

# STATE OF NEW YORK

1007

2019-2020 Regular Sessions

## IN SENATE

January 10, 2019

Introduced by Sen. BRESLIN -- read twice and ordered printed, and when printed to be committed to the Committee on Finance

AN ACT to amend the public officers law, in relation to requiring legislators and candidates for member of the legislature to provide a link to their financial disclosure statements on their official state and campaign websites

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The opening paragraph of paragraph (k) of subdivision 2 of  
2 section 73-a of the public officers law is designated subparagraph (i)  
3 and two new subparagraphs (ii) and (iii) are added to read as follows:

4 (ii) Notwithstanding any other provision of law to the contrary, all  
5 members of the legislature who maintain any state website for service in  
6 their official capacity shall establish a clearly visible link entitled  
7 "MY FINANCIAL DISCLOSURE STATEMENTS" on the front page of their website  
8 which shall direct website visitors to current and past financial  
9 disclosure forms that the legislator has submitted to the joint commis-  
10 sion on public ethics.

11 (iii) Notwithstanding any other provision of law to the contrary,  
12 every candidate for member of the legislature who maintains any website  
13 for service in their official campaign capacity shall establish a clear-  
14 ly visible link entitled "MY FINANCIAL DISCLOSURE STATEMENTS" on the  
15 front page of their campaign website which shall direct visitors to  
16 current and past financial disclosure forms that the candidate has  
17 submitted to the joint commission on public ethics, in accordance with  
18 paragraph (a) of this subdivision.

19 § 2. The opening paragraph of paragraph (a) of subdivision 2 of  
20 section 73-a of the public officers law, as amended by section 5 of part  
21 A of chapter 399 of the laws of 2011, is amended to read as follows:

22 Every statewide elected official, state officer or employee, member of  
23 the legislature, legislative employee and political party chairman and

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

LBD00499-01-9

1 every candidate for statewide elected office or for member of the legis-  
 2 lature shall file an annual statement of financial disclosure containing  
 3 the information and in the form set forth in subdivision three of this  
 4 section. Every member of the legislature and candidate for member of the  
 5 legislature must file their annual statement of financial disclosure  
 6 electronically in an electronic, readable, searchable and downloadable  
 7 format. On or before the fifteenth day of May with respect to the  
 8 preceding calendar year: (1) every member of the legislature, every  
 9 candidate for member of the legislature and legislative employee shall  
 10 file such statement with the legislative ethics commission which shall  
 11 provide such statement along with any requests for exemptions or  
 12 deletions to the joint commission on public ethics for filing and  
 13 rulings with respect to such requests for exemptions or deletions, on or  
 14 before the thirtieth day of June; and (2) all other individuals required  
 15 to file such statement shall file it with the joint commission on public  
 16 ethics, except that:

17 § 3. Subdivision 3 of section 73-a of the public officers law, as  
 18 amended by section 5 of part A of chapter 399 of the laws of 2011, para-  
 19 graph 8 as amended by section 6 of part K of chapter 286 of the laws of  
 20 2016 and paragraph 13 as amended by section 1 of part CC of chapter 56  
 21 of the laws of 2015, is amended to read as follows:

22 3. (a) The annual statement of financial disclosure shall contain the  
 23 information and shall be in the form set forth hereinbelow:

24 ANNUAL STATEMENT OF FINANCIAL DISCLOSURE - (For calendar year \_\_\_\_\_)

- 25 1. Name \_\_\_\_\_  
 26 2. (a) Title of Position \_\_\_\_\_  
 27 (b) Department, Agency or other Governmental Entity \_\_\_\_\_  
 28 (c) Address of Present Office \_\_\_\_\_  
 29 (d) Office Telephone Number \_\_\_\_\_  
 30 3. (a) Marital Status \_\_\_\_\_. If married, please give spouse's  
 31 full name including maiden name where applicable.  
 32 \_\_\_\_\_ .

- 33 (b) List the names of all unemancipated children.  
 34 \_\_\_\_\_  
 35 \_\_\_\_\_  
 36 \_\_\_\_\_  
 37 \_\_\_\_\_  
 38 \_\_\_\_\_

39 Answer each of the following questions completely, with respect to  
 40 calendar year \_\_\_\_\_, unless another period or date is otherwise  
 41 specified. If additional space is needed, attach additional pages.

42 Whenever a "value" or "amount" is required to be reported herein, such  
 43 value or amount shall be reported as being within one of the following  
 44 Categories in Table I or Table II of this subdivision as called for in  
 45 the question: A reporting individual shall indicate the Category by  
 46 letter only.

47 Whenever "income" is required to be reported herein, the term "income"  
 48 shall mean the aggregate net income before taxes from the source identi-  
 49 fied.

1 The term "calendar year" shall mean the year ending the December 31st  
2 preceding the date of filing of the annual statement.

3 4. (a) List any office, trusteeship, directorship, partnership, or  
4 position of any nature, whether compensated or not, held by the  
5 reporting individual with any firm, corporation, association, part-  
6 nership, or other organization other than the State of New York.  
7 Include compensated honorary positions; do NOT list membership or  
8 uncompensated honorary positions. If the listed entity was licensed  
9 by any state or local agency, was regulated by any state regulatory  
10 agency or local agency, or, as a regular and significant part of the  
11 business or activity of said entity, did business with, or had  
12 matters other than ministerial matters before, any state or local  
13 agency, list the name of any such agency.

		State or Local Agency
14	Position	Organization
15		
16	_____	_____
17	_____	_____
18	_____	_____
19	_____	_____
20	_____	_____

21 (b) List any office, trusteeship, directorship, partnership, or position  
22 of any nature, whether compensated or not, held by the spouse or  
23 unemancipated child of the reporting individual, with any firm,  
24 corporation, association, partnership, or other organization other  
25 than the State of New York. Include compensated honorary positions;  
26 do NOT list membership or uncompensated honorary positions. If the  
27 listed entity was licensed by any state or local agency, was regu-  
28 lated by any state regulatory agency or local agency, or, as a regu-  
29 lar and significant part of the business or activity of said entity,  
30 did business with, or had matters other than ministerial matters  
31 before, any state or local agency, list the name of any such agency.

		State or Local Agency
32	Position	Organization
33		
34	_____	_____
35	_____	_____
36	_____	_____
37	_____	_____
38	_____	_____

39 5. (a) List the name, address and description of any occupation,  
40 employment (other than the employment listed under Item 2 above),  
41 trade, business or profession engaged in by the reporting individ-  
42 ual. If such activity was licensed by any state or local agency, was  
43 regulated by any state regulatory agency or local agency, or, as a  
44 regular and significant part of the business or activity of said  
45 entity, did business with, or had matters other than ministerial  
46 matters before, any state or local agency, list the name of any such  
47 agency.

48 State or

1	Position	Name & Address of Organization	Description	Local Agency
2				
3				
4				
5				
6				
7				

8 (b) If the spouse or unemancipated child of the reporting individual was  
 9 engaged in any occupation, employment, trade, business or profession  
 10 which activity was licensed by any state or local agency, was regu-  
 11 lated by any state regulatory agency or local agency, or, as a regu-  
 12 lar and significant part of the business or activity of said entity,  
 13 did business with, or had matters other than ministerial matters  
 14 before, any state or local agency, list the name, address and  
 15 description of such occupation, employment, trade, business or  
 16 profession and the name of any such agency.

17	Position	Name & Address of Organization	Description	State or Local Agency
18				
19				
20				
21				
22				
23				
24				

25 6. List any interest, in EXCESS of \$1,000, held by the reporting indi-  
 26 vidual, such individual's spouse or unemancipated child, or partner-  
 27 ship of which any such person is a member, or corporation, 10% or  
 28 more of the stock of which is owned or controlled by any such  
 29 person, whether vested or contingent, in any contract made or  
 30 executed by a state or local agency and include the name of the  
 31 entity which holds such interest and the relationship of the report-  
 32 ing individual or such individual's spouse or such child to such  
 33 entity and the interest in such contract. Do NOT include bonds and  
 34 notes. Do NOT list any interest in any such contract on which final  
 35 payment has been made and all obligations under the contract except  
 36 for guarantees and warranties have been performed, provided, howev-  
 37 er, that such an interest must be listed if there has been an ongo-  
 38 ing dispute during the calendar year for which this statement is  
 39 filed with respect to any such guarantees or warranties. Do NOT list  
 40 any interest in a contract made or executed by a local agency after  
 41 public notice and pursuant to a process for competitive bidding or a  
 42 process for competitive requests for proposals.

43	Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
44					
45					
46					
47					
48					
49					

1 \_\_\_\_\_  
 2 \_\_\_\_\_  
 3 \_\_\_\_\_

4 7. List any position the reporting individual held as an officer of any  
 5 political party or political organization, as a member of any poli-  
 6 tical party committee, or as a political party district leader. The  
 7 term "party" shall have the same meaning as "party" in the election  
 8 law. The term "political organization" means any party or independ-  
 9 ent body as defined in the election law or any organization that is  
 10 affiliated with or a subsidiary of a party or independent body.

11 \_\_\_\_\_  
 12 \_\_\_\_\_  
 13 \_\_\_\_\_  
 14 \_\_\_\_\_  
 15 \_\_\_\_\_

16 8. (a) If the reporting individual practices law, is licensed by the  
 17 department of state as a real estate broker or agent or practices a  
 18 profession licensed by the department of education, or works as a member  
 19 or employee of a firm required to register pursuant to section one-e of  
 20 the legislative law as a lobbyist, describe the services rendered for  
 21 which compensation was paid including a general description of the prin-  
 22 cipal subject areas of matters undertaken by such individual and princi-  
 23 pal duties performed. Specifically state whether the reporting individ-  
 24 ual provides services directly to clients. Additionally, if such an  
 25 individual practices with a firm or corporation and is a partner or  
 26 shareholder of the firm or corporation, give a general description of  
 27 principal subject areas of matters undertaken by such firm or corpo-  
 28 ration.

29 \_\_\_\_\_  
 30 \_\_\_\_\_  
 31 \_\_\_\_\_  
 32 \_\_\_\_\_  
 33 \_\_\_\_\_

34 (b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE  
 35 PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER  
 36 THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING  
 37 CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON  
 38 OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER  
 39 THIRTY-FIRST, TWO THOUSAND FIFTEEN:

40 If the reporting individual personally provides services to any person  
 41 or entity, or works as a member or employee of a partnership or corpo-  
 42 ration that provides such services (referred to hereinafter as a  
 43 "firm"), then identify each client or customer to whom the reporting  
 44 individual personally provided services, or who was referred to the firm  
 45 by the reporting individual, and from whom the reporting individual or  
 46 his or her firm earned fees in excess of \$10,000 during the reporting  
 47 period for such services rendered in direct connection with:

48 (i) A contract in an amount totaling \$50,000 or more from the state or  
 49 any state agency for services, materials, or property;

1 (ii) A grant of \$25,000 or more from the state or any state agency  
2 during the reporting period;

3 (iii) A grant obtained through a legislative initiative during the  
4 reporting period; or

5 (iv) A case, proceeding, application or other matter that is not a  
6 ministerial matter before a state agency during the reporting period.

7 For purposes of this question, "referred to the firm" shall mean:  
8 having intentionally and knowingly taken a specific act or series of  
9 acts to intentionally procure for the reporting individual's firm or  
10 knowingly solicit or direct to the reporting individual's firm in whole  
11 or substantial part, a person or entity that becomes a client of that  
12 firm for the purposes of representation for a matter as defined in  
13 subparagraphs (i) through (iv) of this paragraph, as the result of such  
14 procurement, solicitation or direction of the reporting individual. A  
15 reporting individual need not disclose activities performed while  
16 lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivi-  
17 sion seven of section seventy-three of this article.

18 The disclosure requirement in this question shall not require disclo-  
19 sure of clients or customers receiving medical or dental services,  
20 mental health services, residential real estate brokering services, or  
21 insurance brokering services from the reporting individual or his or her  
22 firm. The reporting individual need not identify any client to whom he  
23 or she or his or her firm provided legal representation with respect to  
24 investigation or prosecution by law enforcement authorities, bankruptcy,  
25 or domestic relations matters. With respect to clients represented in  
26 other matters, where disclosure of a client's identity is likely to  
27 cause harm, the reporting individual shall request an exemption from the  
28 joint commission pursuant to paragraph (i-1) of subdivision nine of  
29 section ninety-four of the executive law, provided, however, that a  
30 reporting individual who first enters public office after July first,  
31 two thousand twelve, need not report clients or customers with respect  
32 to matters for which the reporting individual or his or her firm was  
33 retained prior to entering public office.

34 Client	Nature of Services Provided
35	
36	
37	
38	
39	

40 (b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES  
41 ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR  
42 FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE  
43 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-  
44 SAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN  
45 CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

46 If the reporting individual receives income from employment reportable  
47 in question 8(a) and personally provides services to any person or enti-  
48 ty, or works as a member or employee of a partnership or corporation  
49 that provides such services (referred to hereinafter as a "firm"), the  
50 reporting individual shall identify each client or customer to whom the  
51 reporting individual personally provided services, or who was referred  
52 to the firm by the reporting individual, and from whom the reporting  
53 individual or his or her firm earned fees in excess of \$10,000 during  
54 the reporting period in direct connection with:

- 1 (i) A contract in an amount totaling \$10,000 or more from the state or
- 2 any state agency for services, materials, or property;
- 3 (ii) A grant of \$10,000 or more from the state or any state agency
- 4 during the reporting period;
- 5 (iii) A grant obtained through a legislative initiative during the
- 6 reporting period; or
- 7 (iv) A case, proceeding, application or other matter that is not a
- 8 ministerial matter before a state agency during the reporting period.

9 For such services rendered by the reporting individual directly to  
 10 each such client, describe each matter that was the subject of such  
 11 representation, the services actually provided and the payment received.  
 12 For payments received from clients referred to the firm by the reporting  
 13 individual, if the reporting individual directly received a referral fee  
 14 or fees for such referral, identify the client and the payment so  
 15 received.

16 For purposes of this question, "referred to the firm" shall mean:  
 17 having intentionally and knowingly taken a specific act or series of  
 18 acts to intentionally procure for the reporting individual's firm or  
 19 having knowingly solicited or directed to the reporting individual's  
 20 firm in whole or substantial part, a person or entity that becomes a  
 21 client of that firm for the purposes of representation for a matter as  
 22 defined in clauses (i) through (iv) of this subparagraph, as the result  
 23 of such procurement, solicitation or direction of the reporting individ-  
 24 ual. A reporting individual need not disclose activities performed while  
 25 lawfully acting in his or her capacity as provided in paragraphs (c),  
 26 (d), (e) and (f) of subdivision seven of section seventy-three of this  
 27 article.

28 Client	28 Matter	28 Nature of Services Provided	28 Category
29	29	29	29 of Amount
30	30	30	30 (in Table I)

31 \_\_\_\_\_

32 \_\_\_\_\_

33 \_\_\_\_\_

34 \_\_\_\_\_

35 \_\_\_\_\_

36 (b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES  
 37 ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR  
 38 FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE  
 39 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-  
 40 SAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN  
 41 CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

42 (i) With respect to reporting individuals who receive ten thousand  
 43 dollars or more from employment or activity reportable under question  
 44 8(a), for each client or customer NOT otherwise disclosed or exempted in  
 45 question 8 or 13, disclose the name of each client or customer known to  
 46 the reporting individual to whom the reporting individual provided  
 47 services: (A) who paid the reporting individual in excess of five thou-  
 48 sand dollars for such services; or (B) who had been billed with the  
 49 knowledge of the reporting individual in excess of five thousand dollars  
 50 by the firm or other entity named in question 8(a) for the reporting  
 51 individual's services.

52 Client	52 Services	52 Category of Amount
53	53 Actually Provided	53 (in Table I)

\_\_\_\_\_

1 FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF  
2 DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- 3 \* REVIEWED DOCUMENTS AND CORRESPONDENCE;
- 4 \* REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- 5 \* PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- 6 \* CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS
- 7 OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- 8 \* PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY
- 9 NAME);
- 10 \* REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR
- 11 REPRESENTATION OR CONSULTATION;
- 12 \* COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
- 13 \* PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING
- 14 RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
- 15 \* COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

16 (ii) With respect to reporting individuals who disclosed in question  
17 8(a) that the reporting individual did not provide services to a client  
18 but provided services to a firm or business, identify the category of  
19 amount received for providing such services and describe the services  
20 rendered.

21 Services Actually Provided Category of Amount (Table I)

22 A reporting individual need not disclose activities performed while  
23 lawfully acting in his or her capacity as provided in paragraphs (c),  
24 (d), (e) and (f) of subdivision seven of section seventy-three of this  
25 article.

26 The disclosure requirement in questions (b-1) and (b-2) shall not  
27 require disclosing clients or customers receiving medical, pharmaceu-  
28 tical or dental services, mental health services, or residential real  
29 estate brokering services from the reporting individual or his or her  
30 firm or if federal law prohibits or limits disclosure. The reporting  
31 individual need not identify any client to whom he or she or his or her  
32 firm provided legal representation with respect to investigation or  
33 prosecution by law enforcement authorities, bankruptcy, family court,  
34 estate planning, or domestic relations matters, nor shall the reporting  
35 individual identify individuals represented pursuant to an insurance  
36 policy but the reporting individual shall in such circumstances only  
37 report the entity that provides compensation to the reporting individ-  
38 ual; with respect to matters in which the client's name is required by  
39 law to be kept confidential (such as matters governed by the family  
40 court act) or in matters in which the reporting individual represents or  
41 provides services to minors, the client's name may be replaced with  
42 initials. To the extent that the reporting individual, or his or her  
43 firm, provided legal representation with respect to an initial public  
44 offering, and professional disciplinary rules, federal law or regu-  
45 lations restrict the disclosure of information relating to such work,  
46 the reporting individual shall (i) disclose the identity of the client  
47 and the services provided relating to the initial public offering to the  
48 office of court administration, who will maintain such information



1 confidentially in a locked box; and (ii) include in his or her response  
2 to questions (b-1) and (b-2) that pursuant to this paragraph, a disclo-  
3 sure to the office of court administration has been made. Upon such time  
4 that the disclosure of information maintained in the locked box is no  
5 longer restricted by professional disciplinary rules, federal law or  
6 regulation, the reporting individual shall disclose such information in  
7 an amended disclosure statement in response to the disclosure require-  
8 ments in questions (b-1) and (b-2). The office of court administration  
9 shall develop and maintain a secure portal through which information  
10 submitted to it pursuant to this paragraph can be safely and confiden-  
11 tially stored. With respect to clients represented in other matters not  
12 otherwise exempt, the reporting individual may request an exemption to  
13 publicly disclosing the name of that client from the joint commission  
14 pursuant to paragraph (i-1) of subdivision nine of section ninety-four  
15 of the executive law, or from the office of court administration. In  
16 such application, the reporting individual shall state the following:  
17 "My client is not currently receiving my services or seeking my services  
18 in connection with:

- 19 (i) A proposed bill or resolution in the senate or assembly during the  
20 reporting period;  
21 (ii) A contract in an amount totaling \$10,000 or more from the state  
22 or any state agency for services, materials, or property;  
23 (iii) A grant of \$10,000 or more from the state or any state agency  
24 during the reporting period;  
25 (iv) A grant obtained through a legislative initiative during the  
26 reporting period; or  
27 (v) A case, proceeding, application or other matter that is not a  
28 ministerial matter before a state agency during the reporting period."

29 In reviewing the request for an exemption, the joint commission or the  
30 office of court administration may consult with bar or other profes-  
31 sional associations and the legislative ethics commission for individ-  
32 uals subject to its jurisdiction and may consider the rules of profes-  
33 sional conduct. In making its determination, the joint commission or the  
34 office of court administration shall conduct its own inquiry and shall  
35 consider factors including, but not limited to: (i) the nature and the  
36 size of the client; (ii) whether the client has any business before the  
37 state; and if so, how significant the business is; and whether the  
38 client has any particularized interest in pending legislation and if so  
39 how significant the interest is; (iii) whether disclosure may reveal  
40 trade secrets; (iv) whether disclosure could reasonably result in retal-  
41 iation against the client; (v) whether disclosure may cause undue harm  
42 to the client; (vi) whether disclosure may result in undue harm to the  
43 attorney-client relationship; and (vii) whether disclosure may result in  
44 an unnecessary invasion of privacy to the client.

45 The joint commission or, as the case may be, the office of court  
46 administration shall promptly make a final determination in response to  
47 such request, which shall include an explanation for its determination.  
48 The office of court administration shall issue its final determination  
49 within three days of receiving the request. Notwithstanding any other  
50 provision of law or any professional disciplinary rule to the contrary,  
51 the disclosure of the identity of any client or customer in response to  
52 this question shall not constitute professional misconduct or a ground  
53 for disciplinary action of any kind, or form the basis for any civil or  
54 criminal cause of action or proceeding. A reporting individual who first  
55 enters public office after January first, two thousand sixteen, need not  
56 report clients or customers with respect to matters for which the

1 reporting individual or his or her firm was retained prior to entering  
2 public office.

3 (c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE  
4 PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR  
5 NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE  
6 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-  
7 SAND FIFTEEN:

8 If the reporting individual receives income of ten thousand dollars or  
9 greater from any employment or activity reportable under question 8(a),  
10 identify each registered lobbyist who has directly referred to such  
11 individual a client who was successfully referred to the reporting indi-  
12 vidual's business and from whom the reporting individual or firm  
13 received a fee for services in excess of five thousand dollars. Report  
14 only those referrals that were made to a reporting individual by direct  
15 communication from a person known to such reporting individual to be a  
16 registered lobbyist at the time the referral is made. With respect to  
17 each such referral, the reporting individual shall identify the client,  
18 the registered lobbyist who has made the referral, the category of value  
19 of the compensation received and a general description of the type of  
20 matter so referred. A reporting individual need not disclose activities  
21 performed while lawfully acting pursuant to paragraphs (c), (d), (e) and  
22 (f) of subdivision seven of section seventy-three of this article. The  
23 disclosure requirements in this question shall not require disclosing  
24 clients or customers receiving medical, pharmaceutical or dental  
25 services, mental health services, or residential real estate brokering  
26 services from the reporting individual or his or her firm or if federal  
27 law prohibits or limits disclosure. The reporting individual need not  
28 identify any client to whom he or she or his or her firm provided legal  
29 representation with respect to investigation or prosecution by law  
30 enforcement authorities, bankruptcy, family court, estate planning, or  
31 domestic relations matters, nor shall the reporting individual identify  
32 individuals represented pursuant to an insurance policy but the report-  
33 ing individual shall in such circumstances only report the entity that  
34 provides compensation to the reporting individual; with respect to  
35 matters in which the client's name is required by law to be kept confi-  
36 dential (such as matters governed by the family court act) or in matters  
37 in which the reporting individual represents or provides services to  
38 minors, the client's name may be replaced with initials. To the extent  
39 that the reporting individual, or his or her firm, provided legal repre-  
40 sentation with respect to an initial public offering, and federal law or  
41 regulations restricts the disclosure of information relating to such  
42 work, the reporting individual shall (i) disclose the identity of the  
43 client and the services provided relating to the initial public offering  
44 to the office of court administration, who will maintain such informa-  
45 tion confidentially in a locked box; and (ii) include in his or her  
46 response a statement that pursuant to this paragraph, a disclosure to  
47 the office of court administration has been made. Upon such time that  
48 the disclosure of information maintained in the locked box is no longer  
49 restricted by federal law or regulation, the reporting individual shall  
50 disclose such information in an amended disclosure statement in response  
51 to the disclosure requirements of this paragraph. The office of court  
52 administration shall develop and maintain a secure portal through which  
53 information submitted to it pursuant to this paragraph can be safely and  
54 confidentially stored. With respect to clients represented in other  
55 matters not otherwise exempt, the reporting individual may request an  
56 exemption to publicly disclosing the name of that client from the joint

1 commission pursuant to paragraph (i-1) of subdivision nine of section  
2 ninety-four of the executive law, or from the office of court adminis-  
3 tration. In such application, the reporting individual shall state the  
4 following: "My client is not currently receiving my services or seeking  
5 my services in connection with:

6 (i) A proposed bill or resolution in the senate or assembly during the  
7 reporting period;

8 (ii) A contract in an amount totaling \$10,000 or more from the state  
9 or any state agency for services, materials, or property;

10 (iii) A grant of \$10,000 or more from the state or any state agency  
11 during the reporting period;

12 (iv) A grant obtained through a legislative initiative during the  
13 reporting period; or

14 (v) A case, proceeding, application or other matter that is not a  
15 ministerial matter before a state agency during the reporting period."

16 In reviewing the request for an exemption, the joint commission or the  
17 office of court administration may consult with bar or other profes-  
18 sional associations and the legislative ethics commission for individ-  
19 uals subject to its jurisdiction and may consider the rules of profes-  
20 sional conduct. In making its determination, the joint commission or the  
21 office of court administration shall conduct its own inquiry and shall  
22 consider factors including, but not limited to: (i) the nature and the  
23 size of the client; (ii) whether the client has any business before the  
24 state; and if so, how significant the business is; and whether the  
25 client has any particularized interest in pending legislation and if so  
26 how significant the interest is; (iii) whether disclosure may reveal  
27 trade secrets; (iv) whether disclosure could reasonably result in retal-  
28 iation against the client; (v) whether disclosure may cause undue harm  
29 to the client; (vi) whether disclosure may result in undue harm to the  
30 attorney-client relationship; and (vii) whether disclosure may result in  
31 an unnecessary invasion of privacy to the client.

32 The joint commission or, as the case may be, the office of court  
33 administration shall promptly make a final determination in response to  
34 such request, which shall include an explanation for its determination.  
35 The office of court administration shall issue its final determination  
36 within three days of receiving the request. Notwithstanding any other  
37 provision of law or any professional disciplinary rule to the contrary,  
38 the disclosure of the identity of any client or customer in response to  
39 this question shall not constitute professional misconduct or a ground  
40 for disciplinary action of any kind, or form the basis for any civil or  
41 criminal cause of action or proceeding. A reporting individual who first  
42 enters public office after December thirty-first, two thousand fifteen,  
43 need not report clients or customers with respect to matters for which  
44 the reporting individual or his or her firm was retained prior to enter-  
45 ing public office.

46 Client	Name of Lobbyist	Description 47 of Matter	Category of Amount (in Table 1)
48			
49			
50			
51			
52			

53 (d) List the name, principal address and general description or the  
54 nature of the business activity of any entity in which the reporting  
55 individual or such individual's spouse had an investment in excess of

1 \$1,000 excluding investments in securities and interests in real proper-  
2 ty.

3 9. List each source of gifts, EXCLUDING campaign contributions, in  
4 EXCESS of \$1,000, received during the reporting period for which this  
5 statement is filed by the reporting individual or such individual's  
6 spouse or unemancipated child from the same donor, EXCLUDING gifts from  
7 a relative. INCLUDE the name and address of the donor. The term "gifts"  
8 does not include reimbursements, which term is defined in item 10.  
9 Indicate the value and nature of each such gift.

10	11	12	13	14	15
	Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
15					
16					
17					
18					
19					

20 10. Identify and briefly describe the source of any reimbursements for  
21 expenditures, EXCLUDING campaign expenditures and expenditures in  
22 connection with official duties reimbursed by the state, in EXCESS  
23 of \$1,000 from each such source. For purposes of this item, the term  
24 "reimbursements" shall mean any travel-related expenses provided by  
25 nongovernmental sources and for activities related to the reporting  
26 individual's official duties such as, speaking engagements, confer-  
27 ences, or factfinding events. The term "reimbursements" does NOT  
28 include gifts reported under item 9.

29	30	31	32	33	34
	Source				Description
30					
31					
32					
33					
34					

35 11. List the identity and value, if reasonably ascertainable, of each  
36 interest in a trust, estate or other beneficial interest, including  
37 retirement plans (other than retirement plans of the state of New  
38 York or the city of New York), and deferred compensation plans  
39 (e.g., 401, 403(b), 457, etc.) established in accordance with the  
40 internal revenue code, in which the REPORTING INDIVIDUAL held a  
41 beneficial interest in EXCESS of \$1,000 at any time during the  
42 preceding year. Do NOT report interests in a trust, estate or other  
43 beneficial interest established by or for, or the estate of, a rela-  
44 tive.

45	46	47
	Identity	Category of Value* (In Table II)
45		
46		
47		

1 \_\_\_\_\_  
 2 \_\_\_\_\_  
 3 \_\_\_\_\_  
 4 \_\_\_\_\_  
 5 \_\_\_\_\_

6 \* The value of such interest shall be reported only if reasonably  
 7 ascertainable.

8 12. (a) Describe the terms of, and the parties to, any contract, prom-  
 9 ise, or other agreement between the reporting individual and any  
 10 person, firm, or corporation with respect to the employment of such  
 11 individual after leaving office or position (other than a leave of  
 12 absence).

13 \_\_\_\_\_  
 14 \_\_\_\_\_  
 15 \_\_\_\_\_  
 16 \_\_\_\_\_  
 17 \_\_\_\_\_

18 (b) Describe the parties to and the terms of any agreement providing  
 19 for continuation of payments or benefits to the REPORTING INDIVIDUAL  
 20 in EXCESS of \$1,000 from a prior employer OTHER THAN the State.  
 21 (This includes interests in or contributions to a pension fund,  
 22 profit-sharing plan, or life or health insurance; buy-out agree-  
 23 ments; severance payments; etc.)

24 \_\_\_\_\_  
 25 \_\_\_\_\_  
 26 \_\_\_\_\_  
 27 \_\_\_\_\_  
 28 \_\_\_\_\_

29 13. List below the nature and amount of any income in EXCESS of \$1,000  
 30 from EACH SOURCE for the reporting individual and such individual's  
 31 spouse for the taxable year last occurring prior to the date of  
 32 filing. Each such source must be described with particularity.  
 33 Nature of income includes, but is not limited to, all income (other  
 34 than that received from the employment listed under Item 2 above)  
 35 from compensated employment whether public or private, directorships  
 36 and other fiduciary positions, contractual arrangements, teaching  
 37 income, partnerships, honorariums, lecture fees, consultant fees,  
 38 bank and bond interest, dividends, income derived from a trust, real  
 39 estate rents, and recognized gains from the sale or exchange of real  
 40 or other property. Income from a business or profession and real  
 41 estate rents shall be reported with the source identified by the  
 42 building address in the case of real estate rents and otherwise by  
 43 the name of the entity and not by the name of the individual custom-  
 44 ers, clients or tenants, with the aggregate net income before taxes  
 45 for each building address or entity. The receipt of maintenance  
 46 received in connection with a matrimonial action, alimony and child  
 47 support payments shall not be listed.

48 Self/ Category  
 49 Spouse Source Nature of Amount  
 50 (In Table I)

1 \_\_\_\_\_  
 2 \_\_\_\_\_  
 3 \_\_\_\_\_  
 4 \_\_\_\_\_  
 5 \_\_\_\_\_

6 14. List the sources of any deferred income (not retirement income) in  
 7 EXCESS of \$1,000 from each source to be paid to the reporting indi-  
 8 vidual following the close of the calendar year for which this  
 9 disclosure statement is filed, other than deferred compensation  
 10 reported in item 11 hereinabove. Deferred income derived from the  
 11 practice of a profession shall be listed in the aggregate and shall  
 12 identify as the source, the name of the firm, corporation, partner-  
 13 ship or association through which the income was derived, but shall  
 14 not identify individual clients.

15 Source	16 Category 17 of Amount (In Table I)
18 _____	
19 _____	
20 _____	
21 _____	
22 _____	

23 15. List each assignment of income in EXCESS of \$1,000, and each trans-  
 24 fer other than to a relative during the reporting period for which  
 25 this statement is filed for less than fair consideration of an  
 26 interest in a trust, estate or other beneficial interest, securities  
 27 or real property, by the reporting individual, in excess of \$1,000,  
 28 which would otherwise be required to be reported herein and is not  
 29 or has not been so reported.

30 Item Assigned 31 or Transferred	32 Assigned or Transferred to	33 Category 34 of Value (In Table I)
35 _____		
36 _____		
37 _____		

38 16. List below the type and market value of securities held by the  
 39 reporting individual or such individual's spouse from each issuing  
 40 entity in EXCESS of \$1,000 at the close of the taxable year last  
 41 occurring prior to the date of filing, including the name of the  
 42 issuing entity exclusive of securities held by the reporting indi-  
 43 vidual issued by a professional corporation. Whenever an interest in  
 44 securities exists through a beneficial interest in a trust, the  
 45 securities held in such trust shall be listed ONLY IF the reporting  
 46 individual has knowledge thereof except where the reporting individ-  
 47 ual or the reporting individual's spouse has transferred assets to  
 48 such trust for his or her benefit in which event such securities  
 49 shall be listed unless they are not ascertainable by the reporting

1 individual because the trustee is under an obligation or has been  
 2 instructed in writing not to disclose the contents of the trust to  
 3 the reporting individual. Securities of which the reporting individ-  
 4 ual or the reporting individual's spouse is the owner of record but  
 5 in which such individual or the reporting individual's spouse has no  
 6 beneficial interest shall not be listed. Indicate percentage of  
 7 ownership ONLY if the reporting person or the reporting person's  
 8 spouse holds more than five percent (5%) of the stock of a corpo-  
 9 ration in which the stock is publicly traded or more than ten  
 10 percent (10%) of the stock of a corporation in which the stock is  
 11 NOT publicly traded. Also list securities owned for investment  
 12 purposes by a corporation more than fifty percent (50%) of the stock  
 13 of which is owned or controlled by the reporting individual or such  
 14 individual's spouse. For the purpose of this item the term "securi-  
 15 ties" shall mean mutual funds, bonds, mortgages, notes, obligations,  
 16 warrants and stocks of any class, investment interests in limited or  
 17 general partnerships and certificates of deposits (CDs) and such  
 18 other evidences of indebtedness and certificates of interest as are  
 19 usually referred to as securities. The market value for such secu-  
 20 rities shall be reported only if reasonably ascertainable and shall  
 21 not be reported if the security is an interest in a general partner-  
 22 ship that was listed in item 8 (a) or if the security is corporate  
 23 stock, NOT publicly traded, in a trade or business of a reporting  
 24 individual or a reporting individual's spouse.

			Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
25				
26				
27				
28				
29				
30				
31				
32				
33				
34				
35	Self/	Issuing	Type of	
36	Spouse	Entity	Security	
37				

38 \_\_\_\_\_

39 \_\_\_\_\_

40 \_\_\_\_\_

41 \_\_\_\_\_

42 \_\_\_\_\_

43 17. List below the location, size, general nature, acquisition date,  
 44 market value and percentage of ownership of any real property in  
 45 which any vested or contingent interest in EXCESS of \$1,000 is held  
 46 by the reporting individual or the reporting individual's spouse.  
 47 Also list real property owned for investment purposes by a corpo-  
 48 ration more than fifty percent (50%) of the stock of which is owned  
 49 or controlled by the reporting individual or such individual's  
 50 spouse. Do NOT list any real property which is the primary or  
 51 secondary personal residence of the reporting individual or the  
 52 reporting individual's spouse, except where there is a co-owner who  
 53 is other than a relative.

	Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
1							
2							
3							
4							
5							
6							
7							
8	<hr/>						
9	<hr/>						
10	<hr/>						
11	<hr/>						
12	<hr/>						

13 18. List below all notes and accounts receivable, other than from goods  
14 or services sold, held by the reporting individual at the close of  
15 the taxable year last occurring prior to the date of filing and  
16 other debts owed to such individual at the close of the taxable year  
17 last occurring prior to the date of filing, in EXCESS of \$1,000,  
18 including the name of the debtor, type of obligation, date due and  
19 the nature of the collateral securing payment of each, if any,  
20 excluding securities reported in item 16 hereinabove. Debts, notes  
21 and accounts receivable owed to the individual by a relative shall  
22 not be reported.

	Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
23			
24			
25			
26			
27	<hr/>		
28	<hr/>		
29	<hr/>		
30	<hr/>		
31	<hr/>		

32 19. List below all liabilities of the reporting individual and such  
33 individual's spouse, in EXCESS of \$10,000 as of the date of filing  
34 of this statement, other than liabilities to a relative. Do NOT list  
35 liabilities incurred by, or guarantees made by, the reporting indi-  
36 vidual or such individual's spouse or by any proprietorship, part-  
37 nership or corporation in which the reporting individual or such  
38 individual's spouse has an interest, when incurred or made in the  
39 ordinary course of the trade, business or professional practice of  
40 the reporting individual or such individual's spouse. Include the  
41 name of the creditor and any collateral pledged by such individual  
42 to secure payment of any such liability. A reporting individual  
43 shall not list any obligation to pay maintenance in connection with  
44 a matrimonial action, alimony or child support payments. Any loan  
45 issued in the ordinary course of business by a financial institution  
46 to finance educational costs, the cost of home purchase or improve-  
47 ments for a primary or secondary residence, or purchase of a  
48 personally owned motor vehicle, household furniture or appliances  
49 shall be excluded. If any such reportable liability has been guaran-  
50 teed by any third person, list the liability and name the guarantor.



1	2	3	4	5
6	Name of Creditor	Type of Liability	Category	
7	or Guarantor	and Collateral, if any	of	
8			Amount	
9			(In Table II)	
5				
6				
7				
8				
9				

10 The requirements of law relating to the reporting of financial  
 11 interests are in the public interest and no adverse inference of  
 12 unethical or illegal conduct or behavior will be drawn merely from  
 13 compliance with these requirements.

14 \_\_\_\_\_  
 15 (Signature of Reporting Individual) Date (month/day/year)

16	TABLE I	
17	Category A	none
18	Category B	\$ 1 to under \$ 1,000
19	Category C	\$ 1,000 to under \$ 5,000
20	Category D	\$ 5,000 to under \$ 20,000
21	Category E	\$ 20,000 to under \$ 50,000
22	Category F	\$ 50,000 to under \$ 75,000
23	Category G	\$ 75,000 to under \$ 100,000
24	Category H	\$ 100,000 to under \$ 150,000
25	Category I	\$ 150,000 to under \$ 250,000
26	Category J	\$ 250,000 to under \$ 350,000
27	Category K	\$ 350,000 to under \$ 450,000
28	Category L	\$ 450,000 to under \$ 550,000
29	Category M	\$ 550,000 to under \$ 650,000
30	Category N	\$ 650,000 to under \$ 750,000
31	Category O	\$ 750,000 to under \$ 850,000
32	Category P	\$ 850,000 to under \$ 950,000
33	Category Q	\$ 950,000 to under \$1,050,000
34	Category R	\$1,050,000 to under \$1,150,000
35	Category S	\$1,150,000 to under \$1,250,000
36	Category T	\$1,250,000 to under \$1,350,000
37	Category U	\$1,350,000 to under \$1,450,000
38	Category V	\$1,450,000 to under \$1,550,000
39	Category W	\$1,550,000 to under \$1,650,000
40	Category X	\$1,650,000 to under \$1,750,000
41	Category Y	\$1,750,000 to under \$1,850,000
42	Category Z	\$1,850,000 to under \$1,950,000
43	Category AA	\$1,950,000 to under \$2,050,000
44	Category BB	\$2,050,000 to under \$2,150,000
45	Category CC	\$2,150,000 to under \$2,250,000
46	Category DD	\$2,250,000 to under \$2,350,000
47	Category EE	\$2,350,000 to under \$2,450,000
48	Category FF	\$2,450,000 to under \$2,550,000
49	Category GG	\$2,550,000 to under \$2,650,000
50	Category HH	\$2,650,000 to under \$2,750,000
51	Category II	\$2,750,000 to under \$2,850,000
52	Category JJ	\$2,850,000 to under \$2,950,000

1	Category KK	\$2,950,000	to under	\$3,050,000
2	Category LL	\$3,050,000	to under	\$3,150,000
3	Category MM	\$3,150,000	to under	\$3,250,000
4	Category NN	\$3,250,000	to under	\$3,350,000
5	Category OO	\$3,350,000	to under	\$3,450,000
6	Category PP	\$3,450,000	to under	\$3,550,000
7	Category QQ	\$3,550,000	to under	\$3,650,000
8	Category RR	\$3,650,000	to under	\$3,750,000
9	Category SS	\$3,750,000	to under	\$3,850,000
10	Category TT	\$3,850,000	to under	\$3,950,000
11	Category UU	\$3,950,000	to under	\$4,050,000
12	Category VV	\$4,050,000	to under	\$4,150,000
13	Category WW	\$4,150,000	to under	\$4,250,000
14	Category XX	\$4,250,000	to under	\$4,350,000
15	Category YY	\$4,350,000	to under	\$4,450,000
16	Category ZZ	\$4,450,000	to under	\$4,550,000
17	Category AAA	\$4,550,000	to under	\$4,650,000
18	Category BBB	\$4,650,000	to under	\$4,750,000
19	Category CCC	\$4,750,000	to under	\$4,850,000
20	Category DDD	\$4,850,000	to under	\$4,950,000
21	Category EEE	\$4,950,000	to under	\$5,050,000
22	Category FFF	\$5,050,000	to under	\$5,150,000
23	Category GGG	\$5,150,000	to under	\$5,250,000
24	Category HHH	\$5,250,000	to under	\$5,350,000
25	Category III	\$5,350,000	to under	\$5,450,000
26	Category JJJ	\$5,450,000	to under	\$5,550,000
27	Category KKK	\$5,550,000	to under	\$5,650,000
28	Category LLL	\$5,650,000	to under	\$5,750,000
29	Category MMM	\$5,750,000	to under	\$5,850,000
30	Category NNN	\$5,580,000	to under	\$5,950,000
31	Category OOO	\$5,950,000	to under	\$6,050,000
32	Category PPP	\$6,050,000	to under	\$6,150,000
33	Category QQQ	\$6,150,000	to under	\$6,250,000
34	Category RRR	\$6,250,000	to under	\$6,350,000
35	Category SSS	\$6,350,000	to under	\$6,450,000
36	Category TTT	\$6,450,000	to under	\$6,550,000
37	Category UUU	\$6,550,000	to under	\$6,650,000
38	Category VVV	\$6,650,000	to under	\$6,750,000
39	Category WWW	\$6,750,000	to under	\$6,850,000
40	Category XXX	\$6,850,000	to under	\$6,950,000
41	Category YYY	\$6,950,000	to under	\$7,050,000
42	Category ZZZ	\$7,050,000	to under	\$7,150,000
43	Category AAAA	\$7,150,000	to under	\$7,250,000
44	Category BBBB	\$7,250,000	to under	\$7,350,000
45	Category CCCC	\$7,350,000	to under	\$7,450,000
46	Category DDDD	\$7,450,000	to under	\$7,550,000
47	Category EEEE	\$7,550,000	to under	\$7,650,000
48	Category FFFF	\$7,650,000	to under	\$7,750,000
49	Category GGGG	\$7,750,000	to under	\$7,850,000
50	Category HHHH	\$7,850,000	to under	\$7,950,000
51	Category IIII	\$7,950,000	to under	\$8,050,000
52	Category JJJJ	\$8,050,000	to under	\$8,150,000
53	Category KKKK	\$8,150,000	to under	\$8,250,000
54	Category LLLL	\$8,250,000	to under	\$8,350,000
55	Category MMMM	\$8,350,000	to under	\$8,450,000
56	Category NNNN	\$8,450,000	to under	\$8,550,000

1	Category OOOO	\$8,550,000	to under	\$8,650,000
2	Category PPPP	\$8,650,000	to under	\$8,750,000
3	Category QQQQ	\$8,750,000	to under	\$8,850,000
4	Category RRRR	\$8,850,000	to under	\$8,950,000
5	Category SSSS	\$8,950,000	to under	\$9,050,000
6	Category TTTT	\$9,050,000	to under	\$9,150,000
7	Category UUUU	\$9,150,000	to under	\$9,250,000
8	Category VVVV	\$9,250,000	to under	\$9,350,000
9	Category WWWW	\$9,350,000	to under	\$9,450,000
10	Category XXXX	\$9,450,000	to under	\$9,550,000
11	Category YYYY	\$9,550,000	to under	\$9,650,000
12	Category ZZZZ	\$9,650,000	to under	\$9,750,000
13	Category AAAAA	\$9,750,000	to under	\$9,850,000
14	Category BBBBB	\$9,850,000	to under	\$9,950,000
15	Category CCCCC	\$9,950,000	to under	\$10,000,000
16	Category DDDDD	\$10,000,000	or over	

17				TABLE II
18	Category A		none	
19	Category B	\$	1	to under \$ 1,000
20	Category C	\$	1,000	to under \$ 5,000
21	Category D	\$	5,000	to under \$ 20,000
22	Category E	\$	20,000	to under \$ 50,000
23	Category F	\$	50,000	to under \$ 75,000
24	Category G	\$	75,000	to under \$ 100,000
25	Category H	\$	100,000	to under \$ 150,000
26	Category I	\$	150,000	to under \$ 250,000
27	Category J	\$	250,000	to under \$ 500,000
28	Category K	\$	500,000	to under \$ 750,000
29	Category L	\$	750,000	to under \$1,000,000
30	Category M	\$1,000,000	to under	\$1,250,000
31	Category N	\$1,250,000	to under	\$1,500,000
32	Category O	\$1,500,000	to under	\$1,750,000
33	Category P	\$1,750,000	to under	\$2,000,000
34	Category Q	\$2,000,000	to under	\$2,250,000
35	Category R	\$2,250,000	to under	\$2,500,000
36	Category S	\$2,500,000	to under	\$2,750,000
37	Category T	\$2,750,000	to under	\$3,000,000
38	Category U	\$3,000,000	to under	\$3,250,000
39	Category V	\$3,250,000	to under	\$3,500,000
40	Category W	\$3,500,000	to under	\$3,750,000
41	Category X	\$3,750,000	to under	\$4,000,000
42	Category Y	\$4,000,000	to under	\$4,250,000
43	Category Z	\$4,250,000	to under	\$4,500,000
44	Category AA	\$4,500,000	to under	\$4,750,000
45	Category BB	\$4,750,000	to under	\$5,000,000
46	Category CC	\$5,000,000	to under	\$5,250,000
47	Category DD	\$5,250,000	to under	\$5,500,000
48	Category EE	\$5,500,000	to under	\$5,750,000
49	Category FF	\$5,750,000	to under	\$6,000,000
50	Category GG	\$6,000,000	to under	\$6,250,000
51	Category HH	\$6,250,000	to under	\$6,500,000
52	Category II	\$6,500,000	to under	\$6,750,000
53	Category JJ	\$6,750,000	to under	\$7,000,000
54	Category KK	\$7,000,000	to under	\$7,250,000
55	Category LL	\$7,250,000	to under	\$7,500,000

1 Category MM \$7,500,000 to under \$7,750,000  
2 Category NN \$7,750,000 to under \$8,000,000  
3 Category OO \$8,000,000 to under \$8,250,000  
4 Category PP \$8,250,000 to under \$8,500,000  
5 Category QQ \$8,500,000 to under \$8,750,000  
6 Category RR \$8,750,000 to under \$9,000,000  
7 Category SS \$9,000,000 to under \$9,250,000  
8 Category TT \$9,250,000 to under \$9,500,000  
9 Category UU \$9,500,000 or over

10 (b) The joint commission on public ethics shall make available an  
11 editable, electronic, readable and searchable version of the annual  
12 statement of financial disclosure by January first, two thousand twenty  
13 to all those required by law to submit such forms.

14 § 4. This act shall take effect immediately; provided, however, that  
15 section two of this act shall take effect on January first, two thousand  
16 twenty-one. Effective immediately the addition, amendment and/or repeal  
17 of any rule or regulation necessary for the implementation of this act  
18 on its effective date are authorized to be made on or before such date.