## 10230

## IN ASSEMBLY

## May 17, 2016

- Introduced by M. of A. PAULIN, GOTTFRIED -- read once and referred to the Committee on Labor
- AN ACT to amend the workers' compensation law, in relation to diagnosis, care and treatment of injured employees by certified nurse practitioners

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEM-BLY, DO ENACT AS FOLLOWS:

The workers' compensation law is amended by adding a new 1 Section 1. section 13-p to read as follows:

2

3 S 13-P. DIAGNOSIS, CARE AND TREATMENT OF INJURED EMPLOYEES BY CERTI-4 FIED NURSE PRACTITIONERS. 1. WHERE THE TERM "NURSE PRACTITIONER" IS USED 5 IN THIS SECTION, SUCH TERM SHALL MEAN A PERSON WHO IS DULY LICENSED AS A 6 REGISTERED PROFESSIONAL NURSE, CERTIFIED AS A NURSE PRACTITIONER UNDER 7 SECTION SIX THOUSAND NINE HUNDRED TEN OF THE EDUCATION LAW, AND PRACTIC-8 ING IN COMPLIANCE WITH THE REQUIREMENTS OF SECTION SIX THOUSAND NINE 9 HUNDRED TWO OF THE EDUCATION LAW.

10 (A) NOTWITHSTANDING THE REOUIREMENTS OF SECTIONS THIRTEEN AND THIR-TEEN-A OF THIS ARTICLE, AN INJURED EMPLOYEE, INJURED UNDER CIRCUMSTANCES 11 WHICH MAKE SUCH AN INJURY COMPENSABLE UNDER THIS ARTICLE, MAY 12 LAWFULLY 13 DIAGNOSED AND TREATED BY A NURSE PRACTITIONER AND AUTHORIZED BY THE ΒE CHAIR TO RENDER HEALTH CARE SERVICES 14 PURSUANT ТО THIS SECTION. SUCH 15 SERVICES SHALL BE WITHIN THE SCOPE OF THE NURSE PRACTITIONER AS DEFINED IN PARAGRAPH (A) OF SUBDIVISION THREE OF 16 SECTION SIX THOUSAND NINE HUNDRED TWO OF THE EDUCATION LAW, AND SHALL INCLUDE PERFORMING INDEPEND-17 18 ENT MEDICAL EXAMINATIONS.

19 (B) A NURSE PRACTITIONER RENDERING SERVICE PURSUANT TO THIS SECTION 20 SHALL MAINTAIN RECORDS OF THE PATIENT'S CONDITION AND NURSE PRACTITIONER 21 TREATMENT, AND SUCH RECORDS OR REPORTS SHALL BE SUBMITTED TO THE CHAIR 22 ON SUCH FORMS AND AT SUCH TIMES AS THE CHAIR MAY REQUIRE.

A NURSE PRACTITIONER WHO IS DESIROUS OF BEING AUTHORIZED TO RENDER 23 2. 24 HEALTHCARE SERVICES UNDER THIS SECTION SHALL FILE AN APPLICATION FOR 25 AUTHORIZATION UNDER THIS SECTION WITH THE NURSE PRACTITIONER PRACTICE 26 COMMITTEE. THE APPLICANT SHALL AGREE TO REFRAIN FROM SUBSEQUENTLY TREAT-ING FOR REMUNERATION, AS A PRIVATE PATIENT, ANY PERSON SEEKING NURSE 27 PRACTITIONER HEALTHCARE SERVICES, IN CONNECTION WITH, OR AS A RESULT OF, 28 29 ANY INJURY COMPENSABLE UNDER THIS CHAPTER, IF HE OR SHE HAS BEEN REMOVED 30 FROM THE LIST OF NURSE PRACTITIONERS AUTHORIZED TO RENDER SERVICES UNDER

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets [] is old law to be omitted.

LBD11185-01-5

THIS CHAPTER. THIS AGREEMENT SHALL RUN TO THE BENEFIT OF THE INJURED 1 2 PERSON SO TREATED, AND SHALL BE AVAILABLE AS A DEFENSE IN ANY ACTION BY 3 SUCH NURSE PRACTITIONER FOR PAYMENT FOR TREATMENT RENDERED BY SUCH NURSE 4 PRACTITIONER AFTER BEING REMOVED FROM THE LIST OF NURSE PRACTITIONERS 5 AUTHORIZED TO RENDER HEALTHCARE SERVICES UNDER THIS SECTION. THE NURSE 6 PRACTITIONER PRACTICE COMMITTEE, IF IT DEEMS SUCH CERTIFIED NURSE PRAC-7 TITIONER DULY OUALIFIED, SHALL RECOMMEND TO THE CHAIR THAT SUCH PERSON 8 AUTHORIZED TO RENDER HEALTHCARE SERVICES UNDER THIS SECTION. SUCH BE RECOMMENDATIONS SHALL BE ONLY ADVISORY TO THE CHAIR AND SHALL NOT BE 9 10 BINDING OR CONCLUSIVE. THE CHAIR SHALL PREPARE AND ESTABLISH A SCHEDULE FOR THE STATE OR SCHEDULES LIMITED TO DEFINED LOCALITIES OF CHARGES AND 11 12 FEES FOR NURSE PRACTITIONER TREATMENT AND CARE, TO BE DETERMINED IN ACCORDANCE WITH AND BE SUBJECT TO CHANGE PURSUANT TO RULES PROMULGATED 13 14 ΒY THE CHAIR. BEFORE PREPARING SUCH SCHEDULE FOR THE STATE OR SCHEDULES 15 FOR LIMITED LOCALITIES THE CHAIR SHALL REQUEST THE NURSE PRACTITIONER 16 PRACTICE COMMITTEE TO SUBMIT TO SUCH CHAIR A REPORT ON THE AMOUNT OF 17 REMUNERATION DEEMED BY SUCH COMMITTEE TO BE FAIR AND ADEQUATE FOR THE TYPES OF SERVICES TO BE RENDERED UNDER THIS CHAPTER, BUT CONSIDERATION 18 19 SHALL BE GIVEN TO THE VIEW OF OTHER INTERESTED PARTIES. THE AMOUNTS 20 PAYABLE BY THE EMPLOYER FOR SUCH TREATMENT AND SERVICES SHALL BE THE 21 FEES AND CHARGES ESTABLISHED BY SUCH SCHEDULE.

22 3. NO CLAIM FOR NURSE PRACTITIONER SERVICES SHALL BE VALID AND 23 AS AGAINST THE EMPLOYER OR EMPLOYEES UNLESS WITHIN ENFORCEABLE 24 FORTY-EIGHT HOURS FOLLOWING THE FIRST TREATMENT THE NURSE PRACTITIONER 25 GIVING SUCH CARE OR TREATMENT FURNISHES TO THE EMPLOYER AND DIRECTLY TO 26 THE CHAIR A PRELIMINARY NOTICE OF SUCH INJURY AND TREATMENT, AND WITHIN FIFTEEN DAYS THEREAFTER A MORE COMPLETE REPORT AND SUBSEQUENT THERETO 27 PROGRESS REPORTS AS REQUESTED IN WRITING BY THE CHAIR, BOARD, EMPLOYER 28 OR INSURANCE CARRIER, AT INTERVALS OF NOT LESS THAN THREE WEEKS APART OR 29 LESS FREQUENT INTERVALS IF REQUESTED ON FORMS PRESCRIBED BY THE 30 AT THE BOARD MAY EXCUSE THE FAILURE TO GIVE SUCH NOTICES WITHIN THE 31 CHAIR. 32 DESIGNATED PERIODS WHEN IT FINDS IT TO BE IN THE INTEREST OF JUSTICE ТΟ 33 DO SO.

34 4. FEES FOR NURSE PRACTITIONER SERVICES SHALL BE PAYABLE ONLY TO A 35 DULY CERTIFIED NURSE PRACTITIONER, OR TO THE AGENT, EXECUTOR OR ADMINIS-TRATOR OF THE ESTATE OF SUCH NURSE PRACTITIONER. NO NURSE PRACTITIONER 36 RENDERING TREATMENT TO A COMPENSATION CLAIMANT SHALL COLLECT OR RECEIVE 37 38 A FEE FROM SUCH CLAIMANT WITHIN THIS STATE, BUT SHALL HAVE RECOURSE FOR 39 PAYMENT OF SERVICES RENDERED ONLY TO THE EMPLOYER UNDER THE PROVISIONS 40 OF THIS SECTION.

5. WHENEVER HIS OR HER ATTENDANCE AT A HEARING IS REQUIRED, THE NURSE PRACTITIONER OF THE INJURED EMPLOYEE SHALL BE ENTITLED TO RECEIVE A FEE FROM THE EMPLOYER IN AN AMOUNT TO BE FIXED BY THE BOARD, IN ADDITION TO ANY FEE PAYABLE UNDER SECTION EIGHT THOUSAND ONE OF THE CIVIL PRACTICE LAW AND RULES.

6. (A) UNLESS WITHIN THIRTY DAYS AFTER A BILL HAS BEEN RENDERED TO THE 46 47 EMPLOYER BY THE NURSE PRACTITIONER WHO HAS TREATED AN INJURED EMPLOYEE, 48 SUCH EMPLOYER SHALL HAVE NOTIFIED THE CHAIR AND SUCH NURSE PRACTITIONER 49 IN WRITING THAT SUCH EMPLOYER DEMANDS AN IMPARTIAL EXAMINATION OF THE 50 FAIRNESS OF THE AMOUNT CLAIMED BY SUCH NURSE PRACTITIONER FOR HIS OR HER SERVICES, THE RIGHT TO SUCH AN IMPARTIAL EXAMINATION SHALL BE DEEMED TO 51 BE WAIVED AND THE AMOUNT CLAIMED BY SUCH NURSE PRACTITIONER SHALL BE 52 DEEMED TO BE THE FAIR VALUE OF THE SERVICES RENDERED. IF THE PARTIES 53 54 FAIL TO AGREE AS TO THE NURSE PRACTITIONER HEALTHCARE SERVICES RENDERED 55 THIS CHAPTER TO A CLAIMANT, SUCH VALUE SHALL BE DECIDED BY THE UNDER NURSE PRACTITIONER PRACTICE COMMITTEE AND THE MAJORITY DECISION OF SUCH 56

COMMITTEE SHALL BE CONCLUSIVE UPON THE PARTIES AS TO THE VALUE OF THE 1 SERVICES RENDERED. THE BOARD MAY MAKE AN AWARD FOR ANY SUCH BILL OR PART 2 3 THEREOF WHICH REMAINS UNPAID IN THE SAME MANNER AS AN AWARD FOR BILLS 4 RENDERED UNDER SUBDIVISIONS ONE AND THREE OF SECTION THIRTEEN-G OF THIS 5 ARTICLE, AND SUCH AWARD MAY BE COLLECTED IN LIKE MANNER AS AN AWARD OF 6 COMPENSATION. THE CHAIR SHALL ASSESS THE SUM OF FIFTY DOLLARS AGAINST 7 THE EMPLOYER FOR EACH SUCH AWARD MADE BY THE BOARD, WHICH SUM SHALL BE PAID INTO THE STATE TREASURY. 8

9 (B) WHERE A NURSE PRACTITIONER'S BILL HAS BEEN DETERMINED TO BE DUE 10 AND OWING IN ACCORDANCE WITH THE PROVISIONS OF THIS SECTION, THE BOARD 11 MAY IMPOSE A PENALTY OF NOT MORE THAN ONE AND ONE-HALF PERCENT INTEREST 12 PER MONTH PAYABLE TO THE LICENSED NURSE PRACTITIONER IN ACCORDANCE WITH 13 THE RULES AND REGULATIONS PROMULGATED BY THE BOARD.

14 (C) THE PARTIES TO SUCH PROCEEDING SHALL EACH PAY TO THE CHAIR A SUM 15 EQUAL TO FIVE PER CENTUM OF THE AMOUNT PAYABLE UNDER THE DECISION OF 16 SUCH COMMITTEE OR A MINIMUM OF FIVE DOLLARS, WHICHEVER IS GREATER. THE 17 SUMS SO COLLECTED SHALL BE TRANSFERRED TO THE STATE TREASURY TO REIM-18 BURSE IT ON ACCOUNT OF THE EXPENSE OF ADMINISTERING THIS SECTION.

19 7. WITHIN THE LIMITS PRESCRIBED BY THE EDUCATION LAW FOR CERTIFIED 20 NURSE PRACTITIONER SERVICES, THE REPORT OR TESTIMONY OF AN AUTHORIZED 21 NURSE PRACTITIONER CONCERNING THE CONDITION OF AN INJURED EMPLOYEE AND 22 TREATMENT THEREOF SHALL BE DEEMED COMPETENT EVIDENCE AND THE PROFES-SIONAL OPINION OF THE NURSE PRACTITIONER AS TO CAUSAL RELATION AND AS TO 23 REQUIRED TREATMENT SHALL BE DEEMED COMPETENT BUT SHALL NOT BE CONTROL-24 25 LING. NOTHING IN THIS SECTION SHALL BE DEEMED TO DEPRIVE ANY EMPLOYER OR 26 INSURANCE CARRIER OF ANY RIGHT TO A MEDICAL EXAMINATION OR PRESENTATION OF MEDICAL TESTIMONY NOW CONFERRED BY LAW. 27

28 THE CHAIR SHALL PROMULGATE RULES GOVERNING THE PROCEDURE TO BE 8. 29 FOLLOWED BY NURSE PRACTITIONERS RENDERING HEALTHCARE SERVICES UNDER THIS SECTION, WHICH RULES SO FAR AS PRACTICABLE SHALL CONFORM TO THE RULES 30 PRESENTLY IN EFFECT WITH REFERENCE TO MEDICAL CARE FURNISHED TO CLAIM-31 32 ANTS IN WORKERS' COMPENSATION. IN CONNECTION WITH THE PROMULGATION OF SUCH RULES THE CHAIR MAY CONSULT THE NURSE PRACTITIONER PRACTICE COMMIT-33 34 TEE AND MAY TAKE INTO CONSIDERATION THE VIEW OF OTHER INTERESTED 35 PARTIES.

9. THE CHAIR SHALL APPOINT FOR AND WITH JURISDICTION IN THE ENTIRE 36 37 STATE OF NEW YORK A SINGLE NURSE PRACTITIONER PRACTICE COMMITTEE 38 COMPOSED OF TWO CERTIFIED NURSE PRACTITIONERS, AND ONE DULY LICENSED PHYSICIAN OF THE STATE OF NEW YORK. EACH MEMBER OF SUCH COMMITTEE SHALL 39 40 RECEIVE COMPENSATION EITHER ON AN ANNUAL BASIS OR ON A PER DIEM BASIS TO BE FIXED BY THE CHAIR WITHIN AMOUNTS APPROPRIATED THEREFOR. ONE OF SUCH 41 NURSE PRACTITIONERS SHALL BE DESIGNATED BY THE CHAIR AS A CHAIR OF SUCH 42 43 NURSE PRACTITIONER PRACTICE COMMITTEE. NO MEMBER OF SUCH COMMITTEE SHALL 44 RENDER HEALTHCARE SERVICES UNDER THIS SECTION NOR BE AN EMPLOYER OR 45 ACCEPT OR PARTICIPATE IN ANY FEE FROM ANY INSURANCE COMPANY AUTHORIZED TO WRITE WORKERS' COMPENSATION INSURANCE IN THIS STATE OR FROM ANY 46 47 SELF-INSURER, WHETHER SUCH EMPLOYMENT OR FEE RELATES TO A WORKERS' 48 COMPENSATION CLAIM OR OTHERWISE. THE ATTORNEY GENERAL, UPON REQUEST, 49 SHALL ADVISE AND ASSIST SUCH COMMITTEE.

10. THE NURSE PRACTITIONER PRACTICE COMMITTEE SHALL INVESTIGATE, HEAR AND MAKE FINDINGS WITH RESPECT TO ALL CHARGES AS TO PROFESSIONAL OR OTHER MISCONDUCT OF ANY AUTHORIZED NURSE PRACTITIONER AS PROVIDED IN THIS SECTION UNDER RULES AND PROCEDURES TO BE PRESCRIBED BY THE CHAIR AND SHALL REPORT EVIDENCE OF SUCH MISCONDUCT, WITH THEIR FINDINGS AND RECOMMENDATIONS WITH RESPECT THERETO, TO THE CHAIR. THE FINDINGS, DECI-SION AND RECOMMENDATION OF SUCH NURSE PRACTITIONER PRACTICE COMMITTEE

SHALL BE ADVISORY TO THE CHAIR ONLY, AND SHALL NOT BE BINDING OR CONCLU-1 SIVE UPON HIM OR HER. THE CHAIR SHALL REMOVE FROM THE LIST OF CERTIFIED 2 3 NURSE PRACTITIONERS AUTHORIZED TO RENDER HEALTHCARE SERVICES UNDER THIS OF ANY NURSE PRACTITIONER WHO HE OR SHE SHALL FIND 4 CHAPTER THE NAME 5 AFTER REASONABLE INVESTIGATION IS DISQUALIFIED BECAUSE SUCH NURSE PRAC-6 TITIONER: (A) HAS BEEN GUILTY OF PROFESSIONAL OR OTHER MISCONDUCT OR 7 INCOMPETENCY IN CONNECTION WITH THE RENDERING OF HEALTHCARE SERVICES, 8 (B) HAS EXCEEDED THE LIMITS OF HIS OR HER PROFESSIONAL COMPETENCE IN 9 RENDERING HEALTHCARE SERVICES UNDER THE LAW, OR HAS MADE FALSE STATE-10 MENTS REGARDING QUALIFICATIONS IN THE APPLICATION FOR AUTHORIZATION, (C) 11 FAILED TO SUBMIT TIMELY, FULL AND TRUTHFUL NURSE PRACTITIONER HAS HEALTHCARE SERVICES EVALUATION AND TREATMENT REPORTS OF ALL FINDINGS 12 TO EMPLOYER AND DIRECTLY TO THE CHAIR OF THE BOARD WITHIN THE TIME 13 THE LIMITS PROVIDED IN THIS SECTION, (D) HAS RENDERED NURSE PRACTITIONER 14 SERVICES UNDER THIS CHAPTER FOR A FEE LESS THAN THAT FIXED IN THE FEE 15 SCHEDULE, (E) HAS SOLICITED OR HAS EMPLOYED ANOTHER TO SOLICIT FOR 16 HIMSELF OR HERSELF OR FOR ANOTHER PROFESSIONAL TREATMENT, EXAMINATION OR 17 OF AN INJURED EMPLOYEE WITH ANY CLAIM UNDER THIS CHAPTER, (F) HAS 18 CARE 19 REFUSED TO APPEAR BEFORE OR ANSWER UPON REQUEST OF THE CHAIR, BOARD, 20 NURSE PRACTITIONER PRACTICE COMMITTEE OR ANY DULY AUTHORIZED OFFICER OF 21 THE STATE, ANY LEGAL QUESTION OR PRODUCE ANY RELEVANT BOOK OR PAPER CONCERNING CONDUCT UNDER AN AUTHORIZATION GRANTED UNDER LAW, OR (G) HAS 22 DIRECTLY OR INDIRECTLY REQUESTED, RECEIVED OR PARTICIPATED IN THE DIVI-23 SION, TRANSFERENCE, ASSIGNMENT, REBATING, SPLITTING OR REFUNDING OF A 24 25 FEE FOR, OR HAS DIRECTLY OR INDIRECTLY REQUESTED, RECEIVED OR PROFITED BY MEANS OF A CREDIT OR OTHERWISE VALUABLE CONSIDERATION AS A COMMIS-26 SION, DISCOUNT OR GRATUITY IN CONNECTION WITH THE TREATMENT OF A WORK-27 28 ERS' COMPENSATION CLAIMANT.

11. ANY PERSON WHO VIOLATES OR ATTEMPTS TO VIOLATE, AND ANY PERSON WHO
AIDS ANOTHER TO VIOLATE OR ATTEMPTS TO INDUCE HIM OR HER TO VIOLATE THE
PROVISIONS OF PARAGRAPH (G) OF SUBDIVISION TEN OF THIS SECTION SHALL BE
GUILTY OF A MISDEMEANOR.

33 12. NOTHING IN THIS SECTION SHALL BE CONSTRUED AS LIMITING IN ANY RESPECT THE POWER OR DUTY OF THE CHAIR TO INVESTIGATE 34 INSTANCES OF MISCONDUCT, EITHER BEFORE OR AFTER INVESTIGATION BY THE NURSE PRACTI-35 TIONER PRACTICE COMMITTEE, OR TO TEMPORARILY SUSPEND THE AUTHORIZATION 36 ANY NURSE PRACTITIONER BELIEVED TO BE GUILTY OF SUCH MISCONDUCT. THE 37 OF 38 PROVISIONS OF SUBDIVISION ONE OF SECTION THIRTEEN-D OF THIS ARTICLE WHICH ARE NOT INCONSISTENT WITH THE PROVISIONS OF THIS SECTION SHALL BE 39 40 APPLICABLE AS IF FULLY SET FORTH IN THIS SECTION.

13. NOTHING CONTAINED IN THIS SECTION SHALL PROHIBIT NURSE PRACTITION-41 42 ERS WHO PRACTICE AS PARTNERS, IN GROUPS OR AS A PROFESSIONAL CORPORATION 43 FROM POOLING FEES AND MONEYS RECEIVED, EITHER BY THE PARTNERSHIP, 44 PROFESSIONAL CORPORATION OR GROUP OR BY THE INDIVIDUAL MEMBERS THEREOF, 45 FOR PROFESSIONAL SERVICES FURNISHED BY ANY INDIVIDUAL PROFESSIONAL MEMBER, OR EMPLOYEE OF SUCH PARTNERSHIP, CORPORATION OR GROUP, NOR SHALL 46 47 THE PROFESSIONALS CONSTITUTING THE PARTNERSHIPS, CORPORATIONS, OR GROUPS BE PROHIBITED FROM SHARING, DIVIDING OR APPORTIONING THE FEES AND MONEYS 48 RECEIVED BY THEM OR BY THE PARTNERSHIP, CORPORATION OR GROUP IN ACCORD-49 50 ANCE WITH A PARTNERSHIP OR OTHER AGREEMENT.

51 S 2. This act shall take effect on the one hundred eightieth day after 52 it shall have become a law. Effective immediately, the addition, amend-53 ment and/or repeal of any rules and regulations necessary to implement 54 the provisions of this act on its effective date are authorized to be 55 made on or before such effective date.