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Cal. No. 728

2019-2020 Regular Sessions

IN SENATE

February 27, 2019

- Introduced by Sens. SAVINO, RAMOS, ADDABBO, BAILEY, BENJAMIN, BIAGGI, BOYLE, BRESLIN, BROOKS, CARLUCCI, FUNKE, GALLIVAN, GAUGHRAN, GIANARIS, GOUNARDES, HELMING, HOYLMAN, JACKSON, JACOBS, KAPLAN, KAVANAGH, KENNE-DY, KRUEGER, LITTLE, LIU, MAY, MAYER, MYRIE, ORTT, PARKER, PERSAUD, RIVERA, ROBACH, SALAZAR, SANDERS, SEPULVEDA, SERRANO, SKOUFIS, STAVI-SKY, THOMAS -- read twice and ordered printed, and when printed to be committed to the Committee on Labor -- reported favorably from said committee and committed to the Committee on Finance -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- reported favorably from said committee, ordered to first and second report, ordered to a third reading, amended and ordered reprinted, retaining its place in the order of third reading -- passed by Senate and delivered to the Assembly, recalled, vote reconsidered, retaining its place in the order of third reading
- AN ACT to amend the labor law and the state finance law, in relation to requiring the licensing of persons engaged in the design, construction, inspection, maintenance, alteration, and repair of elevators and other automated people moving devices (Part A); and to amend the administrative code of the city of New York, in relation to the licensing of approved elevator agency directors, inspectors, and technicians performing elevator work in the city of New York; and to repeal the definition of private elevator inspection agency in section 28-401.3 of the administrative code of the city of New York (Part B)

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. This act enacts into law legislation providing for elevator 2 safety. Each component is wholly contained within a Part identified as 3 Parts A through B. The effective date for each particular provision 4 contained within such Part is set forth in the last section of such 5 Part. Any provision in any section contained within a Part, including

EXPLANATION--Matter in <u>italics</u> (underscored) is new; matter in brackets [-] is old law to be omitted.

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1 the effective date of the Part, which makes reference to a section "of 2 this act", when used in connection with that particular component, shall 3 be deemed to mean and refer to the corresponding section of the Part in 4 which it is found. Section four of this act sets forth the general 5 effective date of this act.

б § 2. Legislative findings and declaration. The legislature hereby 7 finds that the use of unsafe and defective elevators and other automated 8 people moving conveyances may expose the public to unsafe conditions and 9 increase the risk of injury. The legislature finds that improper design, 10 construction, maintenance and repair of such conveyances is preventable 11 by requiring proper training of persons employed to perform work on elevators and other automated people moving conveyances and by requiring 12 13 the licensing of contractors and the certification of individuals 14 involved in elevator and other automated people moving conveyances 15 projects.

Nothing in this act is intended to create, expand, diminish, limit, impair, or supersede any rights under current law, rule, or regulation, or resulting from a determination of a court or the national labor relations board with regard to building trades and the work of such building trade. Nor is it intended to abrogate any rights or duties under any contract with regard to building trades and the work of such building trade.

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PART A

24 Section 1. The labor law is amended by adding a new article 33 to read 25 as follows:

26	ARTICLE 33
27	ELEVATORS AND OTHER CONVEYANCES; LICENSING
28	Section 950. Application.
29	951. Definitions.
30	952. Licensing, permit, registration and compliance require-
31	ments.
32	953. License and permit procedure.
33	954. Qualifications, training and continuing education.
34	955. Powers of the commissioner.
35	956. New York state elevator safety and standards board.
36	957. Exempt persons.
37	<u>§ 950. Application. 1. The design, construction, erection, installa-</u>
38	tion, inspection, testing, maintenance, alteration, service, and repair
39	of the following equipment are covered by this article:
40	(a) hoisting and lowering mechanisms equipped with a car or platform
41	which moves between two or more landings. This equipment includes, but
42	is not limited to elevators, platform lifts and non-residential stairway
43	<u>chair lifts;</u>
44	(b) power driven stairways and walkways for carrying persons between
45	landings. This equipment includes, but is not limited to, escalators
46	and moving walks;
47	(c) hoisting and lowering mechanisms equipped with a car, which serves
48	two or more landings and is restricted to the carrying of material by
49	its limited size or limited access to the car. This equipment includes,
50	but is not limited to, dumbwaiters, material lifts, and dumbwaiters with
51	automatic transfer devices as defined in section nine hundred fifty-one
52	of this article; and

1	(d) automatic guided transit vehicles on guideways with an exclusive
2	right of way. This equipments includes, but is not limited to, auto-
3	mated people movers.
4	2. The following equipment is not covered by this article:
5	(a) personnel and material hoists;
б	(b) manlifts;
7	(c) mobile scaffolds, towers, and platforms;
8	(d) powered platforms and equipment for exterior and interior mainte-
9	nance;
10	(e) conveyor and related equipment;
11	(f) cranes, derricks, hoists, hooks, jacks and slings;
12	(g) industrial trucks;
13	(h) portable equipment, except for portable escalators;
14	(i) tiering and piling machines used to move materials to and from
15	storage located and operating entirely within one story;
16	(j) equipment for feeding or positioning materials including, but not
17	limited to, machine tools and printing presses;
18	(k) skip or furnace hoists;
19	(1) wharf ramps;
20	(m) railroad car lifts or dumpers;
21	(n) stairway chairlifts for private residences;
22	(o) line jacks, false cars, shafters, moving platforms and similar
23	equipment used for installing an elevator by a contractor licensed in
24	this state;
25	(p) operation of inside cars (elevators); and
26	(q) operation of an elevator that has received a temporary certificate
27	of occupancy.
28	3. The licensing, permitting and certification provisions of this
29	article shall not apply to the owners or lessees of private residences
30	who design, erect, construct, install, alter, repair, service or main-
31	tain conveyances that are located or will be located in such owner or
32	lessee's private residence. However, any person hired to design, erect,
33	construct, install, alter, repair, service, maintain, or perform any
34	other work related to such conveyances must comply with the provisions
35	of this article.
36	4. No license shall be required for the removal or dismantling of
37	conveyances.
38	5. The provisions of this article and the rules adopted pursuant ther-
39	eto shall be the minimum standard required and shall supersede any
40	special law or local ordinance inconsistent therewith, and no local
41	ordinance inconsistent therewith shall be adopted, but nothing herein
42	contained shall prevent the enactment by local law or ordinance of addi-
43	tional requirements and restrictions.
44	6. The provisions of this article shall not apply to cities having a
45	population of one million or more.
46	§ 951. Definitions. As used in this article, the following terms shall
47	have the following definitions:
48	<u>1. "Automated people mover" means a guided transit mode with fully</u>
49	automated operation, featuring vehicles that operate on guideways with
50	exclusive right-of-way.
51	2. "Board" means the New York state elevator safety and standards
52	board established by section nine hundred fifty-six of this article.
52 53	<u>3. "Certificate of operation" means a document issued by the commis-</u>
53 54	sioner that indicates that the elevator or related conveyance has had
54 55	the required safety inspection and tests and that the fees required by
55 56	this article have been paid.
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"Temporary certificate of operation" means a document issued by the 1 4. 2 commissioner which permits the temporary use of a non-compliant elevator 3 or related conveyance by the general public for a limited time, not to 4 exceed thirty days, while minor repairs are being completed. 5 5. "Conveyance" means any elevator, dumbwaiter, escalator, moving б sidewalk, platform lifts, non-residential stairway chairlifts and auto-7 mated people movers. 8 6. "Dormant elevator, dumbwaiter, or escalator" means an installation 9 placed out of service under the following circumstances: (a) when an 10 installation's power has been disconnected and (i) when an electric elevator, dumbwaiter, or material lift whose suspension ropes have been 11 removed, whose car and counterweight rest at the bottom of the hoistway, 12 13 and whose hoistway doors have been permanently barricaded or sealed in the closed position on the hoistway side; or (ii) a hydraulic elevator, 14 dumbwaiter, or material lift whose car rests at the bottom of the hoist-15 16 way and whose doors are permanently barricaded or sealed; or (iii) an escalator or moving walk whose entrances have been permanently barricad-17 ed; or (b) as determined by state or local law, code, rule, or regu-18 19 lations. 20 7. "Elevator" means a hoisting and lowering mechanism, equipped with a 21 car, that moves within guides and serves two or more landings. 8. "Elevator contractor" means, a public corporation, or instrumental-22 ity of a public corporation, self-employed person, company, unincorpo-23 rated association, firm, partnership, limited liability company, corpo-24 ration, or any other entity, or any owner or operator of any of the 25 26 foregoing entities, who possesses an elevator contractor's license in 27 accordance with the provisions of sections nine hundred fifty-two and nine hundred fifty-three of this article and is engaged in the business 28 29 of designing, erecting, constructing, installing, altering, repairing, 30 servicing or maintaining elevators or other automated people moving 31 conveyances covered by this article. 9. "Elevator helper/apprentice/assistant mechanic" means any person 32 who works under the general direction of a licensed elevator mechanic. 33 34 10. "Elevator inspector" means any person who possesses an elevator 35 inspector's license in accordance with the provisions of this article. 11. "Elevator mechanic" means any person who possesses an elevator 36 mechanic's license in accordance with the provisions of this article. 37 38 12. "Escalator" means power-driven, inclined, continuous stairway used 39 for raising or lowering passengers. 13. "Existing installation" means an installation that has been 40 41 completed or is under construction prior to the effective date of this 42 article. 14. "License" means a license duly issued by the commissioner, author-43 izing the design, erection, construction, installation, alteration, 44 45 repair, service, maintenance, or inspection of elevators or other 46 conveyances covered by this article. 15. "Elevator contractor's license" means a license which entitles the 47 holder thereof to engage in the business of designing, erecting, 48 constructing, installing, altering, repairing, servicing or maintaining 49 50 conveyances covered by this article. 51 16. "Elevator inspector's license" means a license which entitles the holder thereof to engage in the business of inspecting or testing 52 53 conveyances covered by this article. 54 17. "Elevator mechanic's license" means a license which entitles the holder thereof to install, construct, alter, service, repair, test, 55

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maintain, and perform work on conveyances or other automated people movers covered by this article. 18. "Moving walk/sidewalk" means a type of passenger-carrying device on which passengers stand or walk, and in which the passenger-carrying surface remains parallel to its direction of motion and is uninterrupted. 19. "Permit" means a document issued by the commissioner prior to the commencement of work that permits a conveyance to be erected, constructed, installed, or altered under plans approved by the commissioner pursuant to this article. 20. "Person" means any natural person. 21. "Private residence" means a separate dwelling or a separate apartment in a multiple dwelling, which is occupied by members of a single family unit. 22. "Repair" means reconditioning or renewal of parts, components, and/or subsystems necessary to keep equipment in compliance with applicable code requirements. 23. "Alteration" means any change to equipment, including its parts, components, and/or subsystems, other than maintenance, repair, or replacement, but shall not include the professional services of engineering or architecture as defined in sections seventy-two hundred one and seventy-three hundred one of the education law. 24. "Design" means the act or process of planning the repair, alteration or construction of any conveyance, but shall not include the professional services of engineering or architecture as defined in sections seventy-two hundred one and seventy-three hundred one of the education law. 25. "Construction" means the act or process of constructing any conveyance. 26. "Inspection" means a critical examination, observation or evaluation of quality and code compliance of any conveyance. 27. "Testing" means a process or trial of operation of any conveyance. 28. "Maintenance" means a process of routine examination, lubrication, cleaning, and adjustment of parts, components, and/or subsystems for the purpose of ensuring performance in accordance with any applicable code requirements. 29. "Service or servicing" means a service call or other unscheduled visit, not including routine maintenance or a repair, from a licensed elevator mechanic to troubleshoot, adjust or repair an improperly functioning or an otherwise shut down conveyance. 30. "Temporarily dormant elevator, dumbwaiter, or escalator" means an installation temporarily placed out of service under the following circumstances: (a) (i) when such installation's power supply has been disconnected; and (ii) the car is parked and any doors are closed and latched; and (iii) a wire seal is installed on the mainline disconnect switch by a licensed elevator inspector; or (b) as determined by state

47 <u>or local law, code, rule, or regulation.</u>

48 <u>31. "Erect" means to vertically construct or connect any conveyance or</u> 49 <u>part or system thereof.</u>

50 <u>32. "Personnel and material hoists" means rack and pinion hoists,</u> 51 <u>alimaks and machines of a similar nature used for the hoisting of</u> 52 <u>construction material, equipment and personnel, or the removal of</u> 53 <u>debris, all during the construction, renovation and/or demolition phase</u> 54 <u>of any construction project whether an inside or outside hoist.</u>

55 <u>33. "Installation" means to place or fix any conveyance or part or</u> 56 <u>system thereof, in position for operation.</u>

Temporarily dormant installations shall not be used until such instal-1 lation has been restored to a safe running order and is in condition 2 3 suitable for use in accordance with all applicable laws, codes, rules 4 and regulations. Such temporarily dormant installation shall be subject 5 to continued inspections for the duration of the "temporarily dormant" б status by a licensed elevator inspector. Such inspector shall file a 7 report with the commissioner describing the conditions of such temporar-8 ily dormant installation. The report shall be filed annually or more or 9 less frequent as determined by the commissioner. "Temporarily dormant" 10 status shall be renewable on an annual basis, but shall not exceed a 11 five-year period. 12 No person shall remove the wire seal and padlock for any purpose with-13 out the express permission of the elevator inspector. 14 § 952. Licensing, permit, registration and compliance requirements. 1. Except as otherwise provided for in subdivisions three and four of 15 16 section nine hundred fifty of this article, it shall be a violation of this article for any elevator contractor to design, erect, construct, 17 install, alter, replace, service, or maintain, any conveyance contained 18 19 within buildings or structures in this state unless such elevator 20 contractor holds an elevator contractor's license. 21 2. Except as otherwise provided for in subdivisions three and four of section nine hundred fifty of this article, it shall be a violation of 22 this article for any person to wire any conveyance, from the mainline 23 feeder terminals on the controller, in this state unless such person has 24 an elevator mechanic's license and is working under the direct super-25 26 vision of a licensed elevator contractor pursuant to this article. No 27 other license shall be required for this work, excluding the installation of branch circuits and wiring terminations for machine room and pit 28 29 lighting, receptacles and HVAC as described in the NFPA National Elec-30 tric Code 620.23 and 620.24 as well as fire and heat detectors and 31 alarms, may be performed by a licensed electrical contractor. 3. Except as otherwise provided for in subdivision three of section 32 33 nine hundred fifty of this article, it shall be a violation of this article for any person to inspect or test any conveyance within build-34 35 ings or structures unless such person holds an elevator inspector's 36 license. 37 4. Except as otherwise provided for in subdivisions three and four of 38 section nine hundred fifty of this article, it shall be a violation of this article for any elevator contractor to erect, construct, install, 39 or alter conveyances within buildings or structures within this state 40 41 unless a permit therefor has been issued by the commissioner before work 42 is commenced. No permit shall be issued except to a person holding a 43 valid elevator contractor's license. A copy of such permit shall be kept 44 at the construction site at all times while the work is in progress. An application for a permit under this section with the commissioner shall 45 46 not be required if an authority having jurisdiction ("AHJ") posts all 47 permits issued to elevator contractors on the AHJ's official website within forty-eight hours of their issuance. The information required to 48 be published must include, at a minimum, the date of issuance, the work 49 covered by the permit, the elevator contractor or contractors involved 50 51 and location and type of work to be performed. 5. Except as otherwise provided in subdivision three of section nine 52 53 hundred fifty of this article, all new conveyance installations shall be 54 performed by an elevator contractor licensed to install such conveyance. Subsequent to installation, the elevator contractor must certify compli-55 56 ance to the commissioner with the applicable sections of this article as

well as any other applicable law, rule, regulation or code. <u>Prior to</u> 1 such conveyances being used, the property owner or lessee must obtain a 2 3 certificate of operation from the commissioner. A fee, as set forth in 4 this article, shall be paid for such certificate of operation, however, 5 no such fee shall be required for conveyances in private residences. It б is the responsibility of the licensed elevator contractor to complete 7 and submit registrations for new installations. A certificate of opera-8 tion shall be valid for one year, except for certificates issued for platform lifts for private residences, which shall be valid for a period 9 of three years. Certificates of operation must be clearly and conspicu-10 11 ously displayed on, in or around each conveyance and be accessible to the state or locality inspecting or enforcing any applicable law, rule, 12 13 regulation or code. An application for a certificate of operation under 14 this section with the commissioner shall not be required if an authority having jurisdiction ("AHJ") posts all certificates of operation issued 15 16 to a building owner on the AHJ's official website within forty-eight 17 hours of their issuance. The information required to be published must include, at a minimum, the date of issuance, the unit subject to the 18 certificate of operation, the name and address of the building owner, 19 20 and the address of the unit. 21 6. Except as otherwise provided in subdivision three of section nine 22 hundred fifty of this article, the certificate of operation for newly installed platform lifts for private residences shall be issued only 23 subsequent to an inspection by a licensed third party inspection firm. 24 25 The certificate of operation fee for all new and existing platform lifts 26 for private residences and any renewal certificate fees are hereby 27 waived. The inspection of private residence platform lifts shall be done at the request and consent of the private residence's owner or lessees. 28 29 7. It shall be the responsibility of licensees to ensure that the 30 installation, service or maintenance of conveyances is performed in 31 compliance with existing state and local building and maintenance codes. 32 § 953. License and permit procedure. All applications for elevator 33 contractor's, elevator mechanic's, and elevator inspector's licenses and required permits shall be submitted to the department in writing on 34 35 forms furnished by the commissioner and shall contain the information set forth in this section as well as any additional information that the 36 37 commissioner may require. The commissioner shall also set fees for 38 licensing and permitting under this section. 1. Applications for licenses. Every application for a license under 39 40 this article shall include the following: (a) the name, residence address and business address of the applicant; 41 42 (b) the number of years the applicant has engaged in the business or 43 practice of designing, constructing, erecting, installing, inspecting, testing, repairing, altering, maintaining, or servicing conveyances 44 45 covered by this article; 46 (c) the approximate number of persons, if any, to be employed by the 47 applicant for an elevator contractor's license; 48 (d) evidence that the applicant is or will be covered by general 49 liability, personal injury and property damage insurance; and (e) any other information which the commissioner may require. 50 51 Upon approval of an application for a license the commissioner shall issue such license which shall be valid for two years. The fees for such 52 53 license and renewal thereof shall be set by the commissioner. Any denial 54 for such application shall set forth the reasons therefor. 2. Application for permits. Every application for a permit under this 55 56 article shall include plans and specifications stamped and signed by a

2	one hundred forty-five and/or article one hundred forty-seven of the
3	education law. Every application for a permit under this article shall
4	<u>include the following:</u>
5	(a) copies of the specifications and accurately scaled and fully
б	dimensioned plans showing the location of the installation in relation
7	to the plans and elevation of the building;
8	(b) the location of the machinery room and the equipment to be
9	installed, relocated or altered;
10	(c) all structural supporting members thereof, including foundations;
11	(d) a list of all materials to be employed and all loads to be
12	supported and conveyed;
13	(e) any other information that the commissioner may require to ensure
14	that such plans and specifications are sufficiently complete and illus-
15	trate all details of construction and design;
16	(f) any required permitting fees, which are subject to return upon
17	denial of a permit application; and
18	(g) the department shall maintain and publish a registry of all
19	licensed elevator mechanics, contractors and inspectors, which shall
20	list and identify, all licensed elevator mechanics, contractors, and
21	inspectors doing business in this state. The department shall make the
22	registry available on its website.
23	Upon approval of an application for a permit the commissioner shall
24	issue such permit. Such permit shall state the time by which the work
25	shall commence and also when such permit expires. If after the work has
26	been started, work is suspended or abandoned for a period of sixty days,
27	or such shorter period of time as the commissioner may specify at the
28	time the permit is issued, the permit shall expire. Upon expiration of
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29	a permit for which work has not been completed, the commissioner may
29 30	a permit for which work has not been completed, the commissioner may extend such permit.
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30 31	extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists
30 31 32	extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the
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30 31 32 33 34 35 36 37 38 39 40 41 42	extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a license. The commissioner shall issue emergency eleva- tor mechanic's licenses to address the emergency that exists. The
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30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46	extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a license. The commissioner shall issue emergency eleva- tor mechanic's licenses to address the emergency that exists. The licensed elevator contractor shall furnish proof of competency as the commissioner may require. Each such license shall recite that it is valid for a period of fifteen days from the date thereof and for such particular elevators or geographical areas as the commissioner may
30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47	extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a license. The commissioner shall issue emergency eleva- tor mechanic's licenses to address the emergency that exists. The licensed elevator contractor shall furnish proof of competency as the commissioner may require. Each such license shall recite that it is valid for a period of fifteen days from the date thereof and for such particular elevators or geographical areas as the commissioner may designate to address the emergency situation and otherwise shall entitle
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30 31 32 33 34 35 36 37 38 39 40 41 42 43 445 467 48 49	<pre>extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a license. The commissioner shall issue emergency as the commissioner may require. Each such license shall recite that it is valid for a period of fifteen days from the date thereof and for such particular elevators or geographical areas as the commissioner may designate to address the emergency situation and otherwise shall entitle the license to the rights and privileges of an elevator mechanic's license issued in this article. The commissioner shall renew an emergency elevator mechanic's license issued in this article.</pre>
30 312 33 35 36 37 38 401 42 43 45 467 489 50	<pre>extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a licenses. The commissioner shall issue emergency eleva- tor mechanic's licenses to address the emergency that exists. The licensed elevator contractor shall furnish proof of competency as the commissioner may require. Each such license shall recite that it is valid for a period of fifteen days from the date thereof and for such particular elevators or geographical areas as the commissioner may designate to address the emergency situation and otherwise shall entitle the license to the rights and privileges of an elevator mechanic's license issued in this article. The commissioner shall renew an emer- gency elevator mechanic's license during the existence of an emergency</pre>
30 31 32 33 35 36 37 38 40 41 42 43 45 46 47 48 49 50 51	<pre>extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a license. The commissioner shall issue emergency eleva- tor mechanic's licenses to address the emergency that exists. The licensed elevator contractor shall furnish proof of competency as the commissioner may require. Each such license shall recite that it is valid for a period of fifteen days from the date thereof and for such particular elevators or geographical areas as the commissioner may designate to address the emergency situation and otherwise shall entitle the licensee to the rights and privileges of an elevator mechanic's license issued in this article. The commissioner shall renew an emer- gency elevator mechanic's license during the existence of an emergency as needed. No fee shall be charged for any emergency elevator mechanic's</pre>
30 312 333 35 36 3738 390 412 434 45 478 490 512 52	<pre>extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a license. The commissioner shall issue emergency eleva- tor mechanic's licenses to address the emergency that exists. The licensed elevators or geographical areas as the commissioner may designate to address the emergency situation and otherwise shall entitle the license to the rights and privileges of an elevator mechanic's license issued in this article. The commissioner shall renew an emer- gency elevator mechanic's license during the existence of an emergency as needed. No fee shall be charged for any emergency elevator mechanic's license or renewal thereof.</pre>
30 312 333 3536 3738 3904123445 445467489 51253	<pre>extend such permit. 3. Licensing and permitting exemptions. Whenever an emergency exists in this state due to a disaster or act of God, which imperils the health, safety or welfare of an individual or individuals and placing such individual or individuals in imminent danger of injury or death and the number of persons in the state holding licenses granted by the board is insufficient to cope with such emergency, any person certified by a licensed elevator contractor to have an acceptable combination of docu- mented experience and education to perform elevator work without direct and immediate supervision shall seek an emergency elevator mechanic's license from the commissioner within five business days after commencing work requiring a license. The commissioner shall issue emergency eleva- tor mechanic's licenses to address the emergency that exists. The licensed elevators or geographical areas as the commissioner may designate to address the emergency situation and otherwise shall entitle the licensee to the rights and privileges of an elevator mechanic's license issued in this article. The commissioner shall renew an emer- gency elevator mechanic's license during the existence of an emergency as needed. No fee shall be charged for any emergency elevator mechanic's license or renewal thereof. 4. Restricted license. A restricted class of lift mechanic license</pre>

provisions of ASME (American society of mechanical engineer) codes and 1 standards A18.1-2005. An applicant for such restricted license shall 2 3 complete an application approved by the board and shall have at least 4 three years verified work experience in constructing, maintaining and 5 repairing those lifts covered by ASME A18.1-2005 and shall provide to б the commissioner a certificate of completion of an accessibility train-7 ing program for lifts under the scope of A18.1-2005 such as the certi-8 fied accessibility training provided by the national association of 9 elevator contractors, or an equivalent program as deemed by the depart-10 ment. 11 § 954. Qualifications, training and continuing education. 1. No license shall be granted to any person who has not paid the required 12 13 application fee and demonstrated his or her qualifications and abili-14 ties. Applicants for a mechanic's license must demonstrate one of the following qualifications: 15 16 (a) acceptable proof that he or she has worked on elevator construction, maintenance or repair with direct and immediate super-17 vision in this state for a period of not less than four years immediate-18 19 ly prior to the effective date of this article, provided that such 20 applicant shall file such application within one year of release and 21 access to the licensing application as defined in this article; or 22 (b) a certificate of successful completion of the joint apprentice and training committee of the elevator industry of local 3, IBEW, EE divi-23 sion training program or an apprenticeship program for elevator mechan-24 25 ics, having standards substantially equal to those of this chapter, and 26 registered with the bureau of apprenticeship and training, U.S. depart-27 ment of labor or a state apprenticeship council; or 28 (c) industry educational program, The National Association of Elevator 29 Contractors (NAEC) Certified Elevator Technician (CET), or their equiv-30 <u>alent; or</u> 31 (d) The commissioner shall upon application and without examination, 32 issue a license to any person over the age of eighteen years who has 33 been duly licensed by any other state of the United States to engage in 34 the business of construction, inspection, maintenance, alteration and 35 repair of elevators as verified by current and previous employers, upon compliance with standards and requirements not lower, in the judgement 36 37 of the commissioner than those of this state, provided, however, that 38 such state extends the same reciprocity to the licensees of this state. Such application shall be accompanied by the required license fee. 39 40 2. Applicants for an elevator contractor's license must demonstrate to 41 the commissioner that such elevator contractor employs licensed elevator 42 mechanics who perform the work described in section nine hundred fifty 43 of this article and have proof of compliance with the insurance requirements set forth in paragraph (d) of subdivision one of section nine 44 45 hundred fifty-three of this article. 46 3. Applicants for an elevator inspector's license must demonstrate to 47 the satisfaction of the commissioner that such applicant meets or exceeds applicable standards such as a Qualified Elevator Inspector 48 (QEI) or its equivalent. Private elevator inspectors shall maintain the 49 50 same insurance requirements as an elevator contractor. 51 4. (a) The renewal of all licenses granted under the provisions of this subdivision shall be conditioned upon the submission of a certif-52 53 icate of completion of a course designed to ensure the continuing educa-54 tion of licensees on new and existing national, state, and local convey-55 ances codes and standards and on technology and technical education and workplace safety. Such course shall consist of not less than eight 56

contact hours (.8 CEU) annually and completed preceding any such license 1 renewal. The commissioner shall establish requirements for continuing 2 3 education and training programs, and shall approve such programs, as 4 well as maintain a list of approved programs which shall be made avail-5 able to license applicants, permit applicants, renewal applicants and б other interested parties upon request. The commissioner shall promulgate rules and regulations setting forth the criteria for approval of such 7 8 programs, the procedures to be followed in applying for such approval, 9 and other rules and regulations as the commissioner deems necessary and 10 proper to effectuate the purposes of this section. 11 (b) The commissioner shall assess a fee for each training program completion certificate and for each refresher training program 12 completion certificate provided, however, that in no event shall the 13 14 cost of such certificates be assessed by the sponsor of such training program against the participants. 15 16 5. The renewal of all licenses granted under the provisions of this 17 section shall be conditioned upon the submission of a certificate of completion of a course designed to ensure the continuing education of 18 19 licensees on new and existing regulations of the department. Such course 20 shall consist of not less than eight contact hours (.8 CEU) of instruc-21 tion that shall be attended and completed annually prior to any such 22 license renewal. The courses shall be taught through continuing education providers 23 that may include, but shall not be limited to, association seminars, and 24 25 labor training programs or programs that deliver an approved apprentice-26 ship and are registered with the department or the New York state 27 apprenticeship training council. The commissioner shall approve the continuing education providers. All instructors shall be exempt from the 28 29 requirements of the preceding paragraph with regard to their application 30 for license renewal provided that such applicant was qualified as an 31 instructor at any time during the one year immediately preceding the 32 scheduled date for such renewal. 33 Approved training providers shall keep uniform records, for a period of six years, of attendance of licensees following a format approved by 34 the commissioner and such records shall be available for inspection by 35 the commissioner at his or her request. Approved training providers 36 shall be responsible for the security of all attendance records and 37 38 certificates of completion; provided, however, that falsifying or knowingly allowing another to falsify such attendance records or certif-39 icates of completion shall constitute grounds for suspension or revoca-40 tion of the approval required under this section. 41 42 § 955. Powers of the commissioner. 1. The commissioner shall have the 43 authority to inspect, or cause to be inspected, ongoing or completed conveyances projects and to conduct an investigation thereof upon the 44 45 commissioner's own initiation or upon receipt of a complaint by any 46 person or entity. However, nothing in this subdivision shall permit the commissioner to enter a private residence. 47 2. If, upon receipt of a complaint alleging a violation of this arti-48 cle, the commissioner reasonably believes that such violation exists, he 49 or she shall investigate as soon as practicable to determine if such 50 51 violation exists. If the commissioner determines that no violation or 52 danger exists, the commissioner shall inform the complaining person or 53 entity. 54 3. If, upon investigation, the commissioner determines that the alleged violation exists, the commissioner may deliver to such owner or 55 56 elevator contractor or his or her agent or representative a written

order to cure such violation and may order that their permit to work on 1 such installation, repair or maintenance project shall be suspended 2 until such violation is cured. Such order shall specifically enumerate 3 4 the violations which constitute the basis of the order to cure or order 5 of suspension and shall specify the corrective action to be taken. The б commissioner may allow the permit to toll during the time of such order. 7 4. Upon receipt of a written notice from the elevator contractor, or 8 his or her agent or representative, that such violation has been 9 corrected, the commissioner shall, within ten days, issue a determi-10 nation as to whether such order to cure has been satisfied and such 11 order of suspension, if any, shall be lifted. If the commissioner determines that the order to cure has not been satisfied he or she may 12 13 continue such order for a reasonable period of time upon the consent of 14 the contractor, or his or her agent or representative. If the commissioner does not continue the order, or if the contractor, or his or her 15 16 agent or representative does not consent to such continuation, the 17 contractor shall have the right to a hearing to determine if such order shall be lifted. Any entity or contractor who may be adversely affected 18 by a notice, suspension, or determination issued under this section may 19 20 commence a proceeding pursuant to article seventy-eight of the civil 21 practice law and rules. 5. The commissioner may, after a notice and hearing, suspend or revoke 22 a license issued under this article based on any of the following 23 24 violations: 25 (a) any false statement as to a material matter in the application; 26 (b) fraud, or misrepresentation, in securing a license; 27 (c) failure to notify the commissioner and the owner or lessee of a conveyance of any condition not in compliance with this article; 28 29 (d) a violation of section nine hundred fifty-two of this article; or 30 (e) a finding by the commissioner that a contractor has violated this 31 article or any rule or regulation promulgated thereunder twice within a 32 period of three years, or that a contractor has violated a provision of 33 this article and such violation resulted in a serious threat to the health or safety of an individual or individuals. The commissioner may, 34 35 in addition to ordering that such contractor's license be revoked, bar 36 such individual from being eligible to reapply for such license for a 37 period not to exceed two years. 38 6. The commissioner may, after notice and hearing, revoke a permit 39 issued under this article based on any of the following violations: 40 (a) any false statements or misrepresentation as to a material fact in 41 the application, plans, or specifications on which the permit was based; 42 (b) any application which by omission or mistake fails to comply with 43 the requirements of this article; 44 (c) any failure to perform work in accordance with the provisions of the application, plans or specifications or with the requirements of 45 46 this article or conditions of the permit; 47 (d) a failure by the owner or elevator contractor to whom the permit 48 was issued to comply with an order issued pursuant to subdivision four 49 of this section; or 50 (e) a finding by the commissioner that an individual or contractor who 51 has been issued a permit has violated any provision under section nine hundred fifty-two of this article. 52 53 7. (a) Except as provided in paragraph (b) of this subdivision, if the 54 commissioner finds, after notice and hearing, that an individual has violated any provision of this article, he or she may impose a civil 55 56 penalty not to exceed one thousand dollars for each such violation. Upon

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1	a second or subsequent violation within three years of the determination
2	of a prior violation, the commissioner may impose a civil penalty not to
3	exceed two thousand dollars.
4	(b) The penalty provided for in paragraph (a) of this subdivision may
5	be increased to an amount not to exceed five thousand dollars if the
6	violation resulted in a serious threat to the health or safety of an
7	individual or individuals.
8	8. Any entity or contractor who may be adversely affected by an order
9	issued under this section may commence a proceeding pursuant to article
10	seventy-eight of the civil practice law and rules.
11	9. The commissioner may bring an action in a court of competent juris-
12	diction to enjoin any conduct that violates the provisions of this arti-
13	<u>cle.</u>
14	10. The commissioner may promulgate rules and regulations necessary to
15	carry out and effectuate the provisions of this article.
16	<u>§ 956. New York state elevator safety and standards board. 1. An</u>
17	elevator safety and standards board is hereby created, to consist of
18	nine members. The governor, the temporary president of the senate, and
19	the speaker of the assembly shall each appoint three members. The gover-
20	nor's appointees shall be comprised of a representative of a major
21	elevator manufacturing company, an elevator inspector and a building
22	owner, manager or representative; the temporary president of the
23	senate's appointees shall be comprised of an elevator servicing company,
24	an elevator architectural designer or consultant, and an individual from
25	an elevator industry association; the speaker of the assembly's appoint-
26	ees shall be comprised of an elevator contractor employee labor union,
27	an elevator mechanic, and a fire marshal. The commissioners of health,
28	labor, education, and economic development or their designees shall be
29	ex-officio members. The board shall meet on an as needed basis to advise
30	the commissioner on the implementation of this article. The board shall
31	elect a chairperson to serve for the term of their appointment to the
32	board. The board shall prepare an annual report for the governor and
33	the legislature, copies of which shall be sent to the commissioners of
34	health, education, economic development, and labor.
35	2. The first member appointed by the governor, the temporary president
36	of the senate, and the speaker of the assembly shall have a term of one
37	year; the second member appointed by each shall have a term of two years
38	and the remaining members shall have a term of three years. Each of such
39	appointed members shall hold office for the term for which such member
40	was appointed and until his or her successor shall have been appointed
41	or until he or she shall resign. The term of office of all successor
42	members shall be three years. The members shall serve without salary or
43	compensation, but shall be reimbursed for necessary expenses incurred in
44	the performance of their duties.
45	3. The board may consult with engineering authorities and organiza-
46	tions concerned with standard safety codes, rules and regulations
47	governing the maintenance, servicing, construction, alteration, instal-
48	lation, and inspection of conveyances and the adequate, reasonable, and
49	necessary qualifications of elevator mechanics, contractors, and inspec-
50	tors.
51	4. The duties of the board are as follows:
52	(a) assist the commissioner and the department in establishing the
53	state regulations for equipment covered by this article;
54	(b) develop recommendations for an enforcement program which will
55	ensure compliance with the regulations and requirements promulgated by

56 the commissioner pursuant to this article;

1	(c) assist the commissioner in granting exceptions and variances from
2	the literal requirements of the applicable code and standards, requ-
3	lations, and local legislation, in cases where such variances would not
4	jeopardize the public safety and welfare;
5	(d) assist the commissioner in setting fee schedules for licenses,
б	permits, and inspections. The fees shall reflect the actual costs and
7	expenses to conduct the duties as described in this article; and
8	(e) assist the commissioner in any and all things necessary or conven-
9	ient to the commissioner's duty to carry out the purposes of this arti-
10	<u>cle.</u>
11	§ 957. Exempt persons. This article shall not be construed to apply to
12	the practice, conduct, activities, or services by a person licensed to
13	practice architecture within this state pursuant to article one hundred
14	forty-seven of the education law or engineering within this state pursu-
15	ant to article one hundred forty-five of the education law.
16	§ 2. The state finance law is amended by adding a new section 97-ssss
17	to read as follows:
18	§ 97-ssss. Elevator and related conveyances safety program account.
19	1. There is hereby established in the custody of the state comptroller
20	the elevator and related conveyances safety program account.
21	2. Such fund shall consist of moneys collected pursuant to the
22	provisions of article thirty-three of the labor law.
23	3. Moneys of the fund shall be available to the commissioner of labor
24	for purposes of offsetting the costs incurred by the commissioner of
25	labor for the administration of article thirty-three of the labor law,
26	including the administration of elevator and related conveyances safety
27	programs, the administration of licenses and permits, and the adminis-
28	tration of certificates of operation as set forth in such article thir-
29	<u>ty-three.</u>
30	4. The moneys shall be paid out of the fund on the audit and warrant
31	of the comptroller on vouchers certified or approved by the commissioner
32	<u>or his or her designee.</u>
33	5. Notwithstanding the provisions of any general or special law, no
34	moneys shall be available from the fund until a certificate of allo-
35	cation and a schedule of amounts to be available therefor shall have
36	been issued by the director of the budget, and a copy of such certif-
37	icate filed with the comptroller. Such certificate may be amended from
38	time to time by the director of the budget and a copy of each such
39	amendment shall be filed with the comptroller.
40	§ 3. This act shall take effect on the one hundred eightieth day after
41	it shall have become a law, provided, however, that effective immediate-
42	ly, the addition, amendment and/or repeal of any rules or regulations
43	necessary for the implementation of this act on its effective date, and
44	the appointment of the New York state elevator safety and standards
45	board, are authorized and directed to be established, made and completed
46	on or before such effective date.

47

PART B

48 Section 1. The undesignated paragraph subtitled "private elevator 49 inspection agency" of section 28-401.3 of the administrative code of the 50 city of New York is REPEALED and three new undesignated paragraphs are 51 added following the undesignated paragraph subtitled "direct employ" to 52 read as follows:

1	ELEVATOR AGENCY. An approved agency authorized by the commissioner to
2	perform elevator work and to inspect and test elevators, escalators and
3	other conveying equipment regulated by this code.
4	ELEVATOR AGENCY HELPER. An individual having required qualifications
5	to perform elevator work, as defined in this chapter, under the direct
б	and continuing supervision of an elevator agency director, and in the
7	presence of a licensed elevator agency technician.
8	ELEVATOR WORK. Alteration, assembly, installation, maintenance,
9	repair, replacement and modernization work, as defined by ASME A17.1 as
10	modified by appendix K of the New York city building code, performed on
11	conveyances regulated by this code or other applicable laws or rules.
12	Elevator work does not include material hoists, platform lifts, stair
13	chair lifts, or personnel hoists.
14^{13}	§ 2. Articles 421 and 422 of chapter 4 of title 28 of the administra-
15^{14}	tive code of the city of New York, as added by section 91 of part A of
$15 \\ 16$	
	local law number 141 of the city of New York for the year 2013, are
17	amended to read as follows:
18	ARTICLE 421
19	[PRIVATE] ELEVATOR [INSPECTION] AGENCY DIRECTOR LICENSE
20	§ 28-421.1 [Private elevator inspection] Elevator agency director
21	license required. [Only private elevator inspection agencies may] It
22	shall be unlawful to perform elevator work as defined by this chapter or
23	perform and/or witness inspections and tests or enter into contracts
24	pursuant to article 304 of chapter 3 of this code unless licensed pursu-
25	ant to this article. Each [such] elevator agency shall designate one
26	director in responsible charge who shall be licensed pursuant to this
27	article. The designated director in responsible charge shall be in the
28	direct employ of the agency and shall supervise all the operations of
29	the agency. All elevator work shall be performed by individuals who are
29 30	under the direct and continuing supervision of the elevator agency
	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator
30	under the direct and continuing supervision of the elevator agency
30 31	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator
30 31 32	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of
30 31 32 33	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing
30 31 32 33 34	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated
30 31 32 33 34 35	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in
30 31 32 33 34 35 36	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency
30 31 32 33 34 35 36 37	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in
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30 31 32 33 34 35 36 37 38 39 40	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under</pre>
30 31 32 33 34 35 36 37 38 39 40 41	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in</pre>
30 31 32 33 34 35 36 37 38 39 40 41 42	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.]</pre>
30 31 32 33 34 35 36 37 38 39 40 41 42 43	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator</pre>
30 31 32 33 34 35 36 37 38 39 40 41 42 43 44	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof</pre>
30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two</pre>
30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty</pre>
30 31 32 33 34 35 36 37 38 39 40 41 42 43 445 46 47	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor</pre>
30 31 32 33 35 36 37 38 39 40 41 42 43 445 46 47 48	under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 301 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor
30 31 32 33 35 36 37 38 39 40 41 42 43 445 46 47 48 49	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this other, such individuals may only perform inspections or other work pursuant to article 301 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus- try training; and also satisfies one of the following:</pre>
30 31 32 33 35 36 37 38 40 41 42 43 45 46 47 48 49 50	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus- try training; and also satisfies one of the following: 1. Has at least ten years of practical experience in the supervision</pre>
30 312 33 35 36 37 39 41 42 43 45 46 47 48 49 51	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus- try training; and also satisfies one of the following: 1. Has at least ten years of practical experience in the supervision of the assembly, installation, maintenance, repair, design or inspection</pre>
30 312 33 35 36 37 39 412 43 45 46 47 49 51 52	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 204 of chapter 2 of this code under the direct and continuing supervision of the designated director in responsible charger.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus- try training; and also satisfies one of the following: 1. Has at least ten years of practical experience in the supervision of the assembly, installation, maintenance, repair, design or inspection</pre>
30 312 333 35 36 3739 412 434 45 4789 5123 523	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus- try training; and also satisfies one of the following: 1. Has at least ten years of practical experience in the supervision of the assembly, installation, maintenance, repair, design or inspection of elevators within the fifteen years prior to application; or 2. Is an engineer or architect and has at least five years experience</pre>
30 312 333 35 36 3739 412345 456789 51235 51235 54	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Netwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus- try training; and also satisfies one of the following: 1. Has at least ten years of practical experience in the supervision of the assembly, installation, maintenance, repair, design or inspection of elevators within the fifteen years prior to application; or 2. Is an engineer or architect and has at least five years experience in the supervision of the assembly, installation, maintenance, repair,</pre>
30 312 333 35 36 3739 412 434 45 4789 5123 523	<pre>under the direct and continuing supervision of the elevator agency director as defined in section 28-401.3 of this chapter. All elevator work performed by such agency pursuant to article 304 of chapter 3 of this code shall be performed by or under the direct and continuing supervision of the designated director in responsible charge. § 28-421.1.1 Additional directors. In addition to the designated director in responsible charge, the agency may have other individuals in its employ who may be issued [private] elevator [inspection] agency director licenses pursuant to this article. [Notwithstanding any other provision of this chapter, such individuals may only perform inspections or other work pursuant to article 304 of chapter 3 of this code under the direct and continuing supervision of the designated director in responsible charge.] § 28-421.2 Qualifications. All applicants for [a private] an elevator [inspection] agency director license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two years prior to the date of application, a course that is at least thirty hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus- try training; and also satisfies one of the following: 1. Has at least ten years of practical experience in the supervision of the assembly, installation, maintenance, repair, design or inspection of elevators within the fifteen years prior to application; or 2. Is an engineer or architect and has at least five years experience</pre>

1 § 28-421.3 [Director restriction] Restriction. Each [private] eleva-[inspection] agency director shall supervise and perform elevator 2 tor work or inspections and tests pursuant to article 304 of chapter 3 of 3 this code for only one [private] elevator [inspection] agency, and shall 4 5 not inspect and/or test elevators or perform elevator work on related б devices as an inspector [or], director or elevator agency helper for any 7 other [**private**] elevator [**inspection**] agency. 8 § 28-421.4 Place of business. Every licensed [private] elevator 9 [inspection] agency shall have a place of business within the city in 10 conformance with department rules and regulations. 11 ARTICLE 422 [**PRIVATE**] ELEVATOR [**INSPECTION**] AGENCY INSPECTOR LICENSE 12 13 28-422.1 [Private elevator inspection agency] Elevator agency § inspector license required. [Individuals who] It shall be unlawful to 14 15 witness and/or perform inspections and tests on behalf of [a private] an 16 elevator [inspection] agency pursuant to article 304 of chapter 3 of 17 this code [shall be] unless licensed pursuant to this article. Licensed inspectors shall perform such work under the direct and continuing 18 19 supervision of a designated director in responsible charge licensed 20 pursuant to article 421 of this chapter. 21 § 28-422.2 Qualifications. [Applicants] All applicants for [a private] 22 an elevator agency inspector license shall submit satisfactory proof establishing that the applicant has satisfactorily completed, within two 23 24 years prior to the date of application, a course that is at least ten 25 hours in length and approved by the United States department of labor and the occupational safety and health administration in general indus-26 27 try standards; and also satisfies the following: 28 1. Has at least seven years of practical experience in the [assembly, 29 installation, repair, design, or] inspection of elevators, or as an 30 elevator mechanic within the ten years prior to application. 31 § 28-422.3 [Inspector restriction] Restriction. Each [private] eleva-32 tor [inspection] agency inspector shall perform work pursuant to article 33 304 of chapter 3 of this code for only one [private] elevator [inspection] agency, and shall not witness and/or perform inspections 34 35 and/or test elevators or related devices as an inspector, technician or 36 director for any other [private] elevator [inspection] agency. 37 § 3. Chapter 4 of title 28 of the administrative code of the city of 38 New York is amended by adding three new articles 425, 426 and 427 to 39 read as follows: 40 ARTICLE 425 41 ELEVATOR AGENCY TECHNICIAN LICENSE 42 § 28-425.1 Elevator agency technician license required. It shall be unlawful to perform elevator work as defined in this chapter, unless 43 that work is performed by or under the direction of an elevator agency 44 45 technician and under the supervision of a designated director of an 46 elevator agency licensed pursuant to article 421 of this chapter. 47 § 28-425.2 Restricted elevator agency technician license. A restricted 48 class of lift mechanic license shall be known as "accessibility techni-49 cian". Such class of license shall be restricted to performing work involving platform lifts including those installed in private residences 50 51 which are covered by the provisions of ASME (American society of mechanical engineers) codes and standards A18.1-2005. An applicant for such 52 53 restricted license shall complete an application approved by the board 54 and shall have at least three years verified work experience in constructing, maintaining and repairing those lifts covered by ASME 55 56 A18.1-2005 and shall provide to the commissioner a certificate of

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1	completion of an accessibility training program for lifts under the
2	scope of A18.1-2005 such as the certified accessibility training
3	provided by the national association of elevator contractors, or an
4	equivalent program as deemed by the department.
5	§ 28-425.3 Qualifications. All applicants for an elevator agency tech-
6	nician's license shall submit satisfactory proof establishing that the
7	applicant has satisfactorily completed, within two years prior to the
8	date of application, a course that is at least ten hours in length and
9	approved by the United States department of labor and the occupational safety and health administration in general industry training; and also
10	
11	satisfies one of the following qualifications:
12 13	1. Acceptable proof that he or she has worked on elevator construction, maintenance or repair with direct and immediate super-
14^{13}	vision in this state for a period of not less than four years immediate-
$14 \\ 15$	ly prior to the effective date of this article, provided that such
16	applicant shall file such application within one year of release and
17	access to the licensing application as defined in this article; or
18	<u>2. Successful completion of a training program of at least four years</u>
19	for elevator maintenance, installation or repair, registered with the
20	bureau of apprenticeship and training, United States department of labor
21	or a New York state apprenticeship council, including but not limited to
22	the national elevator industry elevator educational program; or
23	3. A certificate of successful completion and successfully passing the
24	mechanic examination of a nationally recognized training program for the
25	elevator industry including, but not limited to, the national elevator
26	industry educational program or its equivalent; or
27	4. A certificate of successful completion of the joint apprentice and
28	training committee of the elevator industry of local 3, IBEW, EE divi-
29	sion training program or an apprenticeship program for elevator mechan-
30	ics, having standards substantially equal to those of this chapter, and
31	registered with the bureau of apprenticeship and training, U.S. depart-
32	ment of labor or a state apprenticeship council.
33	No licensing fees shall be charged to any individual who holds a New
34	York state elevator mechanics license and seeks a New York city elevator
35	technicians license.
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36	ARTICLE 426
37	ELEVATOR AGENCY HELPER
38	§ 28-426.1 Qualifications. The agency may, by rule, establish quali-
39	fications for elevator agency helpers.
4.0	
40 41	ARTICLE 427 PERMITS
4 1	PERMITS
42	§ 28-427.1 Applications and permits. All applications/permits issued
43	to elevator contractors shall appear on the department of buildings
44	website within forty-eight hours of issuance. The information required
45	to be published must include, at a minimum, the date of issuance, the
46	work covered by the permit, the elevator contractor or contractors
47	involved and location and type of work to be performed. The department
48	shall maintain and publish a registry of all licensed elevator agency
49	helpers, technicians, and inspectors, which shall list and identify, all
50	licensed elevator agency helpers, technicians, and inspectors, doing
	business in the city of New York. The department shall make the registry
51	<u>Dabinebb in ene erey of New Torn: The department bharr make the regibery</u>

52 available on its website.

§ 28-427.2 Licensing and permitting exemptions. Whenever an emergency 1 2 exists in this state due to a disaster or act of god, which imperils the health, safety or welfare of an individual or individuals and placing 3 4 such individual or individuals in imminent danger of injury or death and 5 the number of persons in the state holding licenses granted by the board б is insufficient to cope with such emergency, any person certified by a 7 licensed elevator contractor to have an acceptable combination of documented experience and education to perform elevator work without direct 8 9 and immediate supervision shall seek an emergency elevator mechanic's 10 license from the commissioner within five business days after commencing 11 work requiring a license. The commissioner shall issue emergency elevator mechanic's licenses to address the emergency that exists. The 12 licensed elevator contractor shall furnish proof of competency as the 13 14 commissioner may require. Each such license shall recite that it is valid for a period of fifteen days from the date thereof and for such 15 16 particular elevators or geographical areas as the commissioner may 17 designate to address the emergency and otherwise shall entitle the licensee to the rights and privileges of an elevator mechanic's license 18 19 issued in this article. The commissioner shall renew an emergency eleva-20 tor mechanic's license during the existence of an emergency as needed. 21 No fee shall be charged for any emergency elevator mechanic's license or 22 renewal thereof. § 28-427.3 License renewal. The renewal of all licenses granted under 23 24 the provisions of this section shall be conditioned upon the submission 25 of a certificate of completion of a course designed to ensure the 26 continuing education of licensees on new and existing national, state, 27 and local conveyances codes and standards and on technology and technical education and workplace safety. Such course shall consist of not 28 29 less than eight hours annually and completed preceding any such license 30 renewal. The commissioner shall establish requirements for continuing education and training programs, and shall approve such programs, as 31 32 well as maintain a list of approved programs, which shall be made avail-33 able to license applicants, permit applicants, renewal applicants and other interested parties upon request. The commissioner shall promulgate 34 35 rules and regulations setting forth the criteria for approval of such 36 programs, the procedures to be followed in applying for such approval, 37 and other rules and regulations as the commissioner deems necessary and 38 proper to effectuate the purposes of this section. The renewal of all licenses granted under the provisions of this 39 40 section shall be conditioned upon the submission of a certificate of 41 completion of a course designed to ensure the continuing education of 42 licensees on new and existing regulations of the department. Such course 43 shall consist of not less than eight hours of instruction that shall be 44 attended and completed annually prior to any such license renewal. 45 The courses shall be taught through continuing education providers 46 that may include, but shall not be limited to, association seminars, and 47 labor training programs or programs that deliver an approved apprenticeship and are registered with the department or the New York state 48 49 apprenticeship training council. The commissioner shall approve the continuing education providers. 50 51 § 28-427.4 Renewal fees. The commissioner shall assess a fee for each training program completion certificate and for each refresher training 52 53 program completion certificate, provided, however, that in no event

54 shall the cost of such certificates be assessed by the sponsor of such

55 training program against the participants.

§ 28-427.5 Recordkeeping. All instructors shall be exempt from the 1 requirements of the preceding section with regard to their application 2 for license renewal provided that such applicant was qualified as an 3 4 instructor at any time during the one year immediately preceding the 5 scheduled date for such renewal. Approved training providers shall keep б uniform records, for a period of six years, of attendance of licensees 7 following a format approved by the commissioner and such records shall 8 be available for inspection by the commissioner at his or her request. 9 Approved training providers shall be responsible for the security of all attendance records and certificates of completion; provided, however, 10 11 that falsifying or knowingly allowing another to falsify such attendance records or certificates of completion shall constitute grounds for 12 suspension or revocation of the approval required under this section. 13 14 § 28-427.6 Equivalency. The commissioner shall accept an elevator 15 mechanic's license issued to any person over the age of eighteen years 16 by the state of New York as equivalent to an elevator agency technician 17 license in cities with populations of one million more, as defined in this article, without examination, application or fee, provided that 18 19 they register annually with the agency. 20 § 4. Section 28-304.6.1 of the administrative code of the city of New 21 York, as amended by section 61 of part A of local law number 141 for the 22 year 2013, is amended to read as follows: 23 § 28-304.6.1 Inspection and testing entities. The required periodic 24 inspections in Table N1 shall be made by the department. The other tests and inspections in Table N1 shall be performed on behalf of the owner by 25 26 an approved agency in accordance with this code and department rules. 27 Where indicated in Table N1, tests and inspections shall be witnessed by 28 approved agency not affiliated with the agency performing the test_ an 29 and not affiliated with the agency performing the elevator work. Not. 30 affiliated, as used in this section, shall mean the approved agency 31 owners, directors and inspectors shall be independent of all relative 32 approved agencies, maintenance firms or other entities providing any 33 associated services to the device owner. Such other tests and inspections shall comply with the timeframes established as follows: 34 35 1. Category 1 inspections and tests shall be performed between January 36 1st and December 31st of each year at a minimal time interval of six 37 months from the date of the previous Category 1 testing. Category 1 38 tests are required on new installations the calendar year following 39 final acceptance test. 40 2. Category 3 inspections and tests for water hydraulics shall be performed every three years on or before the anniversary month of the 41 42 last Category 3 testing. 3. Category 5 inspections and tests shall be performed every five 43 years on or before the month of the final acceptance test for new eleva-44 45 tors or the anniversary month of the last Category 5 testing. 46 § 5. This act shall take effect three years after it shall have become 47 Effective immediately, any rules and regulations necessary for a law. the timely implementation of this act on its effective date shall be 48 49 promulgated on or before such date. 50 § 3. Severability clause. If any clause, sentence, paragraph, subdivision, section or part of this act shall be adjudged by any court of 51 52 competent jurisdiction to be invalid, such judgment shall not affect, 53 impair, or invalidate the remainder thereof, but shall be confined in 54 its operation to the clause, sentence, paragraph, subdivision, section 55 or part thereof directly involved in the controversy in which such judg-56 ment shall have been rendered. It is hereby declared to be the intent of

1 the legislature that this act would have been enacted even if such 2 invalid provisions had not been included herein.

3 § 4. This act shall take effect immediately provided, however, that 4 the applicable effective date of Parts A through B of this act shall be 5 as specifically set forth in the last section of such Parts.