

643

2013-2014 Regular Sessions

I N S E N A T E

(PREFILED)

January 9, 2013

Introduced by Sen. STAVISKY -- read twice and ordered printed, and when printed to be committed to the Committee on Codes

AN ACT to amend the criminal procedure law and the executive law, in relation to procedures for providing victim notification of criminal justice processes affecting the interests of crime victims and to repeal section 440.50 of the criminal procedure law relating thereto

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Section 440.50 of the criminal procedure law is REPEALED.
2 S 2. Part 3 of the criminal procedure law is amended by adding a new
3 title V to read as follows:
4 TITLE V--VICTIM NOTIFICATION ACT
5 ARTICLE 740--VICTIM NOTIFICATION
6 SECTION 740.10 DEFINITION OF TERMS.
7 740.15 ISSUANCE AND FILING OF VICTIM NOTICES.
8 740.20 NOTIFICATION OF VICTIM.
9 740.25 VICTIM FINANCIAL AND SOCIAL SERVICES ASSISTANCE IN-
10 FORMATION.
11 S 740.10 DEFINITION OF TERMS.
12 AS USED IN THIS ARTICLE, THE FOLLOWING TERMS HAVE THE FOLLOWING MEAN-
13 INGS:
14 1. "CRIME" MEANS CONDUCT DEFINED AS A FELONY OR A MISDEMEANOR IN THE
15 PENAL LAW.
16 2. "VICTIM" MEANS A PERSON WHO, AS A RESULT OF A CRIME, HAS SUFFERED A
17 DIRECT ADVERSE EFFECT CONSISTING OF: DEATH, PHYSICAL INJURY, RAPE,
18 SODOMY, SEXUAL ABUSE OR LOSS OF PROPERTY.
19 3. "FAMILY MEMBER" MEANS (A) ANY PERSON RELATED TO A VICTIM WITHIN THE
20 THIRD DEGREE OF CONSANGUINITY OR AFFINITY, OR (B) ANY PERSON RESIDING IN
21 THE SAME HOUSEHOLD WITH A VICTIM.

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets [] is old law to be omitted.

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1 4. "VICTIM NOTICE" MEANS A FORM PRESCRIBED BY THE COMMISSIONER OF
2 CRIMINAL JUSTICE SERVICES AND DISTRIBUTED BY THE DIVISION OF CRIMINAL
3 JUSTICE SERVICES ON WHICH A VICTIM MAY INDICATE A DEMAND THAT HE OR SHE
4 BE ADVISED AS TO COURT PROCEEDINGS AND THAT HE OR SHE BE ADVISED OF
5 CERTAIN OTHER CRIMINAL JUSTICE AND LAW ENFORCEMENT AGENCIES' ACTIONS
6 AFFECTING THE INTERESTS OF SUCH VICTIM AS PRESCRIBED BY THIS ARTICLE IN
7 ANY CRIMINAL ACTION THAT MAY BE BASED UPON THE CRIME OF WHICH HE OR SHE
8 WAS A VICTIM. SUCH FORM SHALL BE DESIGNED TO INCLUDE AT LEAST THE
9 FOLLOWING INFORMATION: THE NAME OF THE VICTIM; THE ADDRESS OF THE
10 VICTIM; THE NATURE OF THE CRIME; A DESCRIPTION OF THE CRIME; THE ADVERSE
11 EFFECTS SUFFERED BY THE VICTIM AND BY ANY FAMILY MEMBER; WHETHER OR NOT
12 THE VICTIM WISHES TO BE ADVISED AS TO COURT PROCEEDINGS AND CERTAIN
13 OTHER CRIMINAL JUSTICE AND LAW ENFORCEMENT AGENCIES' ACTIONS AFFECTING
14 THE INTEREST OF SUCH VICTIM AS PRESCRIBED BY THIS ARTICLE IN ANY CRIMI-
15 NAL ACTION INVOLVING THE CRIME; AND THE PLACE OR PLACES WHERE THE VICTIM
16 MAY BE REACHED BY MAIL AND TELEPHONE.

17 5. "DISCRETIONARY DISPOSITION" MEANS DISMISSAL IN FURTHERANCE OF
18 JUSTICE, PURSUANT TO PARAGRAPH (G) OF SUBDIVISION ONE OF SECTION 170.30
19 AND SECTION 170.40 OF THIS CHAPTER; ADJOURNMENT IN CONTEMPLATION OF
20 DISMISSAL PURSUANT TO SECTION 170.55 OF THIS CHAPTER; REDUCTION OF A
21 FELONY CHARGE TO ONE FOR A NON-FELONY OFFENSE PURSUANT TO SECTION 180.50
22 OF THIS CHAPTER, OTHER THAN WHERE THE REDUCTION IS MADE PURSUANT TO
23 PARAGRAPH (A) OF SUBDIVISION TWO THEREOF; REDUCTION OF A FELONY CHARGE
24 TO A NON-FELONY OFFENSE PURSUANT TO SUBDIVISION THREE OF SECTION 180.70
25 OF THIS CHAPTER; DISMISSAL OF AN INDICTMENT IN THE INTERESTS OF JUSTICE
26 PURSUANT TO PARAGRAPH (I) OF SUBDIVISION ONE OF SECTION 210.20 OF THIS
27 CHAPTER AND SECTION 210.40 OF THIS CHAPTER; THE ENTRY OF A PLEA OF GUIL-
28 TY TO ANY CRIME OTHER THAN ONE THAT IS NOT MORE THAN A SINGLE CLASSI-
29 FICATION BELOW THE HIGHEST CLASSIFICATION OF FELONY CHARGED IN THE
30 INDICTMENT, OR THE ENTRY OF A PLEA OF GUILTY TO A CRIME CHARGED IN
31 ANOTHER INDICTMENT TO CONSTITUTE A DISPOSITION OF THE INDICTMENT FOR THE
32 CRIME UPON WHICH THE VICTIM NOTICE IS BASED; A DETERMINATION THAT AN
33 ELIGIBLE YOUTH IS A YOUTHFUL OFFENDER; OR IMPOSITION OF SENTENCE.

34 6. "FILING" MEANS SUBMISSION, EITHER BY MAIL OR IN PERSON, OF THE
35 VICTIM NOTIFICATION FORM BY THE VICTIM OR FAMILY MEMBER TO THE CRIMINAL
36 JUSTICE AGENCY WHERE THE RECORD OF THE REPORT OF THE CRIME IS MAINTAINED
37 OR IF A CRIMINAL ACTION HAS BEEN COMMENCED, WITH THE CLERK OF THE COURT
38 WHERE THE ACTION IS PENDING.

39 S 740.15 ISSUANCE AND FILING OF VICTIM NOTICES.

40 1. WHENEVER A VICTIM SHALL GIVE INFORMATION IN PERSON TO A POLICE
41 OFFICER OR TO A DISTRICT ATTORNEY OR AN EMPLOYEE OF A DISTRICT ATTORNEY
42 CONCERNING A CRIME OF WHICH HE OR SHE IS A VICTIM SUCH OFFICER, DISTRICT
43 ATTORNEY OR EMPLOYEE SHALL INQUIRE AS TO WHETHER THE VICTIM HAS RECEIVED
44 A VICTIM NOTICE FORM AND, WHERE THE VICTIM INDICATES THAT HE OR SHE HAS
45 NOT RECEIVED SUCH FORM, SHALL ISSUE OR SHALL MAKE ARRANGEMENT TO ISSUE
46 TO THE VICTIM A VICTIM NOTICE FORM AND A COPY OF THE PROVISIONS OF THIS
47 ARTICLE. IN THE EVENT THAT THE VICTIM IS DECEASED OR IS UNDER THE AGE
48 OF EIGHTEEN OR IS UNABLE BY REASON OF MENTAL OR PHYSICAL DISABILITY TO
49 FILL OUT A VICTIM NOTICE FORM, THE FORM AND A COPY OF THIS ARTICLE SHALL
50 BE ISSUED TO ANY FAMILY MEMBER WHO APPEARS TO REPRESENT THE INTEREST OF
51 THE VICTIM.

52 2. AT THE TIME A VICTIM NOTICE IS ISSUED AS PROVIDED IN SUBDIVISION
53 ONE OF THIS SECTION, THE PERSON ISSUING SAID NOTICE SHALL INDICATE THER-
54 EON HIS OR HER OWN NAME AND (A) IF NO CRIMINAL ACTION HAS YET BEEN
55 COMMENCED, THE PLACE WHERE THE RECORD OF THE REPORT OF THE CRIME WILL BE
56 MAINTAINED, OR (B) IF A CRIMINAL ACTION HAS BEEN COMMENCED (I) THE COURT

1 WHERE SUCH ACTION PRESENTLY IS PENDING, (II) THE PART, IF KNOWN, TO
2 WHICH SUCH ACTION HAS BEEN ASSIGNED, AND (III) THE DOCKET NUMBER,
3 INDICTMENT NUMBER OR OTHER IDENTIFYING NUMBER, IF KNOWN, ASSIGNED TO THE
4 CRIMINAL ACTION. THE PERSON ISSUING A VICTIM NOTICE FORM SHALL, ALSO AT
5 THE TIME OF ISSUANCE, INFORM THE VICTIM OR FAMILY MEMBER OF THE MANNER
6 AND PLACE WHERE SUCH FORM MUST BE FILED IF AN ELECTION TO FILE IS MADE.

7 3. A PERSON TO WHOM A VICTIM NOTICE HAS BEEN ISSUED PURSUANT TO THIS
8 SECTION MAY, IF HE OR SHE SO ELECTS, FILE, BY MAIL OR IN PERSON, THE
9 VICTIM NOTICE AT THE PLACE INDICATED IN PARAGRAPH (A) OR SUBPARAGRAPH
10 (I) OF PARAGRAPH (B) OF SUBDIVISION TWO OF THIS SECTION. WHERE THE
11 NOTICE HAS BEEN FILED AT THE PLACE SET FORTH IN PARAGRAPH (A) OF SUBDI-
12 VISION TWO OF THIS SECTION, IT SHALL BE THE DUTY OF THE POLICE OFFICER
13 WHO APPEARS AT THE ARRAIGNMENT ON THE FIRST ACCUSATORY INSTRUMENT FILED
14 IN ANY CRIMINAL ACTION BASED UPON THE CRIME TO SUBMIT THE VICTIM NOTICE
15 TO THE CLERK OF THE COURT. WHERE A VICTIM NOTICE HAS BEEN SO SUBMITTED,
16 OR WHERE A VICTIM NOTICE IS FILED WITH THE COURT INDICATED IN SUBPARA-
17 GRAPH (I) OF PARAGRAPH (B) OF SUBDIVISION TWO OF THIS SECTION, IT SHALL
18 BE THE DUTY OF THE CLERK OF THE COURT OR THE CLERK OF THE PART TO ATTACH
19 THE NOTICE TO THE ACCUSATORY INSTRUMENT.

20 4. A VICTIM NOTICE FILED WITH AN ACCUSATORY INSTRUMENT MUST BE TRANS-
21 MITTED TO ANY COURT THAT SUBSEQUENTLY ACQUIRES JURISDICTION OF THE CRIM-
22 INAL ACTION AND MUST BE ATTACHED TO THE ACCUSATORY INSTRUMENT FILED
23 THEREIN. IT ALSO SHALL BE ATTACHED TO ALL SUPERSEDING ACCUSATORY
24 INSTRUMENTS.

25 5. IF A SENTENCE IS IMPOSED OR OTHER COURT ORDER ISSUED REQUIRING
26 CUSTODIAL PLACEMENT, THE CLERK OF THE COURT OR THE CLERK OF THE PART
27 SHALL TRANSMIT A VICTIM NOTICE TO THE CUSTODIAL INSTITUTION WHERE PLACE-
28 MENT IS TO OCCUR. FURTHER, IF AT ANY TIME THE ORIGINAL CUSTODIAL INSTI-
29 TUTION TRANSFERS AN INDIVIDUAL TO ANY OTHER CUSTODIAL INSTITUTION A
30 VICTIM NOTICE SHALL ACCOMPANY SUCH TRANSFER.

31 S 740.20 NOTIFICATION OF VICTIM.

32 1. WHEN A VICTIM NOTICE HAS BEEN FILED PURSUANT TO SECTION 740.15 OF
33 THIS ARTICLE AND A VICTIM OR A FAMILY MEMBER HAS INDICATED THEREIN A
34 WISH TO BE ADVISED AS TO COURT PROCEEDINGS IN THE CRIMINAL ACTION
35 INVOLVING THE CRIME, THEN NO COURT SHALL MAKE ANY DISCRETIONARY DISPOSI-
36 TION IN THE ACTION UNLESS THE VICTIM OR FAMILY MEMBER WHO HAS FILED THE
37 NOTICE IS PRESENT OR THE COURT HAS ASCERTAINED THAT REASONABLE EFFORTS
38 HAVE BEEN MADE TO GIVE SUCH PERSON AT LEAST TWENTY-FOUR HOURS ADVANCE
39 NOTICE OF THE FACT THAT A DISCRETIONARY DISPOSITION IS TO BE MADE IN THE
40 ACTION.

41 2. WHERE THE VICTIM OR FAMILY MEMBER WHO HAS FILED THE NOTICE IS PRES-
42 ENT, THE COURT SHALL NOT MAKE ANY DISCRETIONARY DISPOSITION IN THE
43 ACTION UNLESS THE COURT FIRST ADVISES SUCH PERSON OF THE SUBJECT MATTER
44 OF THE DISPOSITION TO BE MADE AND ASKS SUCH PERSON WHETHER HE OR SHE
45 WISHES TO MAKE A STATEMENT WITH RESPECT THERETO. IF SUCH PERSON WISHES
46 TO MAKE A STATEMENT, THE COURT SHALL GRANT HIM OR HER AN OPPORTUNITY TO
47 DO SO BEFORE MAKING A DISCRETIONARY DISPOSITION.

48 3. WHETHER OR NOT A VICTIM OR FAMILY MEMBER WHO HAS FILED A VICTIM
49 NOTICE APPEARS IN COURT, THE CLERK OF THE COURT SHALL PROMPTLY FORWARD
50 BY MAIL TO ANY PERSON WHO HAS FILED A VICTIM NOTICE A NOTIFICATION AS TO
51 THE FOLLOWING EVENTS:

52 (A) ANY DISCRETIONARY DISPOSITION, INCLUDING THE SPECIFICS THEREOF;

53 (B) ANY DISMISSAL OF THE ACCUSATORY INSTRUMENT; AND

54 (C) ANY REVERSAL OR MODIFICATION OF THE JUDGMENT BY AN APPELLATE
55 COURT.

1 4. WHEN A VICTIM NOTICE HAS BEEN TRANSMITTED PURSUANT TO SUBDIVISION
2 FIVE OF SECTION 740.15 OF THIS ARTICLE AND A VICTIM OR FAMILY MEMBER HAS
3 INDICATED THEREIN A WISH TO BE ADVISED OF CRIMINAL JUSTICE AGENCY
4 ACTIONS, THEN NO CUSTODIAL INSTITUTION SHALL RELEASE, DISCHARGE, PAROLE,
5 FURLOUGH, GRANT TEMPORARY PASS, GRANT WORK RELEASE PRIVILEGES TO A
6 PERSON IN ITS CUSTODY UNLESS IT SHALL FORWARD BY MAIL NOTICE, AT LEAST
7 EIGHT DAYS, EXCLUDING SATURDAYS, SUNDAYS AND HOLIDAYS, IN ADVANCE OF
8 SUCH PERSON'S CHANGE OF STATUS, TO THE VICTIM OR FAMILY MEMBER.

9 5. WHEN A VICTIM NOTICE HAS BEEN FILED PURSUANT TO SECTION 740.15 OF
10 THIS ARTICLE AND A VICTIM OR A FAMILY MEMBER HAS INDICATED THEREIN A
11 WISH TO BE ADVISED OF CRIMINAL JUSTICE AND LAW ENFORCEMENT AGENCIES'
12 ACTIONS THEN IT SHALL BE THE DUTY, WHENEVER POSSIBLE, OF THE INVESTIGAT-
13 ING POLICE OFFICER AND DISTRICT ATTORNEY OR AN EMPLOYEE OF THE DISTRICT
14 ATTORNEY TO INFORM A VICTIM, UPON ORAL OR WRITTEN REQUEST, OF THE
15 PROGRESS, STATUS OR RESULTS OF AN INVESTIGATION OR THE PROGRESS OR
16 STATUS OF A CASE WHICH IS BASED UPON THE CRIME OF WHICH HE OR SHE WAS A
17 VICTIM. HOWEVER, DISCLOSURE OF INFORMATION MAY BE WITHHELD FROM A
18 VICTIM IF SUCH INFORMATION IS CONFIDENTIAL OR COULD POTENTIALLY COMPRO-
19 MISE THE RESULTS OF AN INVESTIGATION OR PROSECUTION AS THE CASE MAY BE.
20 S 740.25 VICTIM FINANCIAL AND SOCIAL SERVICES ASSISTANCE INFORMATION.

21 NOTWITHSTANDING THE ABSENCE OF FILING A VICTIM NOTICE, WHENEVER A
22 VICTIM SHALL GIVE INFORMATION TO A POLICE OFFICER OR TO A DISTRICT
23 ATTORNEY OR AN EMPLOYEE OF A DISTRICT ATTORNEY CONCERNING A CRIME OF
24 WHICH HE OR SHE IS A VICTIM, SUCH OFFICER, DISTRICT ATTORNEY OR EMPLOYEE
25 SHALL, TO THE BEST OF THEIR ABILITY, PROVIDE THE VICTIM WITH INFORMATION
26 ABOUT FINANCIAL AND SOCIAL SERVICES ASSISTANCE AVAILABLE TO CRIME
27 VICTIMS. THIS INFORMATION SHALL INCLUDE, BUT IS NOT LIMITED TO, INFOR-
28 MATION ABOUT AVAILABLE: RAPE CRISIS CENTERS; VICTIM/WITNESS ASSISTANCE
29 PROGRAMS; ELDERLY VICTIM PROJECTS; THE OFFICE OF VICTIM SERVICES; VICTIM
30 ASSISTANCE HOTLINES; AND DOMESTIC VIOLENCE SHELTERS AND PROGRAMS.

31 S 3. Subparagraph (A) of paragraph (c) of subdivision 2 of section
32 259-i of the executive law, as amended by section 38-f-1 of subpart A of
33 part C of chapter 62 of the laws of 2011, is amended to read as follows:

34 (A) Discretionary release on parole shall not be granted merely as a
35 reward for good conduct or efficient performance of duties while
36 confined but after considering if there is a reasonable probability
37 that, if such inmate is released, he will live and remain at liberty
38 without violating the law, and that his release is not incompatible with
39 the welfare of society and will not so deprecate the seriousness of his
40 crime as to undermine respect for law. In making the parole release
41 decision, the procedures adopted pursuant to subdivision four of section
42 two hundred fifty-nine-c of this article shall require that the follow-
43 ing be considered: (i) the institutional record including program goals
44 and accomplishments, academic achievements, vocational education, train-
45 ing or work assignments, therapy and interactions with staff and
46 inmates; (ii) performance, if any, as a participant in a temporary
47 release program; (iii) release plans including community resources,
48 employment, education and training and support services available to the
49 inmate; (iv) any deportation order issued by the federal government
50 against the inmate while in the custody of the department and any recom-
51 mendation regarding deportation made by the commissioner of the depart-
52 ment pursuant to section one hundred forty-seven of the correction law;
53 (v) any statement made to the board by the crime victim or the victim's
54 representative, where the crime victim is deceased or is mentally or
55 physically incapacitated; (vi) the length of the determinate sentence to
56 which the inmate would be subject had he or she received a sentence

1 pursuant to section 70.70 or section 70.71 of the penal law for a felony
2 defined in article two hundred twenty or article two hundred twenty-one
3 of the penal law; (vii) the seriousness of the offense with due consid-
4 eration to the type of sentence, length of sentence and recommendations
5 of the sentencing court, the district attorney, the attorney for the
6 inmate, the pre-sentence probation report as well as consideration of
7 any mitigating and aggravating factors, and activities following arrest
8 prior to confinement; and (viii) prior criminal record, including the
9 nature and pattern of offenses, adjustment to any previous probation or
10 parole supervision and institutional confinement. The board shall
11 provide toll free telephone access for crime victims. [In the case of an
12 oral statement made in accordance with subdivision one of section 440.50
13 of the criminal procedure law, the parole board member shall present a
14 written report of the statement to the parole board.] A crime victim's
15 representative shall mean the crime victim's closest surviving relative,
16 the committee or guardian of such person, or the legal representative of
17 any such person. Such statement submitted by the victim or victim's
18 representative may include information concerning threatening or intim-
19 idating conduct toward the victim, the victim's representative, or the
20 victim's family, made by the person sentenced and occurring after the
21 sentencing. Such information may include, but need not be limited to,
22 the threatening or intimidating conduct of any other person who or which
23 is directed by the person sentenced.

24 S 4. Paragraph (b) of subdivision 2 of section 646-a of the executive
25 law, as added by chapter 67 of the laws of 1994, is amended to read as
26 follows:

27 (b) the rights of crime victims to routine notification of judicial
28 proceedings relating to their case as provided in section six hundred
29 forty-one of this article[,] AND in section 330.20[, and section 440.50]
30 of the criminal procedure law [and section one hundred forty-nine-a of
31 the correction law];

32 S 5. This act shall take effect on the first of November next succeed-
33 ing the date on which it shall have become a law.