

# STATE OF NEW YORK

2086

2019-2020 Regular Sessions

## IN ASSEMBLY

January 22, 2019

Introduced by M. of A. LENTOL, COLTON, ORTIZ -- Multi-Sponsored by -- M. of A. CUSICK, GLICK, NOLAN, RIVERA, L. ROSENTHAL -- read once and referred to the Committee on Cities

AN ACT to amend the New York city charter, in relation to an independent building review agency

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The New York city charter is amended by adding a new  
2 section 651 to read as follows:

3 § 651. Independent building review agency. a. (1) There is hereby  
4 created within the department an independent building review agency  
5 (hereinafter "the agency"). The head of the agency shall be the director  
6 who shall be appointed by the mayor subject to the confirmation of the  
7 city council. Within thirty days of the effective date of this section,  
8 the mayor shall appoint his or her director. Within thirty days after  
9 the mayor's appointment, the city council shall confirm or deny such  
10 person's appointment. The mayor shall continue to have thirty-day peri-  
11 ods to appoint new candidates and the city council shall continue to  
12 have thirty-day periods to review and act upon such candidates.

13 (2) Once appointed, the director shall not be removed from his or her  
14 position prior to the expiration of his or her term except for good  
15 cause. The director shall serve a three-year term. At least sixty days  
16 but no longer than one hundred twenty days prior to the expiration of  
17 the director's term, the mayor shall make an appointment of a successor  
18 candidate. Such candidate's appointment shall be subject to the  
19 provisions of paragraph one of this subdivision.

20 (3) The director shall receive a salary that shall be fixed by the  
21 city council. The city council shall not alter the salary of a director  
22 during his or her term.

23 (4) Subject to the provisions of the civil service law, the director  
24 may appoint such other officers, employees, agents and consultants as

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 may be necessary, prescribe their duties, fix their compensation and  
2 provide for payment of their reasonable expenses, all within amounts  
3 available therefor by appropriation. The director may transfer officers  
4 or employees from their positions to other positions in the department,  
5 or abolish or consolidate such positions.

6 b. The independent building review agency may adopt and amend regu-  
7 lations consistent with law. The agency's regulation shall not be  
8 subject to the state administrative procedure act. Such regulations  
9 shall:

10 (1) establish the procedures by which the agency brings violations  
11 against individuals and entities who are alleged to have violated laws,  
12 rules, and regulations relating to their specific land development  
13 project;

14 (2) set forth a schedule of fines relating to any violation of a  
15 building law, code, rule, or regulation;

16 (3) establish any other penalties that the agency may impose against  
17 an individual for violating a law, rule, or regulation enforced by the  
18 agency, including the ability to revoke building permits, and ordering  
19 the offending party to cease his or her actions; and

20 (4) establish any other rule that the agency deems necessary to foster  
21 the adherence to laws, rules, and regulations by individuals and enti-  
22 ties.

23 c. The agency shall enforce the provisions of the laws of this state,  
24 the administrative code of the city of New York, this chapter and any  
25 rules and regulations made thereunder, which relate to:

26 (1) the development of an unimproved lot;

27 (2) the alteration of any improved lot;

28 (3) the safety of any equipment used at a site in which any develop-  
29 ment or alteration of a lot takes place;

30 (4) the employment of individuals at the worksite who would otherwise  
31 require state or city licensure;

32 (5) any issue that would otherwise be subject to review by an inspec-  
33 tor or code enforcement officer or similar public officer; and

34 (6) any rule or regulation that the agency promulgates directed at  
35 ensuring the health, safety, and well-being of the citizens of the city  
36 of New York.

37 d. (1) (a) The agency shall conduct proceedings for the adjudication  
38 of violations of the laws, rules and regulations enforced by it pursuant  
39 to the provisions of subdivision c of this section or of any other law  
40 providing for enforcement by the agency in accordance with this subdivi-  
41 sion and with rules and regulations promulgated by the agency, and shall  
42 have the power to render decisions and orders and to impose the civil  
43 penalties provided under law for such violations.

44 (b) The form and wording of notices of violation shall be prescribed  
45 by the agency. The notice of violation or copy thereof when filled in  
46 and served shall constitute notice of the violation charged, and, if  
47 sworn to or affirmed, shall be prima facie evidence of the facts  
48 contained therein.

49 (c) The notice of violation shall contain information advising the  
50 person charged of the manner and the time in which such person may  
51 either admit or deny the violation charged in the notice. Such notice of  
52 violation shall also contain a warning to advise the person charged that  
53 failure to plead in the manner and time stated in the notice may result  
54 in a default decision and order being entered against such person. The  
55 original or a copy of the notice of violation shall be filed and

1 retained by the agency and shall be deemed a record kept in the ordinary  
2 course of business.

3 (d) Where a respondent has failed to plead within the time allowed by  
4 the rules of the agency or has failed to appear on a designated hearing  
5 date or a subsequent date following an adjournment, such failure to  
6 plead or appear shall be deemed, for all purposes, to be an admission of  
7 liability and shall be grounds for rendering a default decision and  
8 order imposing a penalty in the maximum amount prescribed under law for  
9 the violation charged and any other remedy available to the agency.

10 (e) Any final order of the agency imposing a civil penalty, whether  
11 the adjudication was had by hearing or upon default or otherwise, shall  
12 constitute a judgment rendered by the agency which may be entered in  
13 the civil court of the city of New York or any other place provided for  
14 the entry of civil judgments within the state, and may be enforced with-  
15 out court proceedings in the same manner as the enforcement of money  
16 judgments entered in civil actions; provided, however, that no such  
17 judgment shall be entered which exceeds the sum of one hundred thousand  
18 dollars for each respondent.

19 (f) Notwithstanding the foregoing provision, before a judgment based  
20 upon a default may be so entered the agency must have notified the  
21 respondent by first class mail in such form as the agency may direct:

22 (i) of the default decision and order and the penalty imposed;

23 (ii) that a judgment will be entered in the civil court of the city of  
24 New York or any other place provided for the entry of civil judgments  
25 within the state of New York; and

26 (iii) that entry of such judgment may be avoided by requesting a stay  
27 of default for good cause shown and either requesting a hearing or  
28 entering a plea pursuant to the rules of the agency within thirty days  
29 of the mailing of such notice.

30 (g) A judgment entered pursuant to this paragraph shall remain in full  
31 force and effect for eight years.

32 (2) (a) The agency shall not enter any final decision or order pursu-  
33 ant to the provisions of paragraph one of this subdivision unless the  
34 notice of violation shall have been served in the same manner as is  
35 prescribed for service of process by article three of the civil practice  
36 law and rules or article three of the business corporation law. A person  
37 need not be personally served before the agency may enter a final deci-  
38 sion against him or her.

39 (b) Proof of such service of the notice of violation shall be filed  
40 with the agency within twenty days; service shall be complete ten days  
41 after such filing.

42 (3) The agency may apply to a court of competent jurisdiction for  
43 enforcement of any other decision or order issued by such agency or of  
44 any subpoena issued by such agency.

45 § 2. This act shall take effect immediately.