

STATE OF NEW YORK

7895

IN SENATE

March 7, 2018

Introduced by Sen. MONTGOMERY -- read twice and ordered printed, and
when printed to be committed to the Committee on Crime Victims, Crime
and Correction

AN ACT to amend the executive law, in relation to the use of risk and
needs principles in parole decisions

The People of the State of New York, represented in Senate and Assem-
bly, do enact as follows:

1 Section 1. Subdivision 4 of section 259-c of the executive law, as
2 amended by section 38-b of subpart A of part C of chapter 62 of the laws
3 of 2011, is amended to read as follows:

4 4. establish written procedures for its use in making parole decisions
5 as required by law. Such written procedures shall incorporate risk and
6 needs principles to measure the rehabilitation of persons appearing
7 before the board, the likelihood of success of such persons upon
8 release, and [~~assist~~] shall be used by members of the state board of
9 parole in determining which inmates may be released to parole super-
10 vision. Such principles shall provide the basis upon which the board
11 shall determine if there is a reasonable probability that, if such
12 inmate is released, he or she will live and remain at liberty without
13 violating the law. Procedures which incorporate risk and needs princi-
14 ples shall include, but shall not be limited to, a risk and needs
15 assessment prepared pursuant to section one hundred twelve of the
16 correction law or other validated risk and needs assessment instrument
17 adopted by the board to determine whether or not an inmate is likely to
18 re-offend upon release from incarceration. Such risk and needs assess-
19 ment shall comprise presumptive evidence of the inmate's risk of re-of-
20 fense. Should the board choose to override such risk and needs assess-
21 ment in deciding whether or not an inmate will live and remain at
22 liberty without violating the law, its decision must provide a detailed,
23 individualized and nonconclusory statement as to its reasons for depart-
24 ing from the risk and needs assessment findings which shall be subject
25 to judicial review. Such override decision shall not be based solely on
26 information relating to the instant offense and/or the pre-sentencing
27 report for such offense. Pursuant to subdivision eleven of this section,

EXPLANATION--Matter in italics (underscored) is new; matter in brackets
[~~-~~] is old law to be omitted.

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1 the board shall amend the rules and regulations for the conduct of its
2 work to reflect the procedures established by this subdivision;

3 § 2. Section 259-e of the executive law, as amended by chapter 473 of
4 the laws of 2016, is amended to read as follows:

5 § 259-e. Institutional parole services. 1. The department shall
6 provide institutional parole services. Such services shall include prep-
7 aration of risk and needs assessments, reports and other data required
8 by the state board of parole in the exercise of its functions with
9 respect to release on presumptive release, parole, conditional release
10 or post-release supervision of inmates. Additionally, the department
11 shall determine which inmates are in need of a deaf language interpreter
12 or an English language interpreter, and shall inform the board of such
13 need within a reasonable period of time prior to an inmate's scheduled
14 appearance before the board. Employees of the department who collect
15 data, interview inmates and prepare reports for the state board of
16 parole in institutions under the jurisdiction of the department shall
17 work under the direct supervision of the deputy commissioner of the
18 department in charge of program services. Data and reports submitted to
19 the board shall address the statutory factors to be considered by the
20 board pursuant to the relevant provisions of section two hundred fifty-
21 nine-i of this article.

22 2. Two months prior to a parole board appearance, an inmate shall be
23 permitted to review his or her risk and needs assessment, reports and
24 any other non-confidential documents to be given to the board with the
25 department employee charged with preparing such assessment, reports and
26 documents, and may ask for any such assessment, report or document
27 prepared by the department to be corrected if it contains factual
28 mistakes or other errors. The department shall immediately review the
29 inmate's records and any evidence offered in support of the inmate's
30 contention that there is a factual mistake or other error in his or her
31 records. Upon confirmation that such mistake or error has been made,
32 the department shall immediately correct such mistake or error prior to
33 submitting such assessment, record or document to the board. If the
34 department decides not to correct an alleged mistake or error, the
35 inmate shall be notified of his or her right to submit evidence about
36 any uncorrected mistake or uncorrected error to the board and may appeal
37 the decision within fifteen days of the department's decision not to
38 correct the mistake or error. The commissioner shall decide any such
39 appeal within thirty days of receipt of the appeal, but no later than
40 two weeks before an inmate's scheduled appearance before the parole
41 board.

42 3. If a substantial mistake or error exists in the risk and needs
43 assessment or in other reports or documents provided to the board for
44 use at a parole interview and the inmate is subsequently denied parole,
45 the inmate shall be given a de novo parole interview within two months
46 of the discovery of such mistake or error. A substantial mistake or
47 error for the purposes of this subdivision is any mistake or error that
48 worsens the inmate's overall score on his or her risk and needs assess-
49 ment.

50 § 3. Subparagraph (A) of paragraph (c) of subdivision 2 of section
51 259-i of the executive law, as amended by chapter 130 of the laws of
52 2016, is amended to read as follows:

53 (A) Discretionary release on parole shall not be granted merely as a
54 reward for good conduct or efficient performance of duties while
55 confined but after considering if there is a reasonable probability
56 that, if such inmate is released, he or she will live and remain at

1 liberty without violating the law, and that his or her release is not
2 incompatible with the welfare of society and will not so deprecate the
3 seriousness of his or her crime as to undermine respect for law. In
4 making the parole release decision, the procedures, rules and regu-
5 lations incorporating risk and needs principles adopted pursuant to
6 subdivision four of section two hundred fifty-nine-c of this article
7 shall require that the following be considered if not already taken into
8 account by the risk and needs assessment: (i) the institutional record
9 including program goals and accomplishments, academic achievements,
10 vocational education, training or work assignments, therapy and inter-
11 actions with staff and inmates; (ii) performance, if any, as a partic-
12 ipant in a temporary release program; (iii) release plans including
13 community resources, employment, education and training and support
14 services available to the inmate; (iv) any deportation order issued by
15 the federal government against the inmate while in the custody of the
16 department and any recommendation regarding deportation made by the
17 commissioner of the department pursuant to section one hundred forty-
18 seven of the correction law; (v) any current or prior statement made to
19 the board by the crime victim or the victim's representative, where the
20 crime victim is deceased or is mentally or physically incapacitated;
21 (vi) the length of the determinate sentence to which the inmate would be
22 subject had he or she received a sentence pursuant to section 70.70 or
23 section 70.71 of the penal law for a felony defined in article two
24 hundred twenty or article two hundred twenty-one of the penal law; (vii)
25 the seriousness of the offense with due consideration to the type of
26 sentence, length of sentence and recommendations of the sentencing
27 court, the district attorney, the attorney for the inmate, the pre-sen-
28 tence probation report as well as consideration of any mitigating and
29 aggravating factors, and activities following arrest prior to confine-
30 ment; and (viii) prior criminal record, including the nature and pattern
31 of offenses, adjustment to any previous probation or parole supervision
32 and institutional confinement. The board shall provide toll free tele-
33 phone access for crime victims. In the case of an oral statement made in
34 accordance with subdivision one of section 440.50 of the criminal proce-
35 dure law, the parole board member shall present a written report of the
36 statement to the parole board. A crime victim's representative shall
37 mean the crime victim's closest surviving relative, the committee or
38 guardian of such person, or the legal representative of any such person.
39 Such statement submitted by the victim or victim's representative may
40 include information concerning threatening or intimidating conduct
41 toward the victim, the victim's representative, or the victim's family,
42 made by the person sentenced and occurring after the sentencing. Such
43 information may include, but need not be limited to, the threatening or
44 intimidating conduct of any other person who or which is directed by the
45 person sentenced. Any statement by a victim or the victim's represen-
46 tative made to the board shall be maintained by the department in the
47 file provided to the board when interviewing the inmate in consideration
48 of release. A victim or victim's representative who has submitted a
49 written request to the department for the transcript of such interview
50 shall be provided such transcript as soon as it becomes available.

51 § 4. This act shall take effect immediately.