

STATE OF NEW YORK

3340

2017-2018 Regular Sessions

IN SENATE

January 20, 2017

Introduced by Sens. BAILEY, ADDABBO, AVELLA, BOYLE, BRESLIN, CARLUCCI, COMRIE, DIAZ, DILAN, GIANARIS, HAMILTON, HOYLMAN, KENNEDY, KLEIN, KRUEGER, LATIMER, MONTGOMERY, PARKER, PERALTA, PERKINS, RIVERA, SANDERS, SAVINO, SERRANO, SQUADRON, STAVISKY, STEWART-COUSINS -- read twice and ordered printed, and when printed to be committed to the Committee on Codes

AN ACT to amend the penal law and the criminal procedure law, in relation to sentencing and resentencing in domestic violence cases

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. Section 60.12 of the penal law, as added by chapter 1 of the laws of 1998, is amended to read as follows:

§ 60.12 Authorized disposition; alternative [~~indeterminate~~] sentence [~~of imprisonment~~]; domestic violence cases.

1. Notwithstanding any other provision of law, where a court is imposing sentence upon a person pursuant to section 70.00, 70.02 [~~upon a conviction for an offense enumerated in subdivision one of such section~~], 70.06 or subdivision two or three of section 70.71 of this title, other than for an offense defined in [~~article one hundred thirty of this chapter~~] section 125.26, 125.27, subdivision five of section 125.25, or article 490 of this chapter, or for an offense which would require such person to register as a sex offender pursuant to article six-C of the correction law, an attempt or conspiracy to commit any such offense, and is authorized or required pursuant to [~~such section~~] sections 70.00, 70.02, 70.06 or subdivision two or three of section 70.71 of this title to impose a [~~determinate~~] sentence of imprisonment [~~for such offense~~], the court, upon a determination following a hearing that (a) at the time of the instant offense, the defendant was [~~the~~] a victim of domestic violence subjected to substantial physical, sexual or psychological abuse [~~by the victim or intended victim of such offense,~~] inflicted by a member of the same family or household as the defendant

EXPLANATION--Matter in italics (underscored) is new; matter in brackets [~~-~~] is old law to be omitted.

LBD08333-01-7

as such term is defined in subdivision one of section 530.11 of the criminal procedure law; (b) such abuse was a significant contributing factor ~~[in causing the defendant to commit such offense and]~~ to the defendant's criminal behavior; (c) ~~[the victim or intended victim of such offense was a member of the same family or household as the defendant as such term is defined in subdivision one of section 530.11 of the criminal procedure law, may, in lieu of imposing such determinate sentence of imprisonment, impose an indeterminate sentence of imprisonment in accordance with subdivisions two and three of this section.]~~ having regard for the nature and circumstances of the crime and the history, character and condition of the defendant, that a sentence of imprisonment pursuant to section 70.00, 70.02 or 70.06 of this title would be unduly harsh may instead impose a sentence in accordance with this section.

A court may determine that such abuse constitutes a significant contributing factor pursuant to paragraph (b) of this subdivision regardless of whether the defendant raised a defense pursuant to article thirty-five, article forty, or subdivision one of section 125.25 of this chapter.

At the hearing to determine whether the defendant should be sentenced pursuant to this section, the court shall consider oral and written arguments, take testimony from witnesses offered by either party, and consider relevant evidence to assist in making its determination. Reliable hearsay shall be admissible at such hearings.

2. ~~[The maximum term of an indeterminate sentence imposed pursuant to subdivision one of this section must be fixed by the court as follows.]~~ Where a court would otherwise be required to impose a sentence pursuant to section 70.02 of this title, the court may impose a definite sentence of imprisonment of one year or less, or probation in accordance with the provisions of section 65.00 of this title, or may fix a determinate term of imprisonment as follows:

(a) For a class B felony, the term must be at least ~~[six years]~~ one year and must not exceed ~~[twenty-five]~~ five years;

(b) For a class C felony, the term must be at least ~~[four and one-half years]~~ one year and must not exceed ~~[fifteen]~~ three and one-half years;

(c) For a class D felony, the term must be at least ~~[three years]~~ one year and must not exceed ~~[seven]~~ two years; and

(d) For a class E felony, the term must be ~~[at least three years]~~ one year and must not exceed ~~[four]~~ one and one-half years.

3. ~~[The minimum period of imprisonment under an indeterminate sentence imposed pursuant to subdivision one of this section must be fixed by the court at one-half of the maximum term imposed and must be specified in the sentence]~~ Where a court would otherwise be required to impose a sentence for a class A felony offense pursuant to section 70.00 of this title, the court may fix a determinate term of imprisonment of at least five years and not to exceed fifteen years.

4. Where a court would otherwise be required to impose a sentence for a class A felony offense pursuant to subparagraph (i) of paragraph (b) of subdivision two of section 70.71 of this title, the court may fix a determinate term of imprisonment of at least five years and not to exceed eight years.

5. Where a court would otherwise be required to impose a sentence for a class A felony offense pursuant to subparagraph (i) of paragraph (b) of subdivision three of section 70.71 of this title, the court may fix a determinate term of imprisonment of at least five years and not to exceed twelve years.

6. Where a court would otherwise be required to impose a sentence for a class A felony offense pursuant to subparagraph (ii) of paragraph (b) of subdivision two of section 70.71 of this title, the court may fix a determinate term of imprisonment of at least one year and not to exceed three years.

7. Where a court would otherwise be required to impose a sentence for a class A felony offense pursuant to subparagraph (ii) of paragraph (b) of subdivision three of section 70.71 of this title, the court may fix a determinate term of imprisonment of at least three years and not to exceed six years.

8. Where a court would otherwise be required to impose a sentence pursuant to subdivision six of section 70.06 of this title, the court may fix a term of imprisonment as follows:

(a) For a class B felony, the term must be at least three years and must not exceed eight years;

(b) For a class C felony, the term must be at least two and one-half years and must not exceed five years;

(c) For a class D felony, the term must be at least two years and must not exceed three years;

(d) For a class E felony, the term must be at least one and one-half years and must not exceed two years.

9. Where a court would otherwise be required to impose a sentence for a class B, C, D or E felony offense pursuant to section 70.00 of this title, the court may impose a sentence in accordance with the provisions of subdivision two of section 70.70 of this title.

10. Except as provided in subdivision seven of this section, where a court would otherwise be required to impose a sentence pursuant to subdivision three of section 70.06 of this title, the court may impose a sentence in accordance with the provisions of subdivision three of section 70.70 of this title.

11. Where a court would otherwise be required to impose a sentence pursuant to subdivision three of section 70.06 of this title, where the prior felony conviction was for a felony offense defined in section 70.02 of this title, the court may impose a sentence in accordance with the provisions of subdivision four of section 70.70 of this title.

§ 2. Paragraphs (a), (b), (c), (d), (e) and (f) of subdivision 2 of section 70.45 of the penal law, as amended by chapter 7 of the laws of 2007, are amended to read as follows:

(a) such period shall be one year whenever a determinate sentence of imprisonment is imposed pursuant to subdivision two of section 70.70 of this article or subdivision nine of section 60.12 of this title upon a conviction of a class D or class E felony offense;

(b) such period shall be not less than one year nor more than two years whenever a determinate sentence of imprisonment is imposed pursuant to subdivision two of section 70.70 of this article or subdivision nine of section 60.12 of this title upon a conviction of a class B or class C felony offense;

(c) such period shall be not less than one year nor more than two years whenever a determinate sentence of imprisonment is imposed pursuant to subdivision three or four of section 70.70 of this article upon conviction of a class D or class E felony offense or subdivision ten of section 60.12 of this title;

(d) such period shall be not less than one and one-half years nor more than three years whenever a determinate sentence of imprisonment is imposed pursuant to subdivision three or four of section 70.70 of this

1 article upon conviction of a class B felony or class C felony offense[+] or subdivision eleven of section 60.12 of this title;

2 (e) such period shall be not less than one and one-half years nor more
3 than three years whenever a determinate sentence of imprisonment is
4 imposed pursuant to subdivision three of section 70.02 of this article
5 or subdivision two or eight of section 60.12 of this title upon a
6 conviction of a class D or class E violent felony offense or subdivision
7 four, five, six, or seven of section 60.12 of this title;

8 (f) such period shall be not less than two and one-half years nor more
9 than five years whenever a determinate sentence of imprisonment is
10 imposed pursuant to subdivision three of section 70.02 of this article
11 or subdivision two or eight of section 60.12 of this title upon a
12 conviction of a class B or class C violent felony offense.

13 § 3. The criminal procedure law is amended by adding a new section
14 440.47 to read as follows:

15 § 440.47 Motion for resentence; domestic violence cases.

16 1. (a) Notwithstanding any contrary provision of law, any person
17 confined in an institution operated by the department of correction and
18 community supervision serving a sentence with a minimum or determinate
19 term of eight years or more for an offense committed prior to the effec-
20 tive date of this section and eligible for an alternative sentence
21 pursuant to section 60.12 of the penal law may, on or after such effec-
22 tive date, submit to the judge or justice who imposed the original
23 sentence upon such person a request to apply for resentencing in accord-
24 ance with section 60.12 of the penal law. Such person must include in
25 his or her request documentation proving that she or he is confined in
26 an institution operated by the department of corrections and community
27 supervision serving a sentence with a minimum or determinate term of
28 eight years or more for an offense committed prior to the effective date
29 of this section and that she or he is serving such sentence for any
30 offense eligible for an alternative sentence under section 60.12 of the
31 penal law.

32 (b) If, at the time of such person's request to apply for resentencing
33 pursuant to this section, the original sentencing judge or justice is a
34 judge or justice of a court of competent jurisdiction, but such court is
35 not the court in which the original sentence was imposed, then the
36 request shall be randomly assigned to another judge or justice of the
37 court in which the original sentence was imposed. If the original
38 sentencing judge is no longer a judge or justice of a court of competent
39 jurisdiction, then the request shall be randomly assigned to another
40 judge or justice of the court.

41 (c) If the court finds that such person has met the requirements to
42 apply for resentencing in paragraph (a) of this subdivision, the court
43 shall notify such person that he or she may submit an application for
44 resentencing. Upon such notification, the person may request that the
45 court assign him or her an attorney for the preparation of and
46 proceedings on the application for resentencing pursuant to this
47 section. The attorney shall be assigned in accordance with the
48 provisions of subdivision one of section seven hundred seventeen and
49 subdivision four of section seven hundred twenty-two of the county law
50 and the related provisions of article eighteen-A of such law.

51 (d) If the court finds that such person has not met the requirements
52 to apply for resentencing in paragraph (a) of subdivision one of this
53 section, the court shall notify such person and dismiss his or her
54 request without prejudice.
55

1 2. (a) Upon the court's receipt of an application for resentencing,
2 the court shall promptly notify the appropriate district attorney and
3 provide such district attorney with a copy of the application.

4 (b) If the judge or justice that received the application is not the
5 original sentencing judge or justice, the application may be referred to
6 the original sentencing judge or justice provided that he or she is a
7 judge or justice of a court of competent jurisdiction and that the
8 applicant and the district attorney agree that the application should be
9 referred.

10 (c) An application for resentencing pursuant to this section must
11 include at least two pieces of evidence corroborating the applicant's
12 claim that he or she was, at the time of the offense, a victim of domes-
13 tic violence subjected to substantial physical, sexual or psychological
14 abuse inflicted by a member of the same family or household as the
15 applicant as such term is defined in subdivision one of section 530.11
16 of this chapter.

17 At least one piece of evidence must be either a court record, pre-sen-
18 tence report, social services record, hospital record, sworn statement
19 from a witness to the domestic violence, law enforcement record, domes-
20 tic incident report, or order of protection. Other evidence may
21 include, but shall not be limited to, local and state department of
22 corrections records, a showing based in part on documentation prepared
23 at or near the time of the commission of the offense or the prosecution
24 thereof tending to support the person's claim, or when there is verifi-
25 cation of consultation with a licensed medical or mental health care
26 provider, employee of a court acting within the scope of his or her
27 employment, member of the clergy, attorney, social worker, or rape
28 crisis counselor as defined in section forty-five hundred ten of the
29 civil practice law and rules, or other advocate acting on behalf of an
30 agency that assists victims of domestic violence for the purpose of
31 assisting such person with domestic violence victim counseling or
32 support.

33 (d) If the court finds that the applicant has not complied with the
34 provisions of paragraph (c) of this subdivision, the court shall dismiss
35 the application without prejudice.

36 (e) If the court finds that the applicant has complied with the
37 provisions of paragraph (c) of this subdivision, the court shall conduct
38 a hearing to aid in making its determination of whether the applicant
39 should be resentenced in accordance with section 60.12 of the penal law.
40 At such hearing the court shall determine any controverted issue of fact
41 relevant to the issue of sentencing. Reliable hearsay shall be admissi-
42 ble at such hearings.

43 The court may consider any fact or circumstances relevant to the impo-
44 sition of a new sentence which are submitted by the applicant or the
45 district attorney and may, in addition, consider the institutional
46 record of confinement of such person, but shall not order a new pre-sen-
47 tence investigation and report or entertain any matter challenging the
48 underlying basis of the subject conviction. The court's consideration of
49 the institutional record of confinement of such applicant shall include,
50 but not be limited to, such applicant's participation in or willingness
51 to participate in programming such as domestic violence, parenting and
52 substance abuse treatment while incarcerated and such applicant's disci-
53 plinary history. The fact that the applicant may have been unable to
54 participate in treatment or other programming while incarcerated despite
55 such applicant's willingness to do so shall not be considered a negative
56 factor in determining a motion pursuant to this section.

1 (f) If the court determines that the applicant should not be resen-
2 tenced in accordance with section 60.12 of the penal law, the court
3 shall inform such applicant of its decision and shall enter an order to
4 that effect. Any order issued by a court pursuant to this section must
5 include written findings of fact and the reasons for such order.

6 (g) If the court determines that the applicant should be resentenced
7 in accordance with section 60.12 of the penal law, the court shall noti-
8 fy the applicant that, unless he or she withdraws the application or
9 appeals from such order, the court will enter an order vacating the
10 sentence originally imposed and imposing the new sentence to be imposed
11 as authorized by section 60.12 of the penal law. Any order issued by a
12 court pursuant to this section must include written findings of fact and
13 the reasons for such order.

14 3. An appeal may be taken as of right in accordance with applicable
15 provisions of this chapter: (a) from an order denying resentencing; or
16 (b) from a new sentence imposed under this provision and may be based on
17 the grounds that (i) the term of the new sentence is harsh or excessive;
18 or (ii) that the term of the new sentence is unauthorized as a matter of
19 law. An appeal in accordance with the applicable provisions of this
20 chapter may also be taken as of right by the applicant from an order
21 specifying and informing such applicant of the term of the determinate
22 sentence the court would impose upon resentencing on the ground that the
23 term of the proposed sentence is harsh or excessive; upon remand to the
24 sentencing court following such appeal the applicant shall be given an
25 opportunity to withdraw an application for resentencing before any
26 resentence is imposed. The applicant may request that the court assign
27 him or her an attorney for the preparation of and proceedings on any
28 appeals regarding his or her application for resentencing pursuant to
29 this section. The attorney shall be assigned in accordance with the
30 provisions of subdivision one of section seven hundred seventeen and
31 subdivision four of section seven hundred twenty-two of the county law
32 and the related provisions of article eighteen-A of such law.

33 4. In calculating the new term to be served by the applicant pursuant
34 to section 60.12 of the penal law, such applicant shall be credited for
35 any jail time credited towards the subject conviction as well as any
36 period of incarceration credited toward the sentence originally imposed.

37 § 4. Subdivision 1 of section 450.90 of the criminal procedure law, as
38 amended by section 10 of part AAA of chapter 56 of the laws of 2009, is
39 amended to read as follows:

40 1. Provided that a certificate granting leave to appeal is issued
41 pursuant to section 460.20, an appeal may, except as provided in subdi-
42 vision two, be taken to the court of appeals by either the defendant or
43 the people from any adverse or partially adverse order of an intermedi-
44 ate appellate court entered upon an appeal taken to such intermediate
45 appellate court pursuant to section 450.10, 450.15, or 450.20, or from
46 an order granting or denying a motion to set aside an order of an inter-
47 mediate appellate court on the ground of ineffective assistance or
48 wrongful deprivation of appellate counsel, or by either the defendant or
49 the people from any adverse or partially adverse order of an intermedi-
50 ate appellate court entered upon an appeal taken to such intermediate
51 appellate court from an order entered pursuant to section 440.46 or
52 section 440.47 of this chapter. An order of an intermediate appellate
53 court is adverse to the party who was the appellant in such court when
54 it affirms the judgment, sentence or order appealed from, and is adverse
55 to the party who was the respondent in such court when it reverses the
56 judgment, sentence or order appealed from. An appellate court order

1 which modifies a judgment or order appealed from is partially adverse to
2 each party.

3 § 5. Paragraph (a) of subdivision 2 of section 390.50 of the criminal
4 procedure law, as amended by section 5 of part OO of chapter 56 of the
5 laws of 2010, is amended to read as follows:

6 (a) Not less than one court day prior to sentencing, unless such time
7 requirement is waived by the parties, the pre-sentence report or memo-
8 randum shall be made available by the court for examination and for
9 copying by the defendant's attorney, the defendant himself, if he has no
10 attorney, and the prosecutor. In its discretion, the court may except
11 from disclosure a part or parts of the report or memoranda which are not
12 relevant to a proper sentence, or a diagnostic opinion which might seri-
13 ously disrupt a program of rehabilitation, or sources of information
14 which have been obtained on a promise of confidentiality, or any other
15 portion thereof, disclosure of which would not be in the interest of
16 justice. In all cases where a part or parts of the report or memoranda
17 are not disclosed, the court shall state for the record that a part or
18 parts of the report or memoranda have been excepted and the reasons for
19 its action. The action of the court excepting information from disclo-
20 sure shall be subject to appellate review. The pre-sentence report shall
21 be made available by the court for examination and copying in connection
22 with any appeal in the case, including an appeal under this subdivision.
23 Upon written request, the court shall make a copy of the presentence
24 report, other than a part or parts of the report redacted by the court
25 pursuant to this paragraph, available to the defendant for use before
26 the parole board for release consideration or an appeal of a parole
27 board determination or an application for resentencing pursuant to
28 section 440.46 or 440.47 of this chapter. In his or her written request
29 to the court the defendant shall affirm that he or she anticipates an
30 appearance before the parole board or intends to file an administrative
31 appeal of a parole board determination or meets the eligibility criteria
32 for and intends to file a motion for resentencing pursuant to 440.46 of
33 this chapter or has received notification from the court which received
34 his or her request to apply for resentencing pursuant to section 440.47
35 of this chapter confirming that he or she is eligible to submit an
36 application for resentencing pursuant to section 440.47 of this chapter.
37 The court shall respond to the defendant's written request within twenty
38 days from receipt of the defendant's written request.

39 § 6. This act shall take effect immediately; provided, however, that
40 sections one and two of this act shall apply to offenses committed on,
41 after and prior to such effective date where the sentence for such
42 offense has not yet been imposed; provided, further that sections three,
43 four and five of this act shall take effect on the ninetieth day after
44 it shall have become a law.