

STATE OF NEW YORK

4326

2019-2020 Regular Sessions

IN SENATE

March 7, 2019

Introduced by Sen. MONTGOMERY -- read twice and ordered printed, and
when printed to be committed to the Committee on Children and Families

AN ACT to amend the social services law, in relation to the licensure,
registration and required inspections, background clearances and
training for child care providers; and to repeal certain provisions of
such law relating thereto

The People of the State of New York, represented in Senate and Assem-
bly, do enact as follows:

1 Section 1. Subparagraph (i) of paragraph (a) of subdivision 1 of
2 section 390 of the social services law, as added by chapter 750 of the
3 laws of 1990, is amended to read as follows:

4 (i) "Child day care" shall mean child care where a license or regis-
5 tration pursuant to this section is required and shall include care for
6 a child on a regular basis provided away from the child's residence for
7 less than twenty-four hours per day by someone other than: (1) the
8 parent, step-parent, guardian, or relative within the third degree of
9 consanguinity of the parents or step-parents of such child; or (2) an
10 enrolled legally exempt provider as such term is defined in paragraph
11 (g) of this subdivision.

12 § 2. Subdivision 1 of section 390 of the social services law is
13 amended by adding a new paragraph (g) to read as follows:

14 (g) "Enrolled legally exempt provider" shall mean a person who is a
15 caregiver or entity that is not required to be licensed or registered
16 pursuant to this section and that is enrolled to be a caregiver and
17 provide subsidized child care services to eligible families in accord-
18 ance with title five-C of this article and the regulations of the office
19 of children and family services.

20 § 3. Subdivision 2 of section 390 of the social services law is
21 amended by adding a new paragraph (d-1) to read as follows:

22 (d-1) (i) The office of children and family services shall promulgate
23 regulations for enrollment of enrolled legally exempt providers, which

EXPLANATION--Matter in italics (underscored) is new; matter in brackets
[-] is old law to be omitted.

LBD04776-05-9

1 shall include the completion of a satisfactory inspection of the prem-
2 ises where care is to be provided, by the office of children and family
3 services.

4 (ii) Provided however, such inspections shall not be required when the
5 enrolled legally exempt provider is an individual, age eighteen or
6 older, and who, by virtue of blood, marriage or court decree, is, to all
7 of the children that such person is enrolled to provide subsidized child
8 care services to in accordance with title five-C of this article:

9 (A) a grandparent;

10 (B) a great-grandparent;

11 (C) a sibling, provided that such sibling resides in a separate house-
12 hold from the child;

13 (D) an aunt; or

14 (E) an uncle.

15 § 4. Paragraphs (a) and (b) of subdivision 3 of section 390 of the
16 social services law, paragraph (a) as amended by chapter 416 of the laws
17 of 2000, and paragraph (b) as amended by chapter 117 of the laws of
18 2010, are amended to read as follows:

19 (a) (i) The office of children and family services may make announced
20 or unannounced inspections of the records and premises of any:

21 (1) child day care provider, whether or not such provider has a
22 license from, or is registered with, the office of children and family
23 services; and

24 (2) any existing enrolled legally exempt provider except when such
25 provider is determined to be exempt in accordance with subparagraph (ii)
26 of paragraph (d-1) of subdivision two of this section.

27 (ii) The office of children and family services shall make unannounced
28 inspections of the records and premises of any child day care provider
29 within fifteen days after the office of children and family services
30 receives a complaint that, if true, would indicate such provider does
31 not comply with the applicable regulations of the office of children and
32 family services or with statutory requirements. If the complaint indi-
33 cates that there may be imminent danger to the children, the office of
34 children and family services shall investigate the complaint no later
35 than the next day of operation of the provider. The office of children
36 and family services may provide for inspections through the purchase of
37 services.

38 (b) (i) Where inspections have been made and violations of applicable
39 statutes or regulations have been found, the office of children and
40 family services shall within ten days advise the child day care provider
41 in writing of the violations and require the provider to correct such
42 violations. The office of children and family services may also act
43 pursuant to subdivisions ten and eleven of this section.

44 (ii) Where inspections have been made and violations of applicable
45 statutes or regulations have been found, the office of children and
46 family services or its designees shall, within ten days, advise the
47 enrolled legally exempt provider in writing of the violations and
48 require the provider to correct such violations.

49 § 5. Paragraph (a) of subdivision 4 of section 390 of the social
50 services law, as amended by chapter 416 of the laws of 2000, is amended
51 to read as follows:

52 (a) The office of children and family services on an annual basis
53 shall inspect [~~at least twenty percent of all registered family day care~~
54 ~~homes, registered child day care centers and registered school age child~~
55 ~~care programs to determine whether such homes, centers and programs are~~
56 ~~operating in compliance with applicable statutes and regulations. The~~

~~office of children and family services shall increase the percentage of family day care homes, child day care centers and school age child care programs which are inspected pursuant to this subdivision as follows: to at least thirty percent by the thirty first of December two thousand, and to at least fifty percent by the thirty first of December two thousand and one~~ all child day care programs and all enrolled legally exempt providers except when such provider is determined to be exempt in accordance with subparagraph (ii) of paragraph (d-1) of subdivision two of this section. The office of children and family services may provide for such inspections through purchase of services. ~~[Priority shall be given to family day care homes which have never been licensed or certified prior to initial registration.]~~

§ 6. Paragraph (a) of subdivision 2 of section 390 of the social services law, as amended by chapter 117 of the laws of 2010, is amended to read as follows:

(a) Child day care centers caring for seven or more children and group family day care programs, as defined in subdivision one of this section, shall obtain a license from the office of children and family services and shall operate in accordance with the terms of such license and the regulations of such office. Initial licenses ~~[shall be valid for a period of up to two years,]~~ and subsequent licenses shall be valid for a period of up to four years so long as the provider remains substantially in compliance with applicable law and regulations during such period.

§ 7. Clause (A) of subparagraph (ii) of paragraph (d) of subdivision 2 of section 390 of the social services law, as amended by chapter 117 of the laws of 2010, is amended to read as follows:

(A) Initial registrations ~~[shall be valid for a period of up to two years,]~~ and subsequent registrations shall be valid for a period of up to four years so long as the provider remains substantially in compliance with applicable law and regulations during such period.

§ 8. Subdivision 3 of section 390-a of the social services law, as added by chapter 416 of the laws of 2000, paragraph (b) as amended by chapter 552 of the laws of 2003, and subparagraph (ix) of paragraph (b) as amended by chapter 117 of the laws of 2010, is amended to read as follows:

3. (a) The office of children and family services shall promulgate regulations ~~[requiring]~~ setting forth the training requirements, including any federally required training, for operators, program directors, employees [and], assistants [of] and any volunteer who has the potential for regular and substantial contact with children and/or unsupervised contact with children in care, but only when such unsupervised contact involves caring for the child in their professional or official capacity and as a volunteer, in family day care homes, group family day care homes, school-age child care programs and child day care centers. Such individuals shall be required to receive thirty hours of training every two years; provided, however, ~~[that fifteen]~~ any individual or provider who is already in compliance with this subdivision, prior to the effective date of the chapter of the laws of two thousand nineteen that amended this subdivision, shall only be required to complete any additional federal training requirements which they have not already completed in order to be deemed in compliance with this subdivision. Fifteen hours of such training must be received within the first six months of the initial licensure, registration or employment. ~~[Such training requirements shall also apply to any volunteer in such day care homes, programs or centers who has the potential for regular and substantial contact with children.]~~ The thirty hours of training

1 required during the first biennial cycle after initial licensure or
2 registration shall include training received while an application for
3 licensure or registration pursuant to section three hundred ninety of
4 this title is pending. The office of children and family services may
5 provide this training through purchase of services.

6 (b) The training required in paragraph (a) of this subdivision shall
7 address the following topics:

8 (i) principles of childhood development, focusing on the developmental
9 stages of the age groups for which the program provides care;

10 (ii) nutrition and health needs of infants and children;

11 (iii) child day care program development;

12 (iv) safety and security procedures;

13 (v) business record maintenance and management;

14 (vi) child abuse and maltreatment identification and prevention;

15 (vii) statutes and regulations pertaining to child day care;

16 (viii) statutes and regulations pertaining to child abuse and
17 maltreatment; and

18 (ix) for operators, program directors, employees and assistants of
19 family day care homes, group family day care homes and child day care
20 centers, education and information on the identification, diagnosis and
21 prevention of shaken baby syndrome[~~+~~]; and

22 (x) any other topics necessary to meet federal training requirements.

23 (c) For the thirty hours of biennial training required after the
24 initial period of licensure or registration, each provider who can
25 demonstrate basic competency shall determine in which of the specified
26 topics he or she needs further study, based on the provider's experience
27 and the needs of the children in the provider's care.

28 (d) Family day care home and group family day care home operators
29 shall obtain training pertaining to protection of the health and safety
30 of children, as required by regulation, prior to the issuance of a
31 license or registration by the office of children and family services.

32 (e) Upon request by the office of children and family services, the
33 child day care applicant or provider shall submit documentation demon-
34 strating compliance with the training requirements of this section.

35 § 9. The section heading of section 390-b of the social services law,
36 as added by chapter 416 of the laws of 2000, is amended to read as
37 follows:

38 Criminal history review and background clearances of child care
39 providers, generally.

40 § 10. Subdivisions 1, 2 and 3 of section 390-b of the social services
41 law are REPEALED and three new subdivisions 1, 2 and 3 are added to
42 read as follows:

43 1. Subject to implementing rules and regulations of the office of
44 children and family services and, where applicable, the division of
45 criminal justice services, the following background clearances shall be
46 conducted expeditiously, but in any event, within the timeframes
47 required by federal law, for entities specified in subdivision two of
48 this section in the manner as required by this section:

49 (a) a criminal history record check with the division of criminal
50 justice services;

51 (b) a search of the criminal history repository in each state other
52 than New York where such person resides or resided during the preceding
53 five years, if applicable, unless such state's criminal history record
54 information will be provided as part of the results of the background
55 clearance conducted pursuant to paragraph (c) of this subdivision;

1 (c) a national criminal record check with the federal bureau of inves-
2 tigation; the division of criminal justice services is directed to
3 submit fingerprints to the federal bureau of investigation for the
4 purpose of a nationwide criminal history record check, pursuant to and
5 consistent with public law 113-186 to determine whether such persons
6 shall have a criminal history in any state or federal jurisdiction;

7 (d) a search of the New York state sex offender registry;

8 (e) a search of any state sex offender registry or repository in each
9 state other than New York where such person resides or resided during
10 the preceding five years, if applicable, unless such state's sex offen-
11 der registry information will be provided as part of the clearance
12 conducted pursuant to paragraph (f) of this subdivision;

13 (f) a search of the national sex offender registry using the national
14 crime and information center, established under the Adam Walsh child
15 protection and safety act of 2006 (42 U.S.C. 16901 et seq.);

16 (g) a database check of the statewide central register of child abuse
17 and maltreatment in accordance with section four hundred twenty-four-a
18 of this article; and

19 (h) a search of a state-based child abuse or neglect repository of any
20 state other than New York where such person resides or resided during
21 the preceding five years; if applicable.

22 2. (a) In relation to any child day care program or enrolled legally
23 exempt provider, as defined in section three hundred ninety of this
24 title, the following individuals shall be required to receive background
25 clearances as required in subdivision one of this section:

26 (i) any applicant to be an operator or director of a child day care
27 program;

28 (ii) any current operator or director of a child day care program;

29 (iii) any applicant to enroll to be a caregiver for an enrolled legal-
30 ly exempt provider, unless such individual is related to all children
31 for whom child care services are provided;

32 (iv) any current caregiver for an enrolled legally exempt provider,
33 unless such individual is related to all children for whom child care
34 services are provided;

35 (v) any applicant to be an employee, assistant or volunteer, when such
36 volunteer has the potential for unsupervised contact with children in a
37 child day care program;

38 (vi) any current employee, assistant or volunteer, when such volunteer
39 has the potential for unsupervised contact with children in a child day
40 care program;

41 (vii) any applicant to be an employee, assistant or volunteer with the
42 potential for unsupervised contact with children in an enrolled legally
43 exempt provider, unless such individual is related to all children for
44 whom child care services are provided;

45 (viii) any current employee, assistant, or volunteer with the poten-
46 tial for unsupervised contact with children in an enrolled legally
47 exempt provider, unless such individual is related to all children for
48 whom child care services are provided; and

49 (ix) where the child care services will be or are provided in a home
50 setting where the child does not reside, any individual, age eighteen or
51 older who is not related to all children for whom child care services
52 are or will be provided, resides or begins to reside.

53 (b) Provided however, the background clearances required pursuant to
54 paragraphs (a), (c), (d) and (g) of subdivision one of this section
55 shall, beginning September first, two thousand nineteen, be completed

1 for individuals referenced in subparagraphs (i), (iii), (v), (vii) and
2 (ix) of paragraph (a) of this subdivision.

3 (c) Notwithstanding paragraph (b) of this subdivision, prior to Octo-
4 ber first, two thousand twenty, all background clearances listed in
5 subdivision one of this section that have not previously been conducted
6 pursuant to paragraph (b) of this subdivision and for which on-going
7 criminal history results are not already provided, shall be conducted in
8 accordance with a schedule developed by the office of children and fami-
9 ly services, for background clearances required in subparagraphs (ii),
10 (iv), (vi), (viii) and (ix) of paragraph (a) of this subdivision.

11 (d) The office of children and family services shall also develop a
12 schedule for applicable background clearance required to be completed in
13 accordance with subdivision one of this section after background clear-
14 ances pursuant to paragraphs (b) and (c) of this subdivision have been
15 completed for compliance with the federal child care and development
16 block grant act.

17 (e) The background clearances required pursuant to this section other
18 than those for which on-going criminal history results are provided,
19 shall be conducted for a person listed in subparagraphs (ii), (iv),
20 (vi), (viii) and (ix) of paragraph (a) of this subdivision at least once
21 every five years in accordance with a schedule developed by the office
22 of children and family services.

23 3. (a) In relation to the background clearances required pursuant this
24 section, an individual required to receive background clearances pursu-
25 ant to paragraph (a) of subdivision two of this section shall be deemed
26 ineligible to provide child care services in any child day care program
27 or as an enrolled legally exempt provider, as defined in section three
28 hundred ninety of this title, if such individual:

29 (i) refuses to consent to such clearance;

30 (ii) knowingly makes a materially false statement in connection with
31 such a clearance;

32 (iii) is registered, or is required to be registered, on a state sex
33 offender registry or repository or the national sex offender registry
34 established under the Adam Walsh child protection and safety act of 2006
35 (42 U.S.C. 16901 et seq.); or

36 (iv) has been convicted of a crime enumerated in subparagraph (E) or
37 clauses (i) through (viii) of subparagraph (D) of paragraph (1) of
38 subdivision (C) of 42 U.S.C. 9858f.

39 (b) Except as set forth in paragraph (c) of this subdivision, after
40 reviewing any New York criminal record check of an individual who is
41 required to receive such background clearance pursuant to subdivision
42 two of this section, the office of children and family services and the
43 program or provider shall take the following actions:

44 (i) Where the criminal record check of an individual required to
45 receive background clearances pursuant to subparagraphs (i), (iii) and
46 (ix) of paragraph (a) of subdivision two of this section, reveals a
47 conviction for a crime other than one set forth in subparagraph (iv) of
48 paragraph (a) of this subdivision, the office of children and family
49 services may deny the application, only after providing a copy of such
50 criminal history information and a copy of article twenty-three-A of the
51 correction law to the individual and informing such individual of his or
52 her right to seek correction of any incorrect information contained in
53 such criminal history information provided by the division of criminal
54 justice services pursuant to the regulations and the right of such indi-
55 vidual to provide information relevant to such analysis to the office of

1 children and family services, consistent with article twenty-three-A of
2 the correction law;

3 (ii) Where the criminal record check of an individual required to
4 receive background clearances pursuant to subparagraphs (i), (iii) and
5 (ix) of paragraph (a) of subdivision two of this section, reveals a
6 pending charge for any crime, the office of children and family services
7 shall hold the application in abeyance until the charge is adjudicated;

8 (iii) Where the criminal record check of an individual required to
9 receive background clearances pursuant to subparagraphs (ii), (iv) and
10 (ix) of paragraph (a) of subdivision two of this section, reveals a
11 conviction for a crime other than one set forth in subparagraph (iv) of
12 paragraph (a) of this subdivision, the office of children and family
13 services shall conduct a safety assessment of the program or provider
14 and take all appropriate steps to protect the health and safety of the
15 children receiving care at such location. The office may deny, limit,
16 suspend, revoke, reject or terminate a license or registration or termi-
17 nate such provider's enrollment, as applicable based on such a
18 conviction, only after providing a copy of such criminal history infor-
19 mation and a copy of article twenty-three-A of the correction law to the
20 individual and informing such individual of his or her right to seek
21 correction of any incorrect information contained in such criminal
22 history information provided by the division of criminal justice
23 services pursuant to the regulations and the right of such individual to
24 provide information relevant to such analysis to the office of children
25 and family services, consistent with article twenty-three-A of the
26 correction law;

27 (iv) Where the criminal record check of an individual required to
28 receive background clearances pursuant to subparagraphs (ii), (iv) and
29 (ix) of paragraph (a) of subdivision two of this section, reveals a
30 pending charge for any crime, the office of children and family services
31 shall conduct a safety assessment of the program or provider and take
32 all appropriate steps to protect the health and safety of the children
33 receiving care at such location. The office may suspend a license,
34 registration, or enrollment, as applicable based on such pending charge
35 where necessary to protect the health and safety of the children receiv-
36 ing care at such location consistent with article twenty-three-A of the
37 correction law;

38 (v) Where the criminal record check of an individual required to
39 receive background clearances pursuant to subparagraphs (v) and (vii) of
40 paragraph (a) of subdivision two of this section, reveals a conviction
41 for a crime other than one set forth in subparagraph (iv) of paragraph
42 (a) of this subdivision, the office of children and family services may,
43 direct the program or provider to deny the application, only after
44 providing a copy of such criminal history information and a copy of
45 article twenty-three-A of the correction law to the individual and
46 informing such individual of his or her right to seek correction of any
47 incorrect information contained in such criminal history information
48 provided by the division of criminal justice services pursuant to the
49 regulations and the right of such individual to provide information
50 relevant to such analysis to the office of children and family services,
51 consistent with article twenty-three-A of the correction law;

52 (vi) Where the criminal record check of an individual required to
53 receive background clearances pursuant to subparagraphs (v) and (vii) of
54 paragraph (a) of subdivision two of this section, reveals a pending
55 charge for any crime, the office of children and family services shall
56 hold the application in abeyance until the charge is adjudicated;

1 (vii) Where the criminal record check of an individual required to
2 receive background clearances pursuant to subparagraphs (vi) and (viii)
3 of paragraph (a) of subdivision two of this section reveals a conviction
4 for a crime other than one set forth in subparagraph (iv) of paragraph
5 (a) of this subdivision, the office of children and family services
6 shall conduct a safety assessment of the program or provider and take
7 all appropriate steps to protect the health and safety of the children
8 receiving care at such location. The office may direct the program or
9 provider to terminate the employee, assistant or volunteer based on such
10 a conviction, only after providing a copy of such criminal history
11 information and a copy of article twenty-three-A of the correction law
12 to the individual and informing such individual of his or her right to
13 seek correction of any incorrect information contained in such criminal
14 history information provided by the division of criminal justice
15 services pursuant to the regulations and the right of such individual to
16 provide information relevant to such analysis to the office of children
17 and family services, consistent with article twenty-three-A of the
18 correction law and in accordance with any applicable collective bargain-
19 ing agreement;

20 (viii) Where the criminal record check of an individual required to
21 receive background clearances pursuant to subparagraphs (vi) and (viii)
22 of paragraph (a) of subdivision two of this section reveals a pending
23 charge for any crime, the office of children and family services shall
24 conduct a safety assessment of the program or provider and take all
25 appropriate steps to protect the health and safety of the children
26 receiving care at such location; or

27 (ix) Advise the program or provider that the individual has no crimi-
28 nal history record.

29 (c)(i) The office of children and family services shall not release
30 the report of the national criminal record check received from the
31 federal bureau of investigation in accordance with this subdivision to
32 any non-public entity or entity not otherwise authorized.

33 (ii) Notwithstanding any other provision of law to the contrary, after
34 reviewing any national criminal record check of an individual who is
35 required to receive such background clearance pursuant to paragraph (a)
36 of subdivision two of this section, the office of children and family
37 services shall proceed as follows: (A) review and evaluate the results
38 of the national criminal record check of a current or prospective opera-
39 tor, director, caregiver, employee, assistant, volunteer with the poten-
40 tial for unsupervised contact with the child receiving services as well
41 as any other person eighteen years of age or older who resides in the
42 home where care is provided or to be provided, other than in the child's
43 home, in accordance with the standards set forth in paragraphs (a) and
44 (b) of this subdivision relating to convictions that make an individual
45 ineligible, discretionarily ineligible, or a direction that such indi-
46 vidual's background clearance request be held in abeyance during the
47 pendency of certain criminal charges; and (B) based on the results of
48 the national criminal record check, where applicable, inform such child
49 day care program or enrolled legally exempt provider that the applica-
50 tion or employment (1) must be denied or terminated; (2) must be held in
51 abeyance pending subsequent notification pursuant to subdivision six of
52 this section, from the office of children and family services; or (3)
53 that the office of children and family services has no objection, solely
54 based on the national criminal record check, for the child day care
55 program or enrolled legally exempt provider to proceed with a determi-

1 nation on such application or current employment based on any currently
2 required standards.

3 (iii) Where the office of children and family services directs the
4 child day care program or enrolled legally exempt provider to deny the
5 application or terminate employment in accordance with this paragraph,
6 the office of children and family services shall also notify as the case
7 may be, such current or prospective employee, assistant, volunteer with
8 the potential for unsupervised contact with children or other person
9 eighteen years of age or older, who resides in the home where care is
10 provided, other than the child's home, that the criminal record check
11 was the basis for the denial of clearance and shall provide such indi-
12 vidual with a copy of the results of the national criminal record check
13 upon which such denial was based together with a written statement
14 setting forth the reasons for such denial, as well as a copy of article
15 twenty-three-A of the correction law and inform such individual of his
16 or her right to seek correction of any incorrect information contained
17 in such national record check provided by the federal bureau of investi-
18 gation pursuant to the regulations and the right of such individual to
19 provide information relevant to such analysis.

20 § 11. Subdivisions 6 and 7 of section 390-b of the social services
21 law, as added by chapter 416 of the laws of 2000, are amended to read as
22 follows:

23 6. The notification by the office of children and family services to
24 the child day care [~~provider~~] program or enrolled legally exempt provid-
25 er pursuant to this section shall include a summary of the individual's
26 New York criminal history record, if any, provided by the division of
27 criminal justice services. When providing notification to a child day
28 care program or enrolled legally exempt provider who are non-public
29 agencies, or otherwise unauthorized to receive such notification, with
30 respect to the results of a national criminal record check performed by
31 the federal bureau of investigation, the office of children and family
32 services shall advise the program or provider, where appropriate, of the
33 category or categories of crime or crimes for which the individual has a
34 conviction, and shall not provide the specific crime or crimes of
35 conviction absent the express written consent of the person for whom the
36 national criminal record check was performed.

37 7. Where the office of children and family services directs a child
38 day care [~~provider~~] program or enrolled legally exempt provider to deny
39 an application [~~based on the criminal history record~~], the program or
40 provider must notify the applicant [~~that such record is~~] in writing the
41 basis of the denial.

42 § 12. Subdivision 10 of section 390 of the social services law, as
43 amended by chapter 416 of the laws of 2000, is amended to read as
44 follows:

45 10. (a) Any home or facility providing child day care shall be oper-
46 ated in accordance with applicable statutes and regulations. Any
47 violation of applicable statutes or regulations shall be a basis to
48 deny, limit, suspend, revoke, or terminate a license or registration.
49 Consistent with articles twenty-three and twenty-three-A of the
50 correction law, and guidelines referenced in subdivision two of section
51 four hundred twenty-five of this article, if the office of children and
52 family services is made aware of the existence of a criminal conviction
53 or pending criminal charge concerning an operator of a family day care
54 home, group family day care home, school-age child care program, or
55 child day care center or concerning any assistant, employee or volunteer
56 in such homes, programs or centers, or any persons age eighteen or over

1 who reside in such homes, such conviction or charge may be a basis to
2 deny, limit, suspend, revoke, reject, or terminate a license or regis-
3 tration in accordance with subdivision three of section three hundred
4 ninety-b of this title. Before any license issued pursuant to the
5 provisions of this section is suspended or revoked, before registration
6 pursuant to this section is suspended or terminated, or when an applica-
7 tion for such license is denied or registration rejected, the applicant
8 for or holder of such registration or license is entitled, pursuant to
9 section twenty-two of this chapter and the regulations of the office of
10 children and family services, to a hearing before the office of children
11 and family services. However, a license or registration shall be tempo-
12 rarily suspended or limited without a hearing upon written notice to the
13 operator of the facility following a finding that the public health, or
14 an individual's safety or welfare, are in imminent danger. The holder of
15 a license or registrant is entitled to a hearing before the office of
16 children and family services to contest the temporary suspension or
17 limitation. If the holder of a license or registrant requests a hearing
18 to contest the temporary suspension or limitation, such hearing must be
19 scheduled to commence as soon as possible but in no event later than
20 thirty days after the receipt of the request by the office of children
21 and family services. Suspension shall continue until the condition
22 requiring suspension or limitation is corrected or until a hearing deci-
23 sion has been issued. If the office of children and family services
24 determines after a hearing that the temporary suspension or limitation
25 was proper, such suspension or limitation shall be extended until the
26 condition requiring suspension or limitation has been corrected or until
27 the license or registration has been revoked.

28 (b) (i) Consistent with articles twenty-three and twenty-three-A of
29 the correction law, and guidelines developed pursuant to subdivision two
30 of section four hundred twenty-five of this article, if the office of
31 children and family services is made aware of the existence of a crimi-
32 nal conviction or pending criminal charge concerning a current or
33 prospective caregiver, employee, assistant, volunteer with the potential
34 for unsupervised contact, or any persons age eighteen or older who
35 reside in such home where care is provided, that is not in the child's
36 home, and such person is not related to all children receiving care, in
37 an enrolled legally exempt provider, such conviction or charge may be a
38 basis to terminate enrollment in accordance with subdivision three of
39 section three hundred ninety-b of this title. Before any enrollment for
40 an enrolled legally exempt provider may be terminated, or when an appli-
41 cation for such enrollment is denied, the applicant for or holder of
42 such enrollment is entitled, in accordance with section twenty-two of
43 this chapter and the implementing regulations of the office of children
44 and family services, to a hearing before the office of children and
45 family services. However, an enrollment shall be temporarily suspended
46 or limited without a hearing upon written notice to the provider follow-
47 ing a finding that the public health, or an individual's safety or
48 welfare, are in imminent danger.

49 (ii) If an enrolled legally exempt provider's enrollment is termi-
50 nated, such individual or entity shall be immediately re-enrolled once
51 the issue that caused the initial termination has been corrected, and
52 all other elements legally required for enrollment have been satisfied.

53 § 13. Subdivisions 9 and 10 of section 390-b of the social services
54 law, as added by chapter 416 of the laws of 2000, are amended and two
55 new subdivisions 11 and 12 are added to read as follows:

9. Any criminal history record provided by the division of criminal justice services, and any summary of the criminal history record provided by the office of children and family services to a child day care ~~[provider]~~ program or enrolled legally exempt provider pursuant to this section, is confidential and shall not be available for public inspection; provided, however, nothing herein shall prevent a child day care ~~[provider]~~ program, enrolled legally exempt provider or the office of children and family services from disclosing criminal history information or the individual from disclosing his or her criminal history information at any administrative or judicial proceeding relating to the denial or revocation of an application, employment, license ~~[or]~~, registration or enrollment. The subject of a criminal history review conducted pursuant to this section shall be entitled to receive, upon written request, a copy of the ~~[summary of the]~~ criminal history record ~~[provided by the office of children and family services to the child day care provider]~~. Unauthorized disclosure of such records or reports shall subject the provider to civil penalties in accordance with the provisions of subdivision eleven of section three hundred ninety of this title.

10. A child day care ~~[provider]~~ program or enrolled legally exempt provider shall advise the office of children and family services when an individual who is subject to criminal history record review in accordance with subdivision ~~[one or]~~ two of this section is no longer subject to such review. The office of children and family services shall inform the division of criminal justice services when an individual who is subject to criminal history review is no longer subject to such review so that the division of criminal justice services may terminate its retain processing with regard to such person. At least once a year, the office of children and family services will be required to conduct a validation of the records maintained by the division of criminal justice services.

11. The office of children and family services shall pay any processing fees for a criminal history or sex offender clearance conducted pursuant to subdivision one of this section. The office of children and family services shall promptly submit fingerprints obtained pursuant to this section and such processing fee to the division of criminal justice services.

12. Child day care centers which are not subject to the provisions of section three hundred ninety of this title shall not be subject to the provisions of this section, provided however, that the city of New York shall require that such child day care centers meet the requirements of any federal laws and regulations pertaining to the child care development and block grant and the related federally approved plans of the state of New York.

§ 14. Subparagraph (z) of paragraph (A) of subdivision 4 of section 422 of the social services law, as amended by section 11 of part L of chapter 56 of the laws of 2015, is amended to read as follows:

(z) an entity with appropriate legal authority in another state to license, certify or otherwise approve prospective foster parents, prospective adoptive parents, prospective relative guardians ~~[or]~~, prospective successor guardians or child care programs where disclosure of information regarding such prospective foster or prospective adoptive parents ~~[or]~~, child care programs, prospective relative or prospective successor guardians and other persons over the age of eighteen residing in the home of such persons ~~[is]~~ or where child care is provided in a home other than the child's home, as required under title IV-E of the

1 federal social security act or the federal child care and development
2 block grant act (section nine thousand eight hundred fifty-eight, et
3 seq. of title forty-two of the United States Code); and

4 § 15. Paragraph (a) of subdivision 1 of section 424-a of the social
5 services law, as amended by section 12 of part L of chapter 56 of the
6 laws of 2015, is amended to read as follows:

7 (a) A licensing agency shall inquire of the ~~[department]~~ office of
8 children and family services and the ~~[department]~~ office shall, subject
9 to the provisions of paragraph (e) of this subdivision, inform such
10 agency and the subject of the inquiry whether an applicant for a certif-
11 icate, license ~~[or]~~, permit or enrollment when such individual is not
12 related to all children for whom care will be provided, [assistants to
13 group] or to become an employee, assistant or volunteer with the poten-
14 tial for unsupervised contact with children in care of a family day care
15 [providers] program or in the case of an enrolled legally exempt provid-
16 er when such individual is not related to all children for whom care
17 will be provided, the director of a camp subject to the provisions of
18 article thirteen-B of the public health law, ~~[a prospective successor~~
19 ~~guardian when a clearance is conducted pursuant to paragraph (d) of~~
20 ~~subdivision two of section four hundred fifty-eight b of this article,~~
21 and any person over the age of eighteen who resides in the home of a
22 person who has applied to become an adoptive parent or a foster parent
23 ~~[or to operate a family day care home or group family day care home or~~
24 ~~any person over the age of eighteen residing in the home of a prospec-~~
25 ~~tive successor guardian when a clearance is conducted of a prospective~~
26 ~~successor guardian pursuant to this paragraph]~~ or on the premises where
27 child care services are provided in a home other than the child's home
28 and such person is not related to all children receiving care, has been
29 or is currently the subject of an indicated child abuse and maltreatment
30 report on file with the statewide central register of child abuse and
31 maltreatment.

32 § 16. Subdivision 4 of section 424-a of the social services law, as
33 amended by section 14 of part L of chapter 56 of the laws of 2015, is
34 amended to read as follows:

35 4. For purposes of this section, the term "licensing agency" shall
36 mean an authorized agency which has received an application to become an
37 adoptive parent or an authorized agency which has received an applica-
38 tion for a certificate or license to receive, board or keep any child
39 pursuant to the provisions of section three hundred seventy-six or three
40 hundred seventy-seven of this article or an authorized agency which has
41 received an application from a relative within the second degree or
42 third degree of consanguinity of the parent of a child or a relative
43 within the second degree or third degree of consanguinity of the step-
44 parent of a child or children, or the child's legal guardian for
45 approval to receive, board or keep such child, or an authorized agency
46 that conducts a clearance pursuant to paragraph (d) of subdivision two
47 of section four hundred fifty-eight-b of this article, or a state or
48 local governmental agency which receives an application to provide child
49 day care services in a child day care center, school-age child care
50 program, family day care home or group family day care home or enrolled
51 legally exempt provider pursuant to the provisions of section three
52 hundred ninety of this article, or the department of health and mental
53 hygiene of the city of New York, when such department receives an appli-
54 cation for a certificate of approval to provide child day care services
55 in a child day care center pursuant to the provisions of the health code
56 of the city of New York, or the office of mental health or the office

1 for people with developmental disabilities when such office receives an
2 application for an operating certificate pursuant to the provisions of
3 the mental hygiene law to operate a family care home, or a state or
4 local governmental official who receives an application for a permit to
5 operate a camp which is subject to the provisions of article thirteen-B
6 of the public health law or the office of children and family services
7 which has received an application for a certificate to receive, board or
8 keep any child at a foster family home pursuant to articles nineteen-G
9 and nineteen-H of the executive law or any other facility or provider
10 agency, as defined in subdivision four of section four hundred eighty-
11 eight of this chapter, in regard to any licensing or certification func-
12 tion carried out by such facility or agency.

13 § 17. All costs associated with activities related to the implementa-
14 tion of the federal child care development block grant reauthorization
15 act of 2014 required pursuant to this act shall be funded within the 80
16 million dollars allocated for such purpose pursuant to chapter 53 of the
17 laws of 2018. Nothing herein shall limit the ability to utilize such
18 funds to satisfy other requirements necessary to comply with the federal
19 child care development block grant reauthorization act of 2014 not
20 contained within this act.

21 § 18. Severability. If any clause, sentence, paragraph, subdivision,
22 section or part contained in any part of this act shall be adjudged by
23 any court of competent jurisdiction to be invalid, such judgment shall
24 not affect, impair, or invalidate the remainder thereof, but shall be
25 confined in its operation to the clause, sentence, paragraph, subdivi-
26 sion, section or part contained in any part thereof directly involved in
27 the controversy in which such judgment shall have been rendered. It is
28 hereby declared to be the intent of the legislature that this act would
29 have been enacted even if such invalid provisions had not be included
30 herein.

31 § 19. This act shall take effect April 1, 2019; provided, that the
32 office of children and family services is authorized to promulgate any
33 rules or regulations necessary for the implementation of this act on its
34 effective date.