

1972

2015-2016 Regular Sessions

I N   S E N A T E

January 15, 2015

---

Introduced by Sen. CARLUCCI -- read twice and ordered printed, and when printed to be committed to the Committee on Commerce, Economic Development and Small Business

AN ACT to amend the state administrative procedure act, in relation to providing information on the prevention of common regulatory violations in small business regulation guides

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1     Section 1. Section 102-a of the state administrative procedure act, as  
2     amended by section 3 of part O of chapter 60 of the laws of 2011, is  
3     amended to read as follows:  
4     S 102-a. Small business regulation guides. 1. For each rule or group  
5     of related rules which significantly impact a substantial number of  
6     small businesses, the agency which adopted the rule shall post on its  
7     website one or more guides explaining the actions a small business may  
8     take to comply with such rule or group of rules if the agency  
9     determines, IN CONJUNCTION WITH THE DIVISION FOR SMALL BUSINESS, that  
10    such guide or guides will assist small businesses in complying with the  
11    rule, and shall designate each such posting as a "small business regu-  
12    lation guide". The guide shall explain the actions a small business may  
13    take to comply with a rule or group of rules, IDENTIFY ANY SOURCES OF  
14    INFORMATION OR ASSISTANCE AVAILABLE TO FACILITATE SMALL BUSINESS REGULA-  
15    TORY COMPLIANCE, AND, WHERE APPROPRIATE, INCLUDE INFORMATION ON (A) THE  
16    MOST COMMON REGULATORY VIOLATIONS THAT SMALL BUSINESSES ARE CITED FOR BY  
17    THE AGENCY AND (B) ANY ACTIONS THAT SMALL BUSINESSES CAN REASONABLY  
18    UNDERTAKE TO MINIMIZE OR PREVENT THE OCCURRENCE OF SUCH VIOLATIONS.  
19    2. The agency shall, in its sole discretion, taking into account the  
20    subject matter of the rule and the language of relevant statutes, ensure  
21    that the guide is written using sufficiently plain language that it is  
22    likely to be understood by affected small businesses. Agencies shall  
23    cooperate with THE DIVISION FOR SMALL BUSINESS AND other state agencies

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets  
[ ] is old law to be omitted.

LBD00654-01-5

1 in developing such guides. THE DIVISION FOR SMALL BUSINESS SHALL OVER-  
2 SEE AND COORDINATE THE PREPARATION OF SUCH SMALL BUSINESS REGULATION  
3 GUIDES BY AGENCIES. AN AGENCY SHALL PROVIDE THE DIVISION AT SUCH TIMES  
4 AND IN SUCH FORMAT AS THE DIVISION MAY REQUEST WITH (A) STATISTICAL  
5 INFORMATION ON THE FREQUENCY OF VIOLATIONS OF VARIOUS REGULATIONS BY  
6 SMALL BUSINESSES AND (B) INFORMATION AND ANALYSIS REGARDING ACTIONS THAT  
7 CAN REASONABLY BE UNDERTAKEN BY SMALL BUSINESSES TO MINIMIZE OR PREVENT  
8 THE OCCURRENCE OF SUCH VIOLATIONS.

9 S 2. This act shall take effect immediately.