## STATE OF NEW YORK

471--B

2017-2018 Regular Sessions

## IN SENATE

## (Prefiled)

January 4, 2017

- Introduced by Sens. PERALTA, ALCANTARA, AVELLA, BAILEY, BENJAMIN, CARLUCCI, HAMILTON, HOYLMAN, KLEIN, KRUEGER, RIVERA, SAVINO, VALESKY -- read twice and ordered printed, and when printed to be committed to the Committee on Higher Education -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- recommitted to the Committee on Higher Education in accordance with Senate Rule 6, sec. 8 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee
- AN ACT to amend the education law, in relation to creating the New York DREAM fund commission; eligibility requirements and conditions governing general awards, academic performance awards and student loans; eligibility requirements for assistance under the higher education opportunity programs and the collegiate science and technology entry program; financial aid opportunities for students of the state university of New York, the city university of New York and community colleges; and the program requirements for the New York state college choice tuition savings program; and to repeal subdivision 3 of section 661 of such law relating thereto

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. This act shall be known and may be cited as the "New York 2 state DREAM act".

3 § 2. The education law is amended by adding a new section 609-a to 4 read as follows:

5 § 609-a. New York DREAM fund commission. 1. (a) There shall be
 6 created a New York DREAM fund commission which shall be committed to
 7 advancing the educational opportunities of the children of immigrants.

advancing the educational opportunities of the children of immigrants.

8 (b) The New York DREAM fund commission shall be composed of twelve 9 members to be appointed as follows:

10 (i) Four members shall be appointed by the governor;

EXPLANATION--Matter in <u>italics</u> (underscored) is new; matter in brackets [-] is old law to be omitted.

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1	(ii) Three members shall be appointed by the temporary president of
2	the senate;
3	(iii) Three members shall be appointed by the speaker of the assembly;
4	(iv) One member shall be appointed by the minority leader of the
5	senate;
6	(v) One member shall be appointed by the minority leader of the assem-
7	bly;
8	(c) To the extent practicable, members of such commission shall
9	reflect the racial, ethnic, gender, language, and geographic diversity
10	<u>of the state.</u>
11	(d) To the extent practicable, members of such commission shall
12	include college and university administrators and faculty, and other
13	individuals committed to advancing the educational opportunities of the
14	<u>children of immigrants.</u>
15	(e) Members of the New York DREAM fund commission shall receive no
16	compensation for their services.
17	2. (a) The New York DREAM fund commission shall have the power to:
18	(i) Administer the provisions of this section;
19	(ii) Create and raise funds for the New York DREAM fund;
20	(iii) Establish a not-for-profit entity charged with the responsibil-
21	ity of raising funds for the administration of this section and any
22	educational or training programs such commission is tasked with adminis-
23	trating and funding scholarships to students who are children of immi-
24	grants to the United States;
25	(iv) Publicize the availability of such scholarships from the New York
26	DREAM fund;
27	(v) Develop criteria and a selection process for the recipients of
28	scholarships from the New York DREAM fund;
29	(vi) Research issues pertaining to the availability of assistance with
30	the costs of higher education for the children of immigrants and other
31	issues regarding access for and the performance of the children of immi-
32	grants within higher education;
33	(vii) Establish, publicize, and administer training programs for high
34	school counselors, admissions officers, and financial aid officers of
35	institutions of higher education. The training programs shall instruct
36	participants on the educational opportunities available to college-bound
37	students who are the children of immigrants, including, but not limited
38	to, in-state tuition and scholarship programs. To the extent practica-
39	ble, the New York DREAM fund commission shall offer the training program
40	to school districts and boards of cooperative educational services
41	throughout the state, provided however, that priority shall be given to
42	school districts and boards of cooperative educational services with
43	larger number of students who are the children of immigrants over school
44	districts and boards of cooperative educational services with lesser
45	number of students who are the children of immigrants;
46	(viii) Establish a public awareness campaign regarding educational
47	opportunities available to college bound students who are the children
48	of immigrants; and
49	(ix) Establish, by rule, procedures for accepting and evaluating
50	applications for scholarships from the children of immigrants and issu-
51	ing scholarships to selected student applicants;
52	(b) To receive a scholarship pursuant to this section, a student
53	applicant must meet the following qualifications:
54	(i) Have resided with his or her parents or quardians while attending

55 <u>a public or private high school in this state;</u>

1	(ii) Have graduated from a public or private high school or received
2	the equivalent of a high school diploma in this state;
3	(iii) Have attended a public or private high school in this state for
4	at least two years as of the date he or she graduated from high school
5	or received the equivalent of a high school diploma;
6	(iv) Have at least one parent or guardian who immigrated to the United
7	<u>States.</u>
8	(c) The New York DREAM fund commission and the New York DREAM fund
9	shall be funded entirely by private contributions and no state funds
10	shall be appropriated to or used by the New York DREAM fund. No funds
11	of the New York DREAM fund or the New York DREAM fund commission shall
12	be transferred to the general fund or any special revenue fund or shall
13	be used for any purpose other than the purposes set forth in this
14	section.
15	3. The New York DREAM fund commission and the New York DREAM fund
16	shall be subject to the provisions of articles six and seven and section
17	seventy-four of the public officers law.
18	§ 3. Subdivision 3 of section 661 of the education law is REPEALED.
19	§ 4. Paragraph a of subdivision 5 of section 661 of the education law,
20	as amended by chapter 466 of the laws of 1977, is amended to read as
21	follows:
22	a. (i) Except as provided in subdivision two of section six hundred
23	seventy-four of this part and subparagraph (ii) of this paragraph, an
24	applicant for an award at the undergraduate level of study must either
25	[(i)] (a) have been a legal resident of the state for at least one year
26	immediately preceding the beginning of the semester, quarter or term of
27	attendance for which application for assistance is made, or [(ii)] (b)
28	be a legal resident of the state and have been a legal resident during
29	his last two semesters of high school either prior to graduation, or
30	prior to admission to college. Provided further that persons shall be
31	eligible to receive awards under section six hundred sixty-eight or
32	section six hundred sixty-nine of this part who are currently legal
33	residents of the state and are otherwise qualified.
34	(ii) An applicant who is not a legal resident of the state eligible
35	pursuant to subparagraph (i) of this paragraph, but is a United States
36	citizen, a permanent lawful resident, a lawful non-immigrant alien or an
37	applicant without lawful immigration status shall be eligible for an
38	award at the undergraduate level of study provided that the student:
39	(a) attended a registered New York state high school for two or more
40	years, graduated from a registered New York state high school and
41	applied for attendance at the institution of higher education for the
42	undergraduate study for which an award is sought within five years of
43	<u>receiving a New York state high school diploma; or</u>
44	(b) attended an approved New York state program for a state high
45	school equivalency diploma, received a state high school equivalency
46	diploma and applied for attendance at the institution of higher educa-
47	tion for the undergraduate study for which an award is sought within
48	five years of receiving a state high school equivalency diploma; or
49	(c) is otherwise eligible for the payment of tuition and fees at a
50	rate no greater than that imposed for resident students of the state
51	university of New York, the city university of New York or community
52	colleges as prescribed in subparagraph eight of paragraph h of subdivi-
53	sion two of section three hundred fifty-five or paragraph (a) of subdi-
54	vision seven of section sixty-two hundred six of this chapter.
55	Provided, further, that a student without lawful immigration status
56	shall also be required to file an affidavit with such institution of

higher education stating that the student has filed an application to 1 2 legalize his or her immigration status, or will file such an application 3 as soon as he or she is eligible to do so. 4 § 5. Paragraph b of subdivision 5 of section 661 of the education law, 5 as amended by chapter 466 of the laws of 1977, is amended to read as б follows: 7 b. [An] (i) Except as otherwise provided in subparagraph (ii) of this 8 paragraph, an applicant for an award at the graduate level of study must 9 either [(i)] (a) have been a legal resident of the state for at least 10 one year immediately preceding the beginning of the semester, quarter or term of attendance for which application for assistance is made, or 11  $\left[\frac{(ii)}{(b)}\right]$  be a legal resident of the state and have been a legal resi-12 13 dent during his last academic year of undergraduate study and have 14 continued to be a legal resident until matriculation in the graduate 15 program. 16 (ii) An applicant who is not a legal resident of the state eligible pursuant to subparagraph (i) of this paragraph, but is a United States 17 citizen, a permanent lawful resident, a lawful non-immigrant alien or an 18 19 applicant without lawful immigration status shall be eligible for an 20 award at the undergraduate level of study provided that the student: 21 (a) attended a registered approved New York state high school for two or more years, graduated from a registered New York state high school 22 and applied for attendance at the institution of higher education for 23 24 the graduate study for which an award is sought within ten years of receiving a New York state high school diploma; or 25 26 (b) attended an approved New York state program for a state high 27 school equivalency diploma, received a state high school equivalency diploma and applied for attendance at the institution of higher educa-28 29 tion for the graduate study for which an award is sought within ten 30 years of receiving a state high school equivalency diploma; or 31 (c) is otherwise eligible for the payment of tuition and fees at a 32 rate no greater than that imposed for resident students of the state university of New York, the city university of New York or community 33 34 colleges as prescribed in subparagraph eight of paragraph h of subdivi-35 sion two of section three hundred fifty-five or paragraph (a) of subdi-36 vision seven of section sixty-two hundred six of this chapter. 37 Provided, further, that a student without lawful immigration status 38 shall also be required to file an affidavit with such institution of higher education stating that the student has filed an application to 39 legalize his or her immigration status, or will file such an application 40 41 as soon as he or she is eligible to do so. 42 § 6. Paragraph d of subdivision 5 of section 661 of the education law, 43 as amended by chapter 844 of the laws of 1975, is amended to read as 44 follows: 45 d. If an applicant for an award allocated on a geographic basis has 46 more than one residence in this state, his or her residence for the 47 purpose of this article shall be his or her place of actual residence during the major part of the year while attending school, as determined 48 49 by the commissioner; and further provided that an applicant who does not 50 have a residence in this state and is eligible for an award pursuant to 51 subparagraph (ii) of paragraph a or subparagraph (ii) of paragraph b of 52 this subdivision shall be deemed to reside in the geographic area of the 53 institution of higher education in which he or she attends for purposes 54 of an award allocated on a geographic basis.

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§ 7. Paragraph e of subdivision 5 of section 661 of the education law, 1 2 added by chapter 630 of the laws of 2005, is amended to read as as 3 follows: e. Notwithstanding any other provision of this article to the contra-4 5 ry, the New York state [residency] eligibility [requirement] requireб ments for receipt of awards [is] set forth in paragraphs a and b of this 7 subdivision are waived for a member, or the spouse or dependent of a 8 member, of the armed forces of the United States on full-time active 9 duty and stationed in this state. 10 § 8. Paragraph h of subdivision 2 of section 355 of the education law 11 is amended by adding a new subparagraph 10 to read as follows: 12 (10) Such regulations shall further provide that any student who is 13 not a legal resident of New York state but is a United States citizen, a 14 permanent lawful resident, a lawful non-immigrant alien or an applicant 15 without lawful immigration status may have the payment of tuition and 16 other fees and charges reduced by state-aided programs, scholarships or other financial assistance awarded under the provisions of articles 17 thirteen, thirteen-A, fourteen and fourteen-A of this chapter, provided 18 19 that the student meets the requirements set forth in subparagraph (ii) 20 of paragraph a or subparagraph (ii) of paragraph b of subdivision five 21 of section six hundred sixty-one of this chapter, as applicable. 22 § 9. Subdivision 7 of section 6206 of the education law is amended by 23 adding a new paragraph (d) to read as follows: 24 (d) The trustees shall further provide that any student who is not a 25 legal resident of New York state but is a United States citizen, a 26 permanent lawful resident, a lawful non-immigrant alien or an applicant 27 without lawful immigration status may have the payment of tuition and other fees and charges reduced by state-aided programs, scholarships or 28 other financial assistance awarded under the provisions of articles 29 30 thirteen, thirteen-A, fourteen and fourteen-A of this chapter, provided 31 that the student meets the requirements set forth in subparagraph (ii) 32 of paragraph a or subparagraph (ii) of paragraph b of subdivision five 33 of section six hundred sixty-one of this chapter, as applicable. § 10. Section 6305 of the education law is amended by adding 34 а new 35 subdivision 8-a to read as follows: 8-a. The payment of tuition and other fees and charges of a student 36 who is attending a community college and who is not a legal resident of 37 New York state but is a United States citizen, a permanent lawful resi-38 dent, a lawful non-immigrant alien or an applicant without lawful immi-39 40 gration status may be reduced by state-aided programs, scholarships and 41 other financial assistance awarded under the provisions of articles 42 thirteen, thirteen-A, fourteen and fourteen-A of this chapter, provided 43 that the student meets the requirements set forth in subparagraph (ii) 44 of paragraph a or subparagraph (ii) of paragraph b of subdivision five 45 of section six hundred sixty-one of this chapter, as applicable. 46 § 11. Paragraph d of subdivision 3 of section 6451 of the education 47 law, as amended by chapter 494 of the laws of 2016, is amended to read as follows: 48 49 d. Any necessary supplemental financial assistance, which may include 50 the cost of books and necessary maintenance for such enrolled students\_ 51 including students without lawful immigration status provided that the student meets the requirements set forth in subparagraph (ii) of para-52 53 graph a or subparagraph (ii) of paragraph b of subdivision five of section six hundred sixty-one of this chapter, as applicable; provided, 54 55 however, that such supplemental financial assistance shall be furnished

pursuant to criteria promulgated by the commissioner with the approval 1 2 of the director of the budget; § 12. Subparagraph (v) of paragraph a of subdivision 4 of section 64523 4 of the education law, as added by chapter 917 of the laws of 1970, is 5 amended to read as follows: б (v) Any necessary supplemental financial assistance, which may include 7 the cost of books and necessary maintenance for such students, including 8 students without lawful immigration status provided that the student meets the requirements set forth in subparagraph (ii) of paragraph a or 9 10 subparagraph (ii) of paragraph b of subdivision five of section six 11 hundred sixty-one of this chapter, as applicable; provided, however, that such supplemental financial assistance shall be furnished pursuant 12 13 to criteria promulgated by such universities and approved by the regents 14 and the director of the budget. 15 § 13. Paragraph (a) of subdivision 2 of section 6455 of the education 16 law, as added by chapter 285 of the laws of 1986, is amended to read as 17 follows: 18 (a) (i) Undergraduate science and technology entry program moneys may 19 be used for tutoring, counseling, remedial and special summer courses, 20 supplemental financial assistance, program administration, and other 21 activities which the commissioner may deem appropriate. To be eligible for undergraduate collegiate science and technology entry program 22 support, a student must be a resident of New York [who is], or meet the 23 24 requirements of subparagraph (ii) of this paragraph, and must be either 25 economically disadvantaged or from a minority group historically under 26 represented in the scientific, technical, health and health-related 27 professions, and [who demonstrates] must demonstrate interest in and a potential for a professional career if provided special services. Eligi-28 29 ble students must be in good academic standing, enrolled full time in an 30 approved, undergraduate level program of study, as defined by the 31 regents. 32 (ii) An applicant who is not a legal resident of New York state, but 33 who is a United States citizen, a permanent lawful resident, a lawful non-immigrant alien or an applicant without lawful immigration status, 34 35 shall be eligible for an award at the undergraduate level of study 36 provided that the student: 37 (1) attended a registered New York state high school for two or more 38 years, graduated from a registered New York state high school and applied for attendance at the institution of higher education for the 39 undergraduate study for which an award is sought within five years of 40 41 receiving a New York state high school diploma; or 42 (2) attended an approved New York state program for a state high 43 school equivalency diploma, received a state high school equivalency 44 diploma and applied for attendance at the institution of higher educa-45 tion for the undergraduate study for which an award is sought within 46 five years of receiving a state high school equivalency diploma, 47 attended an approved New York state high school for two or more years, graduated from an approved New York state high school and applied for 48 attendance at an institution of higher education within five years of 49 50 receiving a New York state high school diploma; or 51 (3) is otherwise eligible for the payment of tuition and fees at a 52 rate no greater than that imposed for resident students of the state 53 university of New York, the city university of New York or community 54 colleges as prescribed in subparagraph eight of paragraph h of subdivi-55 sion two of section three hundred fifty-five or paragraph (a) of subdi-56 vision seven of section sixty-two hundred six of this chapter.

1 Provided, further, that a student without lawful immigration status shall also be required to file an affidavit with such institution of 2 3 higher education stating that the student has filed an application to 4 legalize his or her immigration status, or will file such an application 5 as soon as he or she is eliqible to do so. б § 14. Paragraph (a) of subdivision 3 of section 6455 of the education 7 law, as added by chapter 285 of the laws of 1986, is amended to read as 8 follows: 9 (a) (i) Graduate science and technology entry program moneys may be 10 used for recruitment, academic enrichment, career planning, supplemental 11 financial assistance, review for licensing examinations, program administration, and other activities which the commissioner may deem appro-12 13 priate. To be eligible for graduate collegiate science and technology entry program support, a student must be a resident of New York [ who 14 15 is], or meet the requirements of subparagraph (ii) of this paragraph, 16 and must be either economically disadvantaged or from a minority group 17 historically underrepresented in the scientific, technical and health-18 related professions. Eligible students must be in good academic stand-19 ing, enrolled full time in an approved graduate level program, as 20 defined by the regents. 21 (ii) An applicant who is not a legal resident of New York state, but 22 either is a United States citizen, a permanent lawful resident, a lawful non-immigrant alien or an applicant without lawful immigration status 23 shall be eligible for an award at the undergraduate level of study 24 25 provided that the student: 26 (1) attended a registered approved New York state high school for two 27 or more years, graduated from a registered New York state high school and applied for attendance at the institution of higher education for 28 29 the graduate study for which an award is sought within ten years of 30 receiving a New York state high school diploma; or 31 (2) attended an approved New York state program for a state high 32 school equivalency diploma, received a state high school equivalency 33 diploma and applied for attendance at the institution of higher educa-34 tion for the graduate study for which an award is sought within ten 35 years of receiving a state high school equivalency diploma; or 36 (3) is otherwise eligible for the payment of tuition and fees at a 37 rate no greater than that imposed for resident students of the state university of New York, the city university of New York or community 38 colleges as prescribed in subparagraph eight of paragraph h of subdivi-39 sion two of section three hundred fifty-five or paragraph (a) of subdi-40 41 vision seven of section sixty-two hundred six of this chapter. 42 Provided, further, that a student without lawful immigration status 43 shall also be required to file an affidavit with such institution of higher education stating that the student has filed an application to 44 45 legalize his or her immigration status, or will file such an application 46 as soon as he or she is eligible to do so. § 15. Subparagraph (i) of paragraph a of subdivision 2 of section 47 695-e of the education law, as amended by chapter 593 of the laws of 48 49 2003, is amended to read as follows: 50 (i) the name, address and social security number [er], employer iden-51 tification number, or individual taxpayer identification number of the account owner unless a family tuition account that was in effect prior 52 to the effective date of the chapter of the laws of two thousand eigh-53 54 teen that amended this subparagraph does not allow for a taxpayer iden-55 tification number, in which case a taxpayer identification number shall 56 be allowed upon the expiration of the contract;

1 § 16. Subparagraph (iii) of paragraph a of subdivision 2 of section 2 695-e of the education law, as amended by chapter 593 of the laws of 3 2003, is amended to read as follows:

4 (iii) the name, address, and social security number, <u>employer iden-</u> 5 <u>tification number, or individual taxpayer identification number</u> of the 6 designated beneficiary, <u>unless a family tuition account that was in</u> 7 <u>effect prior to the effective date of the chapter of the laws of two</u> 8 <u>thousand eighteen that amended this subparagraph does not allow for a</u> 9 <u>taxpayer identification number, in which case a taxpayer identification</u> 10 <u>number shall be allowed upon the expiration of the contract</u>; and

11 17. The president of the higher education services corporation, in 8 consultation with the commissioner of education, shall establish an 12 application form and procedures that shall allow a student applicant 13 14 that meets the requirements set forth in subparagraph (ii) of paragraph 15 (a) or subparagraph (ii) of paragraph b of subdivision 5 of section 661 16 of the education law to apply directly to the higher education services 17 corporation or education department for applicable awards without having 18 to submit information to any other state or federal agency. All information contained within the applications filed with such corporation or 19 20 department shall be deemed confidential.

21 § 18. This act shall take effect immediately; provided, however, that:
22 (a) section two of this act shall take effect January 1, 2019;

(b) sections fifteen and sixteen of this act shall take effect on the ninetieth day after it shall have become a law; provided, however, that any rule or regulation necessary for the timely implementation of this act on its effective date shall be promulgated on or before such effective date; and

28 (c) sections three through fourteen and section seventeen of this act 29 shall take effect on the ninetieth day after the issuance of regulations 30 and the development of an application form by the president of the high-31 er education services corporation and commissioner of education or on 32 the ninetieth day after it shall have become a law, whichever shall be 33 later; provided, however, that effective immediately the addition, amendment and/or repeal of any rule or regulation necessary for the 34 35 implementation of this act on its effective date are authorized and 36 directed to be made and completed on or before such date; provided, 37 further, however, that the president of the higher education services 38 corporation and the commissioner of education shall notify the legisla-39 tive bill drafting commission upon the occurrence of the issuance of the regulations and the development of an application form in order that the 40 41 commission may maintain an accurate and timely effective data base of 42 the official text of the laws of the state of New York in furtherance of 43 effectuating the provisions of section 44 of the legislative law and 44 section 70-b of the public officers law.