

# STATE OF NEW YORK

4304

2017-2018 Regular Sessions

## IN ASSEMBLY

February 2, 2017

Introduced by M. of A. LAWRENCE -- read once and referred to the Committee on Health

AN ACT to amend the social services law and the public health law, in relation to criminal history records of maintenance employees in adult residential health and assisted living facilities

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The social services law is amended by adding a new section  
2 461-u to read as follows:

3 § 461-u. Access to criminal history records. 1. For the purposes of  
4 this section, the following terms shall have the following meanings:

5 (a) "Maintenance employee" shall mean any individual to be employed or  
6 used by a provider, including those persons employed through a temporary  
7 employment or staffing agency, and who provide supportive maintenance  
8 services to such facility, included, but not limited to beautician,  
9 security, janitorial, laundry, recreational and groundskeeping services.  
10 Such term shall not include volunteers.

11 (b) "Provider" shall mean an assisted living facility, residential  
12 health care facility, skilled nursing facility or any other type of  
13 facility that provides residential or institutional care to the elderly  
14 or disabled.

15 2. Subject to the rules and regulations of the division of criminal  
16 justice services, the department of health shall have access to criminal  
17 history records maintained by such division pertaining to any mainte-  
18 nance employee or such persons as the department of health at any time  
19 deems necessary to determine their criminal histories.

20 3. Every court in which a maintenance employee of a provider is  
21 convicted of a crime while performing their intended duties at such  
22 facility shall, within seven days after either the entry of a plea of  
23 guilty, or the verdict of the court or a jury, notify the department of  
24 health in writing of such conviction. The department of health, after

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 receipt of such notification or at any time the department of health  
2 becomes aware of the conviction of such maintenance worker, shall have  
3 the authority, granted pursuant to subdivision one of this section, to  
4 have access to the criminal history records of such individual.

5 4. Any request for criminal history records made pursuant to the  
6 provisions of this section shall be accompanied by the fingerprints of  
7 the person who is the subject of such request.

8 § 2. Subdivisions 3 and 5 of section 2899 of the public health law, as  
9 amended by chapter 331 of the laws of 2006, are amended to read as  
10 follows:

11 3. "Employee" shall mean any person to be employed or used by a  
12 provider, including those persons employed by a temporary employment  
13 agency, to provide direct care or supervision to patients or residents  
14 or an individual who serves as a maintenance employee as defined in  
15 section four hundred sixty-one-u of the social services law. Persons  
16 licensed pursuant to title eight of the education law or article twen-  
17 ty-eight-D of this chapter are excluded from the meaning of employee  
18 under this article. Such term shall not include volunteers.

19 5. "Prospective employee" shall mean any individual, including a  
20 potential maintenance employee, not currently an employee, who files an  
21 application for employment as an employee with a provider and the  
22 provider has a reasonable expectation to hire such individual as an  
23 employee.

24 § 3. Subdivision 10 of section 2899-a of the public health law, as  
25 amended by chapter 94 of the laws of 2014, is amended to read as  
26 follows:

27 10. Notwithstanding subdivision eleven of section eight hundred  
28 forty-five-b of the executive law, a certified home health agency,  
29 licensed home care services agency or long term home health care program  
30 certified, licensed or approved under article thirty-six of this chapter  
31 or a home care services agency exempt from certification or licensure  
32 under article thirty-six of this chapter, or an adult home, enriched  
33 housing program or residence for adults licensed under article seven of  
34 the social services law, or an assisted living facility licensed under  
35 article forty-six-B of this chapter, may temporarily approve a prospec-  
36 tive employee while the results of the criminal history information  
37 check and the determination are pending, upon the condition that the  
38 provider conducts appropriate direct observation and evaluation of the  
39 temporary employee, while he or she is temporarily employed, and the  
40 care recipient. The results of such observations shall be documented in  
41 the temporary employee's personnel file and shall be maintained. For  
42 purposes of providing such appropriate direct observation and evalu-  
43 ation, the provider shall utilize an individual employed by such provid-  
44 er with a minimum of one year's experience working in an agency certi-  
45 fied, licensed or approved under article thirty-six of this chapter or  
46 an adult home, enriched housing program or residence for adults licensed  
47 under article seven of the social services law. If the temporary employ-  
48 ee is working under contract with another provider certified, licensed  
49 or approved under article thirty-six or article forty-six-B of this  
50 chapter, such contract provider's appropriate direct observation and  
51 evaluation of the temporary employee, shall be considered sufficient for  
52 the purposes of complying with this subdivision.

53 § 4. This act shall take effect immediately.