

# STATE OF NEW YORK

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## IN ASSEMBLY

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Introduced by M. of A. TITUS, SEAWRIGHT, WEPRIN, BICHOTTE, ORTIZ, TITONE, FAHY, O'DONNELL, BENEDETTO, MOSLEY, SKOUFIS, GALEF, THIELE, ABINANTI, HYNDMAN, BLAKE, STECK, HARRIS, PICHARDO, GJONAJ, ZEBROWSKI, PEOPLES-STOKES, JEAN-PIERRE, GUNTHER, RAIA, LUPINACCI, FINCH, PALUMBO, McDONOUGH, MONTESANO, GOTTFRIED, JAFFEE, SANTABARBARA, MALLIOTAKIS, SIMOTAS, BRONSON, JOYNER, HIKIND, QUART, CRESPO, COLTON, SIMANOWITZ, BARNWELL, WALKER, MURRAY, CUSICK, OTIS, RYAN, AUBRY, RICHARDSON, ROSENTHAL, MAGEE, NIOU, DILAN, SEPULVEDA, WALTER, BRAUNSTEIN, MAYER, PHEFFER AMATO, ARROYO -- Multi-Sponsored by -- M. of A. BUCHWALD, COOK, CROUCH, CURRAN, DenDEKKER, DINOWITZ, ENGLEBRIGHT, FARRELL, GIGLIO, GLICK, GOODELL, GRAF, HAWLEY, HEVESI, HOOPER, LUPARDO, MAGNARELLI, McDONALD, RA, RAMOS, RODRIGUEZ, SIMON -- read once and referred to the Committee on Labor -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- again reported from said committee with amendments, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the labor law and the state finance law, in relation to requiring the licensing of persons engaged in the design, construction, inspection, maintenance, alteration, and repair of elevators and other automated people moving devices

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The labor law is amended by adding a new article 33 to read  
2 as follows:

### ARTICLE 33

#### ELEVATORS AND OTHER CONVEYANCES; LICENSING

#### Section 950. Legislative findings and declaration.

##### 951. Application.

##### 952. Definitions.

##### 953. Licensing, permit, registration and compliance requirements.

EXPLANATION--Matter in italics (underscored) is new; matter in brackets [-] is old law to be omitted.

LBD01705-07-7

1       954. License and permit procedure.

2       955. Qualifications, training and continuing education.

3       956. Powers of the commissioner.

4       957. New York state elevator safety and standards board.

5       958. Exempt persons.

6       § 950. Legislative findings and declaration. The legislature hereby  
7 finds that the use of unsafe and defective elevators and other automated  
8 people moving conveyances may expose the public to unsafe conditions and  
9 increase the risk of injury. The legislature finds that improper design,  
10 construction, maintenance and repair of such conveyances is preventable  
11 by requiring proper training of persons employed to perform work on  
12 elevators and other automated people moving conveyances and by requiring  
13 the licensing of contractors and the certification of individuals  
14 involved in elevator and other automated people moving conveyances  
15 projects.

16       Nothing in this article is intended to create, expand, diminish,  
17 limit, impair, or supersede any rights under current law, rule, or regu-  
18 lation, or resulting from a determination of a court or the national  
19 labor relations board with regard to building trades and the work of  
20 such building trade. Nor is it intended to abrogate any rights or duties  
21 under any contract with regard to building trades and the work of such  
22 building trade.

23       § 951. Application. 1. The design, construction, erection, installa-  
24 tion, inspection, testing, maintenance, alteration, service, and repair  
25 of the following equipment are covered by this article:

26       (a) hoisting and lowering mechanisms equipped with a car or platform  
27 which moves between two or more landings. This equipment includes, but  
28 is not limited to elevators, platform lifts and stairway chair lifts;

29       (b) power driven stairways and walkways for carrying persons between  
30 landings. This equipment includes, but is not limited to, escalators  
31 and moving walks;

32       (c) hoisting and lowering mechanisms equipped with a car, which serves  
33 two or more landings and is restricted to the carrying of material by  
34 its limited size or limited access to the car. This equipment includes,  
35 but is not limited to, dumbwaiters, material lifts, and dumbwaiters with  
36 automatic transfer devices as defined in section nine hundred fifty-two  
37 of this article; and

38       (d) automatic guided transit vehicles on guideways with an exclusive  
39 right of way. This equipments includes, but is not limited to, auto-  
40 mated people movers.

41       2. The following equipment is not covered by this article:

42       (a) material hoists;

43       (b) manlifts;

44       (c) mobile scaffolds, towers, and platforms;

45       (d) powered platforms and equipment for exterior and interior mainte-  
46 nance;

47       (e) conveyor and related equipment;

48       (f) cranes, derricks, hoists, hooks, jacks and slings;

49       (g) industrial trucks;

50       (h) portable equipment, except for portable escalators;

51       (i) tiering and piling machines used to move materials to and from  
52 storage located and operating entirely within one story;

53       (j) equipment for feeding or positioning materials including, but not  
54 limited to, machine tools and printing presses;

55       (k) skip or furnace hoists;

56       (l) wharf ramps;

1 (m) railroad car lifts or dumpers;

2 (n) line jacks, false cars, shafters, moving platforms and similar  
3 equipment used for installing an elevator by a contractor licensed in  
4 this state.

5 3. The licensing, permitting and certification provisions of this  
6 article shall not apply to the owners or lessees of private residences  
7 who design, erect, construct, install, alter, repair, service or main-  
8 tain conveyances that are located or will be located in such owner or  
9 lessee's private residence. However, any person hired to design, erect,  
10 construct, install, alter, repair, service, maintain, or perform any  
11 other work related to such conveyances must comply with the provisions  
12 of this article.

13 4. No license shall be required for the removal or dismantling of  
14 conveyances.

15 5. The provisions of this article and the rules adopted pursuant ther-  
16 eto shall be the minimum standard required and shall supersede any  
17 special law or local ordinance inconsistent therewith, and no local  
18 ordinance inconsistent therewith shall be adopted, but nothing herein  
19 contained shall prevent the enactment by local law or ordinance of addi-  
20 tional requirements and restrictions.

21 § 952. Definitions. As used in this article, the following terms shall  
22 have the following definitions:

23 1. "Automated people mover" means a guided transit mode with fully  
24 automated operation, featuring vehicles that operate on guideways with  
25 exclusive right-of-way.

26 2. "Board" means the New York state elevator safety and standards  
27 board established by section nine hundred fifty-seven of this article.

28 3. "Certificate of operation" means a document issued by the commis-  
29 sioner that indicates that the elevator or related conveyance has had  
30 the required safety inspection and tests and that the fees required by  
31 this article have been paid.

32 4. "Temporary certificate of operation" means a document issued by the  
33 commissioner which permits the temporary use of a non-compliant elevator  
34 or related conveyance by the general public for a limited time, not to  
35 exceed thirty days, while minor repairs are being completed.

36 5. "Conveyance" means any elevator, dumbwaiter, escalator, moving  
37 sidewalk, platform lifts, stairway chairlifts and automated people  
38 movers.

39 6. "Dormant elevator, dumbwaiter, or escalator" means an installation  
40 placed out of service under the following circumstances: (a) when an  
41 installation's power has been disconnected and (i) when an electric  
42 elevator, dumbwaiter, or material lift whose suspension ropes have been  
43 removed, whose car and counterweight rest at the bottom of the hoistway,  
44 and whose hoistway doors have been permanently barricaded or sealed in  
45 the closed position on the hoistway side; or (ii) a hydraulic elevator,  
46 dumbwaiter, or material lift whose car rests at the bottom of the hoist-  
47 way and whose doors are permanently barricaded or sealed; or (iii) an  
48 escalator or moving walk whose entrances have been permanently barrica-  
49 ded; or (b) as determined by state or local law, code, rule, or regu-  
50 lations.

51 7. "Elevator" means a hoisting and lowering mechanism, equipped with a  
52 car, that moves within guides and serves two or more landings.

53 8. "Elevator contractor" means, a public corporation, or instrumentality  
54 of a public corporation, self-employed person, company, unincorpor-  
55 ated association, firm, partnership, limited liability company, corpo-  
56 ration, or any other entity, or any owner or operator of any of the

1 foregoing entities, who possesses an elevator contractor's license in  
2 accordance with the provisions of sections nine hundred fifty-three and  
3 nine hundred fifty-four of this article and is engaged in the business  
4 of designing, erecting, constructing, installing, altering, repairing,  
5 servicing or maintaining elevators or other automated people moving  
6 conveyances covered by this article.

7 9. "Elevator helper/apprentice/assistant mechanic" means any person  
8 who works under the general direction of a licensed elevator mechanic.

9 10. "Elevator inspector" means any person who possesses an elevator  
10 inspector's license in accordance with the provisions of this article.

11 11. "Elevator mechanic" means any person who possesses an elevator  
12 mechanic's license in accordance with the provisions of this article.

13 12. "Escalator" means power-driven, inclined, continuous stairway used  
14 for raising or lowering passengers.

15 13. "Existing installation" means an installation that has been  
16 completed or is under construction prior to the effective date of this  
17 article.

18 14. "License" means a license duly issued by the commissioner, author-  
19 izing the design, erection, construction, installation, alteration,  
20 repair, service, maintenance, or inspection of elevators or other  
21 conveyances covered by this article.

22 15. "Elevator contractor's license" means a license which entitles the  
23 holder thereof to engage in the business of designing, erecting,  
24 constructing, installing, altering, repairing, servicing or maintaining  
25 conveyances covered by this article.

26 16. "Elevator inspector's license" means a license which entitles the  
27 holder thereof to engage in the business of inspecting or testing  
28 conveyances covered by this article.

29 17. "Elevator mechanic's license" means a license which entitles the  
30 holder thereof to install, construct, alter, service, repair, test,  
31 maintain, and perform work on conveyances or other automated people  
32 movers covered by this article.

33 18. "Moving walk/sidewalk" means a type of passenger-carrying device  
34 on which passengers stand or walk, and in which the passenger-carrying  
35 surface remains parallel to its direction of motion and is uninter-  
36 rupted.

37 19. "Permit" means a document issued by the commissioner prior to the  
38 commencement of work that permits a conveyance to be erected,  
39 constructed, installed, or altered under plans approved by the commis-  
40 sioner pursuant to this article.

41 20. "Person" means any natural person.

42 21. "Private residence" means a separate dwelling or a separate apart-  
43 ment in a multiple dwelling, which is occupied by members of a single  
44 family unit.

45 22. "Repair" means reconditioning or renewal of parts, components,  
46 and/or subsystems necessary to keep equipment in compliance with appli-  
47 cable code requirements.

48 23. "Alteration" means any change to equipment, including its parts,  
49 components, and/or subsystems, other than maintenance, repair, or  
50 replacement, but shall not include the professional services of engi-  
51 neering or architecture as defined in sections seventy-two hundred one  
52 and seventy-three hundred one of the education law.

53 24. "Design" means the act or process of planning the repair, alter-  
54 ation or construction of any conveyance, but shall not include the  
55 professional services of engineering or architecture as defined in

1 sections seventy-two hundred one and seventy-three hundred one of the  
2 education law.

3 25. "Construction" means the act or process of constructing any  
4 conveyance.

5 26. "Inspection" means a critical examination, observation or evalu-  
6 ation of quality and code compliance of any conveyance.

7 27. "Testing" means a process or trial of operation of any conveyance.

8 28. "Maintenance" means a process of routine examination, lubrication,  
9 cleaning, and adjustment of parts, components, and/or subsystems for the  
10 purpose of ensuring performance in accordance with any applicable code  
11 requirements.

12 29. "Service or servicing" means a service call or other unscheduled  
13 visit, not including routine maintenance or a repair, from a licensed  
14 elevator mechanic to troubleshoot, adjust or repair an improperly func-  
15 tioning or an otherwise shut down conveyance.

16 30. "Temporarily dormant elevator, dumbwaiter, or escalator" means an  
17 installation temporarily placed out of service under the following  
18 circumstances: (a) (i) when such installation's power supply has been  
19 disconnected; and (ii) the car is parked and any doors are closed and  
20 latched; and (iii) a wire seal is installed on the mainline disconnect  
21 switch by a licensed elevator inspector; or (b) as determined by state  
22 or local law, code, rule, or regulation.

23 31. "Erect" means to vertically construct or connect any conveyance or  
24 part or system thereof.

25 32. "Installation" means to place or fix any conveyance or part or  
26 system thereof, in position for operation.

27 Temporarily dormant installations shall not be used until such instal-  
28 lation has been restored to a safe running order and is in condition  
29 suitable for use in accordance with all applicable laws, codes, rules  
30 and regulations. Such temporarily dormant installation shall be subject  
31 to continued inspections for the duration of the "temporarily dormant"  
32 status by a licensed elevator inspector. Such inspector shall file a  
33 report with the commissioner describing the conditions of such temporar-  
34 ily dormant installation. The report shall be filed annually or more or  
35 less frequent as determined by the commissioner. "Temporarily dormant"  
36 status shall be renewable on an annual basis, but shall not exceed a  
37 five-year period.

38 No person shall remove the wire seal and padlock for any purpose with-  
39 out the express permission of the elevator inspector.

40 § 953. Licensing, permit, registration and compliance requirements. 1.  
41 Except as otherwise provided for in subdivisions three and four of  
42 section nine hundred fifty-one of this article, it shall be a violation  
43 of this article for any elevator contractor to design, erect, construct,  
44 install, alter, replace, service, or maintain, any conveyance contained  
45 within buildings or structures in this state unless such elevator  
46 contractor holds an elevator contractor's license.

47 2. Except as otherwise provided for in subdivisions three and four of  
48 section nine hundred fifty-one of this article, it shall be a violation  
49 of this article for any person to wire any conveyance, from the mainline  
50 feeder terminals on the controller, in this state unless such person has  
51 an elevator mechanic's license and is working under the direct super-  
52 vision of a licensed elevator contractor pursuant to this article. No  
53 other license shall be required for this work, excluding the installa-  
54 tion of branch circuits and wiring terminations for machine room and pit  
55 lighting, receptacles and HVAC as described in the NFPA National Elec-  
56 tric Code 620.23 and 620.24 as well as fire and heat detectors and

alarms, may be performed by a licensed electrical contractor. Additionally, within New York city, the installation of branch circuits and wiring terminations for the car fan, lights and receptacles, as described in the NFPA National Electric Code 620.22, and intercoms and voice communications as well as signal equipment or systems, as defined in NFPA Article 620.2, that is not directly associated with the operation or safety of any conveyance, may be performed by a licensed electrical contractor.

3. Except as otherwise provided for in subdivision three of section nine hundred fifty-one of this article, it shall be a violation of this article for any person to inspect or test any conveyance within buildings or structures unless such person holds an elevator inspector's license.

4. Except as otherwise provided for in subdivisions three and four of section nine hundred fifty-one of this article, it shall be a violation of this article for any elevator contractor to erect, construct, install, or alter conveyances within buildings or structures within this state unless a permit therefor has been issued by the commissioner before work is commenced. No permit shall be issued except to a person holding a valid elevator contractor's license. A copy of such permit shall be kept at the construction site at all times while the work is in progress.

5. Except as otherwise provided in subdivision three of section nine hundred fifty-one of this article, all new conveyance installations shall be performed by an elevator contractor licensed to install such conveyance. Subsequent to installation, the elevator contractor must certify compliance to the commissioner with the applicable sections of this article as well as any other applicable law, rule, regulation or code. Prior to such conveyances being used, the property owner or lessee must obtain a certificate of operation from the commissioner. A fee, as set forth in this article, shall be paid for such certificate of operation, however, no such fee shall be required for conveyances in private residences. It is the responsibility of the licensed elevator contractor to complete and submit registrations for new installations. A certificate of operation shall be valid for one year, except for certificates issued for platform and stairway chairlifts for private residences, which shall be valid for a period of three years. Certificates of operation must be clearly and conspicuously displayed on, in or around each conveyance and be accessible to the state or locality inspecting or enforcing any applicable law, rule, regulation or code.

6. Except as otherwise provided in subdivision three of section nine hundred fifty-one of this article, the certificate of operation for newly installed platform lifts and stairway chairlifts for private residences shall be issued only subsequent to an inspection by a licensed third party inspection firm. The certificate of operation fee for all new and existing platform and stairway chairlifts for private residences and any renewal certificate fees are hereby waived. The inspection of private residence platform and stairway chairlifts shall be done at the request and consent of the private residence's owner or lessees.

7. It shall be the responsibility of licensees to ensure that the installation, service or maintenance of conveyances is performed in compliance with existing state and local building and maintenance codes.

§ 954. License and permit procedure. All applications for elevator contractor's, elevator mechanic's, and elevator inspector's licenses and required permits shall be submitted to the department in writing on forms furnished by the commissioner and shall contain the information

1 set forth in this section as well as any additional information that the  
2 commissioner may require. The commissioner shall also set fees for  
3 licensing and permitting under this section.

4 1. Applications for licenses. Every application for a license under  
5 this article shall include the following:

6 (a) the name, residence address and business address of the applicant;  
7 (b) the number of years the applicant has engaged in the business or  
8 practice of designing, constructing, erecting, installing, inspecting,  
9 testing, repairing, altering, maintaining, or servicing conveyances  
10 covered by this article;

11 (c) the approximate number of persons, if any, to be employed by the  
12 applicant for an elevator contractor's license;

13 (d) evidence that the applicant is or will be covered by general  
14 liability, personal injury and property damage insurance; and

15 (e) any other information which the commissioner may require.

16 Upon approval of an application for a license the commissioner shall  
17 issue such license which shall be valid for two years. The fees for such  
18 license and renewal thereof shall be set by the commissioner. Any denial  
19 for such application shall set forth the reasons therefor.

20 2. Application for permits. Every application for a permit under this  
21 article shall include plans and specifications stamped and signed by a  
22 professional engineer and/or an architect licensed pursuant to article  
23 one hundred forty-five and/or article one hundred forty-seven of the  
24 education law. Every application for a permit under this article shall  
25 include the following:

26 (a) copies of the specifications and accurately scaled and fully  
27 dimensioned plans showing the location of the installation in relation  
28 to the plans and elevation of the building;

29 (b) the location of the machinery room and the equipment to be  
30 installed, relocated or altered;

31 (c) all structural supporting members thereof, including foundations;

32 (d) a list of all materials to be employed and all loads to be  
33 supported and conveyed;

34 (e) any other information that the commissioner may require to ensure  
35 that such plans and specifications are sufficiently complete and illus-  
36 trate all details of construction and design; and

37 (f) any required permitting fees, which are subject to return upon  
38 denial of a permit application.

39 Upon approval of an application for a permit the commissioner shall  
40 issue such permit. Such permit shall state the time by which the work  
41 shall commence and also when such permit expires. If after the work has  
42 been started, work is suspended or abandoned for a period of sixty days,  
43 or such shorter period of time as the commissioner may specify at the  
44 time the permit is issued, the permit shall expire. Upon expiration of  
45 a permit for which work has not been completed, the commissioner may  
46 extend such permit.

47 3. Licensing and permitting exemptions. Whenever an emergency exists  
48 in this state due to a disaster or act of God, which imperils the  
49 health, safety or welfare of an individual or individuals and placing  
50 such individual or individuals in imminent danger of injury or death and  
51 the number of persons in the state holding licenses granted by the board  
52 is insufficient to cope with such emergency, any person certified by a  
53 licensed elevator contractor to have an acceptable combination of docu-  
54 mented experience and education to perform elevator work without direct  
55 and immediate supervision shall seek an emergency elevator mechanic's  
56 license from the commissioner within five business days after commencing

1 work requiring a license. The commissioner shall issue emergency eleva-  
2 tor mechanic's licenses to address the emergency that exists. The  
3 licensed elevator contractor shall furnish proof of competency as the  
4 commissioner may require. Each such license shall recite that it is  
5 valid for a period of fifteen days from the date thereof and for such  
6 particular elevators or geographical areas as the commissioner may  
7 designate to address the emergency situation and otherwise shall entitle  
8 the licensee to the rights and privileges of an elevator mechanic's  
9 license issued in this article. The commissioner shall renew an emer-  
10 gency elevator mechanic's license during the existence of an emergency  
11 as needed. No fee shall be charged for any emergency elevator mechanic's  
12 license or renewal thereof.

13 4. Restricted license. A restricted class of lift mechanic license  
14 shall be known as "accessibility technician". Such class of license  
15 shall be restricted to performing work involving platform lifts and  
16 stairway chairlifts including those installed in private residences  
17 which are covered by the provisions of ASME (American society of mechan-  
18 ical engineer) codes and standards A18.1-2005. An applicant for such  
19 restricted license shall complete an application approved by the board  
20 and shall have at least three years verified work experience in  
21 constructing, maintaining and repairing those lifts covered by ASME  
22 A18.1-2005 and shall provide to the commissioner a certificate of  
23 completion of an accessibility training program for lifts under the  
24 scope of A18.1-2005 such as the certified accessibility training  
25 provided by the national association of elevator contractors, or an  
26 equivalent program as deemed by the department.

27 § 955. Qualifications, training and continuing education. 1. No  
28 license shall be granted to any person who has not paid the required  
29 application fee and demonstrated his or her qualifications and abili-  
30 ties. Applicants for a mechanic's license must demonstrate one of the  
31 following qualifications: (a) an acceptable combination of documented  
32 experience and education credits consisting of (i) not less than four  
33 years work experience in the construction, maintenance and service  
34 repair of elevators, as verified by current and previous employers and  
35 (ii) satisfactory completion of a written examination, administered or  
36 accepted by the commissioner, on the most recent national, state, and  
37 local conveyances codes and standards; or

38 (b) acceptable proof that he or she has worked on elevator  
39 construction, maintenance or repair with direct and immediate super-  
40 vision in this state for a period of not less than four years immediat-  
41 ely prior to the effective date of this article, provided that such  
42 applicant shall file such application within one year of release and  
43 access to the licensing application as defined in this article; or

44 (c) a certificate of successful completion and successfully passing  
45 the mechanic examination of a nationally recognized training program for  
46 the elevator industry including, but not limited to, the national eleva-  
47 tor industry educational program or its equivalent; or

48 (d) a certificate of successful completion of the joint apprentice and  
49 training committee of the elevator industry of local 3, IBEW, EE divi-  
50 sion training program or an apprenticeship program for elevator mechan-  
51 ics, having standards substantially equal to those of this chapter, and  
52 registered with the bureau of apprenticeship and training, U.S. depart-  
53 ment of labor or a state apprenticeship council; or

54 (e) industry educational program, The National Association of Elevator  
55 Contractors (NAEC) Certified Elevator Technician (CET), or their equiv-  
56 alent; or

1     (f) The commissioner shall upon application and without examination,  
2 issue a license to any person over the age of eighteen years who has  
3 been duly licensed by any other state of the United States to engage in  
4 the business of construction, operation, inspection, maintenance, alter-  
5 ation and repair of elevators as verified by current and previous  
6 employers, upon compliance with standards and requirements not lower, in  
7 the judgement of the commissioner than those of this state, provided,  
8 however, that such state extends the same reciprocity to the licensees  
9 of this state. Such application shall be accompanied by the required  
10 license fee.

11     2. Applicants for an elevator contractor's license must demonstrate to  
12 the commissioner that such elevator contractor employs licensed elevator  
13 mechanics who perform the work described in section nine hundred fifty-  
14 one of this article and have proof of compliance with the insurance  
15 requirements set forth in paragraph (d) of subdivision one of section  
16 nine hundred fifty-four of this article.

17     3. Applicants for an elevator inspector's license must demonstrate to  
18 the satisfaction of the commissioner that such applicant meets or  
19 exceeds applicable standards such as a Qualified Elevator Inspector  
20 (QEI) or its equivalent. Private elevator inspectors shall maintain the  
21 same insurance requirements as an elevator contractor.

22     4. (a) The renewal of all licenses granted under the provisions of  
23 this subdivision shall be conditioned upon the submission of a certif-  
24 icate of completion of a course designed to ensure the continuing educa-  
25 tion of licensees on new and existing national, state, and local convey-  
26 ances codes and standards and on technology and technical education and  
27 workplace safety. Such course shall consist of not less than eight  
28 contact hours (.8 CEU) annually and completed preceding any such license  
29 renewal. The commissioner shall establish requirements for continuing  
30 education and training programs, and shall approve such programs, as  
31 well as maintain a list of approved programs which shall be made avail-  
32 able to license applicants, permit applicants, renewal applicants and  
33 other interested parties upon request. The commissioner shall promulgate  
34 rules and regulations setting forth the criteria for approval of such  
35 programs, the procedures to be followed in applying for such approval,  
36 and other rules and regulations as the commissioner deems necessary and  
37 proper to effectuate the purposes of this section.

38     (b) The commissioner shall assess a fee for each training program  
39 completion certificate and for each refresher training program  
40 completion certificate.

41     5. The renewal of all licenses granted under the provisions of this  
42 section shall be conditioned upon the submission of a certificate of  
43 completion of a course designed to ensure the continuing education of  
44 licensees on new and existing regulations of the department. Such course  
45 shall consist of not less than eight contact hours (.8 CEU) of instruc-  
46 tion that shall be attended and completed annually prior to any such  
47 license renewal.

48     The courses shall be taught through continuing education providers  
49 that may include, but shall not be limited to, association seminars, and  
50 labor training programs or programs that deliver an approved apprentice-  
51 ship and are registered with the department or the New York state  
52 apprenticeship training council. The commissioner shall approve the  
53 continuing education providers. All instructors shall be exempt from the  
54 requirements of the preceding paragraph with regard to their application  
55 for license renewal provided that such applicant was qualified as an

1 instructor at any time during the one year immediately preceding the  
2 scheduled date for such renewal.

3 Approved training providers shall keep uniform records, for a period  
4 of six years, of attendance of licensees following a format approved by  
5 the commissioner and such records shall be available for inspection by  
6 the commissioner at his or her request. Approved training providers  
7 shall be responsible for the security of all attendance records and  
8 certificates of completion; provided, however, that falsifying or know-  
9 ingly allowing another to falsify such attendance records or certifi-  
10 icates of completion shall constitute grounds for suspension or revoca-  
11 tion of the approval required under this section.

12 § 956. Powers of the commissioner. 1. The commissioner shall have the  
13 authority to inspect, or cause to be inspected, ongoing or completed  
14 conveyances projects and to conduct an investigation thereof upon the  
15 commissioner's own initiation or upon receipt of a complaint by any  
16 person or entity. However, nothing in this subdivision shall permit the  
17 commissioner to enter a private residence.

18 2. If, upon receipt of a complaint alleging a violation of this arti-  
19 cle, the commissioner reasonable believes that such violation exists, he  
20 or she shall investigate as soon as practicable to determine if such  
21 violation exists. If the commissioner determines that no violation or  
22 danger exists, the commissioner shall inform the complaining person or  
23 entity.

24 3. If, upon investigation, the commissioner determines that the  
25 alleged violation exists, the commissioner may deliver to such owner or  
26 elevator contractor or his or her agent or representative a written  
27 order to cure such violation and may order that their permit to work on  
28 such installation, repair or maintenance project shall be suspended  
29 until such violation is cured. Such order shall specifically enumerate  
30 the violations which constitute the basis of the order to cure or order  
31 of suspension and shall specify the corrective action to be taken. The  
32 commissioner may allow the permit to toll during the time of such order.

33 4. Upon receipt of a written notice from the elevator contractor, or  
34 his or her agent or representative, that such violation has been  
35 corrected, the commissioner shall, within ten days, issue a determi-  
36 nation as to whether such order to cure has been satisfied and such  
37 order of suspension, if any, shall be lifted. If the commissioner deter-  
38 mines that the order to cure has not been satisfied he or she may  
39 continue such order for a reasonable period of time upon the consent of  
40 the contractor, or his or her agent or representative. If the commis-  
41 sioner does not continue the order, or if the contractor, or his or her  
42 agent or representative does not consent to such continuation, the  
43 contractor shall have the right to a hearing to determine if such order  
44 shall be lifted. Any entity or contractor who may be adversely affected  
45 by a notice, suspension, or determination issued under this section may  
46 commence a proceeding pursuant to article seventy-eight of the civil  
47 practice law and rules.

48 5. The commissioner may, after a notice and hearing, suspend or revoke  
49 a license issued under this article based on any of the following  
50 violations:

51 (a) any false statement as to a material matter in the application;  
52 (b) fraud, or misrepresentation, in securing a license;  
53 (c) failure to notify the commissioner and the owner or lessee of a  
54 conveyance of any condition not in compliance with this article;  
55 (d) a violation of section nine hundred fifty-three of this article;  
56 or

(e) a finding by the commissioner that a contractor has violated this article or any rule or regulation promulgated thereunder twice within a period of three years, or that a contractor has violated a provision of this article and such violation resulted in a serious threat to the health or safety of an individual or individuals. The commissioner may, in addition to ordering that such contractor's license be revoked, bar such individual from being eligible to reapply for such license for a period not to exceed two years.

6. The commissioner may, after notice and hearing, revoke a permit issued under this article based on any of the following violations:

(a) any false statements or misrepresentation as to a material fact in the application, plans, or specifications on which the permit was based;

(b) any application which by omission or mistake fails to comply with the requirements of this article;

(c) any failure to perform work in accordance with the provisions of the application, plans or specifications or with the requirements of this article or conditions of the permit;

(d) a failure by the owner or elevator contractor to whom the permit was issued to comply with an order issued pursuant to subdivision four of this section; or

(e) a finding by the commissioner that an individual or contractor who has been issued a permit has violated any provision under section nine hundred fifty-three of this article.

7. (a) Except as provided in paragraph (b) of this subdivision, if the commissioner finds, after notice and hearing, that an individual has violated any provision of this article, he or she may impose a civil penalty not to exceed one thousand dollars for each such violation. Upon a second or subsequent violation within three years of the determination of a prior violation, the commissioner may impose a civil penalty not to exceed two thousand dollars.

(b) The penalty provided for in paragraph (a) of this subdivision may be increased to an amount not to exceed five thousand dollars if the violation resulted in a serious threat to the health or safety of an individual or individuals.

8. Any entity or contractor who may be adversely affected by an order issued under this section may commence a proceeding pursuant to article seventy-eight of the civil practice law and rules.

9. The commissioner may bring an action in a court of competent jurisdiction to enjoin any conduct that violates the provisions of this article.

10. The commissioner may promulgate rules and regulations necessary to carry out and effectuate the provisions of this article.

§ 957. New York state elevator safety and standards board. 1. An elevator safety and standards board is hereby created, to consist of nine members. The governor, the temporary president of the senate, and the speaker of the assembly shall each appoint three members. The governor's appointees shall be comprised of a representative of a major elevator manufacturing company, an elevator inspector and a building owner, manager or representative; the temporary president of the senate's appointees shall be comprised of an elevator servicing company, an elevator architectural designer or consultant, and an individual from an elevator industry association; the speaker of the assembly's appointees shall be comprised of an elevator contractor employee labor union, an elevator mechanic, and a fire marshal. The commissioners of health, labor, education, and economic development or their designees shall be ex-officio members. The board shall meet on an as needed basis to advise

1 the commissioner on the implementation of this article. The board shall  
2 elect a chairperson to serve for the term of their appointment to the  
3 board. The board shall prepare an annual report for the governor and  
4 the legislature, copies of which shall be sent to the commissioners of  
5 health, education, economic development, and labor.

6 2. The first member appointed by the governor, the temporary president  
7 of the senate, and the speaker of the assembly shall have a term of one  
8 year; the second member appointed by each shall have a term of two years  
9 and the remaining members shall have a term of three years. Each of such  
10 appointed members shall hold office for the term for which such member  
11 was appointed and until his or her successor shall have been appointed  
12 or until he or she shall resign. The term of office of all successor  
13 members shall be three years. The members shall serve without salary or  
14 compensation, but shall be reimbursed for necessary expenses incurred in  
15 the performance of their duties.

16 3. The board may consult with engineering authorities and organiza-  
17 tions concerned with standard safety codes, rules and regulations  
18 governing the operation, maintenance, servicing, construction, alter-  
19 ation, installation, and inspection of conveyances and the adequate,  
20 reasonable, and necessary qualifications of elevator mechanics, contrac-  
21 tors, and inspectors.

22 4. The duties of the board are as follows:

23 (a) assist the commissioner and the department in establishing the  
24 state regulations for equipment covered by this article;

25 (b) develop recommendations for an enforcement program which will  
26 ensure compliance with the regulations and requirements promulgated by  
27 the commissioner pursuant to this article;

28 (c) assist the commissioner in granting exceptions and variances from  
29 the literal requirements of the applicable code and standards, regu-  
30 lations, and local legislation, in cases where such variances would not  
31 jeopardize the public safety and welfare;

32 (d) assist the commissioner in setting fee schedules for licenses,  
33 permits, and inspections. The fees shall reflect the actual costs and  
34 expenses to conduct the duties as described in this article; and

35 (e) assist the commissioner in any and all things necessary or conven-  
36 ient to the commissioner's duty to carry out the purposes of this arti-  
37 cle.

38 § 958. Exempt persons. This article shall not be construed to apply to  
39 the practice, conduct, activities, or services by a person licensed to  
40 practice architecture within this state pursuant to article one hundred  
41 forty-seven of the education law or engineering within this state pursu-  
42 ant to article one hundred forty-five of the education law.

43 § 2. The state finance law is amended by adding a new section 97-pppp  
44 to read as follows:

45 § 97-pppp. Elevator and related conveyances safety program account.

46 1. There is hereby established in the custody of the state comptroller  
47 the elevator and related conveyances safety program account.

48 2. Such fund shall consist of moneys collected pursuant to the  
49 provisions of article thirty-three of the labor law.

50 3. Moneys of the fund shall be available to the commissioner of labor  
51 for purposes of offsetting the costs incurred by the commissioner of  
52 labor for the administration of article thirty-three of the labor law,  
53 including the administration of elevator and related conveyances safety  
54 programs, the administration of licenses and permits, and the adminis-  
55 tration of certificates of operation as set forth in such article thir-  
56 ty-three.

1     4. The moneys shall be paid out of the fund on the audit and warrant  
2     of the comptroller on vouchers certified or approved by the commissioner  
3     or his or her designee.

4     5. Notwithstanding the provisions of any general or special law, no  
5     moneys shall be available from the fund until a certificate of allo-  
6     cation and a schedule of amounts to be available therefor shall have  
7     been issued by the director of the budget, and a copy of such certif-  
8     icate filed with the comptroller. Such certificate may be amended from  
9     time to time by the director of the budget and a copy of each such  
10    amendment shall be filed with the comptroller.

11    § 3. This act shall take effect on the one hundred eightieth day after  
12    it shall have become a law, provided, however, that effective immediate-  
13    ly, the addition, amendment and/or repeal of any rules or regulations  
14    necessary for the implementation of this act on its effective date, and  
15    the appointment of the New York state elevator safety and standards  
16    board, are authorized and directed to be established, made and completed  
17    on or before such effective date.