

S T A T E O F N E W Y O R K

7535--B

2015-2016 Regular Sessions

I N A S S E M B L Y

May 18, 2015

Introduced by M. of A. GOTTFRIED, RAIA, WEPRIN, CLARK -- read once and referred to the Committee on Health -- reported and referred to the Committee on Codes -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- again reported from said committee with amendments, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the social services law, in relation to fiscal intermediaries in the consumer directed personal assistance program

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

- 1 Section 1. Section 365-f of the social services law is amended by
2 adding two new subdivisions 4-a and 4-b to read as follows:
3 4-A. FISCAL INTERMEDIARY SERVICES. (A) FOR THE PURPOSES OF THIS SUBDI-
4 VISION:
5 (I) "FISCAL INTERMEDIARY" MEANS AN ENTITY THAT PROVIDES FISCAL INTER-
6 MEDIARY SERVICES AND HAS A CONTRACT FOR PROVIDING SUCH SERVICES WITH:
7 (A) A LOCAL DEPARTMENT OF SOCIAL SERVICES,
8 (B) AN ORGANIZATION LICENSED UNDER ARTICLE FORTY-FOUR OF THE PUBLIC
9 HEALTH LAW, OR
10 (C) AN ACCOUNTABLE CARE ORGANIZATION CERTIFIED UNDER ARTICLE
11 TWENTY-NINE-E OF THE PUBLIC HEALTH LAW OR AN INTEGRATED DELIVERY SYSTEM
12 COMPOSED PRIMARILY OF HEALTH CARE PROVIDERS RECOGNIZED BY THE DEPARTMENT
13 AS A PERFORMING PROVIDER SYSTEM UNDER THE DELIVERY SYSTEM REFORM INCEN-
14 TIVE PAYMENT PROGRAM.
15 (II) FISCAL INTERMEDIARY SERVICES SHALL INCLUDE THE FOLLOWING
16 SERVICES, PERFORMED ON BEHALF OF THE CONSUMER TO FACILITATE HIS OR HER
17 ROLE AS THE EMPLOYER:
18 (A) WAGE AND BENEFIT PROCESSING FOR CONSUMER DIRECTED PERSONAL ASSIST-
19 ANTS;
20 (B) PROCESSING ALL INCOME TAX AND OTHER REQUIRED WAGE WITHHOLDINGS;

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets [] is old law to be omitted.

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1 (C) COMPLYING WITH WORKERS' COMPENSATION, DISABILITY AND UNEMPLOYMENT
2 REQUIREMENTS;

3 (D) MAINTAINING PERSONNEL RECORDS FOR EACH CONSUMER DIRECTED PERSONAL
4 ASSISTANT, INCLUDING TIME SHEETS AND OTHER DOCUMENTATION NEEDED FOR
5 WAGES AND BENEFIT PROCESSING AND A COPY OF THE MEDICAL DOCUMENTATION
6 REQUIRED PURSUANT TO REGULATIONS ESTABLISHED BY THE COMMISSIONER;

7 (E) ENSURING THAT THE HEALTH STATUS OF EACH CONSUMER DIRECTED PERSONAL
8 ASSISTANT IS ASSESSED PRIOR TO SERVICE DELIVERY PURSUANT TO REGULATIONS
9 ISSUED BY THE COMMISSIONER;

10 (F) MAINTAINING RECORDS OF AUTHORIZATIONS OR REAUTHORIZATIONS OF
11 SERVICES;

12 (G) MONITORING THE CONSUMER'S OR, IF APPLICABLE, THE DESIGNATED REPRESENTATIVE'S
13 CONTINUING ABILITY TO FULFILL THE CONSUMER'S RESPONSIBILITIES UNDER THE PROGRAM
14 AND PROMPTLY NOTIFYING THE AUTHORIZING ENTITY OF ANY CIRCUMSTANCE THAT MAY AFFECT
15 THE CONSUMER'S OR, IF APPLICABLE, THE DESIGNATED REPRESENTATIVE'S ABILITY TO
16 FULFILL SUCH RESPONSIBILITIES;

17 (H) COMPLYING WITH REGULATIONS ESTABLISHED BY THE COMMISSIONER SPECIFYING
18 THE RESPONSIBILITIES OF PROVIDERS PROVIDING SERVICES UNDER THIS TITLE; AND

19 (I) ENTERING INTO A DEPARTMENT APPROVED MEMORANDUM OF UNDERSTANDING
20 WITH THE CONSUMER THAT DESCRIBES THE PARTIES' RESPONSIBILITIES UNDER
21 THIS PROGRAM.

22 (III) FISCAL INTERMEDIARIES ARE NOT RESPONSIBLE FOR, AND FISCAL INTERMEDIARY
23 SERVICES SHALL NOT INCLUDE, FULFILLMENT OF THE RESPONSIBILITIES OF THE
24 CONSUMER OR, IF APPLICABLE, THE CONSUMER'S DESIGNATED REPRESENTATIVE AS
25 ESTABLISHED BY THE COMMISSIONER. A FISCAL INTERMEDIARY'S RESPONSIBILITIES
26 SHALL NOT INCLUDE: MANAGING THE PLAN OF CARE INCLUDING RECRUITING AND
27 HIRING A SUFFICIENT NUMBER OF INDIVIDUALS WHO MEET THE DEFINITION OF
28 CONSUMER DIRECTED PERSONAL ASSISTANT, AS SUCH TERM IS DEFINED BY THE
29 COMMISSIONER, TO PROVIDE AUTHORIZED SERVICES THAT ARE INCLUDED ON THE
30 CONSUMER'S PLAN OF CARE; TRAINING, SUPERVISING AND SCHEDULING EACH
31 ASSISTANT; TERMINATING THE ASSISTANT'S EMPLOYMENT; AND ASSURING THAT
32 EACH CONSUMER DIRECTED PERSONAL ASSISTANT COMPETENTLY AND SAFELY PERFORMS
33 THE PERSONAL CARE SERVICES, HOME HEALTH AIDE SERVICES AND SKILLED
34 NURSING TASKS THAT ARE INCLUDED ON THE CONSUMER'S PLAN OF CARE. A
35 FISCAL INTERMEDIARY SHALL EXERCISE REASONABLE CARE IN PROPERLY
36 CARRYING OUT ITS RESPONSIBILITIES UNDER THE PROGRAM.

37 (B) NO ENTITY SHALL PROVIDE, DIRECTLY OR THROUGH CONTRACT, FISCAL
38 INTERMEDIARY SERVICES WITHOUT A LICENSE AS A FISCAL INTERMEDIARY
39 ISSUED BY THE COMMISSIONER IN ACCORDANCE WITH THIS SUBDIVISION.

40 (C) AN APPLICATION FOR LICENSURE AS A FISCAL INTERMEDIARY SHALL BE
41 FILED WITH THE COMMISSIONER, TOGETHER WITH SUCH OTHER FORMS AND
42 INFORMATION AS SHALL BE PRESCRIBED BY, OR ACCEPTABLE TO THE COMMISSIONER.
43 THE COMMISSIONER SHALL NOT APPROVE AN APPLICATION FOR LICENSURE UNLESS
44 HE OR SHE IS SATISFIED AS TO THE CHARACTER, COMPETENCE AND STANDING
45 IN THE COMMUNITY OF THE APPLICANT'S INCORPORATORS, DIRECTORS, SPONSORS,
46 STOCKHOLDERS OR OPERATORS AND FINDS THAT THE PERSONNEL, RULES, CONSUMER
47 CONTRACTS OR AGREEMENTS, AND FISCAL INTERMEDIARY SERVICES ARE FIT AND
48 ADEQUATE, AND THAT THE FISCAL INTERMEDIARY SERVICES WILL BE PROVIDED
49 IN THE MANNER REQUIRED BY THIS SUBDIVISION AND THE RULES AND REGULATIONS
50 THEREUNDER, IN A MANNER DETERMINED BY THE COMMISSIONER.

51 (D) NEITHER PUBLIC NEED, TAX STATUS, PROFIT-MAKING STATUS, NOR
52 LICENSURE OR CERTIFICATION PURSUANT TO ARTICLE THIRTY-SIX OF THE
53 PUBLIC HEALTH LAW SHALL BE CRITERIA FOR LICENSURE. ORGANIZATIONS
54 AUTHORIZED PURSUANT TO ARTICLE FORTY-FOUR OF THE PUBLIC HEALTH LAW
55 SHALL NOT BE GRANTED A LICENSE AS A FISCAL INTERMEDIARY.

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1 (E) THE COMMISSIONER SHALL CHARGE TO APPLICANTS FOR THE LICENSURE OF
2 FISCAL INTERMEDIARIES AN APPLICATION FEE OF TWO THOUSAND DOLLARS.

3 4-B. PROCEEDINGS INVOLVING THE LICENSE OF A FISCAL INTERMEDIARY. (A) A
4 LICENSE OF A FISCAL INTERMEDIARY MAY BE REVOKED, SUSPENDED, LIMITED OR
5 ANNULLED BY THE COMMISSIONER ON PROOF THAT IT HAS FAILED TO COMPLY WITH
6 THE PROVISIONS OF SUBDIVISION FOUR-A OF THIS SECTION OR REGULATIONS
7 PROMULGATED HEREUNDER.

8 (B) NO SUCH LICENSE SHALL BE REVOKED, SUSPENDED, LIMITED, ANNULLED OR
9 DENIED WITHOUT A HEARING. HOWEVER, A LICENSE MAY BE TEMPORARILY
10 SUSPENDED OR LIMITED WITHOUT A HEARING FOR A PERIOD NOT IN EXCESS OF
11 THIRTY DAYS UPON WRITTEN NOTICE TO THE FISCAL INTERMEDIARY FOLLOWING A
12 FINDING BY THE DEPARTMENT THAT THE PUBLIC HEALTH OR SAFETY IS IN IMMI-
13 NENT DANGER. SUCH PERIOD MAY BE RENEWED FOR UP TO TWO ADDITIONAL PERIODS
14 NOT IN EXCESS OF THIRTY DAYS, EACH UPON WRITTEN NOTICE, INCLUDING AN
15 OPPORTUNITY TO SUBMIT EVIDENCE AND WRITTEN ARGUMENT IN OPPOSITION TO THE
16 RENEWAL, AND A CONTINUED FINDING UNDER THIS PARAGRAPH.

17 (C) THE COMMISSIONER SHALL FIX A TIME AND PLACE FOR THE HEARING. A
18 COPY OF THE CHARGES, TOGETHER WITH THE NOTICE OF THE TIME AND PLACE OF
19 THE HEARING, SHALL BE SERVED IN PERSON OR MAILED BY REGISTERED OR CERTI-
20 FIED MAIL TO THE FISCAL INTERMEDIARY AT LEAST TWENTY-ONE DAYS BEFORE THE
21 DATE FIXED FOR THE HEARING. THE FISCAL INTERMEDIARY SHALL FILE WITH THE
22 DEPARTMENT NOT LESS THAN EIGHT DAYS PRIOR TO THE HEARING, A WRITTEN
23 ANSWER TO THE CHARGES.

24 (D) ALL ORDERS OR DETERMINATIONS UNDER THIS SUBDIVISION SHALL BE
25 SUBJECT TO REVIEW AS PROVIDED IN ARTICLE SEVENTY-EIGHT OF THE CIVIL
26 PRACTICE LAW AND RULES.

27 S 2. This act shall take effect on the first of July after it shall
28 have become a law; provided that, effective immediately, the commission-
29 er of health shall make regulations and take other actions, including
30 issuing licenses under section 365-f of the social services law as
31 amended by this act, to implement this act on that date.