

# STATE OF NEW YORK

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5486

2017-2018 Regular Sessions

## IN ASSEMBLY

February 9, 2017

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Introduced by M. of A. WEPRIN -- Multi-Sponsored by -- M. of A. MONTESANO -- read once and referred to the Committee on Labor

AN ACT to amend the labor law, in relation to the sharing of information with state and municipal agencies and certain local development corporations

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Subparagraph (ii) of paragraph g of subdivision 3 of  
2 section 537 of the labor law, as added by chapter 6 of the laws of 2007,  
3 clauses 1, 4 and 5 as amended and clauses 6, 7 and 8 as added by chapter  
4 551 of the laws of 2008, clause 5 as further amended by section 104 of  
5 part A of chapter 62 of the laws of 2011, clause 9 as amended by chapter  
6 265 of the laws of 2013 and clauses 10 and 11 as added by chapter 456 of  
7 the laws of 2013, is amended to read as follows:

8 (ii) The information disclosed pursuant to this paragraph may be  
9 disclosed to the following agencies to be used exclusively for the  
10 following legitimate governmental purposes:

11 (1) any federal, state or local agency in the investigation of fraud  
12 relating to public programs, or misuse of public funds;

13 (2) any state or United States territorial workforce agency, local  
14 workforce investment board and its agents, and one-stop operating part-  
15 ner receiving funds under the workforce investment act of 1998 for  
16 program performance purposes and other legitimate programmatic purposes  
17 authorized by the commissioner;

18 (3) the United States department of labor or its agents, as required  
19 by law, or in connection with the requirements imposed as a result of  
20 receiving federal administrative funding;

21 (4) state and local economic development agencies, or their agents,  
22 where such information is necessary to carry out the statutory functions  
23 of such agencies, shall receive a quarterly census of employment and  
24 wage information compiled by the department, provided that such disclo-

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 sure shall not violate federal law. Any redisclosure of information  
2 obtained by such agencies under this clause shall be limited to tabu-  
3 lation and publication of such information in an aggregated statistical  
4 form. No individual identifying information obtained pursuant to this  
5 clause shall be redisclosed in the course of the tabulation or publica-  
6 tion. As used in this clause, the term "aggregated statistical form"  
7 shall mean, in the case of information regarding individuals, a data set  
8 that includes information about not fewer than ten individuals, and, in  
9 the case of employer information, a data set that includes information  
10 about no fewer than three employers, of which no one employer comprises  
11 more than eighty percent of the aggregated data set; ~~and~~

12 (5) the workers' compensation board, the state insurance fund and the  
13 state department of financial services, for purposes of determining  
14 compliance with the coverage of workers' compensation and disability  
15 insurance and to the workers' compensation board for purposes of deter-  
16 mining eligibility for workers' compensation benefits~~(-)~~;

17 (6) any federal, state, or local law enforcement agency in accordance  
18 with a proper judicial order or grand jury subpoena served upon the  
19 department~~(-)~~;

20 (7) the office of temporary and disability assistance, or local social  
21 services districts, for purposes of establishing or verifying the income  
22 and eligibility of applicants for, or recipients of, benefits under  
23 state public assistance programs for such benefits. Information obtained  
24 by the office of temporary and disability assistance under this clause  
25 shall not be disclosed, except to local social services districts for  
26 purposes of establishing or verifying the income and eligibility of  
27 applicants for, or recipients of, benefits under state public assistance  
28 programs~~(-)~~;

29 (8) the office of vocational and educational services for individuals  
30 with disabilities of the education department for the evaluation of the  
31 effect on earnings of participants, or former participants, in employ-  
32 ment and training programs for which the office of vocational and educa-  
33 tional services for individuals with disabilities of the education  
34 department has reporting, monitoring or evaluating responsibilities~~(-)~~;

35 (9) the commission for the blind for the evaluation of the effect on  
36 earnings of participants, or former participants, in employment and  
37 training programs for which the commission for the blind has reporting,  
38 monitoring or evaluating responsibilities~~(-)~~;

39 (10) any other federal, state, or local governmental agency, including  
40 the state university of New York, the city university of New York, and  
41 any of their constituent units, or the agents or contractors of a  
42 governmental agency, where such information is to be used for (A) evalu-  
43 ation of program performance, including, but not limited to, longitudi-  
44 nal outcome analysis of programs (including programs funded by public or  
45 private moneys or a combination thereof) to the extent permitted by  
46 federal law; (B) financial or other analysis required by federal, state,  
47 or local law or regulation; (C) preparation of reports required by  
48 federal, state, or local law or regulation; (D) operation of public  
49 programs by such agencies, their agents, contractors and subcontractors,  
50 whenever the commissioner determines that such information sharing is  
51 for the purpose of improving the quality or delivery of program services  
52 or to create operational efficiencies; or (E) establishment of common  
53 case management systems between federal, state, or local agencies deliv-  
54 ering or supporting workforce services for a shared customer base, wher-  
55 ever such common case management system is for the purpose of fostering  
56 workforce partnerships, program coordination, inter-agency collab-

1 oration, improving program services, or creating operational efficien-  
2 cies. Any redisclosure of information obtained by such agencies, their  
3 agents, or their contractors under this clause shall be limited to tabu-  
4 lation and publication of such information in an aggregated statistical  
5 form, except when an agency, its agent, its contractor or other agency  
6 must exchange such information for an authorized purpose as provided for  
7 in the written agreement required by 20 CFR Part 603. No individual  
8 identifying information obtained pursuant to paragraph d of subdivision  
9 one of this section shall be redisclosed in the course of the tabulation  
10 or publication. As used in this clause, the term "aggregated statistical  
11 form" shall mean, in the case of information regarding individuals, a  
12 data set that includes information about no fewer than ten individuals,  
13 and, in the case of employer information, a data set that includes  
14 information about no fewer than three employers, of which no one employ-  
15 er comprises more than eighty percent of the aggregated data set. When  
16 the commissioner approves a requested disclosure of information for the  
17 purposes of a longitudinal study, the commissioner shall allow such  
18 information to be used for a specified period of time as provided for in  
19 the written agreement required by 20 CFR Part 603. Such agreement may  
20 only provide for information to be used for a period of up to ten years  
21 but may be renewed for additional periods of time[+];

22 (11) (A) Pursuant to clause ten of this subparagraph, the commissioner  
23 shall electronically post in a place accessible by the general public  
24 (i) the minimum conditions for granting a request from governmental  
25 agencies for disclosure of information, (ii) a standard application for  
26 submitting requests for disclosure of unemployment insurance information  
27 in individually identifiable form in accordance with paragraph d of  
28 subdivision one of this section, in de-identified unit level form, or  
29 aggregated statistical form, (iii) the timeframe for information request  
30 determinations by the commissioner, such that within twenty business  
31 days of receiving a request, the commissioner shall either approve or  
32 deny the request or ask for additional information; within twenty busi-  
33 ness days of receiving a request for additional information, the  
34 requesting agency shall respond to the commissioner, and; within thirty  
35 calendar days of receiving the additional information, the commissioner  
36 shall provide a final approval or denial of the request, and (iv)  
37 contact information for assistance with requests for disclosure of  
38 information.

39 (B) Any approval or denial pursuant to clause ten of this subparagraph  
40 shall be in writing. Denials shall identify the reason or category of  
41 reason for the denial.

42 (C) The commissioner shall issue guidelines regarding the development  
43 of agreements with respect to disclosures approved pursuant to clause  
44 ten of this subparagraph, and such guidelines shall include, but not be  
45 limited to, the process and timeframe for developing such agreements and  
46 the terms therein consistent with 20 CFR Part 603 and other federal  
47 regulations[+]; and

48 (12) agencies of cities having a population of one million or more and  
49 the New York city economic development corporation, where such informa-  
50 tion is necessary for: the development and evaluation of economic devel-  
51 opment strategies for specific industries and neighborhoods; the  
52 performance of land use planning, the development of economic impact  
53 strategies for specific industries and neighborhoods, and the analysis  
54 of environmental impacts of actions requiring review under state or  
55 local law; the evaluation of trends in hiring, employment, and wages in  
56 specific industries and neighborhoods and the monitoring of employee

1 placement and training programs; or the forecasting and monitoring of  
2 tax revenue and the tracking of employment patterns and worker flows  
3 across specific industries and neighborhoods. Information disclosed  
4 pursuant to this clause shall be limited to the following for each  
5 employer: name, address, location, industry, total wages, number of  
6 employees, employer and reporting unit account numbers, initial tax  
7 liability date, and codes indicating private or government ownership and  
8 multiple establishment employers.

9 § 2. This act shall take effect immediately.