

# STATE OF NEW YORK

4353

2017-2018 Regular Sessions

## IN ASSEMBLY

February 2, 2017

Introduced by M. of A. AUBRY, HEVESI, MOSLEY, ORTIZ, SEPULVEDA, PERRY, BARRETT, RODRIGUEZ, BARRON -- Multi-Sponsored by -- M. of A. ARROYO, CRESPO, FAHY, FARRELL, GOTTFRIED, McDONALD, MONTESANO, O'DONNELL, SIMON, SKARTADOS, THIELE -- read once and referred to the Committee on Correction

AN ACT to amend the executive law, in relation to modifying the procedure for interviews of parole applicants and to the disclosure of parole applicant records

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Paragraph (a) of subdivision 2 of section 259-i of the  
2 executive law, as amended by section 38-f-1 of subpart A of part C of  
3 chapter 62 of the laws of 2011, is amended to read as follows:

4 (a) (i) Except as provided in subparagraph (ii) of this paragraph, at  
5 least [~~one month~~] three months prior to the date on which [~~an inmate~~] a  
6 parole applicant may be paroled pursuant to subdivision one of section  
7 70.40 of the penal law, a member or members as determined by the rules  
8 of the board shall personally interview such [~~inmate~~] parole applicant  
9 and determine whether he or she should be paroled in accordance with the  
10 [~~guidelines~~] procedures adopted pursuant to subdivision four of section  
11 two hundred fifty-nine-c of this article. The interview shall take  
12 place with all parties present in the same room. The interview shall be  
13 recorded audio-visually and this recording shall be made available to  
14 the board and the parole applicant or the parole applicant's represen-  
15 tative only. At least one month prior to the parole hearing parole  
16 applicants shall be provided the opportunity to review all documents  
17 contained in their parole file or that otherwise will be made available  
18 for the board's discretionary release consideration. No documents shall  
19 be considered confidential except as provided in subparagraph (i) of  
20 paragraph (c) of this subdivision. Records concerning or relating to the  
21 mental health examination or treatment of the parole applicant shall be

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 disclosed unless, in accordance with the standards and procedures set  
2 forth in section 33.16 of the mental hygiene law, it is determined by a  
3 mental health practitioner that such disclosure can reasonably be  
4 expected to cause substantial and identifiable harm to the parole appli-  
5 cant or others and that this harm would outweigh the parole applicant's  
6 right of access to the record. At least thirty days before the parole  
7 hearing, if requested by the victim, as the term victim is defined in  
8 subdivision two of section 380.50 of the criminal procedure law, the  
9 following information shall be provided to the victim, or the victim's  
10 representative: inmate status reports; inmate's psychiatric evaluation,  
11 if there is one available and only upon consent of the parole appli-  
12 cant; and a copy of the parole applicant's "parole release plan" in the  
13 event that the applicant submits one. If parole is not granted upon such  
14 review, the [inmate] board will be required to state in detail and not  
15 in conclusory terms the factors and reasons for the denial and the  
16 specific requirements for actions to be taken, programs or accomplish-  
17 ments to be completed, or changes in performance or conduct to be made,  
18 or corrective action or actions to be taken, in order to qualify for  
19 parole release. The board shall specify a date not more than twenty-four  
20 months from such determination for reconsideration. The parole applicant  
21 shall be informed in writing within two weeks of such appearance of the  
22 factors and reasons for such denial of parole[. Such reasons shall be  
23 given in detail and not in conclusory terms. The board shall specify a  
24 date not more than twenty-four months from such determination for recon-  
25 sideration, and the procedures to be followed upon reconsideration shall  
26 be the same] and the specific requirements and shall be provided with a  
27 copy of the scored risk and needs assessment instrument considered by  
28 the board. Within ninety days of the hearing decision, the department  
29 shall provide to the parole applicant access to the program or programs,  
30 activities and/or facilities needed in order to provide the opportunity  
31 to fulfill the requirements set forth by the board. The parole applicant  
32 shall be scheduled for a reappearance before the board upon completion  
33 of the specific requirements as previously set forth by the board if  
34 such completion occurs sooner than the date specified by the board. If  
35 the requirements previously set forth by the board have been successful-  
36 ly completed and the parole applicant's institutional record has been  
37 satisfactory during the time between the previous and current parole  
38 hearing, release shall be granted. If the [inmate] parole applicant is  
39 released, he or she shall be given a copy of the conditions of parole.  
40 Such conditions shall where appropriate, include a requirement that the  
41 parolee comply with any restitution order, mandatory surcharge, sex  
42 offender registration fee and DNA databank fee previously imposed by a  
43 court of competent jurisdiction that applies to the parolee. The condi-  
44 tions shall indicate which restitution collection agency established  
45 under subdivision eight of section 420.10 of the criminal procedure law,  
46 shall be responsible for collection of restitution, mandatory surcharge,  
47 sex offender registration fees and DNA databank fees as provided for in  
48 section 60.35 of the penal law and section eighteen hundred nine of the  
49 vehicle and traffic law.

50 (ii) Any [inmate] parole applicant who is scheduled for presumptive  
51 release pursuant to section eight hundred six of the correction law  
52 shall not appear before the board as provided in subparagraph (i) of  
53 this paragraph unless such [inmate's] parole applicant's scheduled  
54 presumptive release is forfeited, canceled, or rescinded subsequently as  
55 provided in such law. In such event, the [inmate] parole applicant shall

1 appear before the board for release consideration as provided in subpar-  
2 agraph (i) of this paragraph as soon thereafter as is practicable.

3 § 2. Paragraph (a) of subdivision 2 of section 259-i of the executive  
4 law, as amended by section 38-f-2 of subpart A of part C of chapter 62  
5 of the laws of 2011, is amended to read as follows:

6 (a) At least [~~one month~~] three months prior to the expiration of the  
7 minimum period or periods of imprisonment fixed by the court or board, a  
8 member or members as determined by the rules of the board shall  
9 personally interview [~~an inmate~~] a parole applicant serving an indeter-  
10 minate sentence and determine whether he or she should be paroled at the  
11 expiration of the minimum period or periods in accordance with the  
12 procedures adopted pursuant to subdivision four of section two hundred  
13 fifty-nine-c. The interview shall take place with all parties present  
14 in the same room. The interview shall be recorded audio-visually and  
15 this recording shall be made available to the board and the parole  
16 applicant or the parole applicant's representative only. At least one  
17 month prior to the parole hearing parole applicants shall be provided  
18 the opportunity to review all documents contained in their parole file  
19 or that otherwise will be made available for the board's discretionary  
20 release consideration. No documents shall be considered confidential  
21 except as provided in subparagraph (i) of paragraph (c) of this subdivi-  
22 sion. Records concerning or relating to the mental health examination  
23 or treatment of the parole applicant shall be disclosed unless, in  
24 accordance with the standards and procedures set forth in section 33.16  
25 of the mental hygiene law, it is determined by a mental health practi-  
26 tioner that such disclosure can reasonably be expected to cause substan-  
27 tial and identifiable harm to the parole applicant or others and that  
28 this harm would outweigh the parole applicant's right of access to the  
29 record. At least thirty days before the parole hearing, if requested by  
30 the victim, as the term victim is defined in subdivision two of section  
31 380.50 of the criminal procedure law, the following information shall be  
32 provided to the victim, or the victim's representative: inmate status  
33 reports; inmate's psychiatric evaluation, if there is one available and  
34 only upon consent of the parole applicant; and a copy of the parole  
35 applicant's "parole release plan" in the event that the applicant  
36 submits one. If parole is not granted upon such review, the [~~inmate~~]  
37 board will be required to state in detail and not in conclusory terms  
38 the factors and reasons for the denial and the specific requirements for  
39 actions to be taken, programs or accomplishments to be completed, or  
40 changes in performance or conduct to be made, or corrective action or  
41 actions to be taken, in order to qualify for parole release. The board  
42 shall specify a date not more than twenty-four months from such determi-  
43 nation for reconsideration. The parole applicant shall be informed in  
44 writing within two weeks of such appearance of the factors and reasons  
45 for such denial of parole[~~. Such reasons shall be given in detail and~~  
46 ~~not in conclusory terms. The board shall specify a date not more than~~  
47 ~~twenty-four months from such determination for reconsideration, and the~~  
48 ~~procedures to be followed upon reconsideration shall be the same] and  
49 the specific requirements and shall be provided with a copy of the  
50 scored risk and needs assessment instrument considered by the board.  
51 Within ninety days of the hearing decision, the department shall provide  
52 to the parole applicant access to the program or programs, activities  
53 and/or facilities needed in order to provide the opportunity to fulfill  
54 the requirements set forth by the board. The parole applicant shall be  
55 scheduled for a reappearance before the board upon completion of the  
56 specific requirements as previously set forth by the board if such~~

1 completion occurs sooner than the date specified by the board. If the  
2 requirements previously set forth by the board have been successfully  
3 completed and the parole applicant's institutional record has been  
4 satisfactory during the time between the previous and current parole  
5 hearing, release shall be granted. If the [~~inmate~~] parole applicant is  
6 released, he or she shall be given a copy of the conditions of parole.  
7 Such conditions shall where appropriate, include a requirement that the  
8 parolee comply with any restitution order and mandatory surcharge previ-  
9 ously imposed by a court of competent jurisdiction that applies to the  
10 parolee. The conditions shall indicate which restitution collection  
11 agency established under subdivision eight of section 420.10 of the  
12 criminal procedure law, shall be responsible for collection of restitu-  
13 tion and mandatory surcharge as provided for in section 60.35 of the  
14 penal law and section eighteen hundred nine of the vehicle and traffic  
15 law.

16 § 3. Paragraph (c) of subdivision 2 of section 259-i of the executive  
17 law, as separately amended by chapters 40 and 126 of the laws of 1999  
18 and subparagraph (A) as amended by chapter 130 of the laws of 2016, is  
19 amended to read as follows:

20 (c) [~~(A)~~] (i) Discretionary release on parole shall [~~not~~] be granted  
21 [~~merely as a reward~~] for good conduct [~~or~~] and efficient performance of  
22 duties while confined [~~but after considering if there is a reasonable~~  
23 probability], and for preparedness for reentry and reintegration into  
24 society thereby providing a reasonable basis to conclude that, if such  
25 [~~inmate~~] person is released, he or she will live and remain at liberty  
26 without violating the law, and therefore that his or her release is not  
27 incompatible with the welfare of society [~~and will not so deprecate the~~  
28 seriousness of his crime as to undermine respect for law]. In making the  
29 parole release decision, the procedures adopted pursuant to subdivision  
30 four of section two hundred fifty-nine-c of this article shall require  
31 that the [~~following be considered~~] decision be based upon the following  
32 considerations: [~~(i) the institutional record including program goals~~  
33 and accomplishments, academic achievements, vocational education, train-  
34 ing or work assignments, therapy and interactions with staff and  
35 inmates] (A) preparedness for reentry and reintegration as evidences by  
36 the applicant's institutional record pertaining to program goals and  
37 accomplishments as stated in the facility performance reports, academic  
38 achievements, vocational education, training or work assignments, thera-  
39 py and interactions with staff and other sentenced persons, and other  
40 indications of pro-social activity, change and transformation; [~~(ii)~~]  
41 (B) performance, if any, as a participant in a temporary release  
42 program; [~~(iii)~~] (C) release plans including community resources,  
43 employment, education and training and support services available to the  
44 [~~inmate~~] parole applicant; [~~(iv)~~] (D) any deportation order issued by  
45 the federal government against the [~~inmate~~] parole applicant while in  
46 the custody of the department and any recommendation regarding deporta-  
47 tion made by the commissioner of the department pursuant to section one  
48 hundred forty-seven of the correction law; [~~(v)~~] (E) any current or  
49 prior statement, whether supportive or critical, made to the board by  
50 the crime victim or the victim's representative, where the crime victim  
51 is deceased or is mentally or physically incapacitated, to assist the  
52 board in determining whether at this time there is reasonable cause to  
53 believe that the release of the parole applicant would create a present  
54 danger to the victim or the victim's representative, or the extent of  
55 the parole applicant's preparedness for reentry and reintegration as set  
56 forth in clause (A) of this subparagraph; [~~(vi)~~] (F) the length of the

1 determinate sentence to which the inmate would be subject had he or she  
2 received a sentence pursuant to section 70.70 or section 70.71 of the  
3 penal law for a felony defined in article two hundred twenty or article  
4 two hundred twenty-one of the penal law; [~~(vii) the seriousness of the~~  
5 ~~offense with due consideration to the type of sentence, length of~~  
6 ~~sentence and recommendations of the sentencing court, the district~~  
7 ~~attorney, the attorney for the inmate, the pre-sentence probation report~~  
8 ~~as well as consideration of any mitigating and aggravating factors, and~~  
9 ~~activities following arrest prior to confinement; and (viii) prior crim-~~  
10 ~~inal record, including the nature and pattern of offenses, adjustment to~~  
11 ~~any previous probation or parole supervision and institutional confine-~~  
12 ~~ment]~~ (G) participation and performance, if any, in a  
13 reconciliation/restorative justice-type conference with the victim or  
14 victim's representatives; (H) the progress made towards the completion  
15 of the specific requirements previously set forth by the board for the  
16 parole applicant, in the case of a reappearance; and (I) the progress  
17 made towards achieving the programming and treatment needs developed in  
18 the transitional accountability plan. The board shall provide toll free  
19 telephone access for crime victims. In the case of an oral statement  
20 made in accordance with subdivision one of section 440.50 of the crimi-  
21 nal procedure law, the parole board member shall present a written  
22 report of the statement to the parole board. A crime victim's represen-  
23 tative shall mean [~~the crime victim's closest surviving relative~~] a  
24 member of the family or domestic partner of such crime victim, the  
25 committee or guardian of such person, or the legal representative of any  
26 such person. Such statement submitted by the victim or victim's repre-  
27 sentative may include information concerning threatening or intimidating  
28 conduct toward the victim, the victim's representative, or the victim's  
29 family, made by the person sentenced and occurring after the sentencing.  
30 Such information may include, but need not be limited to, the threaten-  
31 ing or intimidating conduct of any other person who or which is directed  
32 by the person sentenced. Any statement by a victim or the victim's  
33 representative made to the board shall be maintained by the department  
34 in the file provided to the board when interviewing the inmate in  
35 consideration of release. A victim or victim's representative who has  
36 submitted a written request to the department for the transcript of such  
37 interview shall be provided such transcript as soon as it becomes avail-  
38 able.

39 [~~(B)~~] (ii) Where a crime victim or victim's representative as defined  
40 in subparagraph [~~(A)~~] (i) of this paragraph, or other person submits to  
41 the parole board a written statement concerning the release of [~~an~~  
42 inmate] a parole applicant, the parole board shall keep that individ-  
43 ual's name and address confidential. With regard to any statement from a  
44 judge or district attorney, the address, if residential, shall be kept  
45 confidential by the board.

46 § 4. This act shall take effect on the one hundred eightieth day after  
47 it shall have become a law; provided that the amendments to paragraph  
48 (a) of subdivision 2 of section 259-i of the executive law made by  
49 section one of this act shall be subject to the expiration and reversion  
50 of such paragraph as provided by section 74 of chapter 3 of the laws of  
51 1995, as amended, when upon such date the provisions of section two of  
52 this act shall take effect.