STATE OF NEW YORK

2167

2017-2018 Regular Sessions

IN ASSEMBLY

January 17, 2017

Introduced by M. of A. PEOPLES-STOKES -- read once and referred to the Committee on Education

AN ACT to amend the education law, in relation to permitting the parents of students attending a persistently lowest-achieving school to choose an education intervention model; and providing for the repeal of such provisions upon expiration thereof

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. Legislative intent. The legislature hereby finds that the 2 current state of our education system in New York state is not providing all its students with access to the best possible education, thus inhibiting their ability to become fully participating members of society. New York state was recently ranked 35th in the country in their progress on the National Assessment of Educational Progress, otherwise referred 7 to as the Nation's Report Card, a national test instituted every other year to students in every state. The gaps in achievement between students based on race and geographic location are staggering and unac-10 ceptable. In 2011, White students in New York state were almost twice as 11 likely as their African-American and Hispanic counterparts to meet basic 12 standards in reading and writing. According to the state education 13 department, in 2011 only 47% of public high school students in the city 14 of Buffalo and the city of Rochester graduated, a reduction from a 53% 15 graduation rate in 2009.

Although there have been steps taken on the state level to correct and reverse these alarming trends and gaps in achievement, the speed with which they are implemented is insufficient, and the varying needs of districts cannot be met by one universal approach. The legislature believes that to ensure the successful rehabilitation of our lowest performing schools, we must engage and encourage the involvement of the parents in the process. Allowing parents to assert control over how persistently lowest achieving schools are revitalized will instill a

EXPLANATION--Matter in italics (underscored) is new; matter in brackets
[-] is old law to be omitted.

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sense of ownership over the approach implemented, and help ensure their continued involvement in the process. When considering how to best address their individual situation, parents will have to become organized and informed about the different challenges the school faces. Parental involvement is the only aspect of education reform that the state and the local educational authorities have little to no control over, yet it is a requirement for many programs and grants designed to assist in these much needed reforms.

Therefore, this legislation will provide a pilot program that allows parents, in a portion the state identified as having persistently lowest achieving schools, to collectively come together and provide direction on how to correct and ensure the adequate education of their children.

- § 2. Short title. This act shall be known and may be cited as the "parent empowerment pilot program".
- 15 § 3. The education law is amended by adding a new section 3614 to read 16 as follows:
 - § 3614. Parent empowerment pilot program. 1. For the purposes of this section, the following terms shall mean:
 - a. "Persistently lowest-achieving school" means a public school that has failed to make annual year progress for four years in a row or more as identified by the commissioner.
- b. "Intervention model" means federal education intervention models, which include the turnaround model, restart model, transformation model or closure model.
 - c. "Turnaround model" means that a school must replace the principal and rehire no more than fifty percent of the school's staff, adopt a new governance structure, provide job-embedded professional development, offer staff financial and career-advancement incentives, implement a research-based, aligned instructional program, extend learning and teacher planning time, create a community-orientation, and provide operating flexibility.
 - d. "Restart model" means that a school closes and reopens under a charter school operator, a charter management organization (CMO) or an education partnership organization that has been selected through a rigorous review process. A restart model must enroll, within the grades it serves, any former student who wishes to attend.
 - e. "Transformation model" means that a school must replace the principal, provide job-embedded professional development, implement a rigorous teacher-evaluation and reward system, offer financial and career advancement incentives, implement comprehensive instructional reform, extend learning- and teacher-planning time, create a community-orientation, and provide operating flexibility and sustained support.
 - f. "Closure model" means that the school is closed and its students are enrolled in other, higher-achieving schools.
 - g. "School district" means a local education authority with jurisdiction over a subject school.
 - h. "Final disposition" means a decision by a school district with regard to a petition seeking the implementation of an intervention model at a subject school under their jurisdiction.
- 50 <u>i. "Petition" means a document used by petitioners seeking support for</u>
 51 <u>an intervention model at a subject school consistent with the provisions</u>
 52 <u>of this section.</u>
- j. "Petitioner" means a parent or other person working with parents of a subject school to collect signatures in support of a petition seeking to implement an intervention model at a subject school.

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k. "Lead petitioner" means up to five parents with students enrolled a subject school who initiate and lead a petition drive seeking to implement an intervention model in that subject school.

- 1. "Contact person" means an individual lead petitioner designated to be the official contact person with regard to official communications required under this section.
- m. "Parent" means a parent, legal quardian, or foster parent responsible for education decisions for a public school student enrolled in a subject school.
- 10 n. "Subject school" means a persistently lowest-achieving school that 11 is the subject of a petition seeking to implement an intervention model.
- o. "Eligible student" means a student enrolled at a subject school on 12 13 the date when a petition seeking the implementation of an intervention 14 model is commenced.
 - 2. a. Any school identified by the commissioner as persistently lowest-achieving shall be eligible for participation in the parent empowerment pilot program. The program shall allow parents of a subject school to circulate petitions in support of an intervention model, which a school district shall act upon pursuant to this section if fifty-one percent or more of the parents of the students enrolled in the subject school sign a petition in support of the intervention model. Such petition shall be prepared and submitted in accordance with subdivisions three, four and five of this section. Such petition shall identify only one intervention model, and all eligible parents shall be afforded the opportunity to participate.
 - b. A school district must provide in writing to any person who requests it total subject school enrollment data and the number of signatures that would be required to implement an intervention model pursuant to the standard provided in paragraph a of this subdivision.
 - c. Upon submission of a petition, the school district in which the subject school is located shall be required to implement the specified intervention model or an alternative intervention model pursuant to the provisions of subdivision six of this section.
 - d. Notwithstanding any provision of law to the contrary, a subject school which is designated in accordance with this section to restart as a charter school shall apply for a charter and operate in accordance with article fifty-six of this chapter, provided however:
 - (i) a charter school created under this subdivision shall serve the same population and grades as the previous school;
- (ii) notwithstanding any other provision of law, all students enrolled 41 at the time the petition process commences shall be given the opportu-42 nity to enroll in the charter school prior to the admission of other 43 students; and
- 44 (iii) the provisions of paragraph (b) of subdivision three and subparagraph (i) of paragraph (b-1) of subdivision three of section twenty-45 46 eight hundred fifty-four of this chapter shall not apply to charter 47 schools opened pursuant to this section.
- 3. a. A petition shall only contain signatures of parents of students 48 49 attending the subject school.
 - b. Only one parent per student may sign a petition.
- 51 c. A petition may be signed by a parent once for each of his or her students attending the subject school, provided however a separate peti-52 53 tion box must be completed in its entirety for each of his or her 54 students.
- 55 d. A petition may be circulated and presented in sections, so long as 56 each section complies with requirements set forth in this subdivision

and subdivision four of this section regarding the content of the peti-1 2 tion.

- 3 e. Signature gatherers may not offer gifts, rewards, or tangible 4 incentives to parents to sign a petition. Nor shall signature gatherers 5 make any threats of coercive action, false statements or false promises 6 of benefits to parents in order to persuade them to sign a petition, 7 except that signature gatherers, school site staff or other members of 8 the public may discuss education related improvements hoped to be real-9 ized by implementing any intervention described in these regulations. 10 Signature gatherers, students, school site staff, school district staff, 11 members of the community, and parents shall be free from harassment, threats, and intimidation related to circulation of or signing a peti-12 13 tion, and from being discouraged to sign or being encouraged to revoke 14 their signature on a petition. Signature gatherers shall disclose if they are being paid and shall not be paid per signature. 15
- 16 f. Subject school or district resources shall not be used to impede, 17 assist or in any way influence the petition signature gathering process other than as specifically provided in this section. 18
 - 4. a. The petition and each section of the petition shall contain the following elements:
 - (i) a heading which states that it is a petition of parents to request that a model intervention be implemented at the specified subject school and to be submitted to a specified school district;
 - (ii) a statement that the petition seeks the signatures of the parent of the students attending the subject school;
- 26 (iii) the name and public contact information of the contact person 27 who is to be contacted by persons interested in the petition or by the school district; 28
 - (iv) identification of the intervention model;
- 30 (v) a description of the intervention model using the language set 31 forth in paragraphs c, d, e, or f of subdivision one of this section 32 without omission, to ensure full disclosure of the impact of the inter-33 vention model;
 - (vi) the name of the subject school;

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- (vii) boxes that are consecutively numbered commencing with number 1, with sufficient space for the signature of each petition signer as well as his or her printed name, date, student's name, the student's date of birth, and the student's current grade. The boxes may also have space for the signer's address, city or unincorporated community name, and zip code, or request other information, and, if so, the petition shall make clear that providing such information is voluntary and cannot be made a condition of signing;
- (viii) an affirmation that the signing parent is requesting the school 44 district to implement the identified intervention model at the subject 45 school;
 - (ix) if requesting that a school district implement the restart model and that the subject school be reopened under a specific charter school operator, charter management organization, or education partnership organization, a clear statement containing that information on the front of the petition, including contact information of the charter school operator, charter management organization or education partnership organization; and
- 53 (x) the names of any agencies or organizations that are supporting the 54 petition, either through direct financial assistance or in-kind contrib-55 utions of staff and volunteer support, must be prominently displayed on 56 the front page of the petition.

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b. The department shall develop a sample petition, which shall be available on the department's website. The department shall make the sample petition available in the languages predominantly spoken in the affected community upon request. A petitioner shall not be required to use the sample petition; however, alternate petitions must contain all required components pursuant to this section and regulatory requirements.

- 5. a. Completed petitions shall be submitted to the school district by the lead petitioners. Lead petitioners may not submit a petition to the school district until they have collected the signatures of fifty-one percent or more of the subject school parents. The date of submission of the petition shall be the start date for implementation of all statutory and regulatory requirements established pursuant to this section.
- b. Consistent with paragraph a of subdivision six of this section, lead petitioners shall be allowed to resubmit their petition once to correct errors identified by the school district, verify signatures after a good faith effort is made by the school district to do so first, or submit additional signatures. The start date for a resubmitted petition shall be the date it is resubmitted. No rolling petitions shall be accepted by the school district.
- c. At the time of petition submission the petitioners shall submit a separate document that identifies the lead petitioners and the contact person with their contact information. The school district shall subsequently process all official communications pursuant to this section through the contact person.
- 6. a. Upon receipt of the petition, the school district may make reasonable efforts to verify that the signatures on the petition can be counted consistent with these regulations. A school district shall not invalidate the signature of a parent of an eligible student on a minor technicality, and shall assume that the parent is entitled to sign the petition unless there is clear and convincing evidence to the contrary. The school district shall make a good faith effort to contact parents when a signature is not clearly identifiable including phone calls to the parent.
- b. If, on the date the petition is submitted, a subject school is identified pursuant to paragraph a of subdivision one of this section, it shall remain a subject school until final disposition of the petition unless that school has ceased to be identified as being in improvement status.
- c. In connection with the petition, the school district may only contact parents to verify eligible signatures on the petition. The lead petitioners for the petition shall be consulted to assist in contacting parents when the school district fails to reach a parent.
- d. Upon receipt, the school district may, within forty calendar days, return the petition to the contact person if the school district determines any of the following:
 - (i) less than fifty-one percent of the parents of students meeting the requirements of paragraph a of subdivision three of this section have signed the petition;
 - (ii) the school named in the petition is not a subject school; or
- (iii) the petition does not substantially meet the requirements specified in subdivisions three and four of this section. In such a case, the school district shall immediately provide the contact person written notice of its reasons for returning the petition and its supporting findings.

e. If the school district finds that sufficient signatures cannot be verified, it shall immediately notify the contact person and provide the contact person with the names of those parents it cannot verify. The lead petitioners shall be provided sixty calendar days to assist the school district in verifying the signatures. A number of methods may be used, including, but not limited to, an official notarization process or having the parent appear at the school or district office.

f. If the school district finds errors or problems with a submitted petition other than the number of valid petition signatures, it shall notify the contact person in writing of such errors or problems and request that they be corrected or clarified prior to the final disposition of the petition.

g. If the petition is returned pursuant to paragraph d, e or f of this subdivision, the same petition may be resubmitted once to the school district with verified signatures or other necessary corrections, provided that no substantive changes may be made to the petition. The resubmission must be completed no later than sixty calendar days after the return of the petition pursuant to this subdivision. Upon resubmission of the petition, the school district shall have twenty-five calendar days to verify the resubmitted signatures, additional signatures or corrections to the petition.

h. If substantive changes are made to the petition, it must be recirculated for signatures before it may be submitted to the school district and it shall be deemed a new petition.

i. If the school district does not return the petition, the school district shall have forty-five calendar days from the date the petition is received to reach a final disposition. The date may be extended by an additional twenty-five calendar days if the school district and the lead petitioners agree to the extension in writing.

j. The school district shall notify the commissioner in writing within fifteen calendar days of its receipt of a petition, and within five calendar days of the final disposition of the petition. The notice of final disposition shall state:

(i) that the school district will implement the model intervention in the petition; or

(ii) the reason it cannot implement the intervention model in the petition, designate which intervention model it will implement instead, and how the intervention model selected by the school district will ensure that the school will make adequate yearly progress.

k. The commissioner shall review the final disposition as submitted by a school district. If the commissioner concludes that the school district has not presented sufficient grounds for rejecting the recommended intervention model in the petition, or has not provided sufficient grounds for choosing an alternative intervention model, the commissioner shall reject the school district's decision. Such rejection shall be provided to the school district and the lead petitioner in writing within thirty days of receipt of the final disposition from the school district, including issues that the school district may address in reconsidering their decision. Upon receipt of the commissioner's decision to reject their final disposition, a school district shall have thirty days to resubmit an amended final disposition. If the school district does not submit an amended final disposition within the thirty day period, the intervention model in the petition shall be implemented by the school district. If the commissioner concludes that a re-submitted final decision by the school district is still insufficient, the commissioner shall, within thirty days, issue a final disposition after

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1 consulting with the school district and the lead petitioners, and the school district shall implement that intervention model on a timetable specified by the commissioner. 3

- 7. The provisions of this section shall be applicable to cities having a population of more than two hundred twenty-five thousand and less than three hundred thousand.
- 8. The commissioner shall promulgate rules and regulations necessary to implement the provisions of this section, including to ensure the transition in school governance and other changes related to implementation of the turnaround model in a manner that results in minimal disruption to the instructional environment.
- 9. By January first of the year in which this act expires, the department shall submit to the office of the governor, the president of the senate, the speaker of the assembly, the minority leader of the senate, the minority leader of the assembly, the senate standing committee on 16 education and the assembly standing committee on education a report of the usage, implementation, cost and all relevant student performance data for schools which participated in the parent empowerment program.
- 19 § 4. This act shall take effect on the first of July next succeeding 20 the date on which it shall have become a law, provided, however, that this act shall expire and be deemed repealed ten years after the date of 22 enactment, and provided further that the commissioner of education shall 23 be immediately authorized and directed to promulgate rules and regu-24 lations and take any and all other actions necessary to implement the 25 provisions of this act on such date.