

# STATE OF NEW YORK

7453

2019-2020 Regular Sessions

## IN ASSEMBLY

May 3, 2019

Introduced by M. of A. GALEF -- Multi-Sponsored by -- M. of A. COOK,  
HYNDMAN, WRIGHT -- read once and referred to the Committee on Consumer  
Affairs and Protection

AN ACT to amend the general business law and the executive law, in  
relation to requiring certain non-licensed professionals to disclose  
information regarding risks

The People of the State of New York, represented in Senate and Assem-  
bly, do enact as follows:

1 Section 1. The general business law is amended by adding a new section  
2 391-u to read as follows:

3 § 391-u. Self-help practitioners; requirements. 1. Definitions:

4 (a) "Self-help practitioner" means a non-licensed professional, his or  
5 her agent or employee, or a non-licensed company who is offering paid  
6 help to a client through financial, spiritual or educational guidance  
7 for the sake of improving personal awareness, identifying and developing  
8 personal talent and potential, enhancing the quality of life of a person  
9 and/or contributing to the realization of personal aspirations.

10 (b) "Large print format" shall mean a printed font size of sixteen  
11 points or larger.

12 (c) "Licensed professional" means a professional who can deal with any  
13 risk associated with exercises related to a self-help service or  
14 session.

15 2. Every self-help practitioner that offers services to clients shall:

16 (a) at the time of each initial contract for services, provide the  
17 client with a copy of the contract in which a disclosure of risk is  
18 displayed in large print format. Such disclosure of risk shall clearly  
19 explain, in plain language, that what the risks are in contracting with  
20 such self-help practitioner; and

21 (b) produce a comprehensive risk management plan to ensure that steps  
22 will be taken at each service or session to provide protection for  
23 clients in the event that there is a potential risk of physical or

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 emotional harm. Every self-help practitioner shall be responsible for  
2 ensuring that licensed professionals, including but not limited to,  
3 medical professionals, social workers and psychologists, are made avail-  
4 able to clients at each session or service when there is a possibility  
5 of physical or emotional risk.

6 3. A violation by any self-help practitioner of subdivision two of  
7 this section, if such violation constitutes the first such offense by  
8 such self-help practitioner, is punishable by a civil penalty not to  
9 exceed two hundred fifty dollars. A second offense and any offense  
10 committed thereafter is punishable by a civil penalty not to exceed five  
11 hundred dollars.

12 § 2. The executive law is amended by adding a new section 109-a to  
13 read as follows:

14 § 109-a. Registration of self-help practitioners. 1. For purposes of  
15 this section, "self-help practitioner" shall mean a non-licensed profes-  
16 sional, his or her agent or employee, or a non-licensed company who is  
17 offering paid help to a client through financial, spiritual or educa-  
18 tional guidance for the sake of improving personal awareness, identify-  
19 ing and developing personal talent and potential, enhancing the quality  
20 of life of a person and/or contributing to the realization of personal  
21 aspirations.

22 2. The secretary of state shall promulgate rules and regulations  
23 prescribing a registration form to be used by any self-help practitioner  
24 who provides self-help services to clients.

25 3. Such registration form shall identify:

26 (a) the name, address, and telephone number of the self-help practi-  
27 tioner; and

28 (b) a brief description of the nature of the self-help services  
29 provided to each identified client.

30 4. Such registration shall be filed with the department of state and  
31 shall cover a twelve month reporting period.

32 5. The secretary of state shall post the completed forms on the  
33 department of state's website within thirty days of the close of each  
34 reporting period.

35 6. The department of state may impose a civil penalty of up to seven  
36 hundred fifty dollars upon any self-help practitioner who fails to file  
37 a registration required by this section provided, however, that the  
38 secretary of state shall provide such self-help practitioner a reason-  
39 able opportunity to cure such a failure.

40 7. The department of state shall adopt, amend and rescind rules and  
41 regulations defining the degree and extent of self-help services neces-  
42 sary to require the reporting pursuant to this section.

43 § 3. This act shall take effect on the ninetieth day after it shall  
44 have become a law.