

8059

I N   S E N A T E

June 8, 2016

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Introduced by Sen. LANZA -- read twice and ordered printed, and when printed to be committed to the Committee on Rules

AN ACT to amend the correction law, in relation to creating the office of the correctional ombudsman; to amend the county law, in relation to reports by coroners; to amend the criminal procedure law, in relation to designating investigators of the office of the correctional ombudsman as peace officers; to amend the education law, in relation to the certification of inmate populations; to amend the executive law, in relation to authorizing the attorney general to investigate the alleged commission of any criminal offense committed by an employee of the department of corrections and community supervision in connection with his or her official duties; to amend the executive law, in relation to the division of criminal justice services; to amend the mental hygiene law, in relation to clinical records; to amend the public health law, in relation to the confidentiality of certain records; to amend the public officers law, in relation to including the office of the correctional ombudsman records within the definition of public safety agency records; and to amend the social services law, in relation to inspection and supervision

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1     Section 1. The correction law is amended by adding a new article 3-A  
2     to read as follows:

3                                 ARTICLE 3-A

4                                 OFFICE OF THE CORRECTIONAL OMBUDSMAN

5     SECTION 50. DEFINITIONS.

6             51. OFFICE OF THE CORRECTIONAL OMBUDSMAN; ORGANIZATION.

7             52. CORRECTIONAL OVERSIGHT BOARD.

8             53. POWERS OF THE OMBUDSMAN.

9             54. ADDITIONAL FUNCTIONS, POWERS AND DUTIES OF THE OFFICE OF THE  
10             OMBUDSMAN.

11            55. ADDITIONAL DUTIES OF THE DEPARTMENT.

12            56. OBSTRUCTING AN INVESTIGATION BY THE CORRECTIONAL OMBUDSMAN.

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets  
[ ] is old law to be omitted.

LBD14548-04-6

1 S 50. DEFINITIONS. FOR THE PURPOSES OF THIS ARTICLE, THE FOLLOWING  
2 TERMS SHALL HAVE THE FOLLOWING MEANINGS:

3 1. "OFFICE" REFERS TO THE OFFICE OF THE CORRECTIONAL OMBUDSMAN.

4 2. "OMBUDSMAN" REFERS TO THE COMMISSIONER OF THE OFFICE OF THE CORREC-  
5 TIONAL OMBUDSMAN.

6 S 51. OFFICE OF THE CORRECTIONAL OMBUDSMAN; ORGANIZATION. 1. IN ORDER  
7 TO ACHIEVE TRANSPARENCY, FAIRNESS, IMPARTIALITY AND ACCOUNTABILITY IN  
8 OUR STATE CORRECTIONAL FACILITIES, THERE SHALL BE AN INDEPENDENT OFFICE  
9 OF THE CORRECTIONAL OMBUDSMAN WITHIN THE EXECUTIVE DEPARTMENT. THE  
10 OMBUDSMAN SHALL REPORT TO THE CORRECTIONAL OVERSIGHT BOARD ESTABLISHED  
11 PURSUANT TO SECTION FIFTY-TWO OF THIS ARTICLE, PROVIDED, HOWEVER, THAT  
12 ADMINISTRATIVE MATTERS OF GENERAL APPLICATION WITHIN THE EXECUTIVE  
13 DEPARTMENT SHALL BE ALSO APPLICABLE TO THE OFFICE.

14 (A) FOLLOWING THE INITIAL APPOINTMENT OF THE MEMBERS OF THE CORREC-  
15 TIONAL OVERSIGHT BOARD ESTABLISHED PURSUANT TO SECTION FIFTY-TWO OF THIS  
16 ARTICLE, SUCH BOARD SHALL PROMPTLY NOMINATE A FULL-TIME OMBUDSMAN AND  
17 NOTIFY THE GOVERNOR OF SUCH NOMINATION. NOTHING IN THIS PARAGRAPH SHALL  
18 PROHIBIT THE BOARD FROM APPOINTING AN INTERIM OMBUDSMAN IF THERE IS A  
19 VACANCY.

20 (B) THE GOVERNOR, WITHIN THIRTY DAYS AFTER RECEIVING WRITTEN NOTICE OF  
21 ANY NOMINATION OF AN OMBUDSMAN MADE PURSUANT TO PARAGRAPH (A) OF THIS  
22 SUBDIVISION, MAY APPROVE OR DISAPPROVE SUCH NOMINATION. IF THE GOVERNOR  
23 APPROVES SUCH NOMINATION, OR FAILS TO ACT ON SUCH NOMINATION WITHIN SUCH  
24 THIRTY DAY PERIOD, THE NOMINEE SHALL THEREUPON COMMENCE HIS OR HER TERM  
25 AS OMBUDSMAN. IF, WITHIN SUCH THIRTY DAY PERIOD, THE GOVERNOR SERVES  
26 UPON THE CHAIR OF SUCH BOARD A WRITTEN NOTICE DISAPPROVING SUCH NOMI-  
27 NATION, THE NOMINEE SHALL NOT BE AUTHORIZED TO SERVE AS OMBUDSMAN  
28 PROVIDED, HOWEVER, THAT SUCH BOARD MAY AUTHORIZE AN INTERIM OMBUDSMAN  
29 APPOINTED PURSUANT TO PARAGRAPH (A) OF THIS SUBDIVISION TO SERVE OR  
30 CONTINUE TO SERVE AS INTERIM OMBUDSMAN UNTIL SUCH TIME AS AN OMBUDSMAN  
31 IS APPROVED, OR NOT TIMELY DISAPPROVED, BY THE GOVERNOR. FOLLOWING ANY  
32 DISAPPROVAL, THE BOARD SHALL HAVE SIXTY DAYS TO SUBMIT ANOTHER NOMINEE,  
33 ALTHOUGH SUCH PERIOD MAY BE EXTENDED, UPON REQUEST OF THE BOARD, BY THE  
34 GOVERNOR. A PERSON APPOINTED AS INTERIM OMBUDSMAN MAY EXERCISE ALL OF  
35 THE POWERS AVAILABLE TO THE OMBUDSMAN.

36 (C) THE OMBUDSMAN MAY NOT HAVE WORKED FOR THE DEPARTMENT WITHIN THE  
37 LAST TEN YEARS AND MAY NOT HOLD ANY PUBLIC OFFICE OR OTHER EMPLOYMENT.  
38 THE OMBUDSMAN SHALL SERVE A SIX-YEAR TERM AND MAY ONLY BE REMOVED FOR  
39 GOOD CAUSE SHOWN, AFTER NOTICE AND AN OPPORTUNITY TO BE HEARD, BY A VOTE  
40 OF TWO-THIRDS OR MORE OF THE TWELVE MEMBERS OF THE BOARD.

41 S 52. CORRECTIONAL OVERSIGHT BOARD. 1. THERE IS HEREBY CREATED THE  
42 CORRECTIONAL OVERSIGHT BOARD HEREINAFTER REFERRED TO IN THIS SECTION AS  
43 THE "BOARD". THE PURPOSE OF SUCH BOARD SHALL BE TO MONITOR, STUDY AND  
44 MAKE EFFORTS TO IMPROVE THE TRANSPARENCY, FAIRNESS, IMPARTIALITY AND  
45 ACCOUNTABILITY IN STATE CORRECTIONAL FACILITIES AND TO APPOINT THE  
46 OMBUDSMAN. NO CURRENT EMPLOYEE OF THE DEPARTMENT SHALL BE APPOINTED TO  
47 OR SERVE ON SUCH BOARD. THE BOARD SHALL CONSIST OF TWELVE MEMBERS WHO  
48 SHALL BE APPOINTED AS FOLLOWS:

49 (A) ONE SHALL BE THE STATE INSPECTOR GENERAL;

50 (B) TWO SHALL BE APPOINTED BY THE GOVERNOR ON THE RECOMMENDATION OF  
51 THE SENATE;

52 (C) TWO SHALL BE APPOINTED BY THE GOVERNOR ON THE RECOMMENDATION OF  
53 THE ASSEMBLY;

54 (D) TWO SHALL BE APPOINTED BY THE GOVERNOR FROM A LIST OF AT LEAST SIX  
55 NOMINEES SUBMITTED BY NON-PROFIT AGENCIES WORKING IN THE FIELDS OF  
56 RE-ENTRY OR PRISONER ADVOCACY;

1 (E) ONE SHALL BE APPOINTED BY THE GOVERNOR AND SHALL BE A FORMER STATE  
2 INMATE;

3 (F) ONE SHALL BE APPOINTED BY THE GOVERNOR AND SHALL BE A FORMER  
4 EMPLOYEE OF THE DEPARTMENT WHO IS NO LONGER IN STATE SERVICE;

5 (G) ONE SHALL BE AN ATTORNEY APPOINTED BY THE GOVERNOR FROM A LIST OF  
6 AT LEAST FOUR NOMINEES SUBMITTED BY THE STATE BAR ASSOCIATION;

7 (H) ONE SHALL BE A MEDICAL PROFESSIONAL APPOINTED BY THE GOVERNOR; AND

8 (I) ONE SHALL BE A MENTAL HEALTH PROFESSIONAL WHO WORKS WITH THE  
9 JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS APPOINTED  
10 BY THE GOVERNOR.

11 2. ALL MEMBERS OF THE BOARD SHALL BE APPOINTED FOR TERMS OF THREE  
12 YEARS WITH SUCH TERMS TO COMMENCE ON AUGUST FIRST, AND EXPIRE JULY THIR-  
13 TY-FIRST, PROVIDED, HOWEVER, THAT THE INSPECTOR GENERAL SHALL SERVE EX  
14 OFFICIO. INITIAL APPOINTMENTS MUST BE MADE WITHIN SIXTY DAYS OF THE  
15 EFFECTIVE DATE OF THIS SUBDIVISION. ANY MEMBER CHOSEN TO FILL A VACANCY  
16 CREATED OTHERWISE THAN BY EXPIRATION OF TERM SHALL BE APPOINTED FOR THE  
17 UNEXPIRED TERM OF THE MEMBER WHOM HE OR SHE IS TO SUCCEED. VACANCIES  
18 CAUSED BY EXPIRATION OF A TERM OR OTHERWISE SHALL BE FILLED PROMPTLY AND  
19 IN THE SAME MANNER AS ORIGINAL APPOINTMENTS. ANY MEMBER MAY BE REAP-  
20 POINTED FOR ADDITIONAL TERMS. A MEMBER OF THE BOARD SHALL CONTINUE IN  
21 SUCH POSITION UPON THE EXPIRATION OF HIS OR HER TERM UNTIL SUCH TIME AS  
22 HE OR SHE IS REAPPOINTED OR HIS OR HER SUCCESSOR IS APPOINTED, AS THE  
23 CASE MAY BE.

24 3. MEMBERSHIP ON THE BOARD SHALL NOT CONSTITUTE THE HOLDING OF AN  
25 OFFICE, AND MEMBERS OF THE BOARD SHALL NOT BE REQUIRED TO TAKE AND FILE  
26 OATHS OF OFFICE BEFORE SERVING ON THE BOARD. THE BOARD SHALL NOT HAVE  
27 THE RIGHT TO EXERCISE ANY PORTION OF THE SOVEREIGN POWER OF THE STATE.

28 4. THE BOARD SHALL MEET AT LEAST TWO TIMES IN EACH YEAR. THE FIRST  
29 MEETING OF THE BOARD SHALL BE HELD WITHIN THIRTY DAYS OF THE APPOINTMENT  
30 OF THE FULL BOARD OR WITHIN SIXTY DAYS AFTER THE EFFECTIVE DATE OF THIS  
31 SUBDIVISION, WHICHEVER OCCURS EARLIER. SPECIAL MEETINGS MAY BE CALLED BY  
32 THE CHAIR AND SHALL BE CALLED BY THE CHAIR UPON THE REQUEST OF AT LEAST  
33 FIVE MEMBERS OF THE BOARD. THE BOARD MAY ESTABLISH ITS OWN PROCEDURES  
34 WITH RESPECT TO THE CONDUCT OF ITS MEETINGS AND OTHER AFFAIRS; PROVIDED,  
35 HOWEVER, THAT THE QUORUM AND MAJORITY PROVISIONS OF SECTION FORTY-ONE OF  
36 THE GENERAL CONSTRUCTION LAW SHALL GOVERN ALL ACTIONS TAKEN BY THE  
37 BOARD.

38 5. THE MEMBERS OF THE BOARD SHALL RECEIVE NO COMPENSATION FOR THEIR  
39 SERVICES BUT SHALL BE ALLOWED THEIR ACTUAL AND NECESSARY EXPENSES  
40 INCURRED IN THE PERFORMANCE OF THEIR FUNCTIONS HEREUNDER.

41 6. NO MEMBER OF THE BOARD SHALL BE DISQUALIFIED FROM HOLDING ANY  
42 PUBLIC OFFICE OR EMPLOYMENT OUTSIDE OF THE DEPARTMENT, NOR SHALL HE OR  
43 SHE FORFEIT ANY SUCH OFFICE OR EMPLOYMENT, BY REASON OF HIS OR HER  
44 APPOINTMENT PURSUANT TO THIS SECTION, NOTWITHSTANDING THE PROVISIONS OF  
45 ANY OTHER GENERAL, SPECIAL OR LOCAL LAW, ORDINANCE OR CITY CHARTER.

46 7. THE BOARD SHALL MAKE RECOMMENDATIONS TO THE OMBUDSMAN FOR THE  
47 IMPROVEMENT OF THE DEPARTMENT'S POLICIES AND CONSULT WITH AND ADVISE THE  
48 OFFICE OF THE CORRECTIONAL OMBUDSMAN IN CARRYING OUT THE DUTIES AND  
49 RESPONSIBILITIES OF SUCH OFFICE. THE OMBUDSMAN SHALL REPORT TO THE  
50 BOARD FULLY ON THE ACTIVITIES OF THE OFFICE AND SHALL SEEK BOARD  
51 APPROVAL ON ALL MAJOR DECISIONS OR POLICY CHANGES, INCLUDING ANY STAND-  
52 ARDS OR PROTOCOLS ADOPTED BY THE OMBUDSMAN FOR THE INSPECTION AND MONI-  
53 Toring OF CORRECTIONAL FACILITIES OR THE RESOLUTION OF COMPLAINTS  
54 RECEIVED BY THE OFFICE.

55 8. EACH MEMBER OF THE BOARD SHALL TOUR A CORRECTIONAL FACILITY WITH  
56 THE OMBUDSMAN AT LEAST ANNUALLY.

1 S 53. POWERS OF THE OMBUDSMAN. 1. THE OMBUDSMAN SHALL HAVE THE  
2 AUTHORITY TO HIRE AND RETAIN COUNSEL TO PROVIDE CONFIDENTIAL ADVICE OR  
3 TO REPRESENT THE OMBUDSMAN IF THE ATTORNEY GENERAL HAS A CONFLICT IN  
4 REPRESENTING THE OMBUDSMAN IN ANY LITIGATION.

5 2. THE OFFICE OF THE OMBUDSMAN SHALL NOT BE LOCATED IN THE SAME BUILD-  
6 ING OR BUILDINGS AS THE DEPARTMENT BUT SHALL BE WHOLLY INDEPENDENT OF  
7 THE DEPARTMENT EXCEPT THAT THE DEPARTMENT SHALL PROVIDE IT WITH OFFICE  
8 SPACE, EQUIPMENT AND FURNISHINGS WITHIN ANY DEPARTMENT FACILITY AS NEED-  
9 ED TO CARRY OUT ITS FUNCTIONS AND DUTIES.

10 3. THE OMBUDSMAN MAY APPOINT SUCH ASSISTANTS, OFFICERS, INVESTIGATORS,  
11 MONITORS, EMPLOYEES AND CONSULTANTS AS HE OR SHE SHALL DETERMINE NECES-  
12 SARY, PRESCRIBE THEIR DUTIES AND POWERS, PROVIDE THEM WITH APPROPRIATE  
13 TRAINING, FIX THEIR COMPENSATION AND PROVIDE FOR REIMBURSEMENT OF THEIR  
14 EXPENSES WITHIN THE AMOUNTS APPROPRIATED THEREFOR EXCEPT THAT THE  
15 OMBUDSMAN SHALL NOT HIRE ANY PERSON KNOWN TO BE DIRECTLY OR INDIRECTLY  
16 INVOLVED IN AN OPEN INTERNAL AFFAIRS INVESTIGATION CONDUCTED BY ANY  
17 FEDERAL, STATE OR LOCAL AGENCY OR WHO IS A NAMED DEFENDANT IN A PENDING  
18 FEDERAL OR STATE LAWSUIT OR CRIMINAL PROCEEDING RELATING TO HIS OR HER  
19 PRIOR WORK FOR A STATE, LOCAL OR FEDERAL CORRECTIONAL OR LAW ENFORCEMENT  
20 AGENCY. THE OMBUDSMAN MAY APPOINT A REPRESENTATIVE TO CARRY OUT ANY OF  
21 HIS OR HER DUTIES UNDER THIS ARTICLE EXCEPT THAT THE OMBUDSMAN MUST  
22 ATTEND MEETINGS WITH THE CORRECTIONAL OVERSIGHT BOARD.

23 4. THE OMBUDSMAN MAY CREATE, ABOLISH, TRANSFER AND CONSOLIDATE BUREAUS  
24 AND OTHER UNITS WITHIN THE OFFICE AS HE OR SHE MAY DETERMINE NECESSARY  
25 FOR THE EFFICIENT OPERATION OF THE OFFICE, SUBJECT TO THE APPROVAL OF  
26 THE DIRECTOR OF THE BUDGET.

27 5. THE OMBUDSMAN MAY REQUEST AND SHALL RECEIVE FROM ANY DEPARTMENT,  
28 DIVISION, BUREAU, COMMISSION OR ANY OTHER AGENCY OF THE STATE OR POLI-  
29 TICAL SUBDIVISION THEREOF OR ANY PUBLIC AUTHORITY SUCH ASSISTANCE,  
30 INFORMATION AND DATA AS WILL ENABLE THE OFFICE TO CARRY OUT ITS FUNC-  
31 TIONS, POWERS AND DUTIES.

32 6. THE OMBUDSMAN SHALL BE RESPONSIBLE FOR THE CONTEMPORANEOUS PUBLIC  
33 OVERSIGHT OF INTERNAL AFFAIRS AND THE DISCIPLINARY PROCESS OF THE  
34 DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION. THE OMBUDSMAN  
35 SHALL HAVE DISCRETION TO PROVIDE OVERSIGHT OF ANY DEPARTMENT INVESTI-  
36 GATION RELATING TO THE WELL-BEING, TREATMENT, DISCIPLINE, SAFETY OR ANY  
37 OTHER MATTER CONCERNING INMATES OR PERSONS UNDER COMMUNITY SUPERVISION  
38 AS NEEDED, INCLUDING PERSONNEL INVESTIGATIONS.

39 7. THE OMBUDSMAN MAY REVIEW SPECIFIC POLICIES, PRACTICES, PROGRAMS AND  
40 PROCEDURES OF THE DEPARTMENT THAT RAISE A SIGNIFICANT CORRECTIONAL ISSUE  
41 RELEVANT TO THE WELL-BEING, TREATMENT, DISCIPLINE, SAFETY, REHABILI-  
42 TATION OR ANY OTHER MATTER CONCERNING INMATES OR PERSONS UNDER COMMUNITY  
43 SUPERVISION. THE OMBUDSMAN IS AUTHORIZED TO INSPECT, INVESTIGATE OR  
44 EXAMINE ALL ASPECTS OF THE DEPARTMENT'S OPERATIONS AND CONDITIONS,  
45 INCLUDING, BUT NOT LIMITED TO, STAFF RECRUITMENT, TRAINING, SUPERVISION,  
46 DISCIPLINE, INMATE DEATHS, MEDICAL AND MENTAL HEALTH CARE, USE OF FORCE,  
47 INMATE VIOLENCE, CONDITIONS OF CONFINEMENT, INMATE DISCIPLINARY PROCESS,  
48 INMATE GRIEVANCE PROCESS, SUBSTANCE-ABUSE TREATMENT, EDUCATIONAL, VOCA-  
49 TIONAL AND OTHER PROGRAMMING AND RE-ENTRY PLANNING. DURING THE COURSE OF  
50 A REVIEW THE OMBUDSMAN SHALL IDENTIFY AREAS OF FULL AND PARTIAL COMPLI-  
51 ANCE OR NONCOMPLIANCE WITH DEPARTMENTAL POLICIES AND PROCEDURES, SPECIFY  
52 DEFICIENCIES IN THE COMPLETION AND DOCUMENTATION OF PROCESSES AND RECOM-  
53 MEND CORRECTIVE ACTIONS, INCLUDING, BUT NOT LIMITED TO, ADDITIONAL  
54 TRAINING, ADDITIONAL POLICIES OR CHANGES IN POLICIES, AS WELL AS ANY  
55 OTHER FINDINGS OR RECOMMENDATIONS HE OR SHE DEEMS APPROPRIATE.

1 8. THE OMBUDSMAN MAY PLACE SUCH MEMBERS OF HIS OR HER STAFF AS HE OR  
2 SHE DEEMS APPROPRIATE AS MONITORS IN ANY CORRECTIONAL FACILITY WHICH, IN  
3 THE JUDGMENT OF THE OMBUDSMAN, PRESENTS AN IMMINENT DANGER TO THE HEALTH  
4 SAFETY OR SECURITY OF INMATES OR EMPLOYEES OF SUCH CORRECTIONAL FACILITY  
5 OR THE PUBLIC.

6 9. THE OMBUDSMAN SHALL ACCEPT, WITH THE APPROVAL OF THE GOVERNOR, AS  
7 AGENT OF THE STATE ANY GRANT, INCLUDING FEDERAL GRANTS, OR ANY GIFT FOR  
8 ANY OF THE PURPOSES OF THIS ARTICLE. ANY MONEYS SO RECEIVED MAY BE  
9 EXPENDED BY THE OMBUDSMAN TO EFFECTUATE ANY PURPOSE OF THIS ARTICLE,  
10 SUBJECT TO THE SAME LIMITATIONS AS TO APPROVAL OF EXPENDITURES AND AUDIT  
11 AS ARE PRESCRIBED FOR STATE MONEYS APPROPRIATED FOR THE PURPOSES OF THIS  
12 ARTICLE.

13 10. THE OMBUDSMAN MAY ENTER INTO CONTRACTS WITH ANY PERSON, FIRM,  
14 CORPORATION, MUNICIPALITY, OR GOVERNMENTAL AGENCY.

15 11. THE OMBUDSMAN SHALL ADOPT, AMEND OR RESCIND SUCH RULES AND REGU-  
16 LATIONS, IN ACCORDANCE WITH APPLICABLE STATE LAW, AS MAY BE NECESSARY OR  
17 CONVENIENT TO THE PERFORMANCE OF THE FUNCTIONS, POWERS AND DUTIES OF THE  
18 OFFICE.

19 12. THE OMBUDSMAN SHALL DO ALL OTHER THINGS NECESSARY OR CONVENIENT TO  
20 CARRY OUT ITS FUNCTIONS, POWERS AND DUTIES EXPRESSLY SET FORTH IN THIS  
21 ARTICLE.

22 13. WHEN EXIGENT CIRCUMSTANCES OF UNSAFE OR LIFE THREATENING SITU-  
23 ATIONS ARISE INVOLVING INMATES, STAFF, PEOPLE ON COMMUNITY SUPERVISION  
24 OR OTHER INDIVIDUALS, THE OMBUDSMAN SHALL NOTIFY THE GOVERNOR, TEMPORARY  
25 PRESIDENT OF THE SENATE AND SPEAKER OF THE ASSEMBLY AND COMMENCE AN  
26 IMMEDIATE REVIEW OF SUCH CIRCUMSTANCES. UPON COMPLETION OF A REVIEW, THE  
27 OMBUDSMAN SHALL PREPARE A COMPLETE WRITTEN REPORT WHICH SHALL BE  
28 DISCLOSED WITH THE UNDERLYING MATERIALS THAT THE OMBUDSMAN DEEMS APPRO-  
29 PRIATE TO THE COMMISSIONER, THE REQUESTING ENTITY AND ANY APPROPRIATE  
30 LAW ENFORCEMENT AGENCY.

31 14. (A) THE OMBUDSMAN SHALL INTERVIEW AND REVIEW ALL CANDIDATES FOR  
32 APPOINTMENT TO SERVE AS THE SUPERINTENDENT OF ANY STATE CORRECTIONAL  
33 FACILITY. THE COMMISSIONER SHALL SUBMIT THE NAMES OF SUCH CANDIDATES TO  
34 THE OMBUDSMAN WHO SHALL REVIEW SUCH CANDIDATES' QUALIFICATIONS AND  
35 EMPLOY CONFIDENTIAL PROCEDURES TO EVALUATE THE QUALIFICATIONS OF EACH  
36 CANDIDATE WITH REGARD TO HIS OR HER ABILITY TO DISCHARGE THE DUTIES OF  
37 THE OFFICE TO WHICH HE OR SHE IS BEING APPOINTED. WITHIN NINETY DAYS OF  
38 THE SUBMISSION OF A CANDIDATE'S NAME, THE OMBUDSMAN SHALL CONFIDENTIALLY  
39 ADVISE THE COMMISSIONER AS TO WHETHER SUCH CANDIDATE IS WELL-QUALIFIED,  
40 QUALIFIED OR NOT QUALIFIED AND THE REASONS THEREFORE AND MAY REPORT, IN  
41 CONFIDENCE, ANY OTHER INFORMATION THAT THE OMBUDSMAN DEEMS PERTINENT TO  
42 THE QUALIFICATION OF THE CANDIDATE. THE OMBUDSMAN SHALL ESTABLISH AND  
43 ADOPT RULES AND PROCEDURES REGARDING THE REVIEW OF CANDIDATES FOR THE  
44 POSITION OF SUPERINTENDENT AND FOR MAINTAINING THE CONFIDENTIALITY OF  
45 ANY INTERVIEWS, DOCUMENTS OR OTHER INFORMATION RELIED UPON IN HIS OR HER  
46 REVIEW. ALL SUCH INFORMATION SHALL BE PRIVILEGED AND NOT SUBJECT TO  
47 DISCLOSURE.

48 (B) IF THE COMMISSIONER APPOINTS A SUPERINTENDENT WHO THE OMBUDSMAN  
49 FOUND WAS NOT QUALIFIED, THE OMBUDSMAN SHALL MAKE PUBLIC THAT FINDING  
50 AFTER DUE NOTICE TO THE APPOINTEE. ANY CANDIDATE FOUND TO BE NOT QUALI-  
51 FIED BY THE OMBUDSMAN SHALL HAVE THE RIGHT TO WITHDRAW FROM CONSIDER-  
52 ATION BEFORE THE OMBUDSMAN MAKES SUCH PUBLIC FINDING AND IN THAT CASE  
53 THE FINDING SHALL NOT BE PUBLISHED. SUCH NOTICE AND PUBLIC FINDING  
54 SHALL NOT CONSTITUTE A WAIVER OF PRIVILEGE OR BREACH OF CONFIDENTIALITY  
55 CONCERNING THE OMBUDSMAN'S REVIEW OF THE APPOINTEE'S QUALIFICATIONS  
56 PURSUANT TO THIS SECTION.

1 15. NOTWITHSTANDING ANY LAW TO THE CONTRARY, THE OMBUDSMAN SHALL  
2 PERIODICALLY, BUT NOT LESS THAN EVERY THREE YEARS, CONDUCT INSPECTIONS  
3 OF EACH CORRECTIONAL FACILITY AND SHALL PERIODICALLY REVIEW DELIVERY OF  
4 MEDICAL AND MENTAL HEALTH CARE AT EACH CORRECTIONAL FACILITY. THE  
5 OMBUDSMAN SHALL ISSUE A PUBLIC REPORT ON EACH CORRECTIONAL FACILITY AT  
6 LEAST EVERY THREE YEARS. THE OMBUDSMAN NEED NOT NOTIFY THE DEPARTMENT  
7 BEFORE COMMENCING SUCH INSPECTION OR REVIEW.

8 16. ALL RECORDS, CORRESPONDENCE, VIDEOTAPES, AUDIOTAPES, PHOTOGRAPHS,  
9 NOTES, ELECTRONIC COMMUNICATIONS, BOOKS, MEMORANDA, PAPERS OR OTHER  
10 DOCUMENTS OR OBJECTS USED AS EVIDENCE TO SUPPORT A COMPLETED REVIEW OR  
11 INVESTIGATION MUST BE RETAINED FOR THREE YEARS AFTER A REPORT IS ISSUED  
12 UNLESS HANDED OVER TO A LAW ENFORCEMENT AGENCY FOR CRIMINAL INVESTI-  
13 GATION. NO SUCH DOCUMENTS OR EVIDENCE SHALL BE DESTROYED PENDING THE  
14 COMPLETION OF AN INVESTIGATION OR REVIEW. SUCH DOCUMENTS OR EVIDENCE  
15 SHALL BE PUBLICLY AVAILABLE UNLESS CONFIDENTIAL AND NOT SUBJECT TO  
16 DISCLOSURE UNDER THE FREEDOM OF INFORMATION LAW OR BY COURT ORDER.

17 17. NOTWITHSTANDING ANY OTHER PROVISION OF THE LAW THE OMBUDSMAN SHALL  
18 HAVE COMPLETE ACCESS AND AUTHORITY TO EXAMINE AND REPRODUCE ANY AND ALL  
19 PAST AND CURRENT BOOKS, ACCOUNTS, REPORTS, MEDICAL AND MENTAL HEALTH  
20 RECORDS, VOUCHERS, CORRESPONDENCE FILES, COMPUTER FILES, COMPUTER DATA  
21 BASES, DOCUMENTS, VIDEO AND AUDIO TAPE RECORDINGS, STATISTICS AND  
22 PERFORMANCE BASED OUTCOME MEASURES AND ANY AND ALL OTHER PAST AND  
23 CURRENT RECORDS AND TO EXAMINE THE BANK ACCOUNTS, MONEY OR PROPERTY OF  
24 THE DEPARTMENT. ANY STATE OFFICE OR AGENCY OF A POLITICAL SUBDIVISION  
25 OF THE STATE OR OTHER PUBLIC ENTITY OR EMPLOYEE OR OFFICER THEREOF  
26 POSSESSING SUCH RECORDS OR PROPERTY SHALL PERMIT ACCESS TO, AND EXAMINA-  
27 TION AND REPRODUCTION THEREOF, CONSISTENT WITH THE PROVISIONS OF THIS  
28 ARTICLE, UPON THE REQUEST THE OMBUDSMAN OR HIS OR HER DESIGNEE. ACCESS,  
29 EXAMINATION AND REPRODUCTION CONSISTENT WITH THE PROVISION OF THIS  
30 SECTION SHALL NOT RESULT IN THE WAIVER OF ANY CONFIDENTIALITY OR PRIVI-  
31 LEGE REGARDING ANY RECORDS OR PROPERTY.

32 18. THE OMBUDSMAN MAY REQUIRE ANY STATE EMPLOYEE TO BE INTERVIEWED ON  
33 A CONFIDENTIAL BASIS. SUCH EMPLOYEE MUST COMPLY WITH THE REQUEST TO BE  
34 INTERVIEWED AND MUST BE GIVEN TIME OFF FROM HIS OR HER EMPLOYMENT FOR  
35 THE PURPOSES OF ATTENDING SUCH AN INTERVIEW AND MAY BE ACCOMPANIED BY  
36 COUNSEL ACTING ON HIS OR HER BEHALF. THE OMBUDSMAN MAY ALSO CONDUCT A  
37 CONFIDENTIAL INTERVIEW OF ANY INMATE OR OTHER PERSON UPON CONSENT.

38 19. THE OMBUDSMAN MAY ENTER ANYWHERE ON THE GROUNDS OF ANY DEPARTMENT  
39 FACILITY OR OFFICE FOR THE PURPOSES OF OBSERVATION, INSPECTION AND  
40 INVESTIGATION AND SHALL HAVE UNFETTERED ACCESS TO ALL AREAS OF THE  
41 DEPARTMENT AND ANY FACILITY AT ANY TIME.

42 20. THE OMBUDSMAN MAY CAUSE THE BODY OF A DECEASED INMATE TO UNDERGO  
43 SUCH EXAMINATIONS, INCLUDING AN AUTOPSY, AS HE OR SHE DEEMS NECESSARY TO  
44 DETERMINE THE CAUSE OF DEATH, IRRESPECTIVE OF WHETHER ANY SUCH EXAMINA-  
45 TION OR AUTOPSY SHALL HAVE BEEN PREVIOUSLY PERFORMED.

46 21. (A) IN THE EXERCISE OF ITS FUNCTIONS, POWERS AND DUTIES, THE  
47 OMBUDSMAN AND ANY ATTORNEY EMPLOYED BY THE OFFICE IS AUTHORIZED TO ISSUE  
48 AND ENFORCE A SUBPOENA AND A SUBPOENA DUCES TECUM, ADMINISTER OATHS AND  
49 EXAMINE PERSONS UNDER OATH, IN ACCORDANCE WITH AND PURSUANT TO CIVIL  
50 PRACTICE LAW AND RULES. A PERSON EXAMINED UNDER OATH PURSUANT TO THIS  
51 SUBDIVISION SHALL HAVE THE RIGHT TO BE ACCOMPANIED BY COUNSEL WHO SHALL  
52 ADVISE THE PERSON OF HIS OR HER RIGHTS SUBJECT TO REASONABLE LIMITATIONS  
53 TO PREVENT OBSTRUCTION OF, OR INTERFERENCE WITH, THE ORDERLY CONDUCT OF  
54 THE EXAMINATION. NOTWITHSTANDING ANY OTHER PROVISION OF LAW, A SUBPOENA  
55 MAY BE ISSUED AND ENFORCED PURSUANT TO THIS SUBDIVISION FOR THE MEDICAL  
56 RECORDS OF AN INMATE OF A CORRECTIONAL FACILITY, REGARDLESS OF WHETHER

1 SUCH MEDICAL RECORDS WERE MADE DURING THE COURSE OF THE INMATE'S INCAR-  
2 CERATION.

3 (B) IN ANY CASE WHERE A PERSON IN CHARGE OR CONTROL OF A CORRECTIONAL  
4 FACILITY OR AN OFFICER OR EMPLOYEE THEREOF SHALL FAIL TO COMPLY WITH THE  
5 PROVISIONS OF PARAGRAPH (A) OF THIS SUBDIVISION, OR IN ANY CASE WHERE A  
6 CORONER, CORONER'S PHYSICIAN OR MEDICAL EXAMINER SHALL FAIL TO COMPLY  
7 WITH THE PROVISIONS OF SUBDIVISION SIX OF SECTION SIX HUNDRED  
8 SEVENTY-SEVEN OF THE COUNTY LAW, THE OMBUDSMAN MAY APPLY TO THE SUPREME  
9 COURT FOR AN ORDER DIRECTED TO SUCH PERSON REQUIRING COMPLIANCE THERE-  
10 WITH. UPON SUCH APPLICATION THE COURT MAY ISSUE SUCH ORDER AS MAY BE  
11 JUST AND A FAILURE TO COMPLY WITH THE ORDER OF THE COURT SHALL BE A  
12 CONTEMPT OF COURT AND PUNISHABLE AS SUCH.

13 22. THE OMBUDSMAN SHALL NOT BE COMPELLED TO TESTIFY OR RELEASE RECORDS  
14 WITHOUT A COURT ORDER THAT ARE OTHERWISE EXEMPT FROM PUBLIC DISCLOSURE,  
15 INCLUDING DOCUMENTS PERTAINING TO ANY INVESTIGATION THAT HAS NOT BEEN  
16 COMPLETED OR ANY IDENTIFYING INFORMATION, PERSONAL PAPERS OR CORRESPOND-  
17 ENCE WITH ANY PERSON WHO HAS REQUESTED ASSISTANCE FROM THE OFFICE UNLESS  
18 THAT PERSON CONSENTS IN WRITING TO THE RELEASE OF SUCH INFORMATION,  
19 PAPERS OR CORRESPONDENCE.

20 23. THE OMBUDSMAN MAY HOLD PUBLIC HEARINGS.

21 S 54. ADDITIONAL FUNCTIONS, POWERS AND DUTIES OF THE OFFICE OF THE  
22 OMBUDSMAN. 1. THE OFFICE MAY RECEIVE COMMUNICATIONS FROM ANY INDIVIDUAL  
23 WHO BELIEVES HE OR SHE MAY HAVE INFORMATION THAT MAY DESCRIBE IMPROPER  
24 GOVERNMENTAL ACTIVITIES OR WRONGDOING WITHIN THE DEPARTMENT. INMATE  
25 MAIL TO AND FROM THE OMBUDSMAN SHALL BE TREATED IN THE SAME MANNER AS  
26 LEGAL MAIL AND MAY NOT BE RESTRICTED BY THE DEPARTMENT, THE OFFICE OF  
27 MENTAL HEALTH OR ANY OTHER ENTITY.

28 (A) THE OMBUDSMAN SHALL ESTABLISH A TOLL-FREE TELEPHONE NUMBER FOR THE  
29 PURPOSE OF IDENTIFYING ANY ALLEGED WRONGDOING BY AN EMPLOYEE OF THE  
30 DEPARTMENT. THIS TELEPHONE NUMBER SHALL BE POSTED BY THE DEPARTMENT IN  
31 CLEAR VIEW OF EMPLOYEES, INMATES AND THE PUBLIC, AND INMATES SHALL BE  
32 PERMITTED TO CALL SUCH NUMBER DURING NORMAL HOURS FOR TELEPHONE USAGE OR  
33 WITHIN TWENTY-FOUR HOURS OF ADMISSION TO A SPECIAL HOUSING UNIT OR OTHER  
34 UNIT WITH RESTRICTED TELEPHONE ACCESS. TELEPHONE CALLS MADE TO SUCH  
35 TOLL-FREE NUMBER FROM A CORRECTIONAL FACILITY SHALL NOT BE RECORDED BY  
36 THE DEPARTMENT AND ARE PROTECTED CONFIDENTIAL COMMUNICATIONS. THE  
37 OMBUDSMAN SHALL ALSO MAINTAIN A WEBSITE WITH A COMPLAINT FORM THAT MAY  
38 BE FILLED OUT ONLINE AND SHALL ALSO ACCEPT COMPLAINTS BY MAIL OR OTHER  
39 MEANS ALLEGING WRONGDOING BY AN EMPLOYEE OF THE DEPARTMENT. WHEN  
40 REQUESTED, THE OMBUDSMAN SHALL INITIATE A REVIEW OF ANY SUCH ALLEGED  
41 WRONGDOING WHICH MAY RESULT IN AN INVESTIGATION OF THE ALLEGED WRONGDO-  
42 ING AT THE OMBUDSMAN'S DISCRETION.

43 (B) AT THE CONCLUSION OF AN INVESTIGATION OF A COMPLAINT, THE OMBUDS-  
44 MAN SHALL REPORT HIS OR HER FINDINGS TO THE COMPLAINANT AND ANY PERSON  
45 DESIGNATED TO RECEIVE SUCH FINDINGS BY THE COMPLAINANT. IF THE OMBUDSMAN  
46 DOES NOT INVESTIGATE A COMPLAINT, HE OR SHE SHALL NOTIFY THE COMPLAINANT  
47 AND SUCH OTHER PERSON OF THE DECISION NOT TO INVESTIGATE AND THE REASONS  
48 FOR THE DECISION. IF THE COMPLAINANT IS DECEASED AT THE TIME OF THE  
49 COMPLETION OF AN INVESTIGATION, THE OMBUDSMAN SHALL REPORT HIS OR HER  
50 FINDINGS TO THE COMPLAINANT'S NEXT OF KIN WHEN SUCH PERSON IS KNOWN TO  
51 THE OMBUDSMAN OR TO THE DEPARTMENT.

52 (C) THE OMBUDSMAN MAY ACT INFORMALLY TO RESOLVE A COMPLAINT INCLUDING  
53 PROVIDING REFERRALS OR INFORMATION TO COMPLAINANTS, EXPEDITING INDIVID-  
54 UAL MATTERS, MEDIATING OR PROVIDING OTHER ASSISTANCE.

55 (D) ALL IDENTIFYING INFORMATION AND ANY PERSONAL RECORDS OR CORRE-  
56 SPONDENCE FROM ANY PERSON WHO INITIATED THE REVIEW OF SUCH ALLEGED

1 WRONGDOING SHALL BE CONFIDENTIAL UNLESS THE PERSON CONSENTS TO DISCLO-  
2 SURE IN WRITING.

3 (E) WHERE THE OMBUDSMAN BELIEVES THAT AN ALLEGATION OF CRIMINAL  
4 MISCONDUCT HAS BEEN MADE BY A COMPLAINANT, HE OR SHE SHALL REPORT SUCH  
5 ALLEGATION TO THE APPROPRIATE LAW ENFORCEMENT AGENCY.

6 2. UPON RECEIVING A COMPLAINT OF RETALIATION FOR COMPLAINING TO OR  
7 COOPERATING WITH THE OMBUDSMAN, THE OMBUDSMAN SHALL COMMENCE AN INQUIRY  
8 INTO THE COMPLAINT AND CONDUCT A FORMAL INVESTIGATION. SHOULD THE  
9 OMBUDSMAN FIND THAT A COMPLAINT OF RETALIATION IS FOUNDED AS A RESULT OF  
10 AN INVESTIGATION, HE OR SHE SHALL SO NOTIFY THE DEPARTMENT AND MAKE  
11 RECOMMENDATIONS FOR CORRECTIVE ACTION TO BE TAKEN BY THE DEPARTMENT. THE  
12 OMBUDSMAN SHALL MAKE THE RESULTS AND SUPPORTING EVIDENCE OF ITS FORMAL  
13 INVESTIGATION AVAILABLE TO THE DIVISION OF HUMAN RIGHTS SHOULD AN  
14 EMPLOYEE FILE A RETALIATION COMPLAINT WITH SUCH AGENCY AND CONSENT TO  
15 SUCH DISCLOSURE IN WRITING.

16 3. TO FACILITATE OVERSIGHT, THE OFFICE SHALL BE IMMEDIATELY NOTIFIED  
17 BY THE DEPARTMENT OF ALL UNUSUAL AND SIGNIFICANT INCIDENTS INCLUDING,  
18 BUT NOT LIMITED TO, RIOTS OR FIGHTS INVOLVING MULTIPLE COMBATANTS, USE  
19 OF FORCE, INMATE DEATHS, SERIOUS PHYSICAL ASSAULTS ON EMPLOYEES OR  
20 INMATES, WORK STOPPAGES AND ESCAPES AND SHALL BE GIVEN MONTHLY AGGRE-  
21 GATED REPORTS OF UNUSUAL INCIDENTS AND INMATE GRIEVANCES BY THE DEPART-  
22 MENT. EMPLOYEES OF THE OFFICE SHALL BE PERMITTED TO BE PRESENT IN ANY  
23 DEPARTMENT INTERNAL INVESTIGATION OR INQUIRY. THE OFFICE SHALL BE  
24 RESPONSIBLE FOR REPORTING SUCH UNUSUAL AND SIGNIFICANT INCIDENTS AND THE  
25 OUTCOME OF ITS INVESTIGATIONS INTO SUCH INCIDENTS TO THE PUBLIC NO LESS  
26 THAN QUARTERLY.

27 4. (A) THE OMBUDSMAN SHALL ANNUALLY PREPARE A PUBLIC REPORT AND SUMMA-  
28 RY OF ALL INVESTIGATIONS AND REVIEWS, INCLUDING A LIST OF SIGNIFICANT  
29 PROBLEMS DISCOVERED BY THE OFFICE, WHETHER OR NOT THE RECOMMENDATIONS  
30 MADE BY THE OFFICE HAVE BEEN IMPLEMENTED, AND A LIST OF THE OFFICE'S  
31 HIGH PRIORITIES FOR THE FOLLOWING YEAR. THE OMBUDSMAN SHALL SUBMIT SUCH  
32 REPORT TO THE GOVERNOR, THE TEMPORARY PRESIDENT OF THE SENATE, AND  
33 SPEAKER OF THE ASSEMBLY BY DECEMBER THIRTY-FIRST OF EACH YEAR. SUCH  
34 REPORT SHALL BE POSTED IN ELECTRONIC FORM ON THE OFFICE'S PUBLIC  
35 WEBSITE. THE OMBUDSMAN SHALL BE AUTHORIZED TO REDACT PORTIONS OF SUCH  
36 REPORT IN A MANNER CONSISTENT WITH ARTICLE SIX OF THE PUBLIC OFFICERS  
37 LAW OR WHERE DISCLOSURE IS OTHERWISE PROHIBITED BY LAW.

38 (B) UPON REVIEW OF THE CAUSE OF DEATH AND CIRCUMSTANCES SURROUNDING  
39 THE DEATH OF ANY INMATE IN A CORRECTIONAL FACILITY, THE OMBUDSMAN SHALL  
40 SUBMIT ITS REPORT THEREON TO THE GOVERNOR, THE SPEAKER OF THE ASSEMBLY,  
41 THE TEMPORARY PRESIDENT OF THE SENATE, THE CHAIRPERSON OF THE ASSEMBLY  
42 CORRECTION COMMITTEE, THE CHAIRPERSON OF THE SENATE CRIME AND CORRECTION  
43 COMMITTEE, AND THE COMMISSIONER, AND, WHERE APPROPRIATE, MAKE RECOMMEN-  
44 DATIONS TO PREVENT THE RECURRENCE OF SUCH DEATHS. SUCH REPORTS SHALL BE  
45 PUBLISHED ON THE OFFICE'S WEBSITE AND SHALL OTHERWISE BE MADE AVAILABLE  
46 TO THE PUBLIC.

47 (C) THE OMBUDSMAN SHALL MAKE AN ANNUAL REPORT TO THE GOVERNOR, THE  
48 SPEAKER OF THE ASSEMBLY, THE TEMPORARY PRESIDENT OF THE SENATE, THE  
49 CHAIRPERSON OF THE ASSEMBLY CORRECTION COMMITTEE AND THE CHAIRPERSON OF  
50 THE SENATE CRIME AND CORRECTION COMMITTEE ON THE CONDITION OF SYSTEMS  
51 FOR THE DELIVERY OF MEDICAL CARE TO INMATES OF CORRECTIONAL FACILITIES  
52 AND, WHERE APPROPRIATE, RECOMMEND SUCH CHANGES AS IT SHALL DEEM NECES-  
53 SARY AND PROPER TO IMPROVE THE QUALITY AND AVAILABILITY OF SUCH MEDICAL  
54 CARE. SUCH REPORT SHALL BE PUBLISHED ON THE OFFICE'S WEBSITE AND SHALL  
55 OTHERWISE BE MADE AVAILABLE TO THE PUBLIC.



(D) ALL PUBLIC REPORTS BY THE OMBUDSMAN SHALL NOT DISCLOSE INFORMATION WHERE PROHIBITED BY LAW.

S 55. ADDITIONAL DUTIES OF THE DEPARTMENT. 1. STATE EMPLOYEES OPERATING WITHIN A CORRECTIONAL FACILITY MUST COOPERATE FULLY AND PROMPTLY WITH THE OMBUDSMAN.

2. THE DEPARTMENT SHALL RESPOND IN WRITING TO ANY RECOMMENDATIONS MADE BY THE OMBUDSMAN OR HIS OR HER DESIGNEE WITHIN FORTY-FIVE DAYS AND SHALL STATE WITH SPECIFICITY ITS REASONS FOR FAILING TO ACT ON ANY SUCH RECOMMENDATION. SUCH WRITINGS SHALL BE MADE PUBLIC BY THE OMBUDSMAN EXCEPT THAT INFORMATION WHICH WOULD REVEAL CONFIDENTIAL MATERIAL THAT MAY NOT BE RELEASED PURSUANT TO FEDERAL OR STATE LAW SHALL BE REACTED BY THE OMBUDSMAN FROM ANY SUCH REPORT OR RECOMMENDATION.

3. THE COMMISSIONER SHALL IMMEDIATELY REPORT TO THE OMBUDSMAN THE DEATH OF AN INMATE OF ANY SUCH FACILITY IN SUCH MANNER AND FORM AS THE OMBUDSMAN SHALL PRESCRIBE AND SHALL PROVIDE HIM OR HER WITH AN AUTOPSY REPORT WHEN AVAILABLE.

S 56. OBSTRUCTING AN INVESTIGATION BY THE CORRECTIONAL OMBUDSMAN. A PERSON IS GUILTY OF OBSTRUCTING AN INVESTIGATION BY THE CORRECTIONAL OMBUDSMAN WHEN, WITH INTENT TO OBSTRUCT OR IMPEDE AN INQUIRY OR INVESTIGATION BY THE CORRECTIONAL OMBUDSMAN APPOINTED PURSUANT TO SECTIONS FIFTY-THREE OR FIFTY-FOUR OF THE CORRECTION LAW, HE OR SHE KNOWINGLY DESTROYS OR KNOWINGLY FAILS TO PERMIT ACCESS TO, EXAMINATION OF, OR REPRODUCTION BY THE OFFICE OF SUCH CORRECTIONAL OMBUDSMAN, OF ANY BOOK, ACCOUNT, BANK ACCOUNT INFORMATION, REPORT, VOUCHER, CORRESPONDENCE OR CORRESPONDENCE FILE, COMPUTER FILE, COMPUTER DATA BASE, DOCUMENT, VIDEO OR AUDIO RECORDING, STATISTIC OR PERFORMANCE BASED OUTCOME MEASURE, MONEY, PROPERTY OR ANY OTHER RECORD OF THE DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION LAWFULLY REQUESTED BY SUCH CORRECTIONAL OMBUDSMAN. OBSTRUCTING AN INVESTIGATION BY THE CORRECTIONAL OMBUDSMAN IS A CLASS A MISDEMEANOR.

S 2. Section 2 of the correction law is amended by adding two new subdivisions 32 and 33 to read as follows:

32. "OFFICE" MEANS THE OFFICE OF THE CORRECTIONAL OMBUDSMAN.

33. "OMBUDSMAN" MEANS THE COMMISSIONER OF THE OFFICE OF THE CORRECTIONAL OMBUDSMAN.

S 3. Subdivision 3 of section 40 of the correction law, as amended by section 13 of subpart A of part C of chapter 62 of the laws of 2011, is amended to read as follows:

3. "Correctional facility" means [any institution operated by the state department of corrections and community supervision,] any local correctional facility, or any place, OTHER THAN A STATE CORRECTIONAL FACILITY OPERATED BY THE DEPARTMENT, used, pursuant to a contract with the state or a municipality, for the detention of persons charged with or convicted of a crime, or, for the purpose of this article only, a secure facility operated by the office of children and family services.

S 4. Paragraph 1 of subdivision (c) of section 42 of the correction law, as added by chapter 865 of the laws of 1975, is amended to read as follows:

1. Advise and assist the commission in developing policies, plans and programs for improving the commission's performance of its duties and for coordinating the efforts of the commission and of correctional officials to improve conditions of care, treatment, safety, supervision, rehabilitation, recreation, training and education in LOCAL correctional facilities;

S 5. Subdivisions 1, 2, 3, 4, 6, 8, and 10 of section 45 of the correction law, subdivisions 1 and 2 as added by chapter 865 of the laws

1 of 1975, subdivision 3 as amended by section 1, subdivisions 6 and 10 as  
2 amended by section 7 of part Q of chapter 56 of the laws of 2009, subdi-  
3 vision 4 as amended by section 15 of subpart A of part C of chapter 62  
4 of the laws of 2011, subdivision 8 as amended by section 2 of part D of  
5 chapter 63 of the laws of 2005, paragraph (b) of subdivision 8 as  
6 amended by section 4 of part H of chapter 56 of the laws of 2009, are  
7 amended to read as follows:

8 1. Advise and assist the governor in developing policies, plans and  
9 programs for improving the administration of LOCAL correctional facili-  
10 ties and the delivery of services therein.

11 2. Make recommendations to administrators of LOCAL correctional facil-  
12 ities for improving the administration of such correctional facilities  
13 and the delivery of services therein.

14 3. Except in circumstances involving health, safety or alleged  
15 violations of established standards of the commission, visit, and  
16 inspect LOCAL correctional facilities consistent with a schedule deter-  
17 mined by the chairman of the commission, taking into consideration  
18 available resources, workload and staffing, and appraise the management  
19 of such correctional facilities with specific attention to matters such  
20 as safety, security, health of inmates, sanitary conditions, rehabilita-  
21 tive programs, disturbance and fire prevention and control preparedness,  
22 and adherence to laws and regulations governing the rights of inmates.

23 4. Establish procedures to assure effective investigation of griev-  
24 ances of, and conditions affecting, inmates of local correctional facil-  
25 ities. Such procedures shall include but not be limited to receipt of  
26 written complaints, interviews of persons, and on-site monitoring of  
27 conditions. [In addition, the commission shall establish procedures for  
28 the speedy and impartial review of grievances referred to it by the  
29 commissioner of the department of corrections and community super-  
30 vision.]

31 6. Promulgate rules and regulations establishing minimum standards for  
32 the review of the construction or improvement of LOCAL correctional  
33 facilities and the care, custody, correction, treatment, supervision,  
34 discipline, and other correctional programs for all persons confined in  
35 SUCH correctional facilities. Such rules and regulations shall be  
36 forwarded to the governor, the temporary president of the senate and the  
37 speaker of the assembly no later than January first, nineteen hundred  
38 seventy-six and annually thereafter.

39 8. [(a)] Close any LOCAL correctional facility which is unsafe, unsan-  
40 itary or inadequate to provide for the separation and classification of  
41 prisoners required by law or which has not adhered to or complied with  
42 the rules or regulations promulgated with respect to any such facility  
43 by the commission pursuant to the provisions of subdivision six of this  
44 section; provided, however, that before such facility may be closed due  
45 to conditions which are unsafe, unsanitary or inadequate to provide for  
46 the separation and classification of prisoners, the commission shall  
47 cause a citation to be mailed to the appropriate municipal or other  
48 official at least ten days before the return day thereof directing the  
49 responsible authorities designated to appear before such commission at  
50 the time and place set forth in the citation, and show cause why such  
51 correctional facility should not be closed. After a hearing thereon or  
52 upon the failure to appear, such commission is empowered to order such  
53 facility designated in the citation closed within twenty days, during  
54 which time the respondent authority may review such order in the manner  
55 provided in article seventy-eight of the civil practice law and rules,  
56 in the supreme court. Fifteen days after the order to close has been

1 served by a registered letter upon the appropriate official if no court  
2 review has been taken, and fifteen days after the order of such commis-  
3 sion has been confirmed by the court, in case of court review, such  
4 facility designated in the order shall be closed, and it shall be unlaw-  
5 ful to confine or detain any person therein and any officer confining or  
6 detaining any person therein shall be guilty of a class A misdemeanor.

7 [(b) Before a correctional facility as defined in subdivision four of  
8 section two of this chapter, may be closed for a reason other than those  
9 set forth in paragraph (a) of this subdivision, the provisions of  
10 section seventy-nine-a of this chapter shall be adhered to.]

11 10. Approve or reject plans and specifications for the construction or  
12 improvement of LOCAL correctional facilities that directly affect the  
13 health of inmates and staff, safety, or security.

14 S 6. Section 46 of the correction law, as added by chapter 865 of the  
15 laws of 1975, subdivisions 1 and 2 as amended by chapter 232 of the laws  
16 of 2012, and subdivision 3 as amended by chapter 490 of the laws of  
17 2015, is amended to read as follows:

18 S 46. Additional functions, powers and duties of the commission. 1.  
19 The commission, any member or any employee designated by the commission  
20 must be granted access at any and all times to any LOCAL correctional  
21 facility or part thereof and to all books, records, inmate medical  
22 records and data pertaining to any correctional facility deemed neces-  
23 sary for carrying out the commission's functions, powers and duties. The  
24 commission, any member or any employee designated by the chairman may  
25 require from the officers or employees of [a] SUCH correctional facility  
26 any information deemed necessary for the purpose of carrying out the  
27 commission's functions, powers and duties.

28 2. In the exercise of its functions, powers and duties, the commis-  
29 sion, any member, and any attorney employed by the commission is author-  
30 ized to issue and enforce a subpoena and a subpoena duces tecum, admin-  
31 ister oaths and examine persons under oath, in accordance with and  
32 pursuant to civil practice law and rules. A person examined under oath  
33 pursuant to this subdivision shall have the right to be accompanied by  
34 counsel who shall advise the person of their rights subject to reason-  
35 able limitations to prevent obstruction of, or interference with, the  
36 orderly conduct of the examination. Notwithstanding any other provision  
37 of law, a subpoena may be issued and enforced pursuant to this subdivi-  
38 sion for the medical records of an inmate of a correctional facility,  
39 regardless of whether such medical records were made during the course  
40 of the inmate's incarceration.

41 3. In any case where a person in charge or control of a LOCAL correc-  
42 tional facility or an officer or employee thereof shall fail to comply  
43 with the provisions of subdivision one, or in any case where a coroner,  
44 coroner's physician or medical examiner shall fail to comply with the  
45 provisions of subdivision six of section six hundred seventy-seven of  
46 the county law, the commission may apply to the supreme court for an  
47 order directed to such person requiring compliance therewith. Upon such  
48 application the court may issue such order as may be just and a failure  
49 to comply with the order of the court shall be a contempt of court and  
50 punishable as such.

51 4. In any case where any rule or regulation promulgated by the commis-  
52 sion pursuant to subdivision six of section forty-five OF THIS ARTICLE  
53 or the laws relating to the construction, management and affairs of  
54 [any] A LOCAL correctional facility or the care, treatment and disci-  
55 pline of its inmates, are being or are about to be violated, the commis-  
56 sion shall notify the person in charge or control of the facility of

1 such violation, recommend remedial action, and direct such person to  
2 comply with the rule, regulation or law, as the case may be. Upon the  
3 failure of such person to comply with the rule, regulation or law the  
4 commission may apply to the supreme court for an order directed to such  
5 person requiring compliance with such rule, regulation or law. Upon such  
6 application the court may issue such order as may be just and a failure  
7 to comply with the order of the court shall be a contempt of court and  
8 punishable as such.

9 S 7. Section 47 of the correction law, as added by chapter 865 of the  
10 laws of 1975, subdivision 2 as amended by chapter 491 of the laws of  
11 1987, is amended to read as follows:

12 S 47. Functions, powers and duties of the board. 1. The board shall  
13 have the following functions, powers and duties:

14 (a) Investigate and review the cause and circumstances surrounding the  
15 death of any inmate of a LOCAL correctional facility.

16 (b) Visit and inspect any LOCAL correctional facility wherein an  
17 inmate has died.

18 (c) Cause the body of the deceased to undergo such examinations,  
19 including an autopsy, as in the opinion of the board, are necessary to  
20 determine the cause of death, irrespective of whether any such examina-  
21 tion or autopsy shall have previously been performed.

22 (d) Upon review of the cause of death and circumstances surrounding  
23 the death of any inmate IN A LOCAL CORRECTIONAL FACILITY, the board  
24 shall submit its report thereon to the commission, THE GOVERNOR, THE  
25 SPEAKER OF THE ASSEMBLY AND TEMPORARY PRESIDENT OF THE SENATE, THE  
26 CHAIRPERSON OF THE ASSEMBLY CORRECTION COMMITTEE AND THE CHAIRPERSON OF  
27 THE SENATE CRIME AND CORRECTION COMMITTEE, and, where appropriate, make  
28 recommendations to prevent the recurrence of such deaths to the commis-  
29 sion and the administrator of the appropriate correctional facility.

30 (e) Investigate and report to the commission on the condition of  
31 systems for the delivery of medical care to inmates of LOCAL correction-  
32 al facilities and where appropriate recommend such changes as it shall  
33 deem necessary and proper to improve the quality and availability of  
34 such medical care.

35 2. Every administrator of a LOCAL correctional facility shall imme-  
36 diately report to the board the death of an inmate of any such facility  
37 in such manner and form as the board shall prescribe, together with an  
38 autopsy report.

39 S 8. Section 89-a of the correction law, as amended by chapter 409 of  
40 the laws of 1991, is amended to read as follows:

41 S 89-a. [1.] Management of alternate correctional facilities. 1.  
42 Superintendence, management and control of alternate correctional facil-  
43 ities and the eligible inmates housed therein shall be as directed by  
44 the commissioner consistent with the following: an alternate correction-  
45 al facility shall be operated pursuant to rules and regulations promul-  
46 gated for such facilities by the commissioner in consultation with the  
47 [state commission of correction] OFFICE OF THE CORRECTIONAL OMBUDSMAN  
48 and the provisions of the operation agreement. The commissioner shall  
49 operate such facility insofar as practicable in the same manner as a  
50 general confinement facility which houses medium security state inmates.  
51 Nothing herein, however, shall preclude the commissioner from enhancing  
52 staffing or programming to accommodate the particular needs of eligible  
53 inmates pursuant to the operation agreement. No inmate shall be housed  
54 in any alternate correctional facility until such facility has been  
55 established in accordance with the provisions of section eighty-nine of  
56 this article. The population in an alternate correctional facility shall

1 not exceed its design capacity of approximately seven hundred eligible  
2 inmates except pursuant to variances permitted by law, rule or regu-  
3 lation or court order.

4 2. Notwithstanding any other provisions of law, no variance authoriz-  
5 ing an alternate correctional facility to exceed its design capacity  
6 shall be granted after March fifteenth, nineteen hundred ninety-two  
7 unless the mayor of the city of New York submits, together with the  
8 variance request, a certificate of emergency demonstrating the need for  
9 such variance and that reasonable alternatives to the granting of the  
10 variance do not exist, and containing a detailed summary of measures  
11 that will be taken to restore compliance with such design capacity. The  
12 [chairman of the state commission of correction] COMMISSIONER OF THE  
13 OFFICE OF THE CORRECTIONAL OMBUDSMAN shall transmit, in a timely manner,  
14 notice of such request to the chairmen of the senate crime and  
15 correction committee and the assembly correction committee.

16 S 9. Subdivision 1 of section 89-e of the correction law, as amended  
17 by section 47 of part A of chapter 56 of the laws of 2010, is amended to  
18 read as follows:

19 1. The alternate correctional facility review panel is hereby estab-  
20 lished and shall consist of the commissioner, [the chairman of the state  
21 commission of correction] THE COMMISSIONER OF THE OFFICE OF THE CORREC-  
22 TIONAL OMBUDSMAN, the chairman of the board of parole, the director of  
23 the office of probation and correctional alternatives, the commissioner  
24 of correction of the city of New York, the president of the New York  
25 State Sheriffs' Association Institute, Inc., and the president of the  
26 Correctional Association of New York or their designees. The governor  
27 shall appoint a chairman and vice-chairman from among the members.

28 S 10. Section 89-f of the correction law, as added by chapter 549 of  
29 the laws of 1987, is amended to read as follows:

30 S 89-f. Oversight. The [state commission of correction] OFFICE OF THE  
31 CORRECTIONAL OMBUDSMAN shall exercise the same powers and duties  
32 concerning each alternate correctional facility as the [commission]  
33 OFFICE is required to exercise concerning a New York state correctional  
34 facility. The [commission] OFFICE shall prepare an annual report on each  
35 alternate correctional facility which shall evaluate and assess the  
36 department's compliance with all rules and regulations applicable to  
37 that facility and the operation agreement and which shall include an  
38 analysis of the frequency and severity of all unusual incidents and  
39 assaults occurring in that facility. The annual reports shall be filed  
40 with the governor, the mayor of the city of New York, the chairman of  
41 the senate crime and correction committee, and the chairman of the  
42 assembly committee on correction no later than the first day of June of  
43 each year.

44 S 11. Subdivision 1 of section 112 of the correction law, as amended  
45 by section 19 of subpart A of part C of chapter 62 of the laws of 2011,  
46 is amended to read as follows:

47 1. The commissioner of corrections and community supervision shall  
48 have the superintendence, management and control of the correctional  
49 facilities in the department and of the inmates confined therein, and of  
50 all matters relating to the government, discipline, policing, contracts  
51 and fiscal concerns thereof. He or she shall have the power and it shall  
52 be his or her duty to inquire into all matters connected with said  
53 correctional facilities AND TO REPORT ANY ALLEGATIONS OF CORRUPTION,  
54 FRAUD, CRIMINAL ACTIVITY, CONFLICTS OF INTEREST OR ABUSE TO THE OFFICE  
55 OF THE CORRECTIONAL OMBUDSMAN FOR INVESTIGATION, AS WELL AS REPORT TO  
56 SUCH OFFICE ON OTHER CORRECTIONAL ISSUES, INCLUDING, BUT NOT LIMITED TO,

1 STAFF RECRUITMENT, TRAINING, SUPERVISION, DISCIPLINE, INMATE DEATHS,  
2 MEDICAL AND MENTAL HEALTH CARE, USE OF FORCE, INMATE VIOLENCE, CONDI-  
3 TIONS OF CONFINEMENT, INMATE DISCIPLINARY PROCESS, INMATE GRIEVANCE  
4 PROCESS, SUBSTANCE-ABUSE TREATMENT, EDUCATIONAL, VOCATIONAL AND OTHER  
5 PROGRAMMING AND RE-ENTRY PLANNING. He or she shall make such rules and  
6 regulations, not in conflict with the statutes of this state, for the  
7 government of the officers and other employees of the department  
8 assigned to said facilities, and in regard to the duties to be performed  
9 by them, and for the government and discipline of each correctional  
10 facility, as he or she may deem proper, and shall cause such rules and  
11 regulations to be recorded by the superintendent of the facility, and a  
12 copy thereof to be furnished to each employee assigned to the facility.  
13 He or she shall also prescribe a system of accounts and records to be  
14 kept at each correctional facility, which system shall be uniform at all  
15 of said facilities, and he or she shall also make rules and regulations  
16 for a record of photographs and other means of identifying each inmate  
17 received into said facilities. He or she shall appoint and remove,  
18 subject to the civil service law and rules, subordinate officers and  
19 other employees of the department who are assigned to correctional  
20 facilities.

21 S 12. Subdivision 1 of section 146 of the correction law, as amended  
22 by chapter 234 of the laws of 2013, is amended to read as follows:

23 1. The following persons shall be authorized to visit at pleasure all  
24 correctional facilities: The governor and lieutenant-governor, commis-  
25 sioner of general services, secretary of state, comptroller and attor-  
26 ney-general, members of the commission of correction, ANY EMPLOYEE OF,  
27 OR PERSON UNDER CONTRACT TO, THE OFFICE OF THE CORRECTIONAL OMBUDSMAN,  
28 MEMBERS OF THE CORRECTIONAL OVERSIGHT BOARD, members of the legislature  
29 and any employee of the department as requested by the member of the  
30 legislature if the member requests to be so accompanied, provided that  
31 such request does not impact upon the department's ability to supervise,  
32 manage and control its facilities as determined by the commissioner,  
33 judges of the court of appeals, supreme court and county judges,  
34 district attorneys and every clergyman or minister, as such terms are  
35 defined in section two of the religious corporations law, having charge  
36 of a congregation in the county wherein any such facility is situated.  
37 No other person not otherwise authorized by law shall be permitted to  
38 enter a correctional facility except by authority of the commissioner of  
39 correction under such regulations as the commissioner shall prescribe.  
40 The provisions of this section shall not apply to such portion of a  
41 correctional facility in which inmates under sentence of death are  
42 confined.

43 S 13. Section 853 of the correction law, as amended by chapter 757 of  
44 the laws of 1981, is amended to read as follows:

45 S 853. Reporting and information. To ensure the accurate maintenance  
46 and availability of statistics and records with respect to participation  
47 in temporary release programs, the department shall maintain the follow-  
48 ing information relative to the operation of temporary release programs:

- 49 (a) number of inmate participants in each temporary release program;
- 50 (b) number of inmates participating in temporary release for whom  
51 written approval of the commissioner was required pursuant to subdivi-  
52 sion two of section eight hundred fifty-one of this chapter;
- 53 (c) number and type of individual programs approved for each partic-  
54 ipant;
- 55 (d) approved participating employers and educational institutions;
- 56 (e) number of inmates arrested;

1 (f) inmates involuntarily returned for violations by institution;  
2 (g) absconders still at large;  
3 (h) number of disciplinary proceedings initiated and the results ther-  
4 eof;  
5 (i) number of temporary release committee decisions appealed and the  
6 results thereof by institution;  
7 (j) reports or information made available to the department with  
8 respect to the participation of individuals in such programs, including  
9 any incidents of absconding or re-arrest.

10 The department shall also forward to the [state commission of  
11 correction] OFFICE OF THE CORRECTIONAL OMBUDSMAN quarterly reports  
12 including, but not limited to, the information identified in subdivi-  
13 sions (a), (b), (d), (e), (f) and (g) of this section and such other  
14 information requested by the [commission] OFFICE or available to the  
15 department with respect to such programs.

16 S 14. Section 854 of the correction law, as added by chapter 691 of  
17 the laws of 1977, is amended to read as follows:

18 S 854. Evaluation and recommendation. In recognition of the need for  
19 an independent evaluation of, and recommendations with respect to,  
20 temporary release, the [commission of correction] OFFICE OF THE CORREC-  
21 TIONAL OMBUDSMAN shall evaluate and assess the administration and opera-  
22 tion of all temporary release programs conducted pursuant to this arti-  
23 cle and shall submit to the governor and the legislature by March first,  
24 [nineteen hundred seventy-eight] TWO THOUSAND NINETEEN, its findings  
25 together with any recommendations with respect to the proper operation  
26 or the improvement of such temporary release programs.

27 S 15. Section 857 of the correction law, as added by chapter 691 of  
28 the laws of 1977, is amended to read as follows:

29 S 857. Complaint and abuse review. Any person may submit to the  
30 [commission of correction] OFFICE OF THE CORRECTIONAL OMBUDSMAN any  
31 complaint he or she may have concerning programmatic abuses. The  
32 [commission of correction] OFFICE shall evaluate such complaints and,  
33 where indicated, conduct any needed investigation. If the [commission]  
34 OFFICE concludes that a complaint is valid, the [commission] OMBUDSMAN  
35 shall make recommendations to the department for corrective action.  
36 Where the [commission] OFFICE believes sufficient evidence exists to  
37 support a criminal charge, the [commission] OFFICE shall report such  
38 evidence to the appropriate law enforcement agencies.

39 S 16. Subdivision 6 of section 677 of the county law, as amended by  
40 chapter 490 of the laws of 2015, is amended to read as follows:

41 6. Notwithstanding section six hundred seventy of this article or any  
42 other provision of law, the coroner, coroner's physician or medical  
43 examiner shall promptly provide the chairman of the correction medical  
44 review board OR THE COMMISSIONER OF THE OFFICE OF THE CORRECTIONAL  
45 OMBUDSMAN and the commissioner of corrections and community supervision,  
46 AS APPROPRIATE, with copies of any autopsy report, toxicological report  
47 or any report of any examination or inquiry prepared with respect to any  
48 death occurring to an inmate of a correctional facility as defined by  
49 subdivision three of section forty of the correction law within his OR  
50 HER county; and shall promptly provide the executive director of the  
51 justice center for the protection of people with special needs with  
52 copies of any autopsy report, toxicology report or any report of any  
53 examination or inquiry prepared with respect to the death of any service  
54 recipient occurring while he or she was a resident in any facility oper-  
55 ated, licensed or certified by any agency within the department of  
56 mental hygiene, the office of children and family services, the depart-

ment of health or the state education department. If the toxicological report is prepared pursuant to any agreement or contract with any person, partnership, corporation or governmental agency with the coroner or medical examiner, such report shall be promptly provided to the chairman of the correction medical review board, THE COMMISSIONER OF THE OFFICE OF THE CORRECTIONAL OMBUDSMAN, the commissioner of corrections and community supervision or the executive director of the justice center for people with special needs, as appropriate, by such person, partnership, corporation or governmental agency.

S 17. Section 2.10 of the criminal procedure law is amended by adding a new subdivision 84 to read as follows:

84. INVESTIGATORS OF THE OFFICE OF THE CORRECTIONAL OMBUDSMAN.

S 18. Subdivision 2 of section 285 of the education law, as added by section 6 of part 0 of chapter 57 of the laws of 2005, is amended to read as follows:

2. The commissioner is authorized to expend up to one hundred seventy-five thousand dollars annually to provide grants to public library systems operating under an approved plan of service for provision of services to county jail facilities. Such formula grants shall assist the library system in making available to the inmate population of such facility or facilities the library resources of such system. Such grants shall be available to each public library system in such manner as to insure that the ratio of the amount each system is eligible to receive equals the ratio of the number of inmates served by the county jail facility to the total number of inmates served by county jail facilities in the state as of July first of the year preceding the calendar year in which the state aid to public library systems is to be paid. Inmate populations shall be certified by the [New York state commission of correction] OFFICE OF THE CORRECTIONAL OMBUDSMAN. The commissioner shall adopt any regulations necessary to carry out the purposes and provisions of this subdivision.

S 19. Section 63 of the executive law is amended by adding a new subdivision 17 to read as follows:

17. INVESTIGATE THE ALLEGED COMMISSION OF ANY CRIMINAL OFFENSE OR OFFENSES COMMITTED BY AN EMPLOYEE OF THE DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION IN CONNECTION WITH THE PERFORMANCE OF HIS OR HER OFFICIAL DUTIES, AND PROSECUTE ANY SUCH PERSON OR PERSONS BELIEVED TO HAVE COMMITTED SUCH CRIMINAL OFFENSE OR OFFENSES IN CONNECTION WITH THE PERFORMANCE OF HIS OR HER OFFICIAL DUTIES. THE ATTORNEY GENERAL MAY ONLY EXERCISE THE JURISDICTION PROVIDED BY THIS SUBDIVISION UPON A WRITTEN FINDING THAT SUCH JURISDICTION IS NECESSARY BECAUSE: (A) OF A LACK OF ALTERNATIVE PROSECUTORIAL RESOURCES TO ADEQUATELY INVESTIGATE AND PROSECUTE SUCH CRIMINAL OFFENSE OR OFFENSES OR, (B) THE EXERCISE OF SUCH JURISDICTION IS NECESSARY TO ENSURE THE CONFIDENCE OF THE PUBLIC IN THE JUDICIAL SYSTEM. IN ALL SUCH PROCEEDINGS, THE ATTORNEY GENERAL MAY APPEAR IN PERSON OR BY HIS OR HER DEPUTY OR ASSISTANT BEFORE ANY COURT OR GRAND JURY AND EXERCISE ALL OF THE POWERS AND PERFORM ALL OF THE DUTIES WITH RESPECT TO SUCH ACTIONS OR PROCEEDINGS WHICH THE DISTRICT ATTORNEY WOULD OTHERWISE BE AUTHORIZED OR REQUIRED TO EXERCISE OR PERFORM.

S 20. Paragraph (a) of subdivision 1 of section 169 of the executive law, as amended by section 9 of part A of chapter 60 of the laws of 2012, is amended to read as follows:

(a) commissioner of corrections and community supervision, COMMISSIONER OF THE OFFICE OF THE CORRECTIONAL OMBUDSMAN, commissioner of education, commissioner of health, commissioner of mental health, commission-



1 er of developmental disabilities, commissioner of children and family  
2 services, commissioner of temporary and disability assistance, chancel-  
3 lor of the state university of New York, commissioner of transportation,  
4 commissioner of environmental conservation, superintendent of state  
5 police, commissioner of general services, commissioner of the division  
6 of homeland security and emergency services and the executive director  
7 of the state gaming commission;

8 S 21. Subdivision 9 of section 837-a of the executive law, as added by  
9 section 4 of part Q of chapter 56 of the laws of 2009, is amended to  
10 read as follows:

11 9. In consultation with the state commission of correction, THE OFFICE  
12 OF THE CORRECTIONAL OMBUDSMAN and the municipal police training council,  
13 establish and maintain basic and other correctional training programs  
14 for such personnel employed by correctional facilities as the commis-  
15 sioner shall deem necessary. Such basic correctional training program  
16 shall be satisfactorily completed by such personnel prior to their  
17 undertaking their duties or within one year following the date of their  
18 appointment or at such times as the commissioner may prescribe.  
19 Provided, however, the commissioner may, after consultation with the  
20 state commission of correction OR THE OFFICE OF CORRECTIONAL OMBUDSMAN,  
21 exempt from such requirement personnel employed by any correctional  
22 facility which, in the opinion of the commissioner, maintains a basic  
23 correctional training program of a standard equal to or higher than that  
24 established and maintained by the division; or revoke in whole or in  
25 part such exemption, if in his or her opinion the standards of the basic  
26 correctional training program maintained by such facility are lower than  
27 those established pursuant to this article.

28 S 22. Subdivision (c) of section 33.13 of the mental hygiene law is  
29 amended by adding a new paragraph 18 to read as follows:

30 18. TO THE OFFICE OF THE CORRECTIONAL OMBUDSMAN.

31 S 23. Subdivision 1 of section 2782 of the public health law is  
32 amended by adding a new paragraph (r) to read as follows:

33 (R) AN EMPLOYEE OR AGENT OF THE OFFICE OF THE CORRECTIONAL OMBUDSMAN  
34 IN ORDER TO CARRY OUT THE OFFICE'S FUNCTIONS, POWERS AND DUTIES WITH  
35 RESPECT TO THE PROTECTED INDIVIDUAL, PURSUANT TO ARTICLE THREE-A OF THE  
36 CORRECTION LAW.

37 S 24. Paragraph (a) of subdivision 2 of section 2786 of the public  
38 health law, as added by chapter 584 of the laws of 1988, is amended to  
39 read as follows:

40 (a) Each state agency authorized pursuant to this article to obtain  
41 confidential HIV related information shall, in consultation with the  
42 department of health, promulgate regulations: (1) to provide [safe-  
43 guards] SAFEGUARDS to prevent discrimination, abuse or other adverse  
44 actions directed toward protected individuals; (2) to prohibit the  
45 disclosure of such information except in accordance with this article;  
46 (3) to seek to protect individuals in contact with the protected indi-  
47 vidual when such contact creates a significant risk of contracting or  
48 transmitting HIV infection through the exchange of body fluids[,]; and  
49 (4) to establish criteria for determining when it is reasonably neces-  
50 sary for a provider of a health or social service or the state agency or  
51 a local government agency to have or to use confidential HIV related  
52 information for supervision, monitoring, investigation, or adminis-  
53 tration and for determining which employees and agents may, in the ordi-  
54 nary course of business of the agency or provider, be authorized to  
55 access confidential HIV related information pursuant to the provisions  
56 of paragraphs (l) and (m) of subdivision one and subdivision six of

1 section twenty-seven hundred eighty-two of this article; and provided  
2 further that such regulations shall be promulgated by the chairperson of  
3 the commission of correction OR THE OFFICE OF THE CORRECTIONAL OMBUDSMAN  
4 where disclosure is made pursuant to paragraphs (n) [and], (o), OR (R)  
5 of subdivision one of section twenty-seven hundred eighty-two of this  
6 article.

7 S 25. Subdivision 8 of section 92 of the public officers law, as  
8 amended by section 135 of subpart B of part C of chapter 62 of the laws  
9 of 2011, is amended to read as follows:

10 (8) Public safety agency record. The term "public safety agency  
11 record" means a record of the state commission of correction, THE OFFICE  
12 OF THE CORRECTIONAL OMBUDSMAN, the temporary state commission of inves-  
13 tigation, the department of corrections and community supervision, the  
14 office of children and family services, the office of victim services,  
15 the office of probation and correctional alternatives or the division of  
16 state police or of any agency or component thereof whose primary func-  
17 tion is the enforcement of civil or criminal statutes if such record  
18 pertains to investigation, law enforcement, confinement of persons in  
19 correctional facilities or supervision of persons pursuant to criminal  
20 conviction or court order, and any records maintained by the division of  
21 criminal justice services pursuant to sections eight hundred thirty-sev-  
22 en, eight hundred thirty-seven-a, eight hundred thirty-seven-b, eight  
23 hundred thirty-seven-c, eight hundred thirty-eight, eight hundred thir-  
24 ty-nine, and eight hundred forty-five of the executive law and by the  
25 department of state pursuant to section ninety-nine of the executive  
26 law.

27 S 26. Subdivision 1 of section 460-c of the social services law, as  
28 amended by chapter 838 of the laws of 1987, is amended to read as  
29 follows:

30 1. Excepting state institutions for the education and support of the  
31 blind, the deaf and the dumb, facilities subject to the approval, visi-  
32 tation and inspection of the state department of mental hygiene, THE  
33 OFFICE OF THE CORRECTIONAL OMBUDSMAN or the state commission of  
34 correction, facilities operated by or under the supervision of the divi-  
35 sion for youth and facilities subject to the supervision of the depart-  
36 ment of health pursuant to article twenty-eight of the public health  
37 law, the department shall inspect and maintain supervision over all  
38 public and private facilities or agencies whether state, county, municipi-  
39 pal, incorporated or not incorporated which are in receipt of public  
40 funds, which are of a charitable, eleemosynary, correctional or reforma-  
41 tory character, including facilities or agencies exercising custody of  
42 dependent, neglected, abused, maltreated, abandoned or delinquent chil-  
43 dren, agencies engaged in the placing-out or boarding-out of children as  
44 defined in section three hundred seventy-one of this chapter, homes or  
45 shelters for unmarried mothers, residential programs for victims of  
46 domestic violence as defined in subdivision [five] FOUR of section four  
47 hundred fifty-nine-a of this chapter and adult care facilities.

48 S 27. This act shall take effect one year after it shall have become a  
49 law.