

# STATE OF NEW YORK

4451--A

2017-2018 Regular Sessions

## IN SENATE

February 15, 2017

Introduced by Sen. SAVINO -- read twice and ordered printed, and when printed to be committed to the Committee on Aging -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the public health law, in relation to specifying procedures for the closure and/or decertification of assisted living residences

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Title 2 of article 46-B of the public health law is amended  
2 by adding a new section 4653-a to read as follows:

3 § 4653-a. Closure of assisted living residences. 1. In the event  
4 that an operator of an assisted living residence elects to close and to  
5 surrender an operating certificate and/or certification as an enhanced  
6 or special needs assisted living residence, the following provisions  
7 shall apply:

8 (a) The operator shall notify the appropriate regional office of the  
9 department in writing prior to the anticipated date of closure of the  
10 assisted living residence and/or the decertification of such assisted  
11 living residence.

12 (b) Such written notice shall include a proposed plan for closure  
13 and/or decertification. The plan shall be subject to the approval of the  
14 commissioner, shall include timetables for all steps entailed in the  
15 closure process and shall describe the procedures and actions the opera-  
16 tor will take to:

17 (i) notify residents and the residents' representatives of the  
18 closure, and/or decertification, including provisions for termination of  
19 admission agreements and involuntary discharge;

20 (ii) assess the needs and preferences of individual residents;

21 (iii) assist residents in relocating and transferring to appropriate  
22 alternative settings; and

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 (iv) maintain compliance with approved plan until all residents have  
2 relocated.

3 2. (a) The operator shall take no action to close the assisted living  
4 residence prior to approval from the commissioner of the plan for  
5 closure and/or decertification.

6 (b) The operator shall not close the assisted living residence until  
7 all residents thereof have transferred to appropriate alternative  
8 settings.

9 (c) The operator shall not increase the amount of any rent, fees or  
10 other surcharges imposed upon the residents of the assisted living resi-  
11 dence, their residents' representatives, and/or any applicable health  
12 insurance plan, long term care plan or other insurance plan providing  
13 payment to the residence on behalf of the resident prior to the approval  
14 of the plan for closure and/or decertification by the commissioner.

15 (d) The operator shall not accept new residents or applications for  
16 residency after the operator has notified the appropriate regional  
17 office of the department that the operator intends to close and/or  
18 decertify the assisted living residence.

19 3. As part of the final approval of the closure plan, the department,  
20 center for health care quality and surveillance and operator shall agree  
21 upon a target closure date, which shall be at least one hundred twenty  
22 days from the actual date that the operator provides written notifica-  
23 tion to the residents and the residents' representatives of the closure.  
24 In providing notification of such target closure date, the operator  
25 shall also notify residents and the residents' representatives that  
26 additional time will be provided to residents who make good faith  
27 efforts, as determined by the commissioner, to secure an alternative  
28 setting and have demonstrated a reasonable basis for needing more than  
29 one hundred twenty days to transfer to an appropriate alternative  
30 setting, so long as it remains safe and appropriate to reside in the  
31 assisted living residence at such time.

32 4. The operator shall implement the approved plan to ensure that  
33 arrangements for continued care which meet each resident's social,  
34 emotional and health care needs are effectuated prior to closure and/or  
35 decertification.

36 5. Failure to notify the department of intent to cease operations,  
37 failure to submit a plan for closure and/or decertification, failure to  
38 execute the approved plan for closure and/or decertification and closing  
39 an assisted living residence before all residents have been appropriate-  
40 ly relocated, shall result in the imposition of civil penalties in  
41 accordance with section twelve of this chapter.

42 6. The commissioner may promulgate such rules and regulations as he or  
43 she deems necessary to implement the provisions of this section.

44 § 2. This act shall take effect on the first of January next succeed-  
45 ing the date on which it shall have become a law, and shall apply to all  
46 closures of assisted living residences occurring on or after such effec-  
47 tive date and to all closures of assisted living residences pending such  
48 effective date; provided, however, that effective immediately, the addi-  
49 tion, amendment and/or repeal of any rule or regulation necessary for  
50 the implementation of this act on its effective date are authorized and  
51 directed to be made and completed on or before such effective date.