

# STATE OF NEW YORK

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3433

2017-2018 Regular Sessions

## IN ASSEMBLY

January 27, 2017

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Introduced by M. of A. ENGLEBRIGHT, BRONSON, TITONE -- read once and referred to the Committee on Governmental Operations

AN ACT to amend the public officers law, in relation to requiring entities that submit records to state agencies that are excepted from disclosure under the freedom of information law to periodically re-apply for the exception

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. Subdivision 5 of section 89 of the public officers law, as  
2 added by chapter 890 of the laws of 1981, paragraph (a) as amended by  
3 chapter 403 of the laws of 2003, paragraph (d) as amended by chapter 339  
4 of the laws of 2004, is amended to read as follows:

5 5. (a) (1) A person acting pursuant to law or regulation who, subse-  
6 quent to the effective date of this subdivision, submits any information  
7 to any state agency may, at the time of submission, request that the  
8 agency except such information from disclosure under paragraph (d) of  
9 subdivision two of section eighty-seven of this article. Where the  
10 request itself contains information which if disclosed would defeat the  
11 purpose for which the exception is sought, such information shall also  
12 be excepted from disclosure.

13 (1-a) A person or entity who submits or otherwise makes available any  
14 records to any agency, may, at any time, identify those records or  
15 portions thereof that may contain critical infrastructure information,  
16 and request that the agency that maintains such records except such  
17 information from disclosure under subdivision two of section eighty-sev-  
18 en of this article. Where the request itself contains information which  
19 if disclosed would defeat the purpose for which the exception is sought,  
20 such information shall also be excepted from disclosure.

21 (2) (i) The request for an exception shall be in writing, shall  
22 specifically identify which portions of the record are the subject of  
23 the request for exception and state the reasons why the information

EXPLANATION--Matter in italics (underscored) is new; matter in brackets  
[-] is old law to be omitted.

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1 should be excepted, for a defined period of time, from disclosure. The  
2 submitter may also provide a date by which such exception shall expire,  
3 provided that such date shall not exceed three years from the time of  
4 the agency's receipt of the request for an exception.

5 (ii) Not less than sixty days prior to the expiration of the then  
6 current term of the exception request, the submitter may apply to the  
7 agency for a three-year extension of its exception request. Upon timely  
8 receipt of a request for an extension of an exception request, an agency  
9 shall review the application and either grant or deny the extension  
10 request. A denial of an extension request may be appealed by the submit-  
11 ter pursuant to paragraph (c) of this subdivision. If the submitter  
12 fails to apply for an extension, the exception shall be considered to  
13 have expired.

14 (3) Information submitted subsequent to the effective date of the  
15 chapter of the laws of two thousand seventeen that amended this subdivi-  
16 sion and as provided in subparagraphs one and one-a of this paragraph  
17 shall be excepted from disclosure for a defined period of time and be  
18 maintained apart by the agency from all other records until the expira-  
19 tion of the submitter's exception request or fifteen days after the  
20 entitlement to such exception has been finally determined or such  
21 further time as ordered by a court of competent jurisdiction.

22 (b) ~~[On]~~ During the effective period of an exception request under  
23 this subdivision, on the initiative of the agency at any time, or upon  
24 the request of any person for a record excepted from disclosure pursuant  
25 to this subdivision, the agency shall:

26 (1) inform the person who requested the exception of the agency's  
27 intention to determine whether such exception should be granted or  
28 continued;

29 (2) permit the person who requested the exception, within ten business  
30 days of receipt of notification from the agency, to submit a written  
31 statement of the necessity for the granting or continuation of such  
32 exception;

33 (3) within seven business days of receipt of such written statement,  
34 or within seven business days of the expiration of the period prescribed  
35 for submission of such statement, issue a written determination grant-  
36 ing, continuing or terminating such exception and stating the reasons  
37 therefor; copies of such determination shall be served upon the person,  
38 if any, requesting the record, the person who requested the exception,  
39 and the committee on ~~[public access to records]~~ open government.

40 (c) A denial of an exception from disclosure under paragraph (b) of  
41 this subdivision may be appealed by the person submitting the informa-  
42 tion and a denial of access to the record may be appealed by the person  
43 requesting the record in accordance with this subdivision:

44 (1) Within seven business days of receipt of written notice denying  
45 the request, the person may file a written appeal from the determination  
46 of the agency with the head of the agency, the chief executive officer  
47 or governing body or their designated representatives.

48 (2) The appeal shall be determined within ten business days of the  
49 receipt of the appeal. Written notice of the determination shall be  
50 served upon the person, if any, requesting the record, the person who  
51 requested the exception and the committee on ~~[public access to records]~~  
52 open government. The notice shall contain a statement of the reasons  
53 for the determination.

54 (d) A proceeding to review an adverse determination pursuant to para-  
55 graph (c) of this subdivision may be commenced pursuant to article  
56 seventy-eight of the civil practice law and rules. Such proceeding, when

1 brought by a person seeking an exception from disclosure pursuant to  
2 this subdivision, must be commenced within fifteen days of the service  
3 of the written notice containing the adverse determination provided for  
4 in subparagraph two of paragraph (c) of this subdivision.

5 (e) The person requesting an exception from disclosure pursuant to  
6 this subdivision shall in all proceedings have the burden of proving  
7 entitlement to the exception.

8 (f) Where the agency denies access to a record pursuant to paragraph  
9 ~~[(d)-e]~~ (b) of this subdivision in conjunction with subdivision two of  
10 section eighty-seven of this article, the agency shall have the burden  
11 of proving that the record falls within the provisions of such excep-  
12 tion.

13 (g) Nothing in this subdivision shall be construed to deny any person  
14 access, pursuant to the remaining provisions of this article, to any  
15 record or part excepted from disclosure upon the express written consent  
16 of the person who had requested the exception.

17 (h) As used in this subdivision the term "agency" or "state agency"  
18 means only a state department, board, bureau, division, council or  
19 office and any public corporation the majority of whose members are  
20 appointed by the governor.

21 § 2. This act shall take effect immediately.