

S T A T E O F N E W Y O R K

5778--A

2013-2014 Regular Sessions

I N S E N A T E

June 14, 2013

Introduced by Sen. PARKER -- read twice and ordered printed, and when printed to be committed to the Committee on Children and Families -- recommitted to the Committee on Children and Families in accordance with Senate Rule 6, sec. 8 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the executive law, in relation to the establishment of the independent office of the child advocate; and repealing certain provisions of such law relating to the office of the ombudsman

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Subtitle B of title 3 of article 19-G of the executive law
2 is REPEALED.

3 S 2. The executive law is amended by adding a new article 19-I to read
4 as follows:

ARTICLE 19-I

INDEPENDENT OFFICE OF THE CHILD ADVOCATE

7 SECTION 533. INDEPENDENT OFFICE OF THE CHILD ADVOCATE; CREATION.

8 534. DEFINITIONS.

9 535. THE CHILD ADVOCATE.

10 536. DUTIES OF THE CHILD ADVOCATE.

11 537. POWERS OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE.

12 538. DUTY TO MAINTAIN CONFIDENTIALITY.

13 539. ADDITIONAL PROVISIONS.

14 S 533. INDEPENDENT OFFICE OF THE CHILD ADVOCATE; CREATION. THERE IS
15 HEREBY CREATED IN THE EXECUTIVE DEPARTMENT, AN INDEPENDENT OFFICE OF THE
16 CHILD ADVOCATE, WHICH SHALL:

17 1. EXAMINE, EVALUATE AND REPORT TO THE GOVERNOR AND THE LEGISLATURE
18 ON:

19 (A) PARTICULAR AND SYSTEMIC ISSUES IN PUBLICLY FUNDED PROGRAMS OVER-
20 SEEN BY ANY EXECUTIVE AGENCY WITH CUSTODY OF CHILDREN; AND

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets
[] is old law to be omitted.

LBD04829-03-4

(B) MULTI-SYSTEMIC ISSUES THAT CHILDREN IN THE CARE, CUSTODY OR GUARDIANSHIP OF ANY STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT, AND THE FAMILIES OF SUCH CHILDREN EXPERIENCE IN ACCESSING NEEDED SERVICES ACROSS SYSTEMS; AND

2. ADVOCATE FOR, AND REPORT TO THE GOVERNOR AND THE LEGISLATURE ON SUGGESTED STATUTORY, REGULATORY OR POLICY CHANGES AIMED AT IMPROVING OUTCOMES AND SERVICES FOR CHILDREN AND THEIR FAMILIES IN NEW YORK STATE.

S 534. DEFINITIONS. AS USED IN THIS ARTICLE:

1. "CHILD" OR "CHILDREN" MEANS:

(A) A PERSON, OR PERSONS UNDER THE AGE OF EIGHTEEN; OR

(B) A PERSON OR PERSONS UNDER THE AGE OF TWENTY-ONE WHO HAS BEEN PLACED INTO THE CARE, CUSTODY, OR GUARDIANSHIP OF ANY STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT PURSUANT TO ARTICLE THREE, SEVEN OR TEN OF THE FAMILY COURT ACT OR SECTION THREE HUNDRED FIFTY-EIGHT-A, THREE HUNDRED EIGHTY-THREE-C, THREE HUNDRED EIGHTY-FOUR-A OR THREE HUNDRED EIGHTY-FOUR-B OF THE SOCIAL SERVICES LAW; OR

(C) A PERSON OR PERSONS UNDER THE AGE OF TWENTY-ONE WHO IS PLACED IN RESIDENTIAL CARE AS DEFINED IN SECTION FOUR HUNDRED TWELVE OF THE SOCIAL SERVICES LAW.

2. "CHILD ADVOCATE" MEANS THE PERSON APPOINTED PURSUANT TO SUBDIVISION ONE OF SECTION FIVE HUNDRED THIRTY-FIVE OF THIS ARTICLE TO DIRECT AND OVERSEE THE ACTIVITIES OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE.

S 535. THE CHILD ADVOCATE. 1. THE CHILD ADVOCATE SHALL BE AN INDIVIDUAL WITH AT LEAST FIVE YEARS EXPERIENCE IN THE GENERAL SUBJECT AREA OF EITHER CHILD WELFARE, JUVENILE JUSTICE OR CHILDHOOD BEHAVIORAL HEALTH, WHO SHALL BE APPOINTED BY THE GOVERNOR.

2. THE CHILD ADVOCATE MAY HIRE OR APPOINT PERSONS AS MAY BE DEEMED NECESSARY TO CARRY OUT THE DUTIES OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE. THE DUTIES OF PERSONS EMPLOYED OR APPOINTED BY THE CHILD ADVOCATE SHALL BE PERFORMED UNDER THE ADVICE AND SUPERVISION OF THE CHILD ADVOCATE. PERSONS EMPLOYED OR APPOINTED BY THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL BE INDIVIDUALS WITH EXPERTISE IN THE AREAS OF CHILD WELFARE, JUVENILE JUSTICE, CHILDHOOD BEHAVIORAL HEALTH, FOSTER CARE, PREVENTIVE SERVICES, OR CHILD CARE, AS EVIDENCED BY EXPERTISE IN THE FIELD, PRACTICE, ADVOCACY OR BY ACADEMIC BACKGROUND, THE LEVEL AND SUFFICIENCY OF WHICH SHALL BE DETERMINED BY THE CHILD ADVOCATE. THE CHILD ADVOCATE SHALL FIX THE COMPENSATION OF PERSONS EMPLOYED OR APPOINTED BY THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE WITHIN AMOUNTS APPROPRIATED THEREFOR.

S 536. DUTIES OF THE CHILD ADVOCATE. 1. THE CHILD ADVOCATE SHALL:

(A) EXAMINE, EVALUATE, INVESTIGATE AND REPORT TO THE GOVERNOR AND THE LEGISLATURE ON PARTICULAR AND SYSTEMIC ISSUES IN PUBLICLY FUNDED PROGRAMS OVERSEEN BY A STATE AGENCY THAT HAS CUSTODY OF CHILDREN AND LOCAL SOCIAL SERVICES DISTRICTS, INCLUDING BUT NOT LIMITED TO CHILD WELFARE, JUVENILE JUSTICE, FOSTER CARE, CHILD PROTECTIVE, CHILD CARE AND PREVENTATIVE SERVICES; AND

(B) EXAMINE, EVALUATE, INVESTIGATE AND REPORT TO THE GOVERNOR AND THE LEGISLATURE ON MULTI-SYSTEMIC ISSUES THAT CHILDREN IN THE CARE, CUSTODY OR GUARDIANSHIP OF A STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT, AND THE FAMILIES OF SUCH CHILDREN, EXPERIENCE IN ACCESSING NEEDED SERVICES ACROSS SYSTEMS; AND

(C) MONITOR THE IMPLEMENTATION OF THE POLICIES, REGULATIONS AND STATUTES OF STATE AGENCIES WHICH MAY BE APPLICABLE TO THE LEGAL RIGHTS OF CHILDREN IN THE CARE, CUSTODY OR GUARDIANSHIP OF A STATE AGENCY THAT HAS

1 CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT, OR THE FAMILIES
2 OF SUCH CHILDREN; AND

3 (D) MONITOR THE IMPLEMENTATION OF POLICIES, REGULATIONS AND STATUTES
4 WHICH MAY HAVE AN IMPACT ON PUBLICLY FUNDED PROGRAMS OVERSEEN BY THE
5 OFFICE OF CHILDREN AND FAMILY SERVICES, INCLUDING BUT NOT LIMITED TO
6 CHILD WELFARE, JUVENILE JUSTICE, FOSTER CARE, CHILD PROTECTIVE, CHILD
7 CARE AND PREVENTATIVE SERVICES; AND

8 (E) RECOMMEND CHANGES IN STATE POLICIES, STATUTES AND REGULATIONS
9 CONCERNING CHILDREN IN THE CARE, CUSTODY OR GUARDIANSHIP OF A STATE
10 AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT,
11 AND THE FAMILIES OF SUCH CHILDREN; AND

12 (F) RECOMMEND CHANGES IN STATE POLICIES, STATUTES, AND REGULATIONS
13 CONCERNING PUBLICLY FUNDED PROGRAMS THAT SERVICE CHILDREN AND FAMILIES,
14 INCLUDING BUT NOT LIMITED TO CHILD WELFARE, JUVENILE JUSTICE, FOSTER
15 CARE, CHILD PROTECTIVE, CHILD CARE AND PREVENTATIVE SERVICES, ADMINIS-
16 TERED BY A STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR LOCAL SOCIAL
17 SERVICES DISTRICTS; AND

18 (G) TAKE APPROPRIATE ACTIONS AIMED AT PROMOTION OF THE RIGHTS, SAFETY,
19 WELL-BEING, AND BEST INTERESTS OF CHILDREN IN NEW YORK STATE, INCLUDING,
20 BUT NOT LIMITED TO, UNDERTAKING LEGISLATIVE ADVOCACY, CONDUCTING PUBLIC
21 HEARINGS AND MAKING PROPOSALS FOR ADMINISTRATIVE OR SYSTEMIC REFORM; AND

22 (H) PROVIDE ADMINISTRATIVE SUPERVISION AND OVERSIGHT TO THE INDEPEND-
23 ENT OFFICE OF THE CHILD ADVOCATE AND DEVOTE FULL-TIME TO THE DUTIES OF
24 HIS OR HER OFFICE; AND

25 (I) CONDUCT PERIODIC INSPECTIONS, EVALUATIONS OR REVIEWS OF ANY FACIL-
26 ITY OPERATED BY A STATE AGENCY THAT HAS CUSTODY OF CHILDREN AT ANY TIME,
27 WITH OR WITHOUT PRIOR NOTICE. FACILITY DIRECTORS AND STAFF SHALL COOP-
28 ERATE WITH SUCH INSPECTION, EVALUATION OR REVIEW. AN ADVOCATE SHALL HAVE
29 THE RIGHT TO INSPECT THE FACILITY, VISIT ALL AREAS AND OBSERVE ALL PARTS
30 AND ASPECTS OF SUCH FACILITY PROGRAM; AND

31 (J) REPORT TO THE GOVERNOR AND THE LEGISLATURE AS NEEDED, BUT NOT LESS
32 THAN TWICE PER YEAR. SUCH REPORT SHALL BE MADE AVAILABLE TO THE PUBLIC,
33 UNLESS SUCH MATERIALS ARE CONFIDENTIAL PURSUANT TO STATUTE, AND SHALL
34 INCLUDE BUT NOT BE LIMITED TO:

35 (A) INFORMATION CONCERNING THE NUMBER AND TYPES OF REVIEWS, EVALU-
36 ATIONS AND INVESTIGATIONS CONDUCTED BY THE INDEPENDENT OFFICE OF THE
37 CHILD ADVOCATE; AND

38 (B) ANY RECOMMENDATIONS BY THE CHILD ADVOCATE FOR LEGISLATIVE, REGULA-
39 TORY, OR PUBLIC POLICY CHANGES.

40 2. IF AFTER EXAMINATION PURSUANT TO SUBDIVISION ONE OF THIS SECTION,
41 THE CHILD ADVOCATE IDENTIFIES A SYSTEMIC PROBLEM IN HOW SERVICES ARE
42 PROVIDED TO CHILDREN IN THE CARE, CUSTODY, OR GUARDIANSHIP OF A STATE
43 AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT,
44 BY A STATE AGENCY THAT HAS CUSTODY OF CHILDREN, OR A LOCAL SOCIAL
45 SERVICES DISTRICT, OR ANY PUBLIC OR PRIVATE ENTITY WHICH CONTRACTS WITH
46 THE OFFICE OF CHILDREN AND FAMILY SERVICES OR A LOCAL SOCIAL SERVICES
47 DISTRICT TO PROVIDE SERVICES TO SUCH CHILDREN, THE CHILD ADVOCATE SHALL
48 PROVIDE SUCH OFFICE, DISTRICT, AGENCY OR ENTITY A WRITTEN REPORT OUTLIN-
49 ING THE FINDINGS AND RECOMMENDATIONS OF THE CHILD ADVOCATE.

50 (A) AN OFFICE, DISTRICT, AGENCY OR ENTITY NAMED IN A REPORT BY THE
51 CHILD ADVOCATE AS DESCRIBED IN THIS SUBDIVISION SHALL HAVE THE OPTION TO
52 RESPOND IN WRITING TO THE CHILD ADVOCATE'S FINDINGS, PROVIDED HOWEVER
53 THAT SUCH WRITTEN RESPONSE MUST BE ISSUED WITHIN NINETY DAYS OF SUCH
54 OFFICE, DISTRICT, AGENCY OR ENTITY'S RECEIPT OF THE APPLICABLE REPORT BY
55 THE CHILD ADVOCATE.

(B) WITHIN THIRTY DAYS AFTER THE RECEIPT OF A RESPONSE FROM AN OFFICE, DISTRICT, AGENCY OR ENTITY AS DESCRIBED IN THIS SUBDIVISION, THE CHILD ADVOCATE SHALL ISSUE SUCH RESPONSE, AND THE REPORT ISSUED BY THE CHILD ADVOCATE PURSUANT TO THIS SUBDIVISION, TO THE GOVERNOR AND THE LEGISLATURE.

(C) IF A RESPONSE OR A WRITTEN REQUEST FOR AN ADDITIONAL THIRTY DAYS WITH AN EXPLANATION IS NOT RECEIVED BY THE CHILD ADVOCATE WITHIN NINETY DAYS FROM THE DATE THAT THE CHILD ADVOCATE SENT SUCH REPORT TO SUCH OFFICE, DISTRICT, AGENCY OR ENTITY, THE CHILD ADVOCATE SHALL PROVIDE SUCH REPORT TO THE GOVERNOR AND THE LEGISLATURE WITH A NOTICE STATING THAT SUCH OFFICE, DISTRICT, AGENCY OR ENTITY FAILED TO ISSUE A TIMELY WRITTEN RESPONSE TO THE REPORT BY THE CHILD ADVOCATE.

S 537. POWERS OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE. NOTWITHSTANDING ANY PROVISION OF LAW OR REGULATION TO THE CONTRARY, THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL HAVE ACCESS TO, INCLUDING THE RIGHT TO INSPECT AND COPY, ANY RECORDS NECESSARY TO CARRY OUT ITS RIGHTS, POWERS AND DUTIES PURSUANT TO THIS ARTICLE, INCLUDING BUT NOT LIMITED TO, PERSONNEL RECORDS THAT BEAR ON ANY ISSUE BEING REVIEWED, EVALUATED, OR INVESTIGATED AND RECORDS OF CHILDREN UNDER THE CARE OF A STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT THAT BEAR ON ANY ISSUE BEING REVIEWED, EVALUATED, OR INVESTIGATED. THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL ALSO HAVE THE AUTHORITY TO SUBPOENA RECORDS AND WITNESSES, AND TO CONDUCT INTERVIEWS WITH ANY PERSON NECESSARY TO CARRY OUT ITS RIGHTS, POWERS AND DUTIES PURSUANT TO THIS ARTICLE, INCLUDING BUT NOT LIMITED TO STAFF AND CHILDREN UNDER CARE OF THE OFFICE OF CHILDREN AND FAMILY SERVICES OR A LOCAL SOCIAL SERVICES DISTRICT.

S 538. DUTY TO MAINTAIN CONFIDENTIALITY. ALL RECORDS OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE PERTAINING TO THE FULFILLMENT OF THE CHILD ADVOCATE'S RIGHTS, POWERS AND DUTIES PURSUANT TO THIS ARTICLE, AND ALL RECORDS OBTAINED BY THE CHILD ADVOCATE SHALL BE KEPT CONFIDENTIAL, PROVIDED HOWEVER, THAT LIMITED INFORMATION CONTAINED IN SUCH RECORDS MAY BE RELEASED BY THE CHILD ADVOCATE, IF APPROPRIATE, AND UPON APPROVAL OF THE CHILD ADVOCATE, SO LONG AS THE INFORMATION TO BE RELEASED WOULD NOT IDENTIFY THE CHILD OR CHILDREN THE CHILD ADVOCATE WAS SERVING OR THE NAMES OF THE PARENTS OR SIBLINGS OF SUCH CHILD OR CHILDREN.

S 539. ADDITIONAL PROVISIONS. 1. THE STATE SHALL PROTECT AND HOLD HARMLESS ANY PERSON EMPLOYED OR APPOINTED BY THE CHILD ADVOCATE, FROM FINANCIAL LOSS AND EXPENSE, INCLUDING LEGAL FEES AND COSTS, IF ANY, ARISING OUT OF ANY CLAIM, DEMAND OR SUIT FOR DAMAGES RESULTING FROM ACTS OR OMISSIONS COMMITTED IN THE DISCHARGE OF HIS OR HER RIGHTS, POWERS AND DUTIES WITHIN THE SCOPE OF HIS OR HER EMPLOYMENT OR APPOINTMENT WHICH MAY CONSTITUTE NEGLIGENCE BUT WHICH ACTS ARE NOT WANTON, MALICIOUS OR GROSSLY NEGLIGENT AS DETERMINED BY A COURT OF COMPETENT JURISDICTION.

2. NO STATE OR LOCAL AGENCY, DEPARTMENT, OFFICE, OR ENTITY SHALL DISCHARGE, OR IN ANY MANNER DISCRIMINATE OR RETALIATE AGAINST, ANY PERSON WHO IN GOOD FAITH MAKES A COMPLAINT TO, OR COOPERATES WITH, THE CHILD ADVOCATE IN A REVIEW OR EVALUATION CONDUCTED BY THE CHILD ADVOCATE. NO EMPLOYEE OF ANY STATE OR LOCAL DEPARTMENT OR OFFICE OR OF ANY PRIVATE ENTITY SHALL RETALIATE AGAINST ANY PERSON WHO MAKES A COMPLAINT TO, OR WHO COOPERATES WITH THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE IN A REVIEW, INVESTIGATION OR EVALUATION CONDUCTED BY THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE.

3. ALL COMMUNICATIONS TO THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL REMAIN CONFIDENTIAL. ANY COMPLAINT FILED BY ANY PERSON WITH THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL REMAIN CONFIDENTIAL.

1 4. ALL YOUTH RESIDING IN A JUVENILE JUSTICE FACILITY SHALL BE PERMIT-
2 TED REASONABLE ACCESS TO A TELEPHONE TO MAKE A TOLL FREE CALL OR SHALL
3 BE SUPPLIED WRITING MATERIALS IN ORDER TO WRITE LETTERS TO THE INDEPEND-
4 ENT OFFICE OF THE CHILD ADVOCATE UPON THE YOUTH'S REQUEST. ANY COMMUNI-
5 CATIONS BETWEEN SUCH YOUTH AND THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE
6 SHALL REMAIN CONFIDENTIAL AND SHALL NOT BE MONITORED BY ANY MEMBER
7 OF THE FACILITY'S STAFF.

8 5. THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE MAY APPLY FOR AND
9 ACCEPT GRANTS, GIFTS AND BEQUESTS OF FUNDS FROM PRIVATE INDIVIDUALS AND
10 FOUNDATIONS FOR THE PURPOSE OF CARRYING OUT STUDIES UNDER THIS ARTICLE.
11 THE FUNDS SHALL BE EXPENDED IN ACCORDANCE WITH THE PROVISIONS OF SUCH
12 GRANT, GIFT OR BEQUEST.

13 6. THE CHILD ADVOCATE SHALL TAKE ALL POSSIBLE ACTIONS INCLUDING, BUT
14 NOT LIMITED TO, CONDUCTING PROGRAMS OF PUBLIC EDUCATION, UNDERTAKING
15 LEGISLATIVE ADVOCACY AND MAKING PROPOSALS FOR ADMINISTRATIVE CORRECTION
16 OR REFORM AND FORMAL LEGAL ACTION, IN ORDER TO SECURE AND ENSURE THE
17 LEGAL, CIVIL AND SPECIAL RIGHTS OF CHILDREN.

18 7. THE CHILD ADVOCATE SHALL TAKE THE APPROPRIATE STEPS TO MAKE THE
19 EXISTENCE AND AVAILABILITY OF THE CHILD ADVOCATE WIDELY KNOWN, BY APPRO-
20 PRIATE AND ACTIVE MEANS, TO CHILDREN AND ADULTS.

21 S 3. Subdivision 16 of section 501 of the executive law, as renumbered
22 by chapter 170 of the laws of 1994, is renumbered subdivision 17 and a
23 new subdivision 16 is added to read as follows:

24 16. THE COMMISSIONER OF THE OFFICE OF CHILDREN AND FAMILY SERVICES
25 SHALL PROMULGATE REGULATIONS REQUIRING THAT NO SERVICES, FACILITIES,
26 ENTITIES OR PROGRAMS UNDER THE JURISDICTION OF A STATE AGENCY THAT HAS
27 CUSTODY OF CHILDREN SHALL RESTRICT OR PROHIBIT ACCESS TO FACILITIES,
28 RECORDS OR INDIVIDUALS TO THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE
29 UPON REQUEST, UNLESS THE DISCLOSURE OF SUCH RECORDS ARE OTHERWISE
30 PROHIBITED BY FEDERAL LAW OR REGULATION.

31 S 4. This act shall take effect April 1, 2015; provided, however, that
32 effective immediately, the addition, amendment and/or repeal of any rule
33 or regulation necessary for the implementation of this act on its effec-
34 tive date is authorized and directed to be made and completed on or
35 before the effective date.