitioner of subdivision two of  
13 this section, if such violation constitutes the first such offense by  
14 such self-help practitioner, is punishable by a civil penalty not to  
15 exceed two hundred fifty dollars. A second offense and any offense  
16 committed thereafter is punishable by a civil penalty not to exceed five  
17 hundred dollars.

18 § 2. The executive law is amended by adding a new section 109-a to  
19 read as follows:

20 § 109-a. Registration of self-help practitioners. 1. For purposes of  
21 this section, "self-help practitioner" shall mean any individual, his or  
22 her agent or employee, or company that is publicly holding himself or  
23 herself out as a "self-help guru" or other similar term in advertising  
24 or marketing and who is offering paid help to a client through finan-  
25 cial, spiritual or educational guidance for the sake of improving  
26 personal awareness, identifying and developing personal talent and  
27 potential, enhancing the quality of life of a person and/or contributing  
28 to the realization of personal aspirations. A "self-help practitioner"  
29 shall not include any individual licensed pursuant to the provisions of  
30 title 8 of the education law; such licensed individuals shall be exempt  
31 from any requirements of this article.

32 2. The secretary of state shall promulgate rules and regulations  
33 prescribing a registration form to be used by any self-help practitioner  
34 who provides self-help services to clients.

35 3. Such registration form shall identify:

36 (a) the name, address, and telephone number of the self-help practi-  
37 tioner; and

38 (b) a brief description of the nature of the self-help services  
39 provided to each identified client.

40 4. Such registration shall be filed with the department of state and  
41 shall cover a twelve month reporting period.

42 5. The secretary of state shall post the completed forms on the  
43 department of state's website within thirty days of the close of each  
44 reporting period.

45 6. The department of state may impose a civil penalty of up to seven  
46 hundred fifty dollars upon any self-help practitioner who fails to file  
47 a registration required by this section provided, however, that the  
48 secretary of state shall provide such self-help practitioner a reason-  
49 able opportunity to cure such a failure.

50 7. The department of state shall adopt, amend and rescind rules and  
51 regulations defining the degree and extent of self-help services neces-  
52 sary to require the reporting pursuant to this section.

53 § 3. This act shall take effect on the ninetieth day after it shall  
54 have become a law.