

# STATE OF NEW YORK

6828

2019-2020 Regular Sessions

## IN ASSEMBLY

March 20, 2019

Introduced by M. of A. CRESPO -- read once and referred to the Committee on Aging

AN ACT to amend the elder law and the penal law, in relation to enacting the senior anti-violence and enforcement act

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. This act shall be known and may be cited as the "senior  
2 anti-violence and enforcement act" (SAVE).

3 § 2. The elder law is amended by adding a new article 4 to read as  
4 follows:

### ARTICLE 4

### PROTECTION OF THE ELDERLY

### TITLE 1

### SENIOR ANTI-VIOLENCE AND ENFORCEMENT ACT;

### REPORTS AND FINDINGS

### Section 400. Definitions.

11 401. Statewide central registry established; requirements.

12 402. Disposition of reports received by the registry.

13 403. Availability of reports.

14 404. Release of information.

15 405. Unfounded reports.

16 406. Penalty for unauthorized disclosure.

17 407. Persons required to report suspected senior abuse or  
18 maltreatment.

19 408. Any person permitted to report.

20 409. Reporting procedure.

21 410. Immunity from liability.

22 411. Penalties for failure to report.

23 412. Annual reports.

24 § 400. Definitions. As used in this article:

25 1. "Senior" means a person sixty years of age or older.

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 2. "Registry" or "Central registry" means the statewide central regis-  
2 try for senior abuse and neglect in the state office for the aging.

3 3. "Office" means the state office for the aging.

4 4. "Council" means the council on elder abuse created pursuant to this  
5 article.

6 5. "Designated agency" has the same meaning as provided in section two  
7 hundred fourteen of this chapter.

8 6. "Local service" means those services and agencies that work with  
9 designated agencies as part of the team in investigating allegations of  
10 abuse of a senior.

11 7. "Abused senior" means and includes a senior abused in any of the  
12 following manners:

13 (a) "Physical abuse" means the non-accidental use of force that  
14 results in the suffering of bodily injury, physical pain or impairment  
15 resulting from the use of physical force against a senior, including but  
16 not limited to, striking with or without an object, hitting, beating,  
17 pushing, shoving, shaking, kicking, pinching, being slapped, burned,  
18 cut, bruised or improperly physically restrained. Also, any physical  
19 signs of impairment or of being subjected to punishment, or signs of  
20 being restrained, or a senior's report of being hit, slapped, kicked, or  
21 mistreated.

22 (b) "Sexual abuse" means non-consensual sexual contact of any kind  
23 with a senior, including but not limited to, unwanted touching, sexual  
24 assault or battery, rape, sodomy, coerced nudity, and sexually explicit  
25 photographing, forcing sexual contact or forcing sex with a third party.

26 (c) "Emotional or psychological abuse" means willful infliction of  
27 mental or emotional anguish by threat, humiliation, intimidation or  
28 other abusive conduct, including but not limited to, frightening or  
29 isolating an adult. Emotional or psychological abuse may also include  
30 the infliction of anguish, pain or distress through verbal or nonverbal  
31 acts, including but not limited to verbal assaults, insults, threats,  
32 intimidation, humiliation, and harassment, infantilizing a senior,  
33 isolating a senior from his or her family, friends or regular activ-  
34 ities, or enforced social isolation, the results of which may be evinced  
35 by impairments including but not limited to the caregiver's refusal to  
36 allow visitors to see a senior alone, or changes in the senior's behav-  
37 ior that result in the senior being emotionally upset or agitated, being  
38 extremely withdrawn and noncommunicative or nonresponsive, unusual  
39 behavior usually attributed to dementia (e.g. sucking, biting, rocking).

40 (d) Neglect, including the refusal or failure to fulfill any part of a  
41 person's obligations or duties to a senior, failure of a person who has  
42 fiduciary responsibilities to provide care for a senior or the failure  
43 on the part of an in-home service provider to provide such necessary  
44 care, including the refusal or failure to provide an elderly person with  
45 such life necessities as food, water, clothing, shelter, personal  
46 hygiene, medicine, comfort, personal safety, and other essentials  
47 included in an implied or agreed-upon responsibility to such senior, the  
48 results of which may be evinced by such visible physical impairments as  
49 dehydration, malnutrition, untreated bed sores, and poor personal  
50 hygiene, unattended or untreated health problems, hazardous or unsafe  
51 living condition/arrangements, unsanitary and unclean living conditions  
52 (e.g. dirt, fleas, lice on person, soiled bedding, fecal/urine smell,  
53 inadequate clothing).

54 (e) Abandonment, including the desertion of a senior by an individual  
55 who has assumed responsibility for providing care for such senior, or by  
56 a person with physical custody of a senior, including but not limited to

1 the desertion of a senior at a hospital, a nursing facility, or other  
2 similar institution, or the desertion of a senior at a shopping center  
3 or other public location; or a senior's report of being abandoned.

4 (f) Financial or material exploitation, including the illegal or  
5 improper use of a senior's funds, property, or assets, including without  
6 limitation cashing a senior's checks without authorization or permis-  
7 sion, forging a senior's signature, misusing or stealing a senior's  
8 money or possessions, coercing or deceiving a senior into signing a  
9 document such as a contract or a will, or improper use of conservator-  
10 ship, guardianship, or power of attorney.

11 (g) Self-neglect, including the behavior of an elderly person that  
12 threatens his or her own health or safety through the refusal or failure  
13 to provide himself or herself with adequate food, water, clothing, shel-  
14 ter, personal hygiene, medication (when indicated), and safety precau-  
15 tions.

16 8. "Person legally responsible for a senior" means and includes the  
17 senior's custodian, guardian or any other person responsible formally or  
18 informally for the senior's care at the relevant time, any person acting  
19 with power of attorney, trustee appointed by a court, or any person who  
20 by consent, contract or legal order acts to arrange the affairs of the  
21 senior.

22 9. "Subject of the report" means a person reported to the central  
23 registry as being allegedly responsible for causing the injury, abuse or  
24 maltreatment that has resulted in a senior becoming an abused senior or  
25 who allegedly allows such injury, abuse or maltreatment to be inflicted  
26 on such senior and who is:

27 (a) a person legally responsible for a senior;

28 (b) a person, including a director, operator, employee, volunteer, or  
29 contractor, in a public, private, or not-for-profit entity providing  
30 goods or services to a senior pursuant to a contract or other arrange-  
31 ment;

32 (c) a person related to the senior by consanguinity or affinity; or

33 (d) a person who has gained access to or control formally or  
34 informally over a senior's finances, medication, living arrangements,  
35 such that such person may cause a senior to become an abused senior.

36 10. "Other persons named in the report" means persons other than the  
37 subject of the report who are named in a report of senior abuse. Other  
38 persons named in the report shall be limited to the senior who is  
39 reported to the registry, and such senior's guardian, custodian or other  
40 person legally responsible for the senior who has not been named in the  
41 report as allegedly responsible for causing the senior to become an  
42 abused senior.

43 11. An "unfounded report" means any report made pursuant to this title  
44 which is not an indicated report.

45 12. An "indicated report" is a report made pursuant to this title for  
46 which an investigation determines that credible evidence exists that a  
47 senior is an abused senior.

48 13. "Director" means the director of the office for the aging as  
49 described in section two hundred one of this chapter.

50 § 401. Statewide central registry established; requirements. 1. The  
51 director shall establish in the office a statewide central registry to  
52 receive, maintain, and provide appropriate access to reports made pursu-  
53 ant to this title concerning the abuse and maltreatment of seniors.

54 2. Such registry shall be capable of receiving reports by telephone  
55 call, fax, e-mail, and any other forms of communication the director  
56 deems appropriate, alleging that a senior has become an abused senior,

1 of immediately identifying prior reports of abuse involving such senior  
2 or other persons named in the report, and shall be capable of monitoring  
3 the provision of services to such senior twenty-four hours a day, seven  
4 days a week. In furtherance of these requirements,

5 (a) The office shall maintain statewide toll-free telephone voice and  
6 fax numbers and an e-mail address which any person, whether mandated by  
7 law or not, may use to contact the registry to report an allegation that  
8 a senior has become an abused senior.

9 (b) The office shall additionally maintain an unlisted telephone  
10 number, fax number, e-mail address, and such other communication proto-  
11 cols as the director deems appropriate, which only persons mandated by  
12 this chapter may use to report an allegation that a senior has become an  
13 abused senior.

14 (c) The office shall assure that the communication protocols required  
15 by this section shall be capable of allowing all persons authorized by  
16 this title to determine the existence of prior reports in order to eval-  
17 uate the condition or circumstances of a senior.

18 3. Information maintained in the registry shall include but not be  
19 limited to:

20 (a) the initial date or date of the contact with the registry alleging  
21 that a senior has become an abused senior;

22 (b) the time and date of the communication of such information to the  
23 designated agency, and the time and date of acknowledgement of receipt  
24 by the designated agency of such information;

25 (c) all information in the written report required by this title;

26 (d) a record of the final disposition of the report, including  
27 services offered and services accepted;

28 (e) the plan for rehabilitative treatment;

29 (f) names and identifying data, dates and circumstances of any person  
30 requesting or receiving information from the registry; and

31 (g) any other information the director deems will further the purposes  
32 and intent of this title.

33 § 402. Disposition of reports received by the registry. 1. The direc-  
34 tor shall establish in the rules and regulations to implement the  
35 requirements of this section criteria for determining whether a report  
36 could reasonably constitute a report of abuse of a senior. The inability  
37 of a person making a report to identify the alleged perpetrator shall  
38 not constitute a sufficient reason for the registry to reject the alle-  
39 gation or to fail to transmit the allegation for investigation.

40 2. When an allegation reported to the registry could reasonably  
41 constitute a report of abuse of a senior, such allegation shall be tran-  
42 smitted by the fastest means possible by the office to the designated  
43 agency for investigation.

44 3. If the records indicate a previous report concerning a subject of  
45 the report, other persons named in the report or other pertinent infor-  
46 mation, the designated agency shall be notified of the fact.

47 4. Irrespective of whether a report reasonably constitutes a report of  
48 abuse of a senior,

49 (a) If a report could reasonably constitute a crime or could reason-  
50 ably be construed as posing an immediate threat to the senior's health  
51 or safety, the office shall convey by the most expedient means available  
52 the information to the appropriate law enforcement agency, district  
53 attorney or other public official empowered to provide necessary aid or  
54 assistance.

55 (b) If a report could reasonably constitute institutional neglect of a  
56 senior in residential care, the office shall convey by the most expedi-

ent means available the information to the state agency responsible for the operation or supervision of the residential facility or program and, in the case of a facility operated or certified by an office of the department of mental hygiene, to the state commission on quality of care for the mentally disabled, for appropriate action.

5. Reports and records made pursuant to this title, including any previous report concerning a subject of the report, other persons named in the report or other pertinent information, involving seniors who reside in a facility licensed or operated by the office of mental health or the office for people with developmental disabilities, shall be transmitted immediately by the registry to the commission on quality of care for the mentally disabled, which shall commence an appropriate investigation in accordance with the mental hygiene law.

§ 403. Availability of reports. 1. Reports made pursuant to this title as well as any other information obtained, including reports written or photographs taken concerning such reports which are in the possession of the office, designated agencies, or the commission on quality of care for the mentally disabled, shall be confidential and shall only be made available to:

(a) a physician who, examining or having examined a senior, reasonably suspects that such senior may be an abused or maltreated senior;

(b) a person authorized to place a senior in protective custody when such person has before him or her a senior whom he or she reasonably suspects may be an abused or maltreated senior and such person requires the information to determine whether to place the senior in protective custody;

(c) a duly authorized agency having responsibility for the care or supervision of a senior who is reported to the registry;

(d) a person who is the subject of the report or other persons named in the report;

(e) a court, upon a finding that the information is necessary for determination of an issue before the court;

(f) a grand jury, upon a finding that the information is necessary for determination of charges before the grand jury; and

(g) an appropriate state legislative committee responsible for protective legislation of seniors.

2. Rules and regulations of the director shall establish a list of such other persons, agencies, investigative bodies, and auditing entities that may be given access to the registry, and shall establish requirements for confidentiality, limitations on re-disclosure of information, and such other requirements and limitations as the director shall deem necessary.

3. Any inconsistent provision of any other law to the contrary notwithstanding, the director may withhold all or part of any information which he or she is authorized to make available to persons or agencies identified in this section or identified by rule and regulation, if the director determines that such information is not related to the purposes for which such information is requested or that disclosure will be detrimental to the senior named in the report.

4. If a person or agency identified in this section, or by rule and regulation of the director, as one to whom access to reports and other information shall be granted, is denied such access, such person or agency may bring a proceeding for review of such denial pursuant to article seventy-eight of the civil practice law and rules.

5. Nothing contained in this article shall be construed to permit release, disclosure or identification of the names or identifying

1 descriptions of persons who report suspected abuse or maltreatment of a  
2 senior to the registry or such person's place of employment or with whom  
3 they have an association other than employment without such person's  
4 written permission except to such persons, officials, and agencies as  
5 the director determines in rule and regulation.

6 § 404. Release of information. 1. Information concerning reports or  
7 investigations may be released to the public only by persons designated  
8 in the rules and regulations of the director to release such informa-  
9 tion. Information that may be provided shall be limited to the follow-  
10 ing:

11 (a) If a request for information is made prior to the completion of an  
12 investigation of a report, the released information shall be limited to  
13 whether the report is "indicated", "unfounded" or "under investigation",  
14 as the case may be.

15 (b) If the request for information is made after the completion of an  
16 investigation of a report, the released information shall be limited to  
17 whether the report is "indicated" or "unfounded", as the case may be.

18 2. Notwithstanding any inconsistent provision of law to the contrary,  
19 the director or a designated agency director may disclose information  
20 regarding the abuse or maltreatment of a senior as set forth in this  
21 section, and the investigation thereof and any services related thereto  
22 if he or she determines that such disclosure shall not be contrary to  
23 the best interests of the senior, and any one of the following factors  
24 are present:

25 (a) the subject of the report has been charged in an accusatory  
26 instrument with committing a crime related to a report maintained in the  
27 registry; or

28 (b) the investigation of the abuse or maltreatment by the designated  
29 agency or the provision of services by such service has been publicly  
30 disclosed in a report required to be disclosed in the course of their  
31 official duties, by a law enforcement agency or official, a district  
32 attorney, any other state or local investigative agency or official or  
33 by judge of the unified court system; or

34 (c) there has been a prior knowing, voluntary, public disclosure by an  
35 individual concerning a report of abuse or maltreatment in which such  
36 individual is named as the subject of the report; or

37 (d) the senior in the report has died or the report involves the near  
38 fatality of a senior. For the purposes of this section, "near fatality"  
39 means an act that results in the senior being placed, as certified by a  
40 physician, in serious or critical condition.

41 3. For the purposes of this section, the following information may be  
42 disclosed:

43 (a) the name of the abused or maltreated senior;

44 (b) the determination by the designated agency or the state agency  
45 which investigated the report and the findings of the applicable inves-  
46 tigating agency upon which such determination was based;

47 (c) identification of services provided or actions taken regarding the  
48 senior named in the report as a result of any such report or reports;

49 (d) whether any report of abuse or maltreatment regarding such senior  
50 has been "indicated" as maintained by the registry;

51 (e) actions taken by the designated agency in response to reports of  
52 abuse or maltreatment to the registry including but not limited to  
53 actions taken after each and every report of abuse or maltreatment of  
54 such senior and the dates of such reports;

1 (f) whether the senior has received care or services from the desig-  
2 nated agency prior to each and every report of abuse or maltreatment of  
3 such senior; and

4 (g) any extraordinary or pertinent information concerning the circum-  
5 stances of the abuse or maltreatment of the senior and the investigation  
6 thereof, where the director determines such disclosure is consistent  
7 with the public interest.

8 4. Information may be disclosed pursuant to this section as follows:

9 (a) information released prior to the completion of the investigation  
10 of a report shall be limited to a statement that a report is "under  
11 investigation";

12 (b) when there has been a prior disclosure pursuant to this subdivi-  
13 sion, information released in a case in which the report has been  
14 unfounded shall be limited to the statement that "the investigation has  
15 been completed, and the report has been unfounded";

16 (c) if the report has been "indicated" then information may be  
17 released pursuant to subdivision two of this section.

18 5. Any disclosure of information pursuant to this section shall not  
19 identify or provide an identifying description of the source of the  
20 report, and shall not identify the name of the person legally responsi-  
21 ble for the senior or any other members of the senior's household, other  
22 than the subject of the report.

23 6. In determining whether disclosure will be contrary to the best  
24 interests of the senior, the director or a designated agency director  
25 shall consider the interest in privacy of the senior and the senior's  
26 family and the effects which disclosure may have on efforts to provide  
27 services.

28 7. Whenever a disclosure of information is made pursuant to this  
29 section, the designated agency director shall make a written statement  
30 prior to disclosing such information to the chief county executive offi-  
31 cer where the incident occurred setting forth the criteria upon which he  
32 or she is basing such disclosure.

33 8. Except as it applies directly to the cause of the abuse or  
34 maltreatment of the senior, nothing in this section shall be deemed to  
35 authorize the release or disclosure of the substance or content of any  
36 psychological, psychiatric, therapeutic, clinical or medical reports,  
37 evaluations or like materials or information pertaining to such senior  
38 or the senior's family. Prior to the release or disclosure of any  
39 psychological, psychiatric or therapeutic reports, evaluations or like  
40 materials or information pursuant to this subdivision, the local social  
41 services director shall consult with the personnel of the department of  
42 mental hygiene.

43 § 405. Unfounded reports. 1. Unless an investigation of a report  
44 conducted pursuant to this title determines that there is credible  
45 evidence of the alleged abuse or maltreatment, all information identify-  
46 ing the subjects of the report and other persons named in the report  
47 shall be legally sealed by the registry and any designated agencies or  
48 the state agency which investigated the report, and the report shall be  
49 deemed "unfounded". Such unfounded reports may only be unsealed and made  
50 available to such persons and entities as shall be established by the  
51 director in rules and regulations for such purposes as review of a  
52 fatality or investigation of a subsequent report of suspected abuse or  
53 maltreatment involving a subject of the unfounded report. Such unfounded  
54 reports may be made available to the following persons and entities: a  
55 senior named in the unfounded report; other persons named in the report;  
56 the subject of the report; a district attorney or a state or local

1 police officer when such official verifies that the report is necessary  
2 to conduct an active criminal investigation or for prosecution of elder  
3 abuse; or other persons in keeping with the spirit and intent of this  
4 section as shall be determined in the rules and regulations of the  
5 director required to implement this section.

6 2. Persons given access to such unfounded reports shall not redisclose  
7 such reports except as necessary to conduct appropriate investigation or  
8 prosecution and shall request of the court that any copies of such  
9 reports produced in any court proceedings be redacted to remove the  
10 names of the subjects and other persons named in the reports or that the  
11 court issue an order protecting the names of the subjects and other  
12 persons named in the reports from public disclosure.

13 3. An unfounded report shall not be admissible in a judicial or admin-  
14 istrative proceeding or action; provided, however, an unfounded report  
15 may be introduced into evidence:

16 (a) by the subject of the report where such subject is a respondent in  
17 a judicial proceeding pertaining to the allegation made in the report,  
18 or is a plaintiff or petitioner in a civil action or proceeding alleging  
19 the false reporting of senior abuse or maltreatment; or

20 (b) in a criminal court for the purpose of prosecuting a crime against  
21 a senior. Legally sealed unfounded reports shall be expunged ten years  
22 after the receipt of the report. Whenever the office determines that  
23 there is some credible evidence of abuse or maltreatment as a result of  
24 an investigation of a report conducted pursuant to the mental hygiene  
25 law, the office shall notify the commission on quality of care for the  
26 mentally disabled.

27 4. Notwithstanding any other provision of law, the office may in its  
28 discretion grant a request to expunge an unfounded report where: (a) the  
29 source of the report was convicted of a violation of the penal law in  
30 regard to such report; or (b) the subject of the report presents clear  
31 and convincing evidence that affirmatively refutes the allegation of  
32 abuse or maltreatment; provided however, that the absence of credible  
33 evidence supporting the allegation of abuse or maltreatment shall not be  
34 the sole basis to expunge the report. Nothing in this section shall  
35 require the office to conduct an administrative hearing in deciding  
36 whether to expunge a report. The office shall make its determination  
37 upon reviewing the written evidence submitted by the subject of the  
38 report and any records or information obtained from the state or local  
39 agency which investigated the allegations of abuse or maltreatment.

40 5. In any case and at any time, the director may amend any record upon  
41 good cause shown and notice to the subjects of the report and other  
42 persons named in the report.

43 6. At any time, a subject of a report and other persons named in the  
44 report may receive, upon request, a copy of all information contained in  
45 the registry; provided, however, that the director is authorized to  
46 prohibit the release of data that would identify the person who made the  
47 report or who cooperated in a subsequent investigation or the agency,  
48 institution, organization, program or other entity where such person is  
49 employed or with which he or she is associated, which he or she reason-  
50 ably finds will be detrimental to the safety or interests of such  
51 person.

52 7. At any time subsequent to the completion of the investigation but  
53 in no event later than ninety days after the subject of the report is  
54 notified that the report is indicated the subject may request the direc-  
55 tor to amend the record of the report. If the director does not amend  
56 the report in accordance with such request within ninety days of receiv-

1 ing the request, the subject shall have the right to a fair hearing,  
2 conducted in accordance with this subdivision, to determine whether the  
3 record of the report in the registry should be amended on the grounds  
4 that it is inaccurate or it is being maintained in a manner inconsistent  
5 with this title.

6 (a) If it is determined after review that there is no credible  
7 evidence in the record to find that the subject committed an act or acts  
8 of senior abuse or maltreatment, the office shall amend the record to  
9 indicate that the report is "unfounded" and notify the subject forth-  
10 with.

11 (b) If the office does not amend the record in accordance with such  
12 request and the provisions of this subdivision, the office shall sched-  
13 ule a fair hearing and shall provide notice of the scheduled hearing  
14 date to the subject, the registry and, as appropriate, to the designated  
15 agency or the state agency which investigated the report. The burden of  
16 proof in such a hearing shall be on the designated agency or the state  
17 agency which investigated the report, as the case may be.

18 (i) A court finding of abuse or neglect against the subject in regard  
19 to an allegation contained in the report shall create an irrebuttable  
20 presumption that said allegation is substantiated by some credible  
21 evidence.

22 (ii) If it is determined at the fair hearing that there is no credible  
23 evidence in the record to find that the subject committed an act or acts  
24 of abuse or maltreatment of a senior, the office shall amend the record  
25 to reflect that such a finding was made at the administrative hearing,  
26 order any designated agency or state agency which investigated the  
27 report to similarly amend its records of the report, and shall notify  
28 the subject forthwith of the determination.

29 (c) The director or his or her designated agent is authorized and  
30 empowered to make any appropriate order respecting the amendment of a  
31 record to make it accurate or consistent with the requirements of this  
32 section.

33 (d) Should the office grant the request of the subject of the report  
34 pursuant to this subdivision either through an administrative review or  
35 fair hearing to amend an indicated report to an unfounded report, such  
36 report shall be legally sealed and shall be released and expunged in  
37 accordance with the standards set forth in this section.

38 8. Written notice of any expungement or amendment of any record, made  
39 pursuant to this title, shall be served forthwith upon each subject of  
40 such record, other persons named in the report, the director, and such  
41 other persons and agencies the director shall deem appropriate. The  
42 designated agency or the state agency which investigated the report,  
43 upon receipt of such notice, shall take the appropriate similar action  
44 in regard to its registry and records and inform, for the same purpose,  
45 any other agency which received such record.

46 § 406. Penalty for unauthorized disclosure. Unauthorized disclosure by  
47 any party of information obtained from the registry shall be a class A  
48 misdemeanor.

49 § 407. Persons required to report suspected senior abuse or maltreat-  
50 ment. 1. The following persons are required to report or cause a report  
51 to be made in accordance with this title when, while acting in their  
52 professional or official capacity, they have reasonable cause to suspect  
53 that a senior coming before them is an abused senior, or when they have  
54 reasonable cause to suspect that a senior is an abused senior where the  
55 person legally responsible for such senior comes before them and states

1 from personal knowledge facts, conditions or circumstances which, if  
2 correct, would render the senior an abused senior:

3 (a) any health care worker, including any physician, physician assist-  
4 ant, surgeon, medical examiner, coroner, dentist, dental hygienist,  
5 osteopath, optometrist, chiropractor, podiatrist, resident, intern,  
6 psychologist, registered nurse, emergency medical technician, or any  
7 hospital or nursing home personnel engaged in the admission, examina-  
8 tion, care or treatment of persons, or any other health care or health  
9 services practitioner, including a Christian Science practitioner,  
10 acupuncturist, or other such person;

11 (b) any social worker, social services worker, day care center worker,  
12 or any provider of family or group family day care;

13 (c) any mental health professional; substance abuse counselor; alco-  
14 holism counselor;

15 (d) any person, including a director, operator, employee, volunteer,  
16 or contractor, in a public, private, or not-for-profit facility which  
17 provides care to one or more seniors and which is licensed or registered  
18 pursuant to the provisions of the public health law, or the social  
19 services law;

20 (e) any peace officer, police officer, district attorney or assistant  
21 district attorney, investigator employed in the office of a district  
22 attorney or other law enforcement official;

23 (f) any banker, financial consultant, attorney, or paralegal with  
24 access to a senior's financial records or resources or legal documents  
25 or who possesses power of attorney for such senior.

26 The director shall further define and enumerate in regulations persons  
27 and occupations which are required to report when they suspect that a  
28 senior has become an abused senior.

29 2. A person required to report under this title in his or her capacity  
30 as a member of the staff of a medical or other public or private insti-  
31 tution, facility or agency shall additionally and immediately notify the  
32 person in charge of such institution, facility or agency, or his or her  
33 designated agent, who then also shall become responsible to report or  
34 cause reports to be made, provided however that nothing in this section  
35 shall require more than one report from any such institution or agency.  
36 At the time of making a report, or at any time thereafter, such person  
37 or official may request the findings of an investigation made pursuant  
38 to this title.

39 § 408. Any person permitted to report. In addition to persons and  
40 officials required to report suspected senior abuse pursuant to this  
41 chapter, any person may make such a report if such person has reasonable  
42 cause to suspect that a senior is an abused senior.

43 § 409. Reporting procedure. 1. Reports of suspected abuse made pursu-  
44 ant to this title shall be made immediately by telephone, fax, e-mail or  
45 any other communication protocol on a form supplied by the director.  
46 Oral reports shall be followed by a report in writing within forty-eight  
47 hours after such oral report.

48 2. Written reports shall be made in a manner prescribed by and on  
49 forms supplied by the director and shall include the following informa-  
50 tion:

51 (a) the name and address of the senior;

52 (b) the person responsible for his or her care, if known;

53 (c) the name and address of the care facility or program in which the  
54 senior resides or is receiving care;

55 (d) the senior's age, sex and race;

1 (e) the nature and extent of the injuries, abuse or maltreatment,  
2 including any evidence of prior injuries, abuse or maltreatment;

3 (f) the name of the person or persons alleged to be responsible for  
4 causing the injury, abuse or maltreatment, if known;

5 (g) family composition, where appropriate;

6 (h) the source of the report;

7 (i) the person making the report and where he or she can be reached;

8 (j) the actions taken by the reporting source, including the taking of  
9 photographs and technological scans, or notifying the medical examiner  
10 or coroner; and

11 (k) any other information which the director may by regulation  
12 require, or which the person making the report believes might be help-  
13 ful, in the furtherance of the intent and purposes of this title.

14 3. Any person or official required to report cases of suspected senior  
15 abuse and maltreatment may take or cause to be taken at public expense  
16 photographs or, if medically indicated, other technological or imaging  
17 scans including x-rays of the areas of trauma visible on a senior who is  
18 subject to a report. Any such photographs or scans taken shall be sent  
19 to the designated agency at the time the written report is sent, or as  
20 soon thereafter as possible. A person required to report under this  
21 title in his or her capacity as a member of the staff of a medical or  
22 other public or private institution, facility, or agency, shall imme-  
23 diately notify the person in charge of such institution, school, facili-  
24 ty or agency, or the designated agent of such person, who shall then  
25 take or cause to be taken at public expense color photographs or, if  
26 medically indicated, other technological or imaging scans including  
27 x-rays, of the senior.

28 4. Except as otherwise provided in this chapter, written reports from  
29 persons or officials required by this title to report shall be admissi-  
30 ble in evidence in any proceedings relating to senior abuse or maltreat-  
31 ment.

32 5. A person or official required to report suspected senior abuse or  
33 maltreatment who has reasonable cause to suspect that a senior died as a  
34 result of abuse or maltreatment shall report the fact to the appropriate  
35 medical examiner or coroner. The medical examiner or coroner shall  
36 accept the report for investigation and shall report his or her finding  
37 to the police, the appropriate district attorney, the local service, the  
38 office, and, if the institution making the report is a hospital, the  
39 hospital.

40 § 410. Immunity from liability. A person, official, or institution  
41 participating in good faith in providing of services pursuant to this  
42 title, the making of a report, the taking of photographs, the removal or  
43 keeping of a senior pursuant to this title, or the disclosure of infor-  
44 mation in compliance with this title and rules and regulations promul-  
45 gated thereunder, shall be immune from any liability, civil or criminal,  
46 that might otherwise result by reason of such actions. For the purpose  
47 of any proceeding, civil or criminal, the good faith of any such person,  
48 official, or institution required to report cases of senior abuse or  
49 maltreatment or providing a service pursuant to this title shall be  
50 presumed, provided such person, official or institution was acting in  
51 discharge of their duties and within the scope of their employment, and  
52 that such liability did not result from the willful misconduct or gross  
53 negligence of such person, official or institution.

54 § 411. Penalties for failure to report. A person, official or institu-  
55 tion required by this title to report a case of suspected abuse or

maltreatment of a senior who willfully fails to do so shall be guilty of a class A misdemeanor.

Any person, official or institution required by this chapter to report a case of suspected senior abuse or maltreatment who knowingly and willfully fails to do so shall be civilly liable for the damages proximately caused by such failure.

§ 412. Annual reports. The director shall report prior to December fifteenth of each year on the operations of the registry and the various designated agencies. The report shall include a full statistical analysis of the reports made to the registry together with a report on the implementation of this article, an evaluation of services offered and recommendations for additional legislation to fulfill the purposes of this article. Such report shall indicate the number of abuse and maltreatment reports and cases received by the registry by each designated agency in the preceding year, the number of such cases determined to have been indicated and the number of such cases determined to be unfounded by each district in the preceding year, the number of such cases which have not been indicated or unfounded within the time period required by this chapter by each designated agency in the preceding year and the number of workers assigned to the designated agency in the preceding year. The report shall also contain data on the protection of seniors in residential care from abuse and maltreatment, including reports received, results of investigations by types of facilities and programs, types of corrective action taken, as well as efforts undertaken by the office to provide training pursuant to this chapter.

## TITLE 2

### DUTIES AND RESPONSIBILITIES OF THE OFFICE AND THE COUNCIL

Section 420. Duties and responsibilities of the office.

421. Council on elder abuse; creation and powers.

422. Cooperation of other agencies.

423. Implementation.

424. Senior anti-violence services responsibilities and organization.

425. Duties of the SAVS unit.

426. Local and regional fatality review teams.

§ 420. Duties and responsibilities of the office. The office shall:

1. Encourage the fullest degree of reporting of suspected senior abuse or maltreatment by conducting continuing publicity and education programs for persons and officials who are required to report as well as other appropriate persons.

2. Provide technical assistance on an ongoing basis, or as needed, or upon request to designated agencies regarding case planning, provision of services, and performance or other responsibilities pursuant to this title.

3. Develop protocols and issue guidelines to assist designated agencies in evaluating and establishing investigative priorities for reports describing situations or events which may pose a clear and present danger to the life, health or safety of a senior and/or which require immediate, personal contact between the designated agency and the subject of the report, the subject's family, or other persons named in the report.

4. Issue guidelines to assist designated agencies in the interpretation and assessment of reports of abuse and maltreatment made to the registry, including information, standards and criteria for the iden-

1 tification of credible evidence of alleged abuse and maltreatment  
2 required to determine whether a report may be indicated.

3 5. Set forth requirements for the performance by designated agencies  
4 of the duties and powers imposed and conferred upon them by the  
5 provisions of this title, including uniform requirements for the inves-  
6 tigation of reports of abuse or maltreatment, standards for inter-  
7 vention, criteria for case closings, criteria for determining whether to  
8 initiate a proceeding, and criteria for the formulation of treatment  
9 plans and for the delivery of services.

10 6. Set forth training requirements which shall specify, among other  
11 things, that all appropriate persons hired by a designated agency shall  
12 have satisfactorily completed within the first three months of their  
13 employment a course approved by the office in the principles and tech-  
14 niques of investigations, relationships with other investigative bodies,  
15 legal issues, and methods of remediation, diagnosis, treatment and  
16 prevention.

17 7. Take all reasonable and necessary actions to assure that the desig-  
18 nated agencies are kept apprised on a current basis of the laws, regu-  
19 lations and policies of the office concerning senior abuse and maltreat-  
20 ment.

21 8. Monitor and supervise the performance of the local services.

22 § 421. Council on elder abuse; creation and powers. 1. The council on  
23 elder abuse is hereby created whose purpose shall be to advise and  
24 consult with the director on the development of programs and initiatives  
25 to combat elder abuse as authorized or required by this title. The coun-  
26 cil shall have power by affirmative vote of a majority of its members to  
27 establish, and from time to time, amend and repeal rules and regulations  
28 required to be promulgated pursuant to this title, subject to approval  
29 by the director.

30 2. The council shall consist of fifteen persons appointed by the  
31 governor by and with the advice and consent of the senate. Four members  
32 shall be appointed on recommendation of the temporary president of the  
33 senate, and four on recommendation of the speaker of the assembly. The  
34 governor shall appoint a chairperson and a vice-chairperson as he or she  
35 deems appropriate.

36 3. Membership on the council shall reflect the diversity of the  
37 state's population including, but not limited to, the various geographic  
38 areas of the state. Each appointee shall at the time of appointment have  
39 had at least five years experience in the field of elder abuse, in law  
40 enforcement, the judicial system, the provision of services, medicine,  
41 advocacy, or other similar field that assures that the appointee has  
42 recognized expertise in the subject area.

43 4. Each appointee shall serve a term of four years, provided that of  
44 members first appointed, eight shall serve for terms of two years, and  
45 the remainder for terms of four years, respectively, from January first  
46 next succeeding their appointment. Any vacancy occurring otherwise than  
47 by expiration of term shall be filled in the same manner as the original  
48 appointment for the balance of the unexpired term. Members of the coun-  
49 cil shall continue in office until their successors are appointed and  
50 shall have qualified.

51 5. The council may employ either directly or by contractual arrange-  
52 ment such personnel as it shall deem necessary for the performance of  
53 its functions, within amounts made available by appropriation therefor.  
54 In addition, the director, on his or her initiative and through the  
55 powers hereby provided him or her, may provide the council with up to  
56 two loaned officers or employees from the office, along with such admin-

1 istrative-secretarial support staff as shall be required, the duties and  
2 work of whom are hereby declared to be an essential state purpose. Such  
3 loaned employees shall suffer no diminution of their compensation or  
4 benefits as a result of such assignment, provided that no such transfer  
5 shall be made except with the approval of the director of the budget,  
6 and in compliance with the rules and regulations of the civil service  
7 commission of the state.

8 6. Members shall receive no salary or other compensation, but shall be  
9 entitled to reimbursement for actual and necessary expenses incurred in  
10 the performance of official duties. Any other provision of this or any  
11 other law to the contrary notwithstanding, no officer or employee of the  
12 state, or of any civil division thereof, shall be deemed to have  
13 forfeited or shall forfeit his or her office or employment or any bene-  
14 fits provided under the retirement and social security law by reason of  
15 acceptance of membership on the council on elder abuse, provided, howev-  
16 er, that a member who holds such other public office or employment shall  
17 be entitled to reimbursement for actual and necessary expenses incurred  
18 in the performance of such services but shall receive no additional  
19 compensation for services rendered pursuant to this section.

20 7. Members may engage in private employment, or in a profession or  
21 business, subject to the limitations contained in sections seventy-three  
22 and seventy-four of the public officers law. The council on elder abuse  
23 shall, for the purposes of sections seventy-three and seventy-four of  
24 the public officers law, be a "state agency," and its directors shall be  
25 "officers" of the authority for the purposes of such sections.

26 8. Eight members of the council on elder abuse shall constitute a  
27 quorum for transaction of business or the exercise of any power of the  
28 council, and the council shall have power to act by majority of the  
29 members present at any meeting at which a quorum is in attendance. Noth-  
30 ing contained in this title shall prevent the members from conducting  
31 its meetings by tele-video or teleconference, or by telephone confer-  
32 ence, provided that a quorum shall be physically present.

33 9. The council shall meet at least four times each year, and addi-  
34 tionally as frequently as its business may require.

35 10. The council shall enact and from time to time may amend by-laws in  
36 relation to its meetings and the transaction of its business.

37 11. No civil action shall be brought in any court against any member  
38 of the council for any act done, failure to act, or statement or opinion  
39 made, while discharging duties as a member of the council, and no member  
40 shall be liable for damages in any action if the member shall have acted  
41 in good faith, with reasonable care and upon probable cause.

42 12. The director shall consult with the council on any matter required  
43 by this title relating to elder abuse, and the council shall consider  
44 any such matter and advise the director thereon. The director shall take  
45 no action required by this title without first consulting with the coun-  
46 cil, provided however that the council's failure to respond within thir-  
47 ty business days to any such request for advice and consultation shall  
48 not be deemed an impairment of the director's power, ability, or respon-  
49 sibility to implement provisions of this title. The council may from  
50 time to time submit to the director, the governor, and the legislature,  
51 any recommendations it may have relating to elder abuse.

52 § 422. Cooperation of other agencies. 1. The office and the office of  
53 children and family services are herewith empowered to and shall enter  
54 into a memorandum of understanding to execute the purposes and intent of  
55 this article. Such memorandum of understanding shall establish the  
56 office, designated agencies, and "SAVS units" (as described in section

1 four hundred twenty-four of this title) as the lead agencies for inves-  
2 tigation of abuse and maltreatment of seniors, shall assure that adult  
3 protective services units are an integral part of the local services  
4 teams, shall assure appropriate sharing of information, and insure that  
5 appropriate amendments are made to local services plans.

6 2. To effectuate the purposes of this title, the director may request  
7 and shall receive from offices, boards, bureaus, or other agencies of  
8 the state, or any of its political subdivisions, or any duly authorized  
9 agency, or any other agency providing services to seniors such assist-  
10 ance and data as will enable the office and designated agencies to  
11 fulfill their responsibilities.

12 3. In relation to an investigation of a report of abuse or maltreat-  
13 ment involving a senior in residential care, such data may include, but  
14 need not be limited to, the case records of the senior who allegedly was  
15 abused or maltreated and any other senior who allegedly witnessed the  
16 abuse or maltreatment and, consistent with appropriate collective  
17 bargaining agreements and applicable provisions of the civil service  
18 law, those portions of the employment record of the subject of the  
19 report considered by the subject's employer to be relevant and reason-  
20 ably related to the allegations being investigated by the office.

21 § 423. Implementation. The director, after consultation with the coun-  
22 cil, shall establish timelines for completing the requirements of this  
23 title, as follows:

24 1. Within one year of the effective date of this title, the director  
25 shall:

26 (a) Establish planning protocols for establishment of the statewide  
27 central registry for abuse and neglect;

28 (b) Establish a training regime for designated agencies and teams of  
29 local services;

30 (c) Establish investigatory protocols for designated agencies and  
31 teams of local services;

32 (d) Establish a website to convey information about senior abuse and  
33 maltreatment, and the provisions of this title; and

34 (e) Complete agreements as necessary or required pursuant to this  
35 title with other state, local, and federal agencies regarding the imple-  
36 mentation of the provisions of this title.

37 2. Within eighteen months of the effective date of this title, the  
38 director shall:

39 (a) Promulgate regulations concerning persons who shall have access to  
40 information and data contained in the registry, and the confidentiality  
41 requirements governing such access.

42 (b) Promulgate regulations concerning sharing of information, data,  
43 and reports to the registry for investigatory purposes.

44 (c) Complete training of designated agencies and teams of local  
45 services in at least fifty percent of the counties of the state.

46 3. Within two years of the effective date of this title, the director  
47 shall:

48 (a) Establish the statewide central registry for abuse and neglect.

49 (b) Complete training of the designated agencies and teams of local  
50 services.

51 § 424. Senior anti-violence services responsibilities and organiza-  
52 tion. 1. Every designated agency shall establish a senior anti-violence  
53 service (SAVS) unit within such agency. The service shall perform those  
54 functions assigned by this title to it and others that further the  
55 purposes of this title.

1     2. Every designated agency shall provide to the SAVS unit any informa-  
2 tion available to it or in its possession which is relevant to the  
3 investigation of a report of abuse or maltreatment of a senior or to the  
4 provision of services, where the confidentiality of such information is  
5 not expressly protected by law.

6     3. The SAVS unit shall have a sufficient staff of persons with suffi-  
7 cient qualifications to fulfill the purposes of this title, organized so  
8 as to maximize the continuity of responsibility, care and service to  
9 seniors. A designated agency shall have flexibility in assigning staff  
10 to the SAVS unit provided that such staff has the qualifications and has  
11 received the training required by the office.

12     4. Consistent with appropriate collective bargaining agreements and  
13 applicable provisions of the civil service law, every SAVS unit shall  
14 establish a procedure to review and evaluate the backgrounds of and  
15 information supplied by applicants for employment. Such procedures  
16 shall include but not be limited to requirements that the applicant set  
17 forth his or her employment history, provide personal and employment  
18 references and relevant experiential and educational information, and  
19 sign a sworn statement indicating whether the applicant, to the best of  
20 his or her knowledge, has ever been convicted of a crime in this state  
21 or any other jurisdiction.

22     5. Any other provision of any other law to the contrary notwithstand-  
23 ing, the SAVS unit shall be the lead entity responsible for receiving  
24 and investigating reports of abuse or maltreatment of seniors and for  
25 coordinating services to such seniors. It may purchase and utilize the  
26 services of any appropriate public or voluntary agency in providing or  
27 arranging for such services. Services purchased by the SAVS unit pursu-  
28 ant to this title shall be reimbursed by the state to the locality.

29     6. Each designated agency shall submit to the director, after consul-  
30 tation with local law enforcement agencies, the family court and appro-  
31 priate public voluntary agencies and after a public hearing, a  
32 district-wide senior anti-violence enforcement plan, as prescribed by  
33 the director which shall describe the implementation of this title  
34 including the organization, staffing, mode of operations and financing  
35 of the SAVS unit as well as the provisions made for purchase of service  
36 and inter-agency relations. Each designated agency shall prepare and  
37 submit annual implementation reports including information related to  
38 its SAVS unit to the office for its review and approval.

39     7. A SAVS unit shall establish a multidisciplinary investigative team  
40 or teams for the purpose of investigating reports of suspected abuse or  
41 maltreatment of seniors. Such multidisciplinary investigative team may  
42 include, but is not limited to, representatives from the adult protec-  
43 tive service, office of the district attorney or local law enforcement,  
44 the medical profession, public health agencies, mental health agencies,  
45 medical facilities, including hospitals or other appropriate agencies or  
46 institutions and personnel of any existing senior advocacy or service  
47 centers. Notwithstanding any other provision of law to the contrary,  
48 members of a multidisciplinary investigative team may share with other  
49 team members client-identifiable information concerning the senior or  
50 senior's family to facilitate the investigation of suspected abuse or  
51 maltreatment. Nothing herein shall preclude the creation of multidisci-  
52 plinary teams which include more than one designated agency. Each team  
53 shall develop a written protocol for investigation of abuse and for  
54 interviewing abuse and maltreatment victims.

55     8. A SAVS unit shall plan with other public, private and voluntary  
56 agencies including but not limited to health, mental health, aging,

1 legal and law enforcement agencies, for the purpose of assuring maximum  
2 local understanding, coordination and cooperative action in the  
3 provision of appropriate services.

4 § 425. Duties of the SAVS unit. 1. A SAVS unit shall receive on a  
5 twenty-four hour, seven day a week basis all reports of suspected abuse  
6 or maltreatment of a senior in accordance with this title, the local  
7 plan and the regulations of the director, and shall maintain and keep  
8 up-to-date a registry of all cases reported under this title together  
9 with any additional information obtained and a record of the final  
10 disposition of the report, including services offered and accepted.

11 2. Upon receipt of a written report, the SAVS unit shall transmit a  
12 copy to the state registry, and within seven days after receipt of the  
13 initial report, shall send a preliminary written report of the initial  
14 investigation, including evaluation and actions taken or contemplated,  
15 to the registry. Follow-up reports shall be made at regular intervals  
16 thereafter in a manner and form prescribed by the director by regulation  
17 to the end that the state registry is kept fully informed and up-to-date  
18 concerning the handling of reports.

19 3. A SAVS unit shall give telephone notice and forward immediately a  
20 copy of reports made pursuant to this title which involve the death of a  
21 senior to the appropriate district attorney. In addition, telephone  
22 notice shall be given and a copy of any or all reports made pursuant to  
23 this title shall be forwarded immediately to the appropriate district  
24 attorney if a prior request in writing for such notice and copies has  
25 been made to the unit by the district attorney, and if the director  
26 shall approve. Such request shall specify the kinds of allegations  
27 concerning which the district attorney requires such notice and copies  
28 and shall provide a copy of the relevant provisions of law.

29 4. Upon receipt of a report, the SAVS unit shall within twenty-four  
30 hours commence an investigation of the risk for the senior to remain in  
31 the existing environment, a determination of the nature, extent and  
32 cause of any condition enumerated in the report, and, after seeing to  
33 the safety of the senior, notify the subjects of the report and other  
34 persons named in the report in writing of the existence of the report  
35 and each person's respective rights pursuant to this title in regard to  
36 amendment.

37 5. The SAVS unit shall determine, within sixty days, whether the  
38 report is "indicated" or "unfounded".

39 6. The unit shall refer any suspected cases of falsely reporting abuse  
40 and maltreatment of a senior to the appropriate law enforcement agency  
41 or district attorney.

42 7. The SAVS unit shall take appropriate action to protect a senior  
43 from further abuse or maltreatment, including offering appropriate  
44 services and in cases in which an offer of service is refused and the  
45 SAVS unit determines that the best interests of the senior require court  
46 action, initiate the appropriate proceeding or make a referral to the  
47 appropriate district attorney, or both.

48 8. Any designated agency or SAVS unit official authorized or required  
49 to determine the need for and/or provide or arrange for the provision of  
50 services to seniors in accordance with the provisions of this title  
51 shall have immunity from any civil liability that might otherwise result  
52 by reason of providing such services, provided such official was acting  
53 within the scope of employment and in the discharge of official duties,  
54 and that such liability did not result from the willful act or gross  
55 negligence of such official or his or her designee.

9. When a SAVS official shall have reason to believe that a criminal offense has been committed against a senior who has become an abused senior, the official shall report this information to the appropriate police or sheriff's department and the district attorney's office when such office has requested such information be reported by a local social services official or his or her designee.

§ 426. Local and regional fatality review teams. 1. A fatality review team may be established at a local or regional level, with the approval of the office, for the purpose of investigating the death of any senior in the case of a report made to the registry. Notwithstanding any other provision of law to the contrary and to the extent consistent with federal law, such local or regional fatality review team shall have access to those client-identifiable records necessary for the preparation of the report, as authorized in accordance with this title.

2. A local or regional fatality review team must include representatives from the local service, the office, the office of the district attorney or local law enforcement, office of the medical examiner or coroner, and a physician or comparable medical professional. A local or regional fatality review team may also include representatives from public health agencies, mental health agencies, and medical facilities, including hospitals or other appropriate agencies or institutions.

§ 3. The penal law is amended by adding a new article 261 to read as follows:

#### ARTICLE 261

##### OFFENSES AGAINST THE ELDERLY AND DISABLED

##### Section 261.00 Elderly, vulnerable elderly and disabled persons; definitions.

261.01 Crimes against the elderly and disabled; presumption.

261.02 Abandonment of an elderly or disabled person.

261.03 Abandonment of an elderly or disabled person; defense.

261.04 Endangering the welfare of an elderly or disabled person.

261.05 Endangering the welfare of an elderly or disabled person; corroboration.

261.06 Endangering the welfare of an elderly or disabled person; defense.

261.07 Endangering the welfare of a vulnerable elderly person or a disabled person in the second degree.

261.08 Endangering the welfare of a vulnerable elderly person or a disabled person in the first degree.

##### § 261.00 Elderly, vulnerable elderly and disabled persons; definitions.

For the purpose of this article, the following definitions shall apply:

1. "Caregiver" means a person who (i) assumes responsibility for the care of a vulnerable elderly person pursuant to a court order; or (ii) receives monetary or other valuable consideration for providing care for a vulnerable elderly person.

2. "Sexual contact" means any touching of the sexual or other intimate parts of a person not married to the actor for the purpose of gratifying sexual desire of either party. It includes the touching of the actor by the victim, as well as the touching of the victim by the actor, whether directly or through clothing.

3. "Vulnerable elderly person" means a person sixty years of age or older who is suffering from a disease or infirmity associated with advanced age and manifested by demonstrable physical, mental or

1 emotional dysfunction to the extent that the person is incapable of  
2 adequately providing for his or her own health or personal care.

3 4. "Elderly person" means a person sixty years of age or older.

4 5. "Disabled person" means a person who has a physical or mental  
5 impairment that substantially limits a major life activity.

6 § 261.01 Crimes against the elderly and disabled; presumption.

7 In any case in which it shall be shown that a person intended to  
8 commit a specified offense pursuant to section 485.05 of this chapter,  
9 it shall be a rebuttal presumption that the person selected the victim  
10 or committed or intended to commit the act or acts constituting the  
11 offense in whole or in substantial part because of a belief that such  
12 victim is elderly or disabled.

13 § 261.02 Abandonment of an elderly or disabled person.

14 A person is guilty of abandonment of an elderly or disabled person  
15 when, being a person legally charged with the care or custody of an  
16 elderly or disabled person, he or she deserts such person in any place  
17 with intent to wholly abandon him or her.

18 Abandonment of an elderly or disabled person is a class E felony.

19 § 261.03 Abandonment of an elderly or disabled person; defense.

20 In any prosecution for abandonment of an elderly or disabled person,  
21 pursuant to section 261.02 of this article, based upon an alleged  
22 desertion of an elderly or disabled person with an intent to wholly  
23 abandon such an elderly or disabled person, it is an affirmative defense  
24 that, with the intent that the elderly or disabled person be safe from  
25 physical injury and cared for in an appropriate manner, the defendant  
26 left the elderly or disabled person with an appropriate person or in a  
27 suitable location and promptly notified an appropriate person of the  
28 elderly or disabled person's location.

29 § 261.04 Endangering the welfare of an elderly or disabled person.

30 A person is guilty of endangering the welfare of an elderly or disa-  
31 bled person when being a person legally charged with the care or custody  
32 of an elderly or disabled person:

33 1. He or she knowingly acts in a manner likely to be injurious to the  
34 physical, mental or moral welfare of an elderly or disabled person, or  
35 directs or authorizes such an elderly or disabled person, to engage in  
36 an occupation involving a substantial risk of danger to his or her life  
37 or health; or

38 2. He or she fails or refuses to exercise reasonable diligence in the  
39 control of such elderly or disabled person to prevent him or her from  
40 physical, mental or moral injury, or from engaging in acts involving a  
41 substantial risk of danger to his or her life or health.

42 Endangering the welfare of an elderly or disabled person is a class A  
43 misdemeanor.

44 § 261.05 Endangering the welfare of an elderly or disabled person;  
45 corroboration.

46 A person shall not be convicted of endangering the welfare of an  
47 elderly or disabled person, or of an attempt to commit the same, upon  
48 the testimony of a victim who is incapable of consent because of mental  
49 defect or mental incapacity as to conduct that constitutes an offense or  
50 an attempt to commit an offense referred to in section 130.16 of this  
51 chapter, without additional evidence sufficient pursuant to section  
52 130.16 of this chapter to sustain a conviction of an offense referred to  
53 in section 130.16 of this chapter, or of an attempt to commit the same.

54 § 261.06 Endangering the welfare of an elderly or disabled person;  
55 defense.

In any prosecution for endangering the welfare of an elderly or disabled person, pursuant to section 261.04 of this article:

1. based upon an alleged failure or refusal to provide proper medical care or treatment to an elderly or disabled person, who is ill, it is an affirmative defense that the elderly or disabled person is a member or adherent of an organized church or religious group the tenets of which prescribe prayer as the principal treatment for illness, and that the elderly or disabled person was treated in accordance with such tenets;  
or

2. based upon an alleged desertion of an elderly or disabled person, it is an affirmative defense that, with the intent that the person be safe from physical injury and cared for in an appropriate manner, the defendant left the person with an appropriate person or in a suitable location and promptly notified an appropriate person of the person's location.

§ 261.07 Endangering the welfare of a vulnerable elderly person or a disabled person in the second degree.

A person is guilty of endangering the welfare of a vulnerable elderly person or a disabled person in the second degree when, being a caregiver for a vulnerable elderly person or a disabled person:

1. With intent to cause physical injury to such person, he or she causes such injury to such person; or

2. He or she recklessly causes physical injury to such person; or

3. With criminal negligence, he or she causes physical injury to such person by means of a deadly weapon or a dangerous instrument; or

4. He or she subjects such person to sexual contact without the latter's consent. Lack of consent under this subdivision results from forcible compulsion or incapacity to consent, as those terms are defined in article one hundred thirty of this chapter, or any other circumstances in which the vulnerable elderly person or disabled person does not expressly or impliedly acquiesce in the caregiver's conduct.

In any prosecution under this subdivision in which the victim's alleged lack of consent results solely from incapacity to consent because of the victim's mental disability or mental incapacity, the provisions of section 130.16 of this chapter shall apply. In addition, in any prosecution under this subdivision in which the victim's lack of consent is based solely upon his or her incapacity to consent because he or she was mentally disabled, mentally incapacitated or physically helpless, it is an affirmative defense that the defendant, at the time he or she engaged in the conduct constituting the offense, did not know of the facts or conditions responsible for such incapacity to consent.

Endangering the welfare of a vulnerable elderly person or a disabled person in the second degree is a class E felony.

§ 261.08 Endangering the welfare of a vulnerable elderly person or a disabled person in the first degree.

A person is guilty of endangering the welfare of a vulnerable elderly person or a disabled person in the first degree when, being a caregiver for a vulnerable elderly person or disabled person:

1. With intent to cause physical injury to such person, he or she causes serious physical injury to such person; or

2. He or she recklessly causes serious physical injury to such person.

Endangering the welfare of a vulnerable elderly person or a disabled person in the first degree is a class D felony.

§ 4. Section 120.05 of the penal law is amended by adding a new subdivision 15 to read as follows:

1 15. Being eighteen years old or more and with intent to cause physical  
2 injury to a person sixty years old or more, or to a person who has a  
3 physical or mental impairment that substantially limits a major life  
4 activity, the defendant causes such injury to such person.

5 § 5. Section 135.30 of the penal law is amended to read as follows:  
6 § 135.30 Kidnapping; defense.

7 In any prosecution for kidnapping, it is an affirmative defense that  
8 (a) the defendant was a relative of the person abducted, and (b) his or  
9 her sole purpose was to assume control of such person.

10 This section shall not apply in the case when the person who is  
11 abducted is sixty years old or more, or to a person who has a physical  
12 or mental impairment that substantially limits a major life activity,  
13 and the kidnapping was done with the intention of compelling such person  
14 to transfer an asset to the defendant or to a third party.

15 § 6. Section 135.45 of the penal law is amended by adding a new subdivi-  
16 sion 3 to read as follows:

17 3. Knowing that he or she has no legal right to do so, he or she takes  
18 or entices any person sixty years of age or more, or a person who has a  
19 physical or mental impairment that substantially limits a major life  
20 activity, from the custody of another person or institution.

21 § 7. Subdivision (g) of section 140.10 of the penal law, as amended by  
22 chapter 176 of the laws of 2011, is amended and a new subdivision (h) is  
23 added to read as follows:

24 (g) where the property consists of a right-of-way or yard of a rail-  
25 road or rapid transit railroad which has been designated and conspicu-  
26 ously posted as a no-trespass railroad zone[-]; or

27 (h) which is a dwelling occupied by a person sixty years of age or  
28 older, or a person who has a physical or mental impairment that substan-  
29 tially limits a major life activity.

30 § 8. Severability. If any provision of this act or the application  
31 thereof to any person or circumstances is held to be invalid, the  
32 remainder of the act and the application of such provision to other  
33 persons or circumstances shall not be affected thereby.

34 § 9. This act shall take effect immediately.