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I N S E N A T E

May 9, 2016

Introduced by Sen. MARCELLINO -- read twice and ordered printed, and
when printed to be committed to the Committee on Education

AN ACT to amend the education law, in relation to background checks and
fingerprinting; and to amend the social services law, in relation to
statewide central registry clearances by contracted service providers
of student support services

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEM-
BLY, DO ENACT AS FOLLOWS:

1 Section 1. Subdivision 3 of section 1125 of the education law, as
2 added by chapter 180 of the laws of 2000, is amended to read as follows:
3 3. "Employee" shall mean any person receiving compensation from a
4 school district or employee of a contracted service provider, A
5 CONTRACTED SERVICE PROVIDER OF STUDENT SUPPORT SERVICES or worker placed
6 within the school under a public assistance employment program, pursuant
7 to title nine-B of article five of the social services law, and consist-
8 ent with the provisions of such title for the provision of services to
9 such district, its students or employees, directly or through contract,
10 whereby such services performed by such person involve direct student
11 contact.

12 S 2. Paragraph (a-2) of subdivision 3 of section 2854 of the education
13 law is amended by adding a new subparagraph (v) to read as follows:

14 (V) NOTWITHSTANDING ANYTHING TO THE CONTRARY IN THIS SECTION, THE
15 BOARD OF TRUSTEES OF A CHARTER SCHOOL SHALL NOT BE REQUIRED TO OVERSEE
16 THE FINGERPRINTING PROCESS FOR EMPLOYEES OF A CONTRACTED SERVICE PROVID-
17 ER OF STUDENT SUPPORT SERVICES SUCH AS, BUT NOT LIMITED TO, SUBSTITUTE
18 TEACHERS, SUBSTITUTE TEACHER AIDES, SUBSTITUTE NURSES, EDUCATIONAL
19 CONSULTANTS, TUTORS, SUBSTITUTE SCHOOL ADMINISTRATIVE SUPPORT AND OTHER
20 TEMPORARY STUDENT SERVICES PROFESSIONALS, SO LONG AS THE HAVE ENGAGED A
21 CONTRACTED SERVICE PROVIDER OF STUDENT SUPPORT SERVICES WHO HAS COMPLIED
22 WITH THE FINGERPRINTING REQUIREMENTS ELSEWHERE IN THIS CHAPTER.

23 S 3. Paragraph (a) of subdivision 30 of section 305 of the education
24 law, as amended by chapter 630 of the laws of 2006, is amended to read
25 as follows:

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets
[] is old law to be omitted.

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1 (a) The commissioner, in cooperation with the division of criminal
2 justice services and in accordance with all applicable provisions of
3 law, shall promulgate rules and regulations to require the fingerprint-
4 ing of prospective employees, as defined in section eleven hundred twenty-
5 ty-five of this chapter, of school districts, charter schools [and],
6 boards of cooperative educational services AND CONTRACTED SERVICE
7 PROVIDERS OF STUDENT SUPPORT SERVICES and authorizing the fingerprinting
8 of prospective employees of nonpublic and private elementary and second-
9 ary schools, and for the use of information derived from searches of the
10 records of the division of criminal justice services and the federal
11 bureau of investigation based on the use of such fingerprints. The
12 commissioner shall also develop a form for use by school districts,
13 charter schools, boards of cooperative educational services, CONTRACTED
14 SERVICE PROVIDERS OF STUDENT SUPPORT SERVICES, and nonpublic and private
15 elementary and secondary schools in connection with the submission of
16 fingerprints that contains the specific job title sought and any other
17 information that may be relevant to consideration of the applicant. THE
18 COMMISSIONER SHALL ALSO CREATE OR EXPAND THE FUNCTIONALITY OF INTERNET
19 BASED SYSTEMS TO PROVIDE ACCESS TO CONTRACTED SERVICE PROVIDERS OF
20 STUDENT SUPPORT SERVICES UPON APPLICATION OF THE CONTRACTED SERVICE
21 PROVIDER OF STUDENT SUPPORT SERVICES TO THE COMMISSIONER TO ENABLE THEM
22 TO BE ABLE TO REVIEW FINGERPRINT RESULTS AND SUBSEQUENT ARREST NOTIFICA-
23 TIONS BASED ON THE FINGERPRINT AND BACKGROUND CHECK DATA BY LOGGING INTO
24 A PROTECTED WEB PORTAL, ENTERING IN THE EMPLOYEE NAME AND SOCIAL SECURI-
25 TY NUMBER, WHICH WOULD PROVIDE ACCESS TO SEE THE FINGERPRINT RESULTS.
26 The commissioner shall also establish a form for the recordation of
27 allegations of child abuse in an educational setting, as required pursu-
28 ant to section eleven hundred twenty-six of this chapter. No person who
29 has been fingerprinted pursuant to section three thousand four-b of this
30 chapter or pursuant to section five hundred nine-cc or twelve hundred
31 twenty-nine-d of the vehicle and traffic law and whose fingerprints
32 remain on file with the division of criminal justice services shall be
33 required to undergo fingerprinting for purposes of a new criminal histo-
34 ry record check. This subdivision and the rules and regulations promul-
35 gated pursuant thereto shall not apply to a school district within a
36 city with a population of one million or more.

37 S 4. The opening paragraph of paragraph (b) of subdivision 30 of
38 section 305 of the education law, as amended by chapter 630 of the laws
39 of 2006, is amended to read as follows:

40 The commissioner, in cooperation with the division of criminal justice
41 services, shall promulgate a form to be provided to all such prospective
42 employees of school districts, charter schools, boards of cooperative
43 educational services, CONTRACTED SERVICE PROVIDERS OF STUDENT SUPPORT
44 SERVICES and nonpublic and private elementary and secondary schools that
45 elect to fingerprint and seek clearance for prospective employees that
46 shall:

47 S 5. Paragraph (d) of subdivision 30 of section 305 of the education
48 law, as amended by chapter 630 of the laws of 2006, is amended to read
49 as follows:

50 (d) The commissioner shall develop forms to be provided to all school
51 districts, charter schools, boards of cooperative educational services,
52 CONTRACTED SERVICE PROVIDERS OF STUDENT SUPPORT SERVICES and to all
53 nonpublic and private elementary and secondary schools that elect to
54 fingerprint their prospective employees, to be completed and signed by
55 prospective employees when conditional appointment or emergency condi-
56 tional appointment is offered.

1 S 6. Subdivision 31 of section 305 of the education law, as added by
2 chapter 380 of the laws of 2001, is amended to read as follows:

3 31. The commissioner shall direct that each school district, charter
4 school, [and] private elementary and secondary school, AND CONTRACTED
5 SERVICE PROVIDER OF STUDENT SUPPORT SERVICES appoint a designated educa-
6 tional official for the purposes set forth in section 380.90 of the
7 criminal procedure law, subdivision seventeen of section 301.2 and
8 subdivision three of section 380.1 of the family court act. In addition,
9 the commissioner shall promulgate rules and regulations, in consultation
10 with the office of court administration, to facilitate electronic access
11 by the courts to the names and addresses of such designated educational
12 officials.

13 S 7. Subdivision 1 of section 3035 of the education law, as amended by
14 chapter 630 of the laws of 2006, is amended to read as follows:

15 1. The commissioner shall submit to the division of criminal justice
16 services two sets of fingerprints of prospective employees as defined in
17 subdivision three of section eleven hundred twenty-five of this chapter
18 received from a school district, charter school or board of cooperative
19 educational services, CONTRACTED SERVICE PROVIDERS OF STUDENT SUPPORT
20 SERVICES and of prospective employees received from nonpublic and
21 private elementary and secondary schools pursuant to title two of this
22 chapter, and the division of criminal justice services processing fee
23 imposed pursuant to subdivision eight-a of section eight hundred thir-
24 ty-seven of the executive law and any fee imposed by the federal bureau
25 of investigation. The division of criminal justice services and the
26 federal bureau of investigation shall forward such criminal history
27 record to the commissioner in a timely manner. For the purposes of this
28 section, the term "criminal history record" shall mean a record of all
29 convictions of crimes and any pending criminal charges maintained on an
30 individual by the division of criminal justice services and the federal
31 bureau of investigation. All such criminal history records sent to the
32 commissioner pursuant to this subdivision shall be confidential pursuant
33 to the applicable federal and state laws, rules and regulations, and
34 shall not be published or in any way disclosed to persons other than the
35 commissioner, unless otherwise authorized by law.

36 S 8. Subdivision 3 of section 3035 of the education law, as amended by
37 section 7 of chapter 630 of the laws of 2006, is amended to read as
38 follows:

39 3. (a) Clearance. (I) After receipt of a criminal history record from
40 the division of criminal justice services and the federal bureau of
41 investigation the commissioner shall promptly notify the appropriate
42 school district, charter school, board of cooperative educational
43 services, CONTRACTED SERVICE PROVIDERS OF STUDENT SUPPORT SERVICES or
44 nonpublic or private elementary or secondary school whether the prospec-
45 tive employee to which such report relates is cleared for employment
46 based upon his or her criminal history. All determinations to grant or
47 deny clearance for employment pursuant to this paragraph shall be
48 performed in accordance with subdivision sixteen of section two hundred
49 ninety-six of the executive law and article twenty-three-A of the
50 correction law. When the commissioner denies a prospective employee
51 clearance for employment, such prospective employee shall be afforded
52 notice and the right to be heard and offer proof in opposition to such
53 determination in accordance with the regulations of the commissioner.

54 (II) NOTWITHSTANDING ANY OTHER PROVISIONS OF LAW TO THE CONTRARY,
55 INFORMATION REGARDING THE RESULTS OF THE INVESTIGATION OF CURRENT OR
56 PROSPECTIVE EMPLOYEES OF CONTRACTED SERVICE PROVIDERS OF STUDENT SUPPORT

1 SERVICES AND SUBSEQUENT CHANGES IN STATUS RELATED TO SUCH EMPLOYEES
2 SHALL BE TRANSMITTED VIA AN INTERNET-BASED SYSTEM MADE AVAILABLE TO
3 CONTRACTED SERVICE PROVIDERS OF STUDENT SUPPORT SERVICES UPON APPLICA-
4 TION OF THE CONTRACTED SERVICE PROVIDER OF STUDENT SUPPORT SERVICES TO
5 THE COMMISSIONER WHERE, BY LOGGING INTO A PROTECTED WEB PORTAL AND
6 ENTERING IN THE EMPLOYEE NAME AND SOCIAL SECURITY NUMBER, CONTRACTED
7 SERVICE PROVIDERS OF STUDENT SUPPORT SERVICES WOULD BE ABLE TO ACCESS
8 FINGERPRINT RESULTS, WHETHER THE EMPLOYEE FIRST COMPLETED A FORM
9 PROVIDED TO THEM BY THE CONTRACTED SERVICE PROVIDER OF STUDENT SUPPORT
10 SERVICES, OR RECEIVED A FORM PREVIOUSLY FROM A SCHOOL DISTRICT, CHARTER
11 SCHOOL OR BOARD OF COOPERATIVE EDUCATIONAL SERVICES. NOTHING IN THIS
12 SECTION SHALL REQUIRE AN EMPLOYEE WHO HAS ALREADY SUBMITTED THEIR FING-
13 ERPRINTS TO THE COMMISSIONER TO HAVE TO SUBMIT THEM AGAIN, SO LONG AS
14 THEY WERE NOT DESTROYED.

15 (b) Conditional clearance. When the commissioner receives a request
16 for a determination on the conditional clearance of a prospective
17 employee, the commissioner, after receipt of a criminal history record
18 from the division of criminal justice services, shall promptly notify
19 the prospective employee and the appropriate school district, charter
20 school, board of cooperative educational services, CONTRACTED SERVICE
21 PROVIDERS OF STUDENT SUPPORT SERVICES or nonpublic or private elementary
22 or secondary school that the prospective employee to which such report
23 relates is conditionally cleared for employment based upon his or her
24 criminal history or that more time is needed to make the determination.
25 If the commissioner determines that more time is needed, the notifica-
26 tion shall include a good faith estimate of the amount of additional
27 time needed. Such notification shall be made within fifteen business
28 days after the commissioner receives the prospective employee's finger-
29 prints. All determinations to grant or deny conditional clearance for
30 employment pursuant to this paragraph shall be performed in accordance
31 with subdivision sixteen of section two hundred ninety-six of the execu-
32 tive law and article twenty-three-A of the correction law.

33 S 9. Subdivision 3 of section 3035 of the education law, as amended by
34 section 8 of chapter 630 of the laws of 2006, is amended to read as
35 follows:

36 3. After receipt of a criminal history record from the division of
37 criminal justice services and the federal bureau of investigation the
38 commissioner shall promptly notify the appropriate school district,
39 charter school, board of cooperative educational services, CONTRACTED
40 SERVICE PROVIDERS OF STUDENT SUPPORT SERVICES or nonpublic or private
41 elementary or secondary school whether the prospective employee to which
42 such report relates is cleared for employment based upon his or her
43 criminal history. All determinations to grant or deny clearance for
44 employment pursuant to this subdivision shall be performed in accordance
45 with subdivision sixteen of section two hundred ninety-six of the execu-
46 tive law and article twenty-three-A of the correction law. When the
47 commissioner denies a prospective employee clearance for employment,
48 such prospective employee shall be afforded notice and the right to be
49 heard and offer proof in opposition to such determination in accordance
50 with the regulations of the commissioner.

51 S 10. Section 1125 of the education law is amended by adding a new
52 subdivision 10 to read as follows:

53 10. "CONTRACTED SERVICE PROVIDER OF STUDENT SUPPORT SERVICES" SHALL
54 MEAN ANY INDIVIDUAL OR ENTITY THAT CONTRACTS WITH, BUT NOT LIMITED TO, A
55 SCHOOL DISTRICT, A CHARTER SCHOOL, A BOARD OF COOPERATIVE EDUCATIONAL
56 SERVICES, OR A NONPUBLIC SCHOOL FOR THE PROVISION OF SUBSTITUTE TEACH-

ERS, SUBSTITUTE TEACHER ASSISTANTS, SUBSTITUTE NURSES, EDUCATIONAL PROFESSIONAL, TUTORS, SUBSTITUTE SCHOOL ADMINISTRATIVE SUPPORT, AND OTHER TEMPORARY STUDENT SERVICE PERSONNEL.

S 11. Subdivision 3 of section 424-a of the social services law, as amended by section 8 of part D of chapter 501 of the laws of 2012, is amended to read as follows:

3. For purposes of this section, the term "provider" or "provider agency" shall mean an authorized agency, the office of children and family services, juvenile detention facilities subject to the certification of such office, programs established pursuant to article nineteen-H of the executive law, non-residential or residential programs or facilities licensed or operated by the office of mental health or the office for people with developmental disabilities except family care homes, licensed child day care centers, including head start programs which are funded pursuant to title V of the federal economic opportunity act of nineteen hundred sixty-four, as amended, early intervention service established pursuant to section twenty-five hundred forty of the public health law, preschool services established pursuant to section forty-four hundred ten of the education law, school-age child care programs, CONTRACTED SERVICE PROVIDERS OF STUDENT SUPPORT SERVICES AS DEFINED IN SUBDIVISION TEN OF SECTION ELEVEN HUNDRED TWENTY-FIVE OF THE EDUCATION LAW, special act school districts as enumerated in chapter five hundred sixty-six of the laws of nineteen hundred sixty-seven, as amended, programs and facilities licensed by the office of alcoholism and substance abuse services, residential schools which are operated, supervised or approved by the education department, and any other facility or provider agency, as defined in subdivision four of section four hundred eighty-eight of this chapter, in regard to the employment of staff, or use of providers of goods and services and staff of such providers, consultants, interns and volunteers.

S 12. Paragraph (a) of subdivision 2 of section 390-a of the social services law, as amended by chapter 416 of the laws of 2000, is amended to read as follows:

(a) review and evaluate the backgrounds of and information supplied by any person applying to be a child day care center or school-age child care program employee or volunteer or group family day care assistant, a provider of family day care or group family day care, or a director of a child day care center, head start day care center or school-age child care program. Such procedures shall include but not be limited to the following requirements: that the applicant set forth his or her employment history, provide personal and employment references; submit such information as is required for screening with the statewide central register of child abuse and maltreatment in accordance with the provisions of section four hundred twenty-four-a of this article; sign a sworn statement indicating whether, to the best of his or her knowledge, he or she has ever been convicted of a crime in this state or any other jurisdiction; and provide his or her fingerprints for submission to the division of criminal justice services in accordance with the provisions of section three hundred ninety-b of this title. NOTWITHSTANDING THE PROVISIONS OF THIS PARAGRAPH, WHERE A PROGRAM HAS PEOPLE WORKING ON THEIR PREMISES THROUGH A CONTRACTED SERVICE PROVIDER OF STUDENT SUPPORT SERVICES AS DEFINED IN SUBDIVISION TEN OF SECTION ELEVEN HUNDRED TWENTY-FIVE OF THE EDUCATION LAW, AND SUCH CONTRACTED SERVICES PROVIDER OF STUDENT SUPPORT SERVICES HAS PROPERLY PERFORMED CHECKS ON ITS EMPLOYEES AS A PROVIDER OR PROVIDER AGENCY UNDER SECTION FOUR HUNDRED TWENTY-FOUR-A OF THIS CHAPTER, THEN SUCH PROGRAM DOES NOT NEED TO RUN

1 THE CHECK ITSELF ON THE CONTRACTED SERVICE PROVIDER EMPLOYEES WORKING ON
2 THEIR SITE;
3 S 13. This act shall take effect immediately; provided however that:
4 (a) the amendments to paragraph (a-2) of subdivision 3 of section 2854
5 of the education law made by section two of this act shall not affect
6 the expiration of such paragraph and shall expire and be deemed repealed
7 therewith; (b) the amendments to paragraph (d) of subdivision 30 of
8 section 305 of the education law made by section five of this act shall
9 not affect the repeal of such paragraph and shall be deemed repealed
10 therewith; and (c) the amendments to subdivision 3 of section 3035 of
11 the education law made by section eight of this act shall be subject to
12 the expiration and reversion of such subdivision, pursuant to section 12
13 of chapter 142 of the laws of 2001, as amended, when upon such date the
14 provisions of section nine of this act shall take effect.