

# STATE OF NEW YORK

879--C

2017-2018 Regular Sessions

## IN SENATE

January 5, 2017

Introduced by Sens. ORTT, AKSHAR, AMEDORE, BONACIC, FUNKE, GALLIVAN, GRIFFO, HELMING, LARKIN, MARCHIONE, RANZENHOFER, RITCHIE, SEWARD, TEDISCO, YOUNG -- read twice and ordered printed, and when printed to be committed to the Committee on Codes -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the criminal procedure law, the family court act, the domestic relations law, the general business law, the judiciary law, the mental hygiene law, the penal law and the surrogate's court procedure act, in relation to limiting the application of certain provisions of law relating to firearms to the counties of Kings, Queens, Richmond, New York and Bronx; and to repeal section 400.02 of the penal law relating to the statewide license and record database

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Subdivision 2-a of section 330.20 of the criminal procedure  
2 law, as added by chapter 1 of the laws of 2013, is amended to read as  
3 follows:  
4 2-a. Firearm, rifle or shotgun surrender order in certain counties.  
5 [~~Upon~~] In the counties of Kings, Queens, Richmond, New York and Bronx,  
6 upon entry of a verdict of not responsible by reason of mental disease  
7 or defect, or upon the acceptance of a plea of not responsible by reason  
8 of mental disease or defect, or upon a finding that the defendant is an  
9 incapacitated person pursuant to article seven hundred thirty of this  
10 chapter, the court shall revoke the defendant's firearm license, if any,  
11 inquire of the defendant as to the existence and location of any  
12 firearm, rifle or shotgun owned or possessed by such defendant and  
13 direct the surrender of such firearm, rifle or shotgun pursuant to

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 subparagraph (f) of paragraph one of subdivision a of section 265.20 and  
2 subdivision six of section 400.05 of the penal law.

3 § 2. Section 380.96 of the criminal procedure law, as added by chapter  
4 1 of the laws of 2013, is amended to read as follows:

5 § 380.96 Obligation of sentencing court in certain counties pursuant to  
6 article four hundred of the penal law.

7 [~~Upon~~] In the counties of Kings, Queens, Richmond, New York and Bronx,  
8 upon judgment of conviction of any offense which would require the  
9 seizure of firearms, shotguns or rifles from an individual so convicted,  
10 and the revocation of any license or registration issued pursuant to  
11 article four hundred of the penal law, the judge pronouncing sentence  
12 shall demand surrender of any such license or registration and all  
13 firearms, shotguns and rifles. The failure to so demand surrender shall  
14 not effect the validity of any revocation pursuant to article four  
15 hundred of the penal law.

16 § 3. Subdivisions 1, 2 and 3 of section 842-a of the family court act,  
17 as amended by chapter 1 of the laws of 2013, are amended to read as  
18 follows:

19 1. [~~Suspension~~] Mandatory and permissive suspension of firearms  
20 license and ineligibility for such a license upon the issuance of a  
21 temporary order of protection. Whenever a temporary order of protection  
22 is issued pursuant to section eight hundred twenty-eight of this arti-  
23 cle, or in the counties of Kings, Queens, Richmond, New York and Bronx,  
24 pursuant to article four, five, six, seven or ten of this act:

25 (a) the court shall suspend any such existing license possessed by the  
26 respondent, order the respondent ineligible for such a license, and  
27 order the immediate surrender [~~pursuant to subparagraph (f) of paragraph~~  
28 ~~one of subdivision a of section 265.20 and subdivision six of section~~  
29 ~~400.05 of the penal law,~~] of any or all firearms owned or possessed  
30 where the court receives information that gives the court good cause to  
31 believe that: (i) the respondent has a prior conviction of any violent  
32 felony offense as defined in section 70.02 of the penal law; (ii) the  
33 respondent has previously been found to have willfully failed to obey a  
34 prior order of protection and such willful failure involved (A) the  
35 infliction of physical injury, as defined in subdivision nine of section  
36 10.00 of the penal law, (B) the use or threatened use of a deadly weapon  
37 or dangerous instrument as those terms are defined in subdivisions  
38 twelve and thirteen of section 10.00 of the penal law, or (C) behavior  
39 constituting any violent felony offense as defined in section 70.02 of  
40 the penal law; or (iii) the respondent has a prior conviction for stalk-  
41 ing in the first degree as defined in section 120.60 of the penal law,  
42 stalking in the second degree as defined in section 120.55 of the penal  
43 law, stalking in the third degree as defined in section 120.50 of the  
44 penal law or stalking in the fourth degree as defined in section 120.45  
45 of such law; and

46 (b) the court may and, in the counties of Kings, Queens, Richmond, New  
47 York and Bronx, shall where the court finds a substantial risk that the  
48 respondent may use or threaten to use a firearm unlawfully against the  
49 person or persons for whose protection the temporary order of protection  
50 is issued, suspend any such existing license possessed by the respond-  
51 ent, order the respondent ineligible for such a license, and order the  
52 immediate surrender [~~pursuant to subparagraph (f) of paragraph one of~~  
53 ~~subdivision a of section 265.20 and subdivision six of section 400.05 of~~  
54 ~~the penal law,~~] of any or all firearms owned or possessed.

55 2. [~~Revocation~~] Mandatory and permissive revocation or suspension of  
56 firearms license and ineligibility for such a license upon the issuance

1 of an order of protection. Whenever an order of protection is issued  
2 pursuant to section eight hundred forty-one of this part, or in the  
3 county of Kings, Queens, Richmond, New York or Bronx, pursuant to arti-  
4 cle four, five, six, seven or ten of this act:

5 (a) the court shall revoke any such existing license possessed by the  
6 respondent, order the respondent ineligible for such a license, and  
7 order the immediate surrender [~~pursuant to subparagraph (f) of paragraph~~  
8 ~~one of subdivision a of section 265.20 and subdivision six of section~~  
9 ~~400.05 of the penal law,~~] of any or all firearms owned or possessed  
10 where the court finds that the conduct which resulted in the issuance of  
11 the order of protection involved (i) the infliction of serious physical  
12 injury, as defined in subdivision [~~nine~~] ten of section 10.00 of the  
13 penal law, (ii) the use or threatened use of a deadly weapon or danger-  
14 ous instrument as those terms are defined in subdivisions twelve and  
15 thirteen of section 10.00 of the penal law, or (iii) behavior constitut-  
16 ing any violent felony offense as defined in section 70.02 of the penal  
17 law; and

18 (b) the court may and, in the counties of Kings, Queens, Richmond, New  
19 York and Bronx, shall, where the court finds a substantial risk that the  
20 respondent may use or threaten to use a firearm unlawfully against the  
21 person or persons for whose protection the order of protection is  
22 issued, (i) revoke any such existing license possessed by the respond-  
23 ent, order the respondent ineligible for such a license and order the  
24 immediate surrender [~~pursuant to subparagraph (f) of paragraph one of~~  
25 ~~subdivision a of section 265.20 and subdivision six of section 400.05 of~~  
26 ~~the penal law,~~] of any or all firearms owned or possessed or (ii)  
27 suspend or continue to suspend any such existing license possessed by  
28 the respondent, order the respondent ineligible for such a license, and  
29 order the immediate surrender [~~pursuant to subparagraph (f) of paragraph~~  
30 ~~one of subdivision a of section 265.20 and subdivision six of section~~  
31 ~~400.05 of the penal law,~~] of any or all firearms owned or possessed.

32 3. [~~Revocation~~] Mandatory and permissive revocation or suspension of  
33 firearms license and ineligibility for such a license upon a finding of  
34 a willful failure to obey an order of protection or, in the county of  
35 Kings, Queens, Richmond, New York or Bronx, temporary order of  
36 protection. Whenever a respondent has been found, pursuant to section  
37 eight hundred forty-six-a of this part to have willfully failed to obey  
38 an order of protection or temporary order of protection issued [~~pursuant~~  
39 ~~to this act or the domestic relations law, or~~] by this court or by a  
40 court of competent jurisdiction in another state, territorial or tribal  
41 jurisdiction, in addition to any other remedies available pursuant to  
42 section eight hundred forty-six-a of this part:

43 (a) the court shall revoke any such existing license possessed by the  
44 respondent, order the respondent ineligible for such a license, and  
45 order the immediate surrender [~~pursuant to subparagraph (f) of paragraph~~  
46 ~~one of subdivision a of section 265.20 and subdivision six of section~~  
47 ~~400.05 of the penal law,~~] of any or all firearms owned or possessed  
48 where the willful failure to obey such order involves (i) the infliction  
49 of serious physical injury, as defined in subdivision [~~nine~~] ten of  
50 section 10.00 of the penal law, (ii) the use or threatened use of a  
51 deadly weapon or dangerous instrument as those terms are defined in  
52 subdivisions twelve and thirteen of section 10.00 of the penal law, or  
53 (iii) behavior constituting any violent felony offense as defined in  
54 section 70.02 of the penal law; or (iv) behavior constituting stalking  
55 in the first degree as defined in section 120.60 of the penal law,  
56 stalking in the second degree as defined in section 120.55 of the penal

1 law, stalking in the third degree as defined in section 120.50 of the  
2 penal law or stalking in the fourth degree as defined in section 120.45  
3 of such law; and

4 (b) the court may and, in the counties of Kings, Queens, Richmond, New  
5 York and Bronx, shall where the court finds a substantial risk that the  
6 respondent may use or threaten to use a firearm unlawfully against the  
7 person or persons for whose protection the order of protection was  
8 issued, (i) revoke any such existing license possessed by the respond-  
9 ent, order the respondent ineligible for such a license, whether or not  
10 the respondent possesses such a license, and order the immediate surren-  
11 der [~~pursuant to subparagraph (f) of paragraph one of subdivision a of~~  
12 ~~section 265.20 and subdivision six of section 400.05 of the penal law,~~]  
13 of any or all firearms owned or possessed or (ii) suspend any such  
14 existing license possessed by the respondent, order the respondent inel-  
15 ible for such a license, and order the immediate surrender of any or  
16 all firearms owned or possessed.

17 § 4. Section 846-a of the family court act, as amended by chapter 1 of  
18 the laws of 2013, is amended to read as follows:

19 § 846-a. Powers on failure to obey order. If a respondent is brought  
20 before the court for failure to obey any lawful order issued under this  
21 article or an order of protection or, in the county of Kings, Queens,  
22 Richmond, New York or Bronx, temporary order of protection issued  
23 [~~pursuant to this act or issued~~] by a court of competent jurisdiction of  
24 another state, territorial or tribal jurisdiction and if, after hearing,  
25 the court is satisfied by competent proof that the respondent has will-  
26 fully failed to obey any such order, the court may modify an existing  
27 order or, in the county of Kings, Queens, Richmond, New York or Bronx,  
28 temporary order of protection to add reasonable conditions of behavior  
29 to the existing order, make a new order of protection in accordance with  
30 section eight hundred forty-two of this part, may order the forfeiture  
31 of bail in a manner consistent with article five hundred forty of the  
32 criminal procedure law if bail has been ordered pursuant to this act,  
33 may order the respondent to pay the petitioner's reasonable and neces-  
34 sary counsel fees in connection with the violation petition where the  
35 court finds that the violation of its order was willful, and may commit  
36 the respondent to jail for a term not to exceed six months. Such commit-  
37 ment may be served upon certain specified days or parts of days as the  
38 court may direct, and the court may, at any time within the term of such  
39 sentence, revoke such suspension and commit the respondent for the  
40 remainder of the original sentence, or suspend the remainder of such  
41 sentence. If the court determines that the willful failure to obey such  
42 order involves violent behavior constituting the crimes of menacing,  
43 reckless endangerment, assault or attempted assault and if such a  
44 respondent is licensed to carry, possess, repair and dispose of firearms  
45 pursuant to section 400.00 of the penal law, the court may also imme-  
46 diately revoke such license and may arrange for the immediate surrender  
47 [~~pursuant to subparagraph (f) of paragraph one of subdivision a of~~  
48 ~~section 265.20 and subdivision six of section 400.05 of the penal law,~~]  
49 and disposal of any firearm such respondent owns or possesses. If the  
50 willful failure to obey such order involves the infliction of serious  
51 physical injury as defined in subdivision [~~nine~~] ten of section 10.00 of  
52 the penal law or the use or threatened use of a deadly weapon or danger-  
53 ous instrument, as those terms are defined in subdivisions twelve and  
54 thirteen of section 10.00 of the penal law, such revocation and immedi-  
55 ate surrender [~~pursuant to subparagraph (f) of paragraph one of subdivi-~~  
56 ~~sion a of section 265.20 and subdivision six of section 400.05 of the~~

1 ~~penal law six~~] and disposal of any firearm owned or possessed by  
2 respondent shall be mandatory, pursuant to subdivision eleven of section  
3 400.00 of the penal law.

4 § 5. Section 446-a of the family court act, as added by chapter 1 of  
5 the laws of 2013, is amended to read as follows:

6 § 446-a. Firearms; surrender and license suspension, revocation and  
7 ineligibility; certain counties. [~~Upon~~] In the counties of Kings,  
8 Queens, Richmond, New York and Bronx, upon the issuance of an order of  
9 protection or temporary order of protection, or upon a violation of such  
10 order, the court shall make a determination regarding the suspension and  
11 revocation of a license to carry, possess, repair or dispose of a  
12 firearm or firearms, ineligibility for such a license and the surrender  
13 of firearms in accordance with section eight hundred forty-two-a of this  
14 act.

15 § 6. Section 552 of the family court act, as added by chapter 1 of the  
16 laws of 2013, is amended to read as follows:

17 § 552. Firearms; surrender and license suspension, revocation and  
18 ineligibility; certain counties. [~~Upon~~] In the counties of Kings,  
19 Queens, Richmond, New York and Bronx, upon the issuance of an order of  
20 protection or temporary order of protection, or upon a violation of such  
21 order, the court shall make a determination regarding the suspension and  
22 revocation of a license to carry, possess, repair or dispose of a  
23 firearm or firearms, ineligibility for such a license and the surrender  
24 of firearms in accordance with section eight hundred forty-two-a of this  
25 act.

26 § 7. Section 656-a of the family court act, as added by chapter 1 of  
27 the laws of 2013, is amended to read as follows:

28 § 656-a. Firearms; surrender and license suspension, revocation and  
29 ineligibility; certain counties. [~~Upon~~] In the counties of Kings,  
30 Queens, Richmond, New York and Bronx, upon the issuance of an order of  
31 protection or temporary order of protection, or upon a violation of such  
32 order, the court shall make a determination regarding the suspension and  
33 revocation of a license to carry, possess, repair or dispose of a  
34 firearm or firearms, ineligibility for such a license and the surrender  
35 of firearms in accordance with section eight hundred forty-two-a of this  
36 act.

37 § 8. Section 780-a of the family court act, as added by chapter 1 of  
38 the laws of 2013, is amended to read as follows:

39 § 780-a. Firearms; surrender and license suspension, revocation and  
40 ineligibility; certain counties. [~~Upon~~] In the counties of Kings,  
41 Queens, Richmond, New York and Bronx, upon the issuance of an order of  
42 protection or temporary order of protection, or upon a violation of such  
43 order, the court shall make a determination regarding the suspension and  
44 revocation of a license to carry, possess, repair or dispose of a  
45 firearm or firearms, ineligibility for such a license and the surrender  
46 of firearms in accordance with section eight hundred forty-two-a of this  
47 act.

48 § 9. Section 1056-a of the family court act, as added by chapter 1 of  
49 the laws of 2013, is amended to read as follows:

50 § 1056-a. Firearms; surrender and license suspension, revocation and  
51 ineligibility; certain counties. [~~Upon~~] In the counties of Kings,  
52 Queens, Richmond, New York and Bronx, upon the issuance of an order of  
53 protection or temporary order of protection, or upon a violation of such  
54 order, the court shall make an order in accordance with section eight  
55 hundred forty-two-a of this act.

1 § 10. Paragraph h of subdivision 3 of section 240 of the domestic  
2 relations law, as amended by chapter 1 of the laws of 2013, is amended  
3 to read as follows:

4 h. Upon issuance of an order of protection or temporary order of  
5 protection or upon a violation of such order, the court may and, in the  
6 counties of Kings, Queens, Richmond, New York and Bronx, shall make a  
7 determination regarding the suspension and revocation of a license to  
8 carry, possess, repair or dispose of a firearm or firearms, ineligibil-  
9 ity for such a license and the surrender of firearms in accordance with  
10 sections eight hundred forty-two-a and eight hundred forty-six-a of the  
11 family court act, as applicable. Upon issuance of an order of protection  
12 pursuant to this section or upon a finding of a violation thereof, the  
13 court also may direct payment of restitution in an amount not to exceed  
14 ten thousand dollars in accordance with subdivision (e) of section eight  
15 hundred forty-one of such act; provided, however, that in no case shall  
16 an order of restitution be issued where the court determines that the  
17 party against whom the order would be issued has already compensated the  
18 injured party or where such compensation is incorporated in a final  
19 judgment or settlement of the action.

20 § 11. Subdivision 9 of section 252 of the domestic relations law, as  
21 amended by chapter 1 of the laws of 2013, is amended to read as follows:

22 9. Upon issuance of an order of protection or temporary order of  
23 protection or upon a violation of such order, the court may and, in the  
24 counties of Kings, Queens, Richmond, New York and Bronx, shall make a  
25 determination regarding the suspension and revocation of a license to  
26 carry, possess, repair or dispose of a firearm or firearms, ineligibil-  
27 ity for such a license and the surrender of firearms in accordance with  
28 sections eight hundred forty-two-a and eight hundred forty-six-a of the  
29 family court act, as applicable. Upon issuance of an order of protection  
30 pursuant to this section or upon a finding of a violation thereof, the  
31 court also may direct payment of restitution in an amount not to exceed  
32 ten thousand dollars in accordance with subdivision (e) of section eight  
33 hundred forty-one of such act; provided, however, that in no case shall  
34 an order of restitution be issued where the court determines that the  
35 party against whom the order would be issued has already compensated the  
36 injured party or where such compensation is incorporated in a final  
37 judgment or settlement of the action.

38 § 12. The opening paragraph and paragraph (b) of subdivision 1 of  
39 section 530.14 of the criminal procedure law, as amended by chapter 1 of  
40 the laws of 2013, are amended to read as follows:

41 [~~Suspension~~] Mandatory and permissive suspension of firearms license  
42 and ineligibility for such a license upon issuance of temporary order of  
43 protection. Whenever a temporary order of protection is issued pursuant  
44 to subdivision one of section 530.12 or subdivision one of section  
45 530.13 of this article:

46 (b) the court may and, in the counties of Kings, Queens, Richmond, New  
47 York and Bronx, shall where the court finds a substantial risk that the  
48 defendant may use or threaten to use a firearm unlawfully against the  
49 person or persons for whose protection the temporary order of protection  
50 is issued, suspend any such existing license possessed by the defendant,  
51 order the defendant ineligible for such a license and order the immedi-  
52 ate surrender [~~pursuant to subparagraph (f) of paragraph one of subdivi-~~  
53 ~~sion a of section 265.20 and subdivision six of section 400.05 of the~~  
54 ~~penal law,~~] of any or all firearms owned or possessed.

1 § 13. The opening paragraph and paragraph (b) of subdivision 2 of  
2 section 530.14 of the criminal procedure law, as amended by chapter 1 of  
3 the laws of 2013, are amended to read as follows:

4 [~~Revocation~~] Mandatory and permissive revocation or suspension of  
5 firearms license and ineligibility for such a license upon issuance of  
6 an order of protection. Whenever an order of protection is issued pursu-  
7 ant to subdivision five of section 530.12 or subdivision four of section  
8 530.13 of this article:

9 (b) the court may and, in the counties of Kings, Queens, Richmond, New  
10 York and Bronx, shall where the court finds a substantial risk that the  
11 defendant may use or threaten to use a firearm unlawfully against the  
12 person or persons for whose protection the order of protection is  
13 issued, (i) revoke any such existing license possessed by the defendant,  
14 order the defendant ineligible for such a license and order the immedi-  
15 ate surrender of any or all firearms owned or possessed or (ii) suspend  
16 or continue to suspend any such existing license possessed by the  
17 defendant, order the defendant ineligible for such a license and order  
18 the immediate surrender [~~pursuant to subparagraph (f) of paragraph one~~  
19 ~~of subdivision a of section 265.20 and subdivision six of section 400.05~~  
20 ~~of the penal law,~~] of any or all firearms owned or possessed.

21 § 14. The opening paragraph and paragraph (b) of subdivision 3 of  
22 section 530.14 of the criminal procedure law, as amended by chapter 1 of  
23 the laws of 2013, are amended to read as follows:

24 [~~Revocation~~] Mandatory and permissive revocation or suspension of  
25 firearms license and ineligibility for such a license upon a finding of  
26 a willful failure to obey an order of protection. Whenever a defendant  
27 has been found pursuant to subdivision eleven of section 530.12 or  
28 subdivision eight of section 530.13 of this article to have willfully  
29 failed to obey an order of protection issued by a court of competent  
30 jurisdiction in this state or another state, territorial or tribal  
31 jurisdiction, in addition to any other remedies available pursuant to  
32 subdivision eleven of section 530.12 or subdivision eight of section  
33 530.13 of this article:

34 (b) the court may and, in the counties of Kings, Queens, Richmond, New  
35 York and Bronx, shall where the court finds a substantial risk that the  
36 defendant may use or threaten to use a firearm unlawfully against the  
37 person or persons for whose protection the order of protection was  
38 issued, (i) revoke any such existing license possessed by the defendant,  
39 order the defendant ineligible for such a license and order the immedi-  
40 ate surrender [~~pursuant to subparagraph (f) of paragraph one of subdivi-~~  
41 ~~sion a of section 265.20 and subdivision six of section 400.05 of the~~  
42 ~~penal law,~~] of any or all firearms owned or possessed or (ii) suspend  
43 any such existing license possessed by the defendant, order the defend-  
44 ant ineligible for such a license and order the immediate surrender  
45 [~~pursuant to subparagraph (f) of paragraph one of subdivision a of~~  
46 ~~section 265.20 and subdivision six of section 400.05 of the penal law,~~]  
47 of any or all firearms owned or possessed.

48 § 15. The article heading of article 39-DDD of the general business  
49 law, as added by chapter 1 of the laws of 2013, is amended to read as  
50 follows:

51 PRIVATE SALE OR DISPOSAL OF FIREARMS, RIFLES AND SHOTGUNS  
52 IN CERTAIN COUNTIES

53 § 16. Subdivision 1 of section 898 of the general business law, as  
54 added by chapter 1 of the laws of 2013, is amended to read as follows:

55 1. In addition to any other requirements pursuant to state and federal  
56 law, all sales, exchanges or disposals of firearms, rifles or shotguns,

1 in the counties of Kings, Queens, Richmond, New York and Bronx, shall be  
2 conducted in accordance with this section unless such sale, exchange or  
3 disposal is conducted by a licensed importer, licensed manufacturer or  
4 licensed dealer, as those terms are defined in 18 USC § 922, when such  
5 sale, exchange or disposal is conducted pursuant to that person's federal  
6 firearms license or such sale, exchange or disposal is between  
7 members of an immediate family. For purposes of this section, "immediate  
8 family" shall mean spouses, domestic partners, children and step-children.  
9

10 § 17. Paragraph (q) of subdivision 2 of section 212 of the judiciary  
11 law, as amended by chapter 1 of the laws of 2013, is amended to read as  
12 follows:

13 (q) Adopt rules to require transmission, to the criminal justice  
14 information services division of the federal bureau of investigation or  
15 to the division of criminal justice services, of the name and other  
16 identifying information of each person who has a guardian appointed for  
17 him or her pursuant to any provision of state law, based on a determination  
18 that as a result of marked subnormal intelligence, mental  
19 illness, incapacity, condition or disease, he or she lacks the mental  
20 capacity to contract or manage his or her own affairs. Any such records,  
21 relating to persons residing in the county of Kings, Queens, Richmond,  
22 New York or Bronx, transmitted directly to the federal bureau of investigation  
23 must also be transmitted to the division of criminal justice  
24 services [~~and any records received by the division of criminal justice~~  
25 ~~services pursuant to this paragraph may be checked against the statewide~~  
26 ~~license and record database~~].

27 § 18. Subdivision (j) of section 7.09 of the mental hygiene law, as  
28 amended by chapter 1 of the laws of 2013, is amended to read as follows:

29 (j) (1) The commissioner, in cooperation with other applicable state  
30 agencies, shall collect, retain or modify data or records, and shall  
31 transmit such data or records: (i) to the division of criminal justice  
32 services, or to the criminal justice information services division of  
33 the federal bureau of investigation, for the purposes of responding to  
34 queries to the national instant criminal background check system regarding  
35 attempts to purchase or otherwise take possession of firearms, as  
36 defined in 18 USC 921(a)(3), in accordance with applicable federal laws  
37 or regulations, or (ii) for persons residing in the county of Kings,  
38 Queens, Richmond, New York or Bronx, to the division of criminal justice  
39 services, which may re-disclose such data and records only for determining  
40 whether a license issued pursuant to section 400.00 of the penal law  
41 should be denied, suspended or revoked, under subdivision eleven of such  
42 section, or for determining whether a person is no longer permitted  
43 under federal or state law to possess a firearm. Such records, which may  
44 not be used for any other purpose, shall include only names and other  
45 non-clinical identifying information of persons who have been involuntarily  
46 committed to a hospital pursuant to article nine of this chapter,  
47 [~~or section four hundred two or subdivision two of section five hundred~~  
48 ~~eight of the correction law~~], or article seven hundred thirty or section  
49 330.20 of the criminal procedure law or sections 322.2 or 353.4 of the  
50 family court act, or to a secure treatment facility pursuant to article  
51 ten of this chapter.

52 (2) The commissioner shall establish within the office of mental  
53 health an administrative process to permit a person who has been or may  
54 be disqualified from possessing such a firearm pursuant to 18 USC  
55 922(4)(d) or who has been or may be disqualified from continuing to have  
56 a license to carry, possess, repair, or dispose of a firearm under



1 section 400.00 of the penal law because such person, who resides in the  
2 county of Kings, Queens, Richmond, New York or Bronx, was involuntarily  
3 committed or civilly confined to a facility under the jurisdiction of  
4 the commissioner, to petition for relief from that disability where such  
5 person's record and reputation are such that such person will not be  
6 likely to act in a manner dangerous to public safety and where the  
7 granting of the relief would not be contrary to public safety. The  
8 commissioner shall promulgate regulations to establish the relief from  
9 disabilities program, which shall include, but not be limited to,  
10 provisions providing for: (i) an opportunity for a disqualified person  
11 to petition for relief in writing; (ii) the authority for the agency to  
12 require that the petitioner undergo a clinical evaluation and risk  
13 assessment; and (iii) a requirement that the agency issue a decision in  
14 writing explaining the reasons for a denial or grant of relief. The  
15 denial of a petition for relief from disabilities may be reviewed de  
16 novo pursuant to the proceedings under article seventy-eight of the  
17 civil practice law and rules.

18 § 19. Subdivision (b) of section 9.46 of the mental hygiene law, as  
19 added by chapter 1 of the laws of 2013, is amended to read as follows:

20 (b) Notwithstanding any other law to the contrary, when a mental  
21 health professional currently providing treatment services to a person,  
22 who resides in the county of Kings, Queens, Richmond, New York or Bronx,  
23 determines, in the exercise of reasonable professional judgment, that  
24 such person is likely to engage in conduct that would result in serious  
25 harm to self or others, he or she shall be required to report, as soon  
26 as practicable, to the director of community services, or the director's  
27 designee, who shall report to the division of criminal justice services  
28 whenever he or she agrees that the person is likely to engage in such  
29 conduct. Information transmitted to the division of criminal justice  
30 services shall be limited to names and other non-clinical identifying  
31 information, which may only be used for determining whether a license  
32 issued pursuant to section 400.00 of the penal law should be suspended  
33 or revoked, or for determining whether a person is ineligible for a  
34 license issued pursuant to section 400.00 of the penal law, or is no  
35 longer permitted under state or federal law to possess a firearm.

36 § 20. Subdivision 22 of section 265.00 of the penal law, as amended by  
37 chapter 1 of the laws of 2013, is amended to read as follows:

38 22. "Assault weapon" means

39 (a) (i) except as otherwise provided in paragraph (b) of this subdivi-  
40 sion, a semiautomatic rifle that has an ability to accept a detachable  
41 magazine and has at least two of the following characteristics:

42 (A) a folding or telescoping stock;

43 (B) a pistol grip that protrudes conspicuously beneath the action of  
44 the weapon;

45 (C) a bayonet mount;

46 (D) a flash suppressor or threaded barrel designed to accommodate a  
47 flash suppressor;

48 (E) a grenade launcher; or

49 (ii) a semiautomatic shotgun that has at least two of the following  
50 characteristics:

51 (A) a folding or telescoping stock;

52 (B) a pistol grip that protrudes conspicuously beneath the action of  
53 the weapon;

54 (C) a fixed magazine capacity in excess of five rounds;

55 (D) an ability to accept a detachable magazine; or

1 (iii) a semiautomatic pistol that has an ability to accept a detach-  
2 able magazine and has at least two of the following characteristics:

3 (A) an ammunition magazine that attaches to the pistol outside of the  
4 pistol grip;

5 (B) a threaded barrel capable of accepting a barrel extender, flash  
6 suppressor, forward handgrip, or silencer;

7 (C) a shroud that is attached to, or partially or completely encir-  
8 cles, the barrel and that permits the shooter to hold the firearm with  
9 the nontrigger hand without being burned;

10 (D) a manufactured weight of fifty ounces or more when the pistol is  
11 unloaded;

12 (E) a semiautomatic version of an automatic rifle, shotgun or firearm;  
13 or

14 (iv) any of the weapons, or functioning frames or receivers of such  
15 weapons, or copies or duplicates of such weapons, in any caliber, known  
16 as:

17 (A) Norinco, Mitchell, and Poly Technologies Avtomat Kalashnikovs (all  
18 models);

19 (B) Action Arms Israeli Military Industries UZI and Galil;

20 (C) Beretta Ar70 (SC-70);

21 (D) Colt AR-15;

22 (E) Fabrique National FN/FAL, FN/LAR, and FNC;

23 (F) SWD M-10, M-11, M-11/9, and M-12;

24 (G) Steyr AUG;

25 (H) INTRATEC TEC-9, TEC-DC9 and TEC-22; and

26 (I) revolving cylinder shotguns, such as (or similar to) the Street  
27 Sweeper and Striker 12;

28 (v) provided, however, that such term does not include: (A) any rifle,  
29 shotgun or pistol that (I) is manually operated by bolt, pump, lever or  
30 slide action; (II) has been rendered permanently inoperable; or (III) is  
31 an antique firearm as defined in 18 U.S.C. 921(a)(16);

32 (B) a semiautomatic rifle that cannot accept a detachable magazine  
33 that holds more than five rounds of ammunition;

34 (C) a semiautomatic shotgun that cannot hold more than five rounds of  
35 ammunition in a fixed or detachable magazine;

36 (D) a rifle, shotgun or pistol, or a replica or a duplicate thereof,  
37 specified in Appendix A to section 922 of 18 U.S.C. as such weapon was  
38 manufactured on October first, nineteen hundred ninety-three. The mere  
39 fact that a weapon is not listed in Appendix A shall not be construed to  
40 mean that such weapon is an assault weapon; or

41 (E) a semiautomatic rifle, a semiautomatic shotgun or a semiautomatic  
42 pistol or any of the weapons defined in paragraph (d) of this subdivi-  
43 sion lawfully possessed prior to September fourteenth, nineteen hundred  
44 ninety-four; and

45 (b) (i) in the counties of Kings, Queens, Richmond, New York and  
46 Bronx, a semiautomatic rifle that has an ability to accept a detachable  
47 magazine and has at least one of the following characteristics:

48 ~~(i)~~ (A) a folding or telescoping stock;

49 ~~(ii)~~ (B) a pistol grip that protrudes conspicuously beneath the  
50 action of the weapon;

51 ~~(iii)~~ (C) a thumbhole stock;

52 ~~(iv)~~ (D) a second handgrip or a protruding grip that can be held by  
53 the non-trigger hand;

54 ~~(v)~~ (E) a bayonet mount;

1 [~~(vi)~~] (F) a flash suppressor, muzzle break, muzzle compensator, or  
2 threaded barrel designed to accommodate a flash suppressor, muzzle  
3 break, or muzzle compensator;  
4 [~~(vii)~~] (G) a grenade launcher; or  
5 [~~(b)~~] (ii) a semiautomatic shotgun that has at least one of the  
6 following characteristics:  
7 [~~(i)~~] (A) a folding or telescoping stock;  
8 [~~(ii)~~] (B) a thumbhole stock;  
9 [~~(iii)~~] (C) a second handgrip or a protruding grip that can be held by  
10 the non-trigger hand;  
11 [~~(iv)~~] (D) a fixed magazine capacity in excess of seven rounds;  
12 [~~(v)~~] (E) an ability to accept a detachable magazine; or  
13 [~~(e)~~] (iii) a semiautomatic pistol that has an ability to accept a  
14 detachable magazine and has at least one of the following character-  
15 istics:  
16 [~~(i)~~] (A) a folding or telescoping stock;  
17 [~~(ii)~~] (B) a thumbhole stock;  
18 [~~(iii)~~] (C) a second handgrip or a protruding grip that can be held by  
19 the non-trigger hand;  
20 [~~(iv)~~] (D) capacity to accept an ammunition magazine that attaches to  
21 the pistol outside of the pistol grip;  
22 [~~(v)~~] (E) a threaded barrel capable of accepting a barrel extender,  
23 flash suppressor, forward handgrip, or silencer;  
24 [~~(vi)~~] (F) a shroud that is attached to, or partially or completely  
25 encircles, the barrel and that permits the shooter to hold the firearm  
26 with the non-trigger hand without being burned;  
27 [~~(vii)~~] (G) a manufactured weight of fifty ounces or more when the  
28 pistol is unloaded; or  
29 [~~(viii)~~] (H) a semiautomatic version of an automatic rifle, shotgun or  
30 firearm;  
31 [~~(d)~~] (iv) a revolving cylinder shotgun;  
32 [~~(e)~~] (v) a semiautomatic rifle, a semiautomatic shotgun or a semiau-  
33 tomatic pistol or weapon defined in former subparagraph (v) of paragraph  
34 (e) of subdivision twenty-two of section 265.00 of this chapter as added  
35 by chapter one hundred eighty-nine of the laws of two thousand and  
36 otherwise lawfully possessed pursuant to such chapter of the laws of two  
37 thousand prior to September fourteenth, nineteen hundred ninety-four;  
38 [~~(f)~~] (vi) a semiautomatic rifle, a semiautomatic shotgun or a semiau-  
39 tomatic pistol or weapon defined in this paragraph or paragraph (a)[~~r~~  
40 ~~(b)~~ or ~~(e)~~] of this subdivision, possessed prior to the date of enact-  
41 ment of [~~the~~] chapter one of the laws of two thousand thirteen which  
42 added this paragraph;  
43 [~~(g)~~] (vii) provided, however, that such term does not include:  
44 [~~(i)~~] (A) any rifle, shotgun or pistol that [~~(A)~~] (I) is manually  
45 operated by bolt, pump, lever or slide action; [~~(B)~~] (II) has been  
46 rendered permanently inoperable; or [~~(C)~~] (III) is an antique firearm as  
47 defined in 18 U.S.C. 921(a)(16);  
48 [~~(ii)~~] (B) a semiautomatic rifle that cannot accept a detachable maga-  
49 zine that holds more than five rounds of ammunition;  
50 [~~(iii)~~] (C) a semiautomatic shotgun that cannot hold more than five  
51 rounds of ammunition in a fixed or detachable magazine; or  
52 [~~(iv)~~] (D) a rifle, shotgun or pistol, or a replica or a duplicate  
53 thereof, specified in Appendix A to 18 U.S.C. 922 as such weapon was  
54 manufactured on October first, nineteen hundred ninety-three. The mere  
55 fact that a weapon is not listed in Appendix A shall not be construed to  
56 mean that such weapon is an assault weapon;

1 [~~(v)~~] (E) any weapon validly registered pursuant to subdivision  
2 sixteen-a of section 400.00 of this chapter. Such weapons shall be  
3 subject to the provisions of [~~paragraph (h)~~] subparagraph (viii) of this  
4 [~~subdivision~~] paragraph;

5 [~~(vi)~~] (F) any firearm, rifle, or shotgun that was manufactured at  
6 least fifty years prior to the current date, but not including replicas  
7 thereof that is validly registered pursuant to subdivision sixteen-a of  
8 section 400.00 of this chapter;

9 [~~(h)~~] (viii) Any weapon defined in [~~paragraph (e) or (f)~~] subparagraph  
10 (v) or (vi) of this [~~subdivision~~] paragraph and any large capacity ammu-  
11 nition feeding device that was legally possessed by an individual prior  
12 to the enactment of [~~the~~] chapter one of the laws of two thousand thir-  
13 teen which added this paragraph, may only be sold to, exchanged with or  
14 disposed of to a purchaser authorized to possess such weapons or to an  
15 individual or entity outside of the state provided that any such trans-  
16 fer to an individual or entity outside of the state must be reported to  
17 the entity wherein the weapon is registered within seventy-two hours of  
18 such transfer. An individual who transfers any such weapon or large  
19 capacity ammunition device to an individual inside New York state or  
20 without complying with the provisions of this paragraph shall be guilty  
21 of a class A misdemeanor unless such large capacity ammunition feeding  
22 device, the possession of which is made illegal by [~~the~~] chapter one of  
23 the laws of two thousand thirteen which added this [~~paragraph~~] subpara-  
24 graph, is transferred within one year of the effective date of [~~the~~]  
25 chapter one of the laws of two thousand thirteen which added this [~~para-  
26 graph] subparagraph.~~

27 § 21. Subdivision 23 of section 265.00 of the penal law, as amended by  
28 chapter 1 of the laws of 2013, is amended to read as follows:

29 23. "Large capacity ammunition feeding device" means a magazine, belt,  
30 drum, feed strip, or similar device, manufactured after September thir-  
31 tieth, nineteen hundred ninety-four, that [~~(a)~~] has a capacity of, or  
32 that can be readily restored or converted to accept, more than ten  
33 rounds of ammunition[~~, or (b) contains more than seven rounds of ammuni-~~  
34 ~~tion, or (c) is obtained after the effective date of the chapter of the~~  
35 ~~laws of two thousand thirteen which amended this subdivision and has a~~  
36 ~~capacity of, or that can be readily restored or converted to accept,~~  
37 ~~more than seven rounds of ammunition~~]; provided, however, that such term  
38 does not include an attached tubular device designed to accept, and  
39 capable of operating only with, .22 caliber rimfire ammunition [~~or a~~  
40 ~~feeding device that is a curio or relic. A feeding device that is a~~  
41 ~~curio or relic is defined as a device that (i) was manufactured at least~~  
42 ~~fifty years prior to the current date, (ii) is only capable of being~~  
43 ~~used exclusively in a firearm, rifle, or shotgun that was manufactured~~  
44 ~~at least fifty years prior to the current date, but not including repli-~~  
45 ~~cas thereof, (iii) is possessed by an individual who is not prohibited~~  
46 ~~by state or federal law from possessing a firearm and (iv) is registered~~  
47 ~~with the division of state police pursuant to subdivision sixteen-a of~~  
48 ~~section 400.00 of this chapter, except such feeding devices transferred~~  
49 ~~into the state may be registered at any time, provided they are regis-~~  
50 ~~tered within thirty days of their transfer into the state. Notwithstand-~~  
51 ~~ing paragraph (h) of subdivision twenty-two of this section, such feed-~~  
52 ~~ing devices may be transferred provided that such transfer shall be~~  
53 ~~subject to the provisions of section 400.03 of this chapter including~~  
54 ~~the check required to be conducted pursuant to such section~~].

55 § 22. Subdivision 24 of section 265.00 of the penal law, as added by  
56 chapter 1 of the laws of 2013, is amended to read as follows:

1 24. "Seller of ammunition" means any person, firm, partnership, corpo-  
2 ration or company who engages in the business of purchasing, selling or  
3 keeping ammunition in the county of Kings, Queens, Richmond, New York or  
4 Bronx.

5 § 23. The opening paragraph of section 265.01-b of the penal law, as  
6 added by chapter 1 of the laws of 2013, is amended to read as follows:

7 A person is guilty of criminal possession of a firearm when he or she,  
8 being a resident of the county of Kings, Queens, Richmond, New York or  
9 Bronx: (1) possesses any firearm or; (2) lawfully possesses a firearm  
10 prior to the effective date of [~~the~~] chapter one of the laws of two  
11 thousand thirteen which added this section subject to the registration  
12 requirements of subdivision sixteen-a of section 400.00 of this chapter  
13 and knowingly fails to register such firearm pursuant to such subdivi-  
14 sion.

15 § 24. Paragraphs 3 and 7-f of subdivision a of section 265.20 of the  
16 penal law, paragraph 3 as amended and paragraph 7-f as added by chapter  
17 1 of the laws of 2013, are amended to read as follows:

18 3. Possession of a pistol or revolver by a person to whom a license  
19 therefor has been issued as provided under section 400.00 or 400.01 of  
20 this chapter or possession, in the county of Kings, Queens, Richmond,  
21 New York or Bronx, of a weapon as defined in subparagraph (v) or (vi) of  
22 paragraph [~~(e) or (f)~~] (b) of subdivision twenty-two of section 265.00  
23 of this article which is registered pursuant to paragraph (a) of subdivi-  
24 sion sixteen-a of section 400.00 of this chapter or is included on an  
25 amended license issued pursuant to section 400.00 of this chapter. In  
26 the event such license is revoked, other than because such licensee is  
27 no longer permitted to possess a firearm, rifle or shotgun under federal  
28 or state law, information sufficient to satisfy the requirements of  
29 subdivision sixteen-a of section 400.00 of this chapter, shall be trans-  
30 mitted by the licensing officer to the division of state police, in a  
31 form as determined by the superintendent of state police. Such trans-  
32 mission shall constitute a valid registration under such section.  
33 Further provided, notwithstanding any other section of this title, a  
34 failure to register such weapon by an individual, in the county of  
35 Kings, Queens, Richmond, New York or Bronx, who possesses such weapon  
36 before the enactment of [~~the~~] chapter one of the laws of two thousand  
37 thirteen which amended this paragraph and may so lawfully possess it  
38 thereafter upon registration, shall only be subject to punishment pursu-  
39 ant to paragraph (c) of subdivision sixteen-a of section 400.00 of this  
40 chapter; provided, that such a license or registration shall not  
41 preclude a conviction for the offense defined in subdivision three of  
42 section 265.01 of this article or section 265.01-a of this article.

43 7-f. Possession and use of a magazine, belt, feed strip or similar  
44 device, in the county of Kings, Queens, Richmond, New York or Bronx,  
45 that contains more than seven rounds of ammunition, but that does not  
46 have a capacity of or can readily be restored or converted to accept  
47 more than ten rounds of ammunition, at an indoor or outdoor firing range  
48 located in or on premises owned or occupied by a duly incorporated  
49 organization organized for conservation purposes or to foster proficien-  
50 cy in arms; at an indoor or outdoor firing range for the purpose of  
51 firing a rifle or shotgun; at a collegiate, olympic or target shooting  
52 competition under the auspices of or approved by the national rifle  
53 association; or at an organized match sanctioned by the International  
54 Handgun Metallic Silhouette Association.

55 § 25. The opening paragraph of section 265.36 of the penal law, as  
56 added by chapter 1 of the laws of 2013, is amended to read as follows:

1 It shall be unlawful for a person, in the county of Kings, Queens,  
2 Richmond, New York or Bronx, to knowingly possess a large capacity ammu-  
3 nition feeding device manufactured before September thirteenth, nineteen  
4 hundred ninety-four, and if such person lawfully possessed such large  
5 capacity feeding device before the effective date of [~~the~~] chapter one  
6 of the laws of two thousand thirteen which added this section, that has  
7 a capacity of, or that can be readily restored or converted to accept,  
8 more than ten rounds of ammunition.

9 § 26. The opening paragraph of section 265.37 of the penal law, as  
10 amended by section 2 of part FF of chapter 57 of the laws of 2013, is  
11 amended to read as follows:

12 It shall be unlawful for a person to knowingly possess, in the county  
13 of Kings, Queens, Richmond, New York or Bronx, an ammunition feeding  
14 device where such device contains more than seven rounds of ammunition.

15 § 27. The opening paragraph of section 265.45 of the penal law, as  
16 amended by section 3 of part FF of chapter 57 of the laws of 2013, is  
17 amended to read as follows:

18 No person, residing in the county of Kings, Queens, Richmond, New York  
19 or Bronx, who owns or is custodian of a rifle, shotgun or firearm who  
20 resides with an individual who such person knows or has reason to know  
21 is prohibited from possessing a firearm pursuant to 18 U.S.C. § 922(g)  
22 (1), (4), (8) or (9) shall store or otherwise leave such rifle, shotgun  
23 or firearm out of his or her immediate possession or control without  
24 having first securely locked such rifle, shotgun or firearm in an appro-  
25 priate safe storage depository or rendered it incapable of being fired  
26 by use of a gun locking device appropriate to that weapon. For purposes  
27 of this section "safe storage depository" shall mean a safe or other  
28 secure container which, when locked, is incapable of being opened with-  
29 out the key, combination or other unlocking mechanism and is capable of  
30 preventing an unauthorized person from obtaining access to and  
31 possession of the weapon contained therein. With respect to a person who  
32 is prohibited from possessing a firearm pursuant to 18 USC § 922(g)(9),  
33 for purposes of this section, this section applies only if such person  
34 has been convicted of a crime included in subdivision one of section  
35 370.15 of the criminal procedure law and such gun is possessed within  
36 five years from the later of the date of conviction or completion of  
37 sentence. Nothing in this section shall be deemed to affect, impair or  
38 supersede any special or local act relating to the safe storage of  
39 rifles, shotguns or firearms which impose additional requirements on the  
40 owner or custodian of such weapons.

41 § 28. Subdivision 1 of section 400.00 of the penal law, as amended by  
42 chapter 1 of the laws of 2013, is amended to read as follows:

43 1. Eligibility. No license shall be issued or renewed pursuant to this  
44 section except by the licensing officer, and then only after investi-  
45 gation and finding that all statements in a proper application for a  
46 license are true. No license shall be issued or renewed except for an  
47 applicant (a) twenty-one years of age or older, provided, however, that  
48 where such applicant has been honorably discharged from the United  
49 States army, navy, marine corps, air force or coast guard, or the  
50 national guard of the state of New York, no such age restriction shall  
51 apply; (b) of good moral character; (c) who has not been convicted  
52 anywhere of a felony or a serious offense; (d) who is not a fugitive  
53 from justice; (e) who is not an unlawful user of or addicted to any  
54 controlled substance as defined in section 21 U.S.C. 802; (f) who being  
55 an alien (i) is not illegally or unlawfully in the United States or (ii)  
56 has not been admitted to the United States under a nonimmigrant visa

1 subject to the exception in 18 U.S.C. 922(y)(2); (g) who has not been  
2 discharged from the Armed Forces under dishonorable conditions; (h) who,  
3 having been a citizen of the United States, has not renounced his or her  
4 citizenship; (i) who has stated whether he or she has ever suffered any  
5 mental illness; (j) who, being a resident of the county of Kings,  
6 Queens, Richmond, New York or Bronx, has not been involuntarily commit-  
7 ted to a facility under the jurisdiction of an office of the department  
8 of mental hygiene pursuant to article nine or fifteen of the mental  
9 hygiene law, article seven hundred thirty or section 330.20 of the crim-  
10 inal procedure law, section four hundred two or five hundred eight of  
11 the correction law, section 322.2 or 353.4 of the family court act, or  
12 has not been civilly confined in a secure treatment facility pursuant to  
13 article ten of the mental hygiene law; (k) who has not had a license  
14 revoked or who is not under a suspension or ineligibility order issued  
15 pursuant to the provisions of section 530.14 of the criminal procedure  
16 law or section eight hundred forty-two-a of the family court act; (l) in  
17 the county of Westchester, who has successfully completed a firearms  
18 safety course and test as evidenced by a certificate of completion  
19 issued in his or her name and endorsed and affirmed under the penalties  
20 of perjury by a duly authorized instructor, except that: (i) persons who  
21 are honorably discharged from the United States army, navy, marine corps  
22 or coast guard, or of the national guard of the state of New York, and  
23 produce evidence of official qualification in firearms during the term  
24 of service are not required to have completed those hours of a firearms  
25 safety course pertaining to the safe use, carrying, possession, mainte-  
26 nance and storage of a firearm; and (ii) persons who were licensed to  
27 possess a pistol or revolver prior to the effective date of this para-  
28 graph are not required to have completed a firearms safety course and  
29 test; (m) who, being a resident of the county of Kings, Queens, Rich-  
30 mond, New York or Bronx, has not had a guardian appointed for him or her  
31 pursuant to any provision of state law, based on a determination that as  
32 a result of marked subnormal intelligence, mental illness, incapacity,  
33 condition or disease, he or she lacks the mental capacity to contract or  
34 manage his or her own affairs; and (n) concerning whom no good cause  
35 exists for the denial of the license. No person shall engage in the  
36 business of gunsmith or dealer in firearms unless licensed pursuant to  
37 this section. An applicant to engage in such business shall also be a  
38 citizen of the United States, more than twenty-one years of age and  
39 maintain a place of business in the city or county where the license is  
40 issued. For such business, if the applicant is a firm or partnership,  
41 each member thereof shall comply with all of the requirements set forth  
42 in this subdivision and if the applicant is a corporation, each officer  
43 thereof shall so comply.

44 § 29. Subdivisions 4, 5, 10, 11 and 12 of section 400.00 of the penal  
45 law, as amended by chapter 1 of the laws of 2013, are amended to read as  
46 follows:

47 4. Investigation. Before a license is issued or renewed, there shall  
48 be an investigation of all statements required in the application by the  
49 duly constituted police authorities of the locality where such applica-  
50 tion is made~~[, including but not limited to such records as may be  
51 accessible to the division of state police or division of criminal  
52 justice services pursuant to section 400.02 of this article]~~. For that  
53 purpose, the records of the appropriate office of the department of  
54 mental hygiene concerning previous or present mental illness of the  
55 applicant shall be available for inspection by the investigating officer  
56 of the police authority. In order to ascertain any previous criminal

1 record, the investigating officer shall take the fingerprints and phys-  
2 ical descriptive data in quadruplicate of each individual by whom the  
3 application is signed and verified. Two copies of such fingerprints  
4 shall be taken on standard fingerprint cards eight inches square, and  
5 one copy may be taken on a card supplied for that purpose by the federal  
6 bureau of investigation; provided, however, that in the case of a corpo-  
7 rate applicant that has already been issued a dealer in firearms license  
8 and seeks to operate a firearm dealership at a second or subsequent  
9 location, the original fingerprints on file may be used to ascertain any  
10 criminal record in the second or subsequent application unless any of  
11 the corporate officers have changed since the prior application, in  
12 which case the new corporate officer shall comply with procedures  
13 governing an initial application for such license. When completed, one  
14 standard card shall be forwarded to and retained by the division of  
15 criminal justice services in the executive department, at Albany. A  
16 search of the files of such division and written notification of the  
17 results of the search to the investigating officer shall be made without  
18 unnecessary delay. Thereafter, such division shall notify the licensing  
19 officer and the executive department, division of state police, Albany,  
20 of any criminal record of the applicant filed therein subsequent to the  
21 search of its files. A second standard card, or the one supplied by the  
22 federal bureau of investigation, as the case may be, shall be forwarded  
23 to that bureau at Washington with a request that the files of the bureau  
24 be searched and notification of the results of the search be made to the  
25 investigating police authority. Of the remaining two fingerprint cards,  
26 one shall be filed with the executive department, division of state  
27 police, Albany, within ten days after issuance of the license, and the  
28 other remain on file with the investigating police authority. No such  
29 fingerprints may be inspected by any person other than a peace officer,  
30 who is acting pursuant to his special duties, or a police officer,  
31 except on order of a judge or justice of a court of record either upon  
32 notice to the licensee or without notice, as the judge or justice may  
33 deem appropriate. Upon completion of the investigation, the police  
34 authority shall report the results to the licensing officer without  
35 unnecessary delay.

36 5. Filing of approved applications. (a) The application for any  
37 license, if granted, shall be filed by the licensing officer with the  
38 clerk of the county of issuance, except that in the city of New York  
39 and, in the counties of Nassau and Suffolk, the licensing officer shall  
40 designate the place of filing in the appropriate division, bureau or  
41 unit of the police department thereof, and in the county of Suffolk the  
42 county clerk is hereby authorized to transfer all records or applica-  
43 tions relating to firearms to the licensing authority of that county.  
44 Except as provided in paragraphs (b) through (f) of this subdivision,  
45 the name and address of any person to whom an application for any  
46 license has been granted shall be a public record. Upon application by a  
47 licensee who has changed his place of residence such records or applica-  
48 tions shall be transferred to the appropriate officer at the licensee's  
49 new place of residence. A duplicate copy of such application shall be  
50 filed by the licensing officer in the executive department, division of  
51 state police, Albany, within ten days after issuance of the license. The  
52 superintendent of state police may designate that such application shall  
53 be transmitted to the division of state police electronically. In the  
54 event the superintendent of the division of state police determines that  
55 it lacks any of the records required to be filed with the division, it  
56 may request that such records be provided to it by the appropriate



1 clerk, department or authority and such clerk, department or authority  
2 shall provide the division with such records. In the event such clerk,  
3 department or authority lacks such records, the division may request the  
4 license holder provide information sufficient to constitute such record  
5 and such license holder shall provide the division with such informa-  
6 tion. Such information shall be limited to the license holder's name,  
7 date of birth, gender, race, residential address, social security number  
8 and firearms possessed by said license holder. Nothing in this subdivi-  
9 sion shall be construed to change the expiration date or term of such  
10 licenses if otherwise provided for in law. [~~Records assembled or  
11 collected for purposes of inclusion in the database established by this  
12 section shall be released pursuant to a court order. Records assembled  
13 or collected for purposes of inclusion in the database created pursuant  
14 to section 400.02 of this chapter shall not be subject to disclosure  
15 pursuant to article six of the public officers law.~~]

16 (b) Each application for a license pursuant to paragraph (a) of this  
17 subdivision shall include, on a separate written form prepared by the  
18 division of state police within thirty days of the effective date of  
19 [~~the~~] chapter one of the laws of two thousand thirteen, which amended  
20 this section, and provided to the applicant at the same time and in the  
21 same manner as the application for a license, an opportunity for the  
22 applicant to request an exception from his or her application informa-  
23 tion becoming public record pursuant to paragraph (a) of this subdivi-  
24 sion. Such forms, which shall also be made available to individuals who  
25 had applied for or been granted a license prior to the effective date of  
26 [~~the~~] chapter one of the laws of two thousand thirteen which amended  
27 this section, shall notify applicants that, upon discovery that an  
28 applicant knowingly provided false information, such applicant may be  
29 subject to penalties pursuant to section 175.30 of this chapter, and  
30 further, that his or her request for an exception shall be null and  
31 void, provided that written notice containing such determination is  
32 provided to the applicant. Further, such forms shall provide each appli-  
33 cant an opportunity to specify the grounds on which he or she believes  
34 his or her application information should not be publicly disclosed.  
35 These grounds, which shall be identified on the application with a box  
36 beside each for checking, as applicable, by the applicant, shall be as  
37 follows:

38 (i) the applicant's life or safety may be endangered by disclosure  
39 because:

40 (A) the applicant is an active or retired police officer, peace offi-  
41 cer, probation officer, parole officer, or corrections officer;

42 (B) the applicant is a protected person under a currently valid order  
43 of protection;

44 (C) the applicant is or was a witness in a criminal proceeding involv-  
45 ing a criminal charge;

46 (D) the applicant is participating or previously participated as a  
47 juror in a criminal proceeding, or is or was a member of a grand jury;  
48 or

49 (E) the applicant is a spouse, domestic partner or household member of  
50 a person identified in this subparagraph or subparagraph (ii) of this  
51 paragraph, specifying which subparagraph or subparagraphs and clauses  
52 apply.

53 (ii) the applicant has reason to believe his or her life or safety may  
54 be endangered by disclosure due to reasons stated by the applicant.

55 (iii) the applicant has reason to believe he or she may be subject to  
56 unwarranted harassment upon disclosure of such information.

1 (c) [~~Each form provided for recertification pursuant to paragraph (b)~~  
2 ~~of subdivision ten of this section shall include an opportunity for the~~  
3 ~~applicant to request an exception from the information provided on such~~  
4 ~~form becoming public record pursuant to paragraph (a) of this subdivi-~~  
5 ~~sion. Such forms shall notify applicants that, upon discovery that an~~  
6 ~~applicant knowingly provided false information, such applicant may be~~  
7 ~~subject to penalties pursuant to section 175.30 of this chapter, and~~  
8 ~~further, that his or her request for an exception shall be null and~~  
9 ~~void, provided that written notice containing such determination is~~  
10 ~~provided to the applicant. Further, such forms shall provide each appli-~~  
11 ~~cant an opportunity to either decline to request the grant or continua-~~  
12 ~~tion of an exception, or specify the grounds on which he or she believes~~  
13 ~~his or her information should not be publicly disclosed. These grounds,~~  
14 ~~which shall be identified in the application with a box beside each for~~  
15 ~~checking, as applicable, by the applicant, shall be the same as provided~~  
16 ~~in paragraph (b) of this subdivision.~~

17 (d) Information submitted on the forms described in paragraph (b) of  
18 this subdivision shall be excepted from disclosure and maintained by the  
19 entity retaining such information separate and apart from all other  
20 records.

21 [(e)] (d) (i) Upon receiving a request for exception from disclosure,  
22 the licensing officer shall grant such exception, unless the request is  
23 determined to be null and void, pursuant to paragraph (b)[~~or (e)~~] of  
24 this subdivision.

25 (ii) A request for an exception from disclosure may be submitted at  
26 any time, including after a license or recertification has been granted.

27 (iii) If an exception is sought and granted pursuant to paragraph (b)  
28 of this subdivision, the application information shall not be public  
29 record, unless the request is determined to be null and void. [~~If an~~  
30 ~~exception is sought and granted pursuant to paragraph (c) of this subdivi-~~  
31 ~~vision, the information concerning such recertification application~~  
32 ~~shall not be public record, unless the request is determined to be null~~  
33 ~~and void.~~]

34 [(f)] (e) The information of licensees or applicants for a license  
35 shall not be disclosed to the public during the first one hundred twenty  
36 days following the effective date of [~~the~~] chapter one of the laws of  
37 two thousand thirteen, which amended this section. After such period,  
38 the information of those who had applied for or been granted a license  
39 prior to the preparation of the form for requesting an exception, pursu-  
40 ant to paragraph (b) of this subdivision, may be released only if such  
41 individuals did not file a request for such an exception during the  
42 first sixty days following such preparation; provided, however, that no  
43 information contained in an application for licensure or recertification  
44 shall be disclosed by an entity that has not completed processing any  
45 such requests received during such sixty days.

46 [(g)] (f) If a request for an exception is determined to be null and  
47 void pursuant to paragraph (b) [~~or (e)~~] of this subdivision, an appli-  
48 cant may request review of such determination pursuant to article seven-  
49 ty-eight of the civil practice [~~laws~~] law and rules. Such proceeding  
50 must commence within thirty days after service of the written notice  
51 containing the adverse determination. Notice of the right to commence  
52 such a petition, and the time period therefor, shall be included in the  
53 notice of the determination. Disclosure following such a petition shall  
54 not be made prior to the disposition of such review.

55 10. License: expiration, certification and renewal. [(a)] Any license  
56 for gunsmith or dealer in firearms and, in the city of New York, any

1 license to carry or possess a pistol or revolver, issued at any time  
2 pursuant to this section or prior to the first day of July, nineteen  
3 hundred sixty-three and not limited to expire on an earlier date fixed  
4 in the license, shall expire not more than three years after the date of  
5 issuance. In the counties of Nassau, Suffolk and Westchester, any  
6 license to carry or possess a pistol or revolver, issued at any time  
7 pursuant to this section or prior to the first day of July, nineteen  
8 hundred sixty-three and not limited to expire on an earlier date fixed  
9 in the license, shall expire not more than five years after the date of  
10 issuance; however, in the county of Westchester, any such license shall  
11 be certified prior to the first day of April, two thousand, in accord-  
12 ance with a schedule to be contained in regulations promulgated by the  
13 commissioner of the division of criminal justice services, and every  
14 such license shall be recertified every five years thereafter. For  
15 purposes of this section certification shall mean that the licensee  
16 shall provide to the licensing officer the following information only:  
17 current name, date of birth, current address, and the make, model, cali-  
18 ber and serial number of all firearms currently possessed. Such certif-  
19 ication information shall be filed by the licensing officer in the same  
20 manner as an amendment. Elsewhere than in the city of New York and the  
21 counties of Nassau, Suffolk and Westchester, any license to carry or  
22 possess a pistol or revolver, issued at any time pursuant to this  
23 section or prior to the first day of July, nineteen hundred sixty-three  
24 and not previously revoked or cancelled, shall be in force and effect  
25 until revoked as herein provided. Any license not previously cancelled  
26 or revoked shall remain in full force and effect for thirty days beyond  
27 the stated expiration date on such license. Any application to renew a  
28 license that has not previously expired, been revoked or cancelled shall  
29 thereby extend the term of the license until disposition of the applica-  
30 tion by the licensing officer. In the case of a license for gunsmith or  
31 dealer in firearms, in counties having a population of less than two  
32 hundred thousand inhabitants, photographs and fingerprints shall be  
33 submitted on original applications and upon renewal thereafter only at  
34 six year intervals. Upon satisfactory proof that a currently valid  
35 original license has been despoiled, lost or otherwise removed from the  
36 possession of the licensee and upon application containing an additional  
37 photograph of the licensee, the licensing officer shall issue a dupli-  
38 cate license.

39 ~~[(b) All licensees shall be recertified to the division of state  
40 police every five years thereafter. Any license issued before the effec-  
41 tive date of the chapter of the laws of two thousand thirteen which  
42 added this paragraph shall be recertified by the licensee on or before  
43 January thirty first, two thousand eighteen, and not less than one year  
44 prior to such date, the state police shall send a notice to all license  
45 holders who have not recertified by such time. Such recertification  
46 shall be in a form as approved by the superintendent of state police,  
47 which shall request the license holder's name, date of birth, gender,  
48 race, residential address, social security number, firearms possessed by  
49 such license holder, email address at the option of the license holder  
50 and an affirmation that such license holder is not prohibited from  
51 possessing firearms. The form may be in an electronic form if so desig-  
52 nated by the superintendent of state police. Failure to recertify shall  
53 act as a revocation of such license. If the New York state police  
54 discover as a result of the recertification process that a licensee  
55 failed to provide a change of address, the New York state police shall  
56 not require the licensing officer to revoke such license.]~~

1 11. License: revocation and suspension. (a) The conviction of a licen-  
2 see anywhere of a felony or serious offense [~~or a licensee at any time~~  
3 ~~becoming ineligible to obtain a license under this section~~] shall oper-  
4 ate as a revocation of the license. A license may be revoked or  
5 suspended as provided for in section 530.14 of the criminal procedure  
6 law or section eight hundred forty-two-a of the family court act. Except  
7 for a license issued pursuant to section 400.01 of this article, a  
8 license may be revoked and cancelled at any time in the city of New  
9 York, and in the counties of Nassau and Suffolk, by the licensing offi-  
10 cer, and elsewhere than in the city of New York by any judge or justice  
11 of a court of record; a license issued pursuant to section 400.01 of  
12 this article may be revoked and cancelled at any time by the licensing  
13 officer or any judge or justice of a court of record. The official  
14 revoking a license shall give written notice thereof without unnecessary  
15 delay to the executive department, division of state police, Albany, and  
16 shall also notify immediately the duly constituted police authorities of  
17 the locality.

18 (b) Whenever the director of community services in the counties of  
19 Kings, Queens, Richmond, New York and Bronx, or his or her designee  
20 makes a report pursuant to section 9.46 of the mental hygiene law, the  
21 division of criminal justice services shall convey such information,  
22 whenever it determines that the person named in the report possesses a  
23 license issued pursuant to this section, to the appropriate licensing  
24 official, who shall issue an order suspending or revoking such license.

25 (c) In any instance in which a person's license is suspended or  
26 revoked under paragraph (a) or (b) of this subdivision, such person  
27 shall surrender such license to the appropriate licensing official and  
28 any and all firearms, rifles, or shotguns owned or possessed by such  
29 person shall be surrendered to an appropriate law enforcement agency as  
30 provided in subparagraph (f) of paragraph one of subdivision a of  
31 section 265.20 of this chapter. In the event such license, firearm,  
32 shotgun, or rifle is not surrendered, such items shall be removed and  
33 declared a nuisance and any police officer or peace officer acting  
34 pursuant to his or her special duties is authorized to remove any and  
35 all such weapons.

36 12. Records required of gunsmiths and dealers in firearms. Any person  
37 licensed as gunsmith or dealer in firearms shall keep a record book  
38 approved as to form, except in the city of New York, by the superinten-  
39 dent of state police. In the record book shall be entered at the time of  
40 every transaction involving a firearm the date, name, age, occupation  
41 and residence of any person from whom a firearm is received or to whom a  
42 firearm is delivered, and the calibre, make, model, manufacturer's name  
43 and serial number, or if none, any other distinguishing number or iden-  
44 tification mark on such firearm. Before delivering a firearm to any  
45 person, the licensee shall require him to produce either a license valid  
46 under this section to carry or possess the same, or proof of lawful  
47 authority as an exempt person pursuant to section 265.20 of this  
48 chapter. In addition, before delivering a firearm to a peace officer,  
49 the licensee shall verify that person's status as a peace officer with  
50 the division of state police. After completing the foregoing, the licen-  
51 see shall remove and retain the attached coupon and enter in the record  
52 book the date of such license, number, if any, and name of the licensing  
53 officer, in the case of the holder of a license to carry or possess, or  
54 the shield or other number, if any, assignment and department, unit or  
55 agency, in the case of an exempt person. The original transaction report  
56 shall be forwarded to the division of state police within ten days of

1 delivering a firearm to any person, and a duplicate copy shall be kept  
2 by the licensee. The superintendent of state police may designate that  
3 such record shall be completed and transmitted in electronic form. A  
4 dealer may be granted a waiver from transmitting such records in elec-  
5 tronic form if the superintendent determines that such dealer is incapa-  
6 ble of such transmission due to technological limitations that are not  
7 reasonably within the control of the dealer, or other exceptional  
8 circumstances demonstrated by the dealer, pursuant to a process estab-  
9 lished in regulation, and at the discretion of the superintendent.

10 ~~[Records assembled or collected for purposes of inclusion in the data~~  
11 ~~base created pursuant to section 400.02 of this article shall not be~~  
12 ~~subject to disclosure pursuant to article six of the public officers~~  
13 ~~law.]~~ The record book shall be maintained on the premises mentioned and

14 described in the license and shall be open at all reasonable hours for  
15 inspection by any peace officer, acting pursuant to his special duties,  
16 or police officer. In the event of cancellation or revocation of the  
17 license for gunsmith or dealer in firearms, or discontinuance of busi-  
18 ness by a licensee, such record book shall be immediately surrendered to  
19 the licensing officer in the city of New York, and in the counties of  
20 Nassau and Suffolk, and elsewhere in the state to the executive depart-  
21 ment, division of state police.

22 § 30. Subdivision 16-a of section 400.00 of the penal law, as added by  
23 chapter 1 of the laws of 2013, paragraph (a-1) as added by chapter 98 of  
24 the laws of 2013, is amended to read as follows:

25 16-a. Registration. (a) An owner of a weapon defined in [~~paragraph (e)~~  
26 ~~or (f)~~] subparagraph (v) or (vi) of paragraph (b) of subdivision twen-  
27 ty-two of section 265.00 of this chapter, who resides in the county of  
28 Kings, Queens, Richmond, New York or Bronx, possessed before the date of  
29 the effective date of [~~the~~] chapter one of the laws of two thousand  
30 thirteen which added this paragraph, must make an application to regis-  
31 ter such weapon with the superintendent of state police, in the manner  
32 provided by the superintendent, or by amending a license issued pursuant  
33 to this section within one year of the effective date of this subdivi-  
34 sion except any weapon defined under [~~subparagraph (vi)~~] clause (F) of  
35 subparagraph (vii) of paragraph [~~(g)~~] (b) of subdivision twenty-two of  
36 section 265.00 of this chapter transferred into the state may be regis-  
37 tered at any time, provided such weapons are registered within thirty  
38 days of their transfer into the state. Registration information shall  
39 include the registrant's name, date of birth, gender, race, residential  
40 address, social security number and a description of each weapon being  
41 registered. A registration in the county of Kings, Queens, Richmond, New  
42 York or Bronx, of any weapon defined under [~~subparagraph (vi)~~] clause  
43 (F) of subparagraph (vii) of paragraph [~~(g)~~] (b) of subdivision twenty-  
44 two of section 265.00 or a feeding device as defined under subdivision  
45 twenty-three of section 265.00 of this chapter shall be transferable,  
46 provided that the seller notifies the division of state police within  
47 seventy-two hours of the transfer and the buyer provides the division of  
48 state police with information sufficient to constitute a registration  
49 under this section. Such registration shall not be valid if such regis-  
50 trant is prohibited or becomes prohibited from possessing a firearm  
51 pursuant to state or federal law. The superintendent shall determine  
52 whether such registrant is prohibited from possessing a firearm under  
53 state or federal law. Such check shall be limited to determining whether  
54 the factors in 18 USC 922 (g) apply or whether a registrant has been  
55 convicted of a serious offense as defined in subdivision sixteen-b of  
56 section 265.00 of this chapter, so as to prohibit such registrant from

1 possessing a firearm, and whether a report has been issued pursuant to  
2 section 9.46 of the mental hygiene law. [~~All~~] Such registrants shall  
3 recertify to the division of state police every five years thereafter.  
4 Failure to recertify shall result in a revocation of such registration.

5 (a-1) Notwithstanding any inconsistent provisions of paragraph (a) of  
6 this subdivision, an owner, who resides in the county of Kings, Queens,  
7 Richmond, New York or Bronx, of an assault weapon as defined in subdivi-  
8 sion twenty-two of section 265.00 of this chapter, who is a qualified  
9 retired New York or federal law enforcement officer as defined in subdivi-  
10 sion twenty-five of section 265.00 of this chapter, where such weapon  
11 was issued to or purchased by such officer prior to retirement and in  
12 the course of his or her official duties, and for which such officer was  
13 qualified by the agency that employed such officer within twelve months  
14 prior to his or her retirement, must register such weapon within sixty  
15 days of retirement.

16 (b) The superintendent of state police shall create and maintain an  
17 internet website to educate the public, who reside in the county of  
18 Kings, Queens, Richmond, New York or Bronx, as to which semiautomatic  
19 rifle, semiautomatic shotgun or semiautomatic pistol or weapon that are  
20 illegal as a result of the enactment of [~~the~~] chapter one of the laws of  
21 two thousand thirteen which added this paragraph, as well as such  
22 assault weapons which are illegal pursuant to article two hundred  
23 sixty-five of this chapter. Such website shall contain information to  
24 assist [~~the~~] such public in recognizing the relevant features proscribed  
25 by such article two hundred sixty-five, as well as which make and model  
26 of weapons that require registration.

27 (c) A person, who resides in the county of Kings, Queens, Richmond,  
28 New York or Bronx, who knowingly fails to apply to register such weapon,  
29 as required by this section, within one year of the effective date of  
30 [~~the~~] chapter one of the laws of two thousand thirteen which added this  
31 paragraph shall be guilty of a class A misdemeanor and such person who  
32 unknowingly fails to validly register such weapon within such one year  
33 period shall be given a warning by an appropriate law enforcement  
34 authority about such failure and given thirty days in which to apply to  
35 register such weapon or to surrender it. A failure to apply or surrender  
36 such weapon within such thirty-day period shall result in such weapon  
37 being removed by an appropriate law enforcement authority and declared a  
38 nuisance.

39 § 31. Section 400.02 of the penal law is REPEALED.

40 § 32. Section 400.03 of the penal law, as added by chapter 1 of the  
41 laws of 2013, is amended to read as follows:

42 § 400.03 Sellers of ammunition.

43 1. A seller of ammunition as defined in subdivision twenty-four of  
44 section 265.00 of this chapter doing business in the county of Kings,  
45 Queens, Richmond, New York or Bronx, shall register with the superinten-  
46 dent of state police in a manner provided by the superintendent. Any  
47 dealer in firearms that is validly licensed pursuant to section 400.00  
48 of this article shall not be required to complete such registration.

49 2. Any seller of ammunition or dealer in firearms doing business in  
50 the county of Kings, Queens, Richmond, New York or Bronx, shall keep a  
51 record book approved as to form by the superintendent of state police.  
52 In the record book shall be entered at the time of every transaction  
53 involving ammunition the date, name, age, occupation and residence of  
54 any person from whom ammunition is received or to whom ammunition is  
55 delivered, and the amount, calibre, manufacturer's name and serial  
56 number, or if none, any other distinguishing number or identification

1 mark on such ammunition. The record book shall be maintained on the  
2 premises mentioned and described in the license and shall be open at all  
3 reasonable hours for inspection by any peace officer, acting pursuant to  
4 his or her special duties, or police officer. Any record produced pursu-  
5 ant to this section and any transmission thereof to any government agen-  
6 cy shall not be considered a public record for purposes of article six  
7 of the public officers law.

8 3. [~~No later than thirty days after the superintendent of the state~~  
9 ~~police certifies that the statewide license and record database estab-~~  
10 ~~lished pursuant to section 400.02 of this article is operational for the~~  
11 ~~purposes of this section, a] A dealer in firearms licensed pursuant to  
12 section 400.00 of this article, a seller of ammunition as defined in  
13 subdivision twenty-four of section 265.00 of this chapter doing business  
14 in the county of Kings, Queens, Richmond, New York or Bronx, shall not  
15 transfer any ammunition to any other person who is not a dealer in  
16 firearms as defined in subdivision nine of such section 265.00 or a  
17 seller of ammunition as defined in subdivision twenty-four of section  
18 265.00 of this chapter, unless:~~

19 (a) before the completion of the transfer, the licensee or seller  
20 contacts the [~~statewide license and record database~~] superintendent of  
21 state police and provides the [~~database~~] superintendent with information  
22 sufficient to identify such dealer or seller, transferee based on infor-  
23 mation on the transferee's identification document as defined in para-  
24 graph (c) of this subdivision, as well as the amount, calibre, manufac-  
25 turer's name and serial number, if any, of such ammunition;

26 (b) the [~~system~~] superintendent provides the licensee or seller with a  
27 unique identification number; and

28 (c) the transferor has verified the identity of the transferee by  
29 examining a valid state identification document of the transferee issued  
30 by the department of motor vehicles or if the transferee is not a resi-  
31 dent of the state of New York, a valid identification document issued by  
32 the transferee's state or country of residence containing a photograph  
33 of the transferee.

34 4. If the [~~database~~] superintendent of state police determines that  
35 the purchaser of ammunition is eligible to possess ammunition pursuant  
36 to state and federal laws, [~~the system~~] he or she shall:

37 (a) assign a unique identification number to the transfer; and

38 (b) provide the licensee or seller with the number.

39 5. If the [~~statewide license and record database~~] superintendent of  
40 state police notifies the licensee or seller that the information avail-  
41 able [~~to the database~~] does not demonstrate that the receipt of ammuni-  
42 tion by such other person would violate 18 U.S.C. 922(g) or state law,  
43 and the licensee transfers ammunition to such other person, the licensee  
44 shall indicate to the [~~database~~] superintendent that such transaction  
45 has been completed at which point a record of such transaction shall be  
46 created which shall be accessible by the division of state police and  
47 maintained for no longer than one year from point of purchase[, ~~which~~  
48 ~~shall not be incorporated into the database established pursuant to~~  
49 ~~section 400.02 of this article or the registry established pursuant to~~  
50 ~~subdivision sixteen a of section 400.00 of this article]. The division  
51 of state police may share such information with a local law enforcement  
52 agency. Evidence of the purchase of ammunition is not sufficient to  
53 establish probable cause to believe that the purchaser has committed a  
54 crime absent other information tending to prove the commission of a  
55 crime. Records assembled or accessed pursuant to this section shall not  
56 be subject to disclosure pursuant to article six of the public officers~~

1 law. This requirement of this section shall not apply (i) if a back-  
2 ground check cannot be completed because the system is not operational  
3 as determined by the superintendent of state police, or where it cannot  
4 be accessed by the practitioner due to a temporary technological or  
5 electrical failure, as set forth in regulation, or (ii) a dealer or  
6 seller has been granted a waiver from conducting such background check  
7 if the superintendent of state police determines that such dealer is  
8 incapable of such check due to technological limitations that are not  
9 reasonably within the control of the dealer, or other exceptional  
10 circumstances demonstrated by the dealer, pursuant to a process estab-  
11 lished in regulation, and at the discretion of such superintendent.

12 6. If the superintendent of state police certifies that background  
13 checks of ammunition purchasers in the county of Kings, Queens, Rich-  
14 mond, New York or Bronx may be conducted through the national instant  
15 criminal background check system, use of that system by a dealer or  
16 seller shall be sufficient to satisfy subdivisions four and five of this  
17 section and such checks shall be conducted through such system, provided  
18 that a record of such transaction shall be forwarded to the state police  
19 in a form determined by the superintendent.

20 7. No commercial transfer of ammunition shall take place in the county  
21 of Kings, Queens, Richmond, New York or Bronx unless a licensed dealer  
22 in firearms or registered seller of ammunition acts as an intermediary  
23 between the transferor and the ultimate transferee of the ammunition for  
24 the purposes of contacting the statewide license and record database  
25 pursuant to this section. Such transfer between the dealer or seller,  
26 and transferee must occur in person.

27 8. A seller of ammunition who fails to register pursuant to this  
28 section and sells ammunition, for a first offense, shall be guilty of a  
29 violation and subject to the fine of one thousand dollars and for a  
30 second offense, shall be guilty of a class A misdemeanor.

31 A seller of ammunition that fails to keep any record required pursuant  
32 to this section, for a first offense shall be guilty of a violation and  
33 subject to a fine of five hundred dollars, and for a second offense  
34 shall be guilty of a class B misdemeanor, and the registration of such  
35 seller shall be revoked.

36 § 33. Paragraph (a) of subdivision 1 and subdivision 3 of section  
37 400.10 of the penal law, as amended by chapter 1 of the laws of 2013,  
38 are amended to read as follows:

39 (a) Any owner or other person lawfully in possession of: (i) a  
40 firearm, rifle or [7] shotgun who suffers the loss or theft of said weap-  
41 on; (ii) in the county of Kings, Queens, Richmond, New York or Bronx,  
42 ammunition as well as a firearm, rifle or shotgun who suffers the loss  
43 or theft of such ammunition as well as a firearm, rifle or shotgun; or  
44 (iii) in the county of Kings, Queens, Richmond, New York or Bronx, ammu-  
45 nition and is a dealer in firearms or seller of ammunition who suffers  
46 the loss or theft of such ammunition shall within twenty-four hours of  
47 the discovery of the loss or theft report the facts and circumstances of  
48 the loss or theft to a police department or sheriff's office.

49 3. Notwithstanding any other provision of law, a violation of para-  
50 graph (a) of subdivision one of this section shall be [~~a class A misde-~~  
51 ~~meanor~~] punishable only by a fine not to exceed one hundred dollars.

52 § 34. Section 2509 of the surrogate's court procedure act, as added by  
53 chapter 1 of the laws of 2013, is amended to read as follows:

54 § 2509. Firearms inventory

55 Whenever, by regulation, rule or statute, a fiduciary or attorney of  
56 record in the county of Kings, Queens, Richmond, New York or Bronx must



1 file a list of assets constituting a decedent's estate, such list must  
2 include a particularized description of every firearm, shotgun and  
3 rifle, as such terms are defined in section 265.00 of the penal law,  
4 that are part of such estate. Such list must be filed with the surro-  
5 gate's court in the county in which the estate proceeding, if any, is  
6 pending and a copy must be filed with the division of criminal justice  
7 services.  
8 § 35. This act shall take effect immediately.