

# STATE OF NEW YORK

471--A

2017-2018 Regular Sessions

## IN SENATE

(Prefiled)

January 4, 2017

Introduced by Sen. PERALTA -- read twice and ordered printed, and when printed to be committed to the Committee on Higher Education -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the education law, in relation to creating the New York DREAM fund commission; eligibility requirements and conditions governing general awards, academic performance awards and student loans; eligibility requirements for assistance under the higher education opportunity programs and the collegiate science and technology entry program; financial aid opportunities for students of the state university of New York, the city university of New York and community colleges; and the program requirements for the New York state college choice tuition savings program; and to repeal subdivision 3 of section 661 of such law relating thereto

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

- 1 Section 1. This act shall be known and may be cited as the "New York  
2 state DREAM act".
- 3 § 2. The education law is amended by adding a new section 609-a to  
4 read as follows:
- 5 § 609-a. New York DREAM fund commission. 1. (a) There shall be  
6 created a New York DREAM fund commission which shall be committed to  
7 advancing the educational opportunities of the children of immigrants.
- 8 (b) The New York DREAM fund commission shall be composed of twelve  
9 members to be appointed as follows:
- 10 (i) Four members shall be appointed by the governor;  
11 (ii) Three members shall be appointed by the temporary president of  
12 the senate;
- 13 (iii) Three members shall be appointed by the speaker of the assembly;  
14 (iv) One member shall be appointed by the minority leader of the  
15 senate;
- 16 (v) One member shall be appointed by the minority leader of the assem-  
17 bly;

EXPLANATION--Matter in italics (underscored) is new; matter in brackets [-] is old law to be omitted.

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1 (c) To the extent practicable, members of such commission shall  
2 reflect the racial, ethnic, gender, language, and geographic diversity  
3 of the state.

4 (d) To the extent practicable, members of such commission shall  
5 include college and university administrators and faculty, and other  
6 individuals committed to advancing the educational opportunities of the  
7 children of immigrants.

8 (e) Members of the New York DREAM fund commission shall receive no  
9 compensation for their services.

10 2. (a) The New York DREAM fund commission shall have the power to:

11 (i) Administer the provisions of this section;

12 (ii) Create and raise funds for the New York DREAM fund;

13 (iii) Establish a not-for-profit entity charged with the responsibil-  
14 ity of raising funds for the administration of this section and any  
15 educational or training programs such commission is tasked with adminis-  
16 trating and funding scholarships to students who are children of immi-  
17 grants to the United States;

18 (iv) Publicize the availability of such scholarships from the New York  
19 DREAM fund;

20 (v) Develop criteria and a selection process for the recipients of  
21 scholarships from the New York DREAM fund;

22 (vi) Research issues pertaining to the availability of assistance with  
23 the costs of higher education for the children of immigrants and other  
24 issues regarding access for and the performance of the children of immi-  
25 grants within higher education;

26 (vii) Establish, publicize, and administer training programs for high  
27 school counselors, admissions officers, and financial aid officers of  
28 institutions of higher education. The training programs shall instruct  
29 participants on the educational opportunities available to college-bound  
30 students who are the children of immigrants, including, but not limited  
31 to, in-state tuition and scholarship programs. To the extent practica-  
32 ble, the New York DREAM fund commission shall offer the training program  
33 to school districts and boards of cooperative educational services  
34 throughout the state, provided however, that priority shall be given to  
35 school districts and boards of cooperative educational services with  
36 larger number of students who are the children of immigrants over school  
37 districts and boards of cooperative educational services with lesser  
38 number of students who are the children of immigrants;

39 (viii) Establish a public awareness campaign regarding educational  
40 opportunities available to college bound students who are the children  
41 of immigrants; and

42 (ix) Establish, by rule, procedures for accepting and evaluating  
43 applications for scholarships from the children of immigrants and issu-  
44 ing scholarships to selected student applicants;

45 (b) To receive a scholarship pursuant to this section, a student  
46 applicant must meet the following qualifications:

47 (i) Have resided with his or her parents or guardians while attending  
48 a public or private high school in this state;

49 (ii) Have graduated from a public or private high school or received  
50 the equivalent of a high school diploma in this state;

51 (iii) Have attended a public or private high school in this state for  
52 at least two years as of the date he or she graduated from high school  
53 or received the equivalent of a high school diploma;

54 (iv) Have at least one parent or guardian who immigrated to the United  
55 States.

1 (c) The New York DREAM fund commission and the New York DREAM fund  
2 shall be funded entirely by private contributions and no state funds  
3 shall be appropriated to or used by the New York DREAM fund. No funds  
4 of the New York DREAM fund or the New York DREAM fund commission shall  
5 be transferred to the general fund or any special revenue fund or shall  
6 be used for any purpose other than the purposes set forth in this  
7 section.

8 3. The New York DREAM fund commission and the New York DREAM fund  
9 shall be subject to the provisions of articles six and seven and section  
10 seventy-four of the public officers law.

11 § 3. Subdivision 3 of section 661 of the education law is REPEALED.

12 § 4. Paragraph a of subdivision 5 of section 661 of the education law,  
13 as amended by chapter 466 of the laws of 1977, is amended to read as  
14 follows:

15 a. (i) Except as provided in subdivision two of section six hundred  
16 seventy-four of this part and subparagraph (ii) of this paragraph, an  
17 applicant for an award at the undergraduate level of study must either  
18 [~~(i)~~] (a) have been a legal resident of the state for at least one year  
19 immediately preceding the beginning of the semester, quarter or term of  
20 attendance for which application for assistance is made, or [~~(ii)~~] (b)  
21 be a legal resident of the state and have been a legal resident during  
22 his last two semesters of high school either prior to graduation, or  
23 prior to admission to college. Provided further that persons shall be  
24 eligible to receive awards under section six hundred sixty-eight or  
25 section six hundred sixty-nine of this part who are currently legal  
26 residents of the state and are otherwise qualified.

27 (ii) An applicant who is not a legal resident of the state eligible  
28 pursuant to subparagraph (i) of this paragraph, but is a United States  
29 citizen, a permanent lawful resident, a lawful non-immigrant alien or an  
30 applicant without lawful immigration status shall be eligible for an  
31 award at the undergraduate level of study provided that the student:

32 (a) attended a registered New York state high school for two or more  
33 years, graduated from a registered New York state high school and  
34 applied for attendance at the institution of higher education for the  
35 undergraduate study for which an award is sought within five years of  
36 receiving a New York state high school diploma; or

37 (b) attended an approved New York state program for a state high  
38 school equivalency diploma, received a state high school equivalency  
39 diploma and applied for attendance at the institution of higher educa-  
40 tion for the undergraduate study for which an award is sought within  
41 five years of receiving a state high school equivalency diploma; or

42 (c) is otherwise eligible for the payment of tuition and fees at a  
43 rate no greater than that imposed for resident students of the state  
44 university of New York, the city university of New York or community  
45 colleges as prescribed in subparagraph eight of paragraph h of subdivi-  
46 sion two of section three hundred fifty-five or paragraph (a) of subdivi-  
47 vision seven of section sixty-two hundred six of this chapter.

48 Provided, further, that a student without lawful immigration status  
49 shall also be required to file an affidavit with such institution of  
50 higher education stating that the student has filed an application to  
51 legalize his or her immigration status, or will file such an application  
52 as soon as he or she is eligible to do so.

53 § 5. Paragraph b of subdivision 5 of section 661 of the education law,  
54 as amended by chapter 466 of the laws of 1977, is amended to read as  
55 follows:

1 b. [~~An~~] (i) Except as otherwise provided in subparagraph (ii) of this  
2 paragraph, an applicant for an award at the graduate level of study must  
3 either [~~(i)] (a) have been a legal resident of the state for at least~~  
4 one year immediately preceding the beginning of the semester, quarter or  
5 term of attendance for which application for assistance is made, or  
6 [~~(ii)] (b) be a legal resident of the state and have been a legal resi-~~  
7 dent during his last academic year of undergraduate study and have  
8 continued to be a legal resident until matriculation in the graduate  
9 program.

10 (ii) An applicant who is not a legal resident of the state eligible  
11 pursuant to subparagraph (i) of this paragraph, but is a United States  
12 citizen, a permanent lawful resident, a lawful non-immigrant alien or an  
13 applicant without lawful immigration status shall be eligible for an  
14 award at the undergraduate level of study provided that the student:

15 (a) attended a registered approved New York state high school for two  
16 or more years, graduated from a registered New York state high school  
17 and applied for attendance at the institution of higher education for  
18 the graduate study for which an award is sought within ten years of  
19 receiving a New York state high school diploma; or

20 (b) attended an approved New York state program for a state high  
21 school equivalency diploma, received a state high school equivalency  
22 diploma and applied for attendance at the institution of higher educa-  
23 tion for the graduate study for which an award is sought within ten  
24 years of receiving a state high school equivalency diploma; or

25 (c) is otherwise eligible for the payment of tuition and fees at a  
26 rate no greater than that imposed for resident students of the state  
27 university of New York, the city university of New York or community  
28 colleges as prescribed in subparagraph eight of paragraph h of subdivi-  
29 sion two of section three hundred fifty-five or paragraph (a) of subdivi-  
30 vision seven of section sixty-two hundred six of this chapter.

31 Provided, further, that a student without lawful immigration status  
32 shall also be required to file an affidavit with such institution of  
33 higher education stating that the student has filed an application to  
34 legalize his or her immigration status, or will file such an application  
35 as soon as he or she is eligible to do so.

36 § 6. Paragraph d of subdivision 5 of section 661 of the education law,  
37 as amended by chapter 844 of the laws of 1975, is amended to read as  
38 follows:

39 d. If an applicant for an award allocated on a geographic basis has  
40 more than one residence in this state, his or her residence for the  
41 purpose of this article shall be his or her place of actual residence  
42 during the major part of the year while attending school, as determined  
43 by the commissioner; and further provided that an applicant who does not  
44 have a residence in this state and is eligible for an award pursuant to  
45 subparagraph (ii) of paragraph a or subparagraph (ii) of paragraph b of  
46 this subdivision shall be deemed to reside in the geographic area of the  
47 institution of higher education in which he or she attends for purposes  
48 of an award allocated on a geographic basis.

49 § 7. Paragraph e of subdivision 5 of section 661 of the education law,  
50 as added by chapter 630 of the laws of 2005, is amended to read as  
51 follows:

52 e. Notwithstanding any other provision of this article to the contra-  
53 ry, the New York state [~~residency~~] eligibility [~~requirement~~] require-  
54 ments for receipt of awards [~~is~~] set forth in paragraphs a and b of this  
55 subdivision are waived for a member, or the spouse or dependent of a

1 member, of the armed forces of the United States on full-time active  
2 duty and stationed in this state.

3 § 8. Paragraph h of subdivision 2 of section 355 of the education law  
4 is amended by adding a new subparagraph 10 to read as follows:

5 (10) Such regulations shall further provide that any student who is  
6 not a legal resident of New York state but is a United States citizen, a  
7 permanent lawful resident, a lawful non-immigrant alien or an applicant  
8 without lawful immigration status may have the payment of tuition and  
9 other fees and charges reduced by state-aided programs, scholarships or  
10 other financial assistance awarded under the provisions of articles  
11 thirteen, thirteen-A, fourteen and fourteen-A of this chapter, provided  
12 that the student meets the requirements set forth in subparagraph (ii)  
13 of paragraph a or subparagraph (ii) of paragraph b of subdivision five  
14 of section six hundred sixty-one of this chapter, as applicable.

15 § 9. Subdivision 7 of section 6206 of the education law is amended by  
16 adding a new paragraph (d) to read as follows:

17 (d) The trustees shall further provide that any student who is not a  
18 legal resident of New York state but is a United States citizen, a  
19 permanent lawful resident, a lawful non-immigrant alien or an applicant  
20 without lawful immigration status may have the payment of tuition and  
21 other fees and charges reduced by state-aided programs, scholarships or  
22 other financial assistance awarded under the provisions of articles  
23 thirteen, thirteen-A, fourteen and fourteen-A of this chapter, provided  
24 that the student meets the requirements set forth in subparagraph (ii)  
25 of paragraph a or subparagraph (ii) of paragraph b of subdivision five  
26 of section six hundred sixty-one of this chapter, as applicable.

27 § 10. Section 6305 of the education law is amended by adding a new  
28 subdivision 8-a to read as follows:

29 8-a. The payment of tuition and other fees and charges of a student  
30 who is attending a community college and who is not a legal resident of  
31 New York state but is a United States citizen, a permanent lawful resi-  
32 dent, a lawful non-immigrant alien or an applicant without lawful immi-  
33 gration status may be reduced by state-aided programs, scholarships and  
34 other financial assistance awarded under the provisions of articles  
35 thirteen, thirteen-A, fourteen and fourteen-A of this chapter, provided  
36 that the student meets the requirements set forth in subparagraph (ii)  
37 of paragraph a or subparagraph (ii) of paragraph b of subdivision five  
38 of section six hundred sixty-one of this chapter, as applicable.

39 § 11. Paragraph d of subdivision 3 of section 6451 of the education  
40 law, as amended by chapter 149 of the laws of 1972, is amended to read  
41 as follows:

42 d. Any necessary supplemental financial assistance, which may include  
43 the cost of books and necessary maintenance for such enrolled students,  
44 including students without lawful immigration status provided that the  
45 student meets the requirements set forth in subparagraph (ii) of para-  
46 graph a or subparagraph (ii) of paragraph b of subdivision five of  
47 section six hundred sixty-one of this chapter, as applicable; provided,  
48 however, that such supplemental financial assistance shall be furnished  
49 pursuant to criteria promulgated by the commissioner with the approval  
50 of the director of the budget.

51 § 11-a. Paragraph d of subdivision 3 of section 6451 of the education  
52 law, as amended by chapter 494 of the laws of 2016, is amended to read  
53 as follows:

54 d. Any necessary supplemental financial assistance, which may include  
55 the cost of books and necessary maintenance for such enrolled students,  
56 including students without lawful immigration status provided that the



1 student meets the requirements set forth in subparagraph (ii) of para-  
2 graph a or subparagraph (ii) of paragraph b of subdivision five of  
3 section six hundred sixty-one of this chapter, as applicable; provided,  
4 however, that such supplemental financial assistance shall be furnished  
5 pursuant to criteria promulgated by the commissioner with the approval  
6 of the director of the budget;

7 § 12. Subparagraph (v) of paragraph a of subdivision 4 of section 6452  
8 of the education law, as added by chapter 917 of the laws of 1970, is  
9 amended to read as follows:

10 (v) Any necessary supplemental financial assistance, which may include  
11 the cost of books and necessary maintenance for such students, including  
12 students without lawful immigration status provided that the student  
13 meets the requirements set forth in subparagraph (ii) of paragraph a or  
14 subparagraph (ii) of paragraph b of subdivision five of section six  
15 hundred sixty-one of this chapter, as applicable; provided, however,  
16 that such supplemental financial assistance shall be furnished pursuant  
17 to criteria promulgated by such universities and approved by the regents  
18 and the director of the budget.

19 § 13. Paragraph (a) of subdivision 2 of section 6455 of the education  
20 law, as added by chapter 285 of the laws of 1986, is amended to read as  
21 follows:

22 (a) (i) Undergraduate science and technology entry program moneys may  
23 be used for tutoring, counseling, remedial and special summer courses,  
24 supplemental financial assistance, program administration, and other  
25 activities which the commissioner may deem appropriate. To be eligible  
26 for undergraduate collegiate science and technology entry program  
27 support, a student must be a resident of New York ~~[who is]~~, or meet the  
28 requirements of subparagraph (ii) of this paragraph, and must be either  
29 economically disadvantaged or from a minority group historically under  
30 represented in the scientific, technical, health and health-related  
31 professions, and ~~[who demonstrates]~~ must demonstrate interest in and a  
32 potential for a professional career if provided special services. Eligi-  
33 ble students must be in good academic standing, enrolled full time in an  
34 approved, undergraduate level program of study, as defined by the  
35 regents.

36 (ii) An applicant who is not a legal resident of New York state, but  
37 who is a United States citizen, a permanent lawful resident, a lawful  
38 non-immigrant alien or an applicant without lawful immigration status,  
39 shall be eligible for an award at the undergraduate level of study  
40 provided that the student:

41 (1) attended a registered New York state high school for two or more  
42 years, graduated from a registered New York state high school and  
43 applied for attendance at the institution of higher education for the  
44 undergraduate study for which an award is sought within five years of  
45 receiving a New York state high school diploma; or

46 (2) attended an approved New York state program for a state high  
47 school equivalency diploma, received a state high school equivalency  
48 diploma and applied for attendance at the institution of higher educa-  
49 tion for the undergraduate study for which an award is sought within  
50 five years of receiving a state high school equivalency diploma,  
51 attended an approved New York state high school for two or more years,  
52 graduated from an approved New York state high school and applied for  
53 attendance at an institution of higher education within five years of  
54 receiving a New York state high school diploma; or

55 (3) is otherwise eligible for the payment of tuition and fees at a  
56 rate no greater than that imposed for resident students of the state

1 university of New York, the city university of New York or community  
2 colleges as prescribed in subparagraph eight of paragraph h of subdivi-  
3 sion two of section three hundred fifty-five or paragraph (a) of subdivi-  
4 vision seven of section sixty-two hundred six of this chapter.

5 Provided, further, that a student without lawful immigration status  
6 shall also be required to file an affidavit with such institution of  
7 higher education stating that the student has filed an application to  
8 legalize his or her immigration status, or will file such an application  
9 as soon as he or she is eligible to do so.

10 § 14. Paragraph (a) of subdivision 3 of section 6455 of the education  
11 law, as added by chapter 285 of the laws of 1986, is amended to read as  
12 follows:

13 (a) (i) Graduate science and technology entry program moneys may be  
14 used for recruitment, academic enrichment, career planning, supplemental  
15 financial assistance, review for licensing examinations, program admin-  
16 istration, and other activities which the commissioner may deem appro-  
17 priate. To be eligible for graduate collegiate science and technology  
18 entry program support, a student must be a resident of New York [~~who~~  
19 ~~is~~], or meet the requirements of subparagraph (ii) of this paragraph,  
20 and must be either economically disadvantaged or from a minority group  
21 historically underrepresented in the scientific, technical and health-  
22 related professions. Eligible students must be in good academic stand-  
23 ing, enrolled full time in an approved graduate level program, as  
24 defined by the regents.

25 (ii) An applicant who is not a legal resident of New York state, but  
26 either is a United States citizen, a permanent lawful resident, a lawful  
27 non-immigrant alien or an applicant without lawful immigration status  
28 shall be eligible for an award at the undergraduate level of study  
29 provided that the student:

30 (1) attended a registered approved New York state high school for two  
31 or more years, graduated from a registered New York state high school  
32 and applied for attendance at the institution of higher education for  
33 the graduate study for which an award is sought within ten years of  
34 receiving a New York state high school diploma; or

35 (2) attended an approved New York state program for a state high  
36 school equivalency diploma, received a state high school equivalency  
37 diploma and applied for attendance at the institution of higher educa-  
38 tion for the graduate study for which an award is sought within ten  
39 years of receiving a state high school equivalency diploma; or

40 (3) is otherwise eligible for the payment of tuition and fees at a  
41 rate no greater than that imposed for resident students of the state  
42 university of New York, the city university of New York or community  
43 colleges as prescribed in subparagraph eight of paragraph h of subdivi-  
44 sion two of section three hundred fifty-five or paragraph (a) of subdivi-  
45 vision seven of section sixty-two hundred six of this chapter.

46 Provided, further, that a student without lawful immigration status  
47 shall also be required to file an affidavit with such institution of  
48 higher education stating that the student has filed an application to  
49 legalize his or her immigration status, or will file such an application  
50 as soon as he or she is eligible to do so.

51 § 15. Subparagraph (i) of paragraph a of subdivision 2 of section  
52 695-e of the education law, as amended by chapter 593 of the laws of  
53 2003, is amended to read as follows:

54 (i) the name, address and social security number [~~or~~], employer iden-  
55 tification number, or individual taxpayer identification number of the  
56 account owner unless a family tuition account that was in effect prior

1 to the effective date of the chapter of the laws of two thousand seven-  
2 teen that amended this subparagraph does not allow for a taxpayer iden-  
3 tification number, in which case a taxpayer identification number shall  
4 be allowed upon the expiration of the contract;

5 § 16. Subparagraph (iii) of paragraph a of subdivision 2 of section  
6 695-e of the education law, as amended by chapter 593 of the laws of  
7 2003, is amended to read as follows:

8 (iii) the name, address, and social security number, employer iden-  
9 tification number, or individual taxpayer identification number of the  
10 designated beneficiary, unless a family tuition account that was in  
11 effect prior to the effective date of the chapter of the laws of two  
12 thousand seventeen that amended this subparagraph does not allow for a  
13 taxpayer identification number, in which case a taxpayer identification  
14 number shall be allowed upon the expiration of the contract; and

15 § 17. The president of the higher education services corporation, in  
16 consultation with the commissioner of education, shall establish an  
17 application form and procedures that shall allow a student applicant  
18 that meets the requirements set forth in subparagraph (ii) of paragraph  
19 (a) or subparagraph (ii) of paragraph b of subdivision 5 of section 661  
20 of the education law to apply directly to the higher education services  
21 corporation or education department for applicable awards without having  
22 to submit information to any other state or federal agency. All informa-  
23 tion contained within the applications filed with such corporation or  
24 department shall be deemed confidential.

25 § 18. This act shall take effect immediately; provided, however, that:

26 (a) section two of this act shall take effect January 1, 2018;

27 (b) sections fifteen and sixteen of this act shall take effect on the  
28 ninetieth day after it shall have become a law; provided, however, that  
29 any rule or regulation necessary for the timely implementation of this  
30 act on its effective date shall be promulgated on or before such effec-  
31 tive date; and

32 (c) sections three through fourteen and section seventeen of this act  
33 shall take effect on the ninetieth day after the issuance of regulations  
34 and the development of an application form by the president of the high-  
35 er education services corporation and commissioner of education or on  
36 the ninetieth day after it shall have become a law, whichever shall be  
37 later; provided, however, that if chapter 494 of the laws of 2016 shall  
38 not have taken effect on or before such date then section eleven-a of  
39 this act shall take effect on the same date and in the same manner as  
40 such chapter of the laws of 2016 takes effect; provided, further, howev-  
41 er that effective immediately the addition, amendment and/or repeal of  
42 any rule or regulation necessary for the implementation of this act on  
43 its effective date are authorized and directed to be made and completed  
44 on or before such date; provided, further, however, that the president  
45 of the higher education services corporation and the commissioner of  
46 education shall notify the legislative bill drafting commission upon the  
47 occurrence of the issuance of the regulations and the development of an  
48 application form in order that the commission may maintain an accurate  
49 and timely effective data base of the official text of the laws of the  
50 state of New York in furtherance of effectuating the provisions of  
51 section 44 of the legislative law and section 70-b of the public offi-  
52 cers law.