

S T A T E O F N E W Y O R K

3099--A

2013-2014 Regular Sessions

I N A S S E M B L Y

January 23, 2013

Introduced by M. of A. KAVANAGH -- read once and referred to the Committee on Transportation -- recommitted to the Committee on Transportation in accordance with Assembly Rule 3, sec. 2 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the vehicle and traffic law, in relation to limiting the use of certain substances in brake friction material

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Legislative findings. The legislature finds that brake
2 friction is an essential component of motor vehicle brakes and is crit-
3 ically important to transportation safety and public safety in general.
4 Debris from brake friction materials containing copper and its compounds
5 is generated and released into the environment during normal operation
6 of motor vehicle brakes. Thousands of pounds of copper and other
7 substances released from brake friction material enter New York state
8 streams, rivers and marine environments as a result of the normal opera-
9 tion of motor vehicle brakes. The legislature finds that brake friction
10 materials are toxic to many aquatic and marine organisms and must be
11 regulated to ensure the quality, safety, and health of the state's
12 waterways.

13 S 2. The vehicle and traffic law is amended by adding a new section
14 382-d to read as follows:

15 S 382-D. BRAKE FRICTION MATERIAL. 1. DEFINITIONS. (A) "ALTERNATIVE
16 BRAKE FRICTION MATERIAL" MEANS BRAKE MATERIAL THAT: (I) DOES NOT
17 CONTAIN: (A) MORE THAN 0.5 PERCENT COPPER OR ITS COMPOUNDS BY WEIGHT;
18 (B) THE CONSTITUENTS IDENTIFIED IN SUBDIVISION TWO OF THIS SECTION AT OR
19 ABOVE THE CONCENTRATIONS SPECIFIED; OR (C) OTHER MATERIALS DETERMINED BY
20 THE DEPARTMENT TO BE MORE HARMFUL TO HUMAN HEALTH OR THE ENVIRONMENT
21 THAN EXISTING BRAKE FRICTION MATERIAL; (II) ENABLES MOTOR VEHICLE BRAKES
22 TO MEET APPLICABLE FEDERAL SAFETY STANDARDS, OR IF NO FEDERAL SAFETY

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets
[] is old law to be omitted.

LBD00588-03-4

1 STANDARD EXISTS, A WIDELY ACCEPTED INDUSTRY STANDARD; (III) IS AVAILABLE
2 AT A COST AND QUANTITY THAT DOES NOT CAUSE SIGNIFICANT FINANCIAL HARD-
3 SHIP ACROSS A MAJORITY OF BRAKE FRICTION MATERIAL AND VEHICLE MANUFAC-
4 TURING INDUSTRIES; AND (IV) IS AVAILABLE TO ENABLE BRAKE FRICTION MATE-
5 RIAL AND VEHICLE MANUFACTURERS TO PRODUCE VIABLE PRODUCTS MEETING
6 CONSUMER EXPECTATIONS REGARDING BRAKE NOISE, SHUDDERING AND DURABILITY.

7 (B) "BRAKE FRICTION MATERIAL" MEANS THAT PART OF A MOTOR VEHICLE BRAKE
8 DESIGNED TO SLOW OR STOP THE MOVEMENT OF A MOTOR VEHICLE THROUGH FRIC-
9 TION MADE AGAINST A ROTOR OR MORE DURABLE MATERIAL.

10 (C) "MOTOR VEHICLE" MEANS A VEHICLE AS DEFINED BY SECTION ONE HUNDRED
11 TWENTY-FIVE OF THIS CHAPTER; PROVIDED, HOWEVER, FOR THE PURPOSES OF THIS
12 SECTION SUCH TERM SHALL NOT INCLUDE A MOTORCYCLE AS DEFINED BY SECTION
13 ONE HUNDRED TWENTY-THREE OF THIS CHAPTER.

14 (D) "MOTOR VEHICLE BRAKE" MEANS AN ENERGY CONVERSION MECHANISM USED TO
15 SLOW OR STOP THE MOVEMENT OF A MOTOR VEHICLE BUT DOES NOT INCLUDE BRAKES
16 DESIGNED PRIMARILY TO HOLD MOTOR VEHICLES STATIONARY AND ARE NOT FOR USE
17 WHILE MOTOR VEHICLES ARE IN MOTION.

18 (E) "ORIGINAL EQUIPMENT SERVICE" MEANS BRAKE FRICTION MATERIAL
19 PROVIDED AS SERVICE PARTS ORIGINALLY DESIGNED FOR AND USING THE SAME
20 BRAKE FRICTION MATERIAL FORMULATION SOLD WITH A NEW MOTOR VEHICLE.

21 (F) "SMALL VOLUME MOTOR VEHICLE MANUFACTURER" MEANS A MANUFACTURER OF
22 MOTOR VEHICLES WITH ANNUAL SALES IN NEW YORK OF LESS THAN ONE THOUSAND
23 NEW PASSENGER CARS, LIGHT-DUTY VEHICLES, HEAVY-DUTY VEHICLES, AND HEAV-
24 Y-DUTY ENGINES BASED ON THE AVERAGE NUMBER OF VEHICLES SOLD FOR THE
25 THREE PREVIOUS CONSECUTIVE MODEL YEARS.

26 2. PROHIBITIONS ON BRAKE FRICTION MATERIAL. (A) BEGINNING JANUARY
27 FIRST, TWO THOUSAND SEVENTEEN, NO MANUFACTURER, WHOLESALER, RETAILER, OR
28 DISTRIBUTOR MAY SELL OR OFFER FOR SALE BRAKE FRICTION MATERIAL IN NEW
29 YORK STATE CONTAINING ANY OF THE FOLLOWING CONSTITUENTS IN AN AMOUNT
30 EXCEEDING THE SPECIFIED CONCENTRATIONS:

31 (I) ASBESTIFORM FIBERS: 0.1 PERCENT BY WEIGHT;

32 (II) CADMIUM AND ITS COMPOUNDS: 0.01 PERCENT BY WEIGHT;

33 (III) CHROMIUM (VI) SALTS: 0.1 PERCENT BY WEIGHT;

34 (IV) LEAD AND ITS COMPOUNDS: 0.1 PERCENT BY WEIGHT;

35 (V) MERCURY AND ITS COMPOUNDS: 0.1 PERCENT BY WEIGHT.

36 (B) BEGINNING ON JANUARY FIRST, TWO THOUSAND TWENTY-FOUR NO MANUFAC-
37 Turer, WHOLESALER, RETAILER, OR DISTRIBUTOR MAY SELL OR OFFER FOR SALE
38 BRAKE FRICTION MATERIAL IN NEW YORK STATE CONTAINING MORE THAN FIVE
39 PERCENT COPPER AND ITS COMPOUNDS BY WEIGHT.

40 (C) BRAKE FRICTION MATERIAL MANUFACTURED PRIOR TO TWO THOUSAND SEVEN-
41 TEEN IS EXEMPT FROM THE DEADLINE MANDATED BY PARAGRAPH (A) OF THIS
42 SUBDIVISION FOR THE PURPOSES OF CLEARING INVENTORY; PROVIDED, HOWEVER
43 THAT SUCH EXEMPTION SHALL NOT EXTEND BEYOND JANUARY FIRST, TWO THOUSAND
44 TWENTY-SEVEN.

45 (D) BRAKE FRICTION MATERIAL MANUFACTURED PRIOR TO TWO THOUSAND TWEN-
46 TY-FOUR IS EXEMPT FROM THE DEADLINE MANDATED BY PARAGRAPH (B) OF THIS
47 SUBDIVISION FOR THE PURPOSES OF CLEARING INVENTORY; PROVIDED, HOWEVER,
48 THAT SUCH EXEMPTION SHALL NOT EXTEND BEYOND JANUARY FIRST, TWO THOUSAND
49 THIRTY-FOUR.

50 (E) BRAKE FRICTION MATERIAL MANUFACTURED AS PART OF AN ORIGINAL EQUIP-
51 MENT SERVICE CONTRACT FOR VEHICLES MANUFACTURED PRIOR TO JANUARY FIRST,
52 TWO THOUSAND SEVENTEEN, IS EXEMPT FROM THE DEADLINE MANDATED IN PARA-
53 GRAPH (A) OF THIS SUBDIVISION.

54 (F) BRAKE FRICTION MATERIAL MANUFACTURED AS PART OF AN ORIGINAL EQUIP-
55 MENT SERVICE CONTRACT FOR VEHICLES MANUFACTURED PRIOR TO JANUARY FIRST,

1 TWO THOUSAND TWENTY-FOUR, IS EXEMPT FROM THE DEADLINE MANDATED IN PARA-
2 GRAPH (B) OF THIS SUBDIVISION.

3 3. DEPARTMENT REVIEW OF ALTERNATIVE BRAKE FRICTION MATERIAL. (A) ON OR
4 BEFORE DECEMBER FIRST, TWO THOUSAND SEVENTEEN, THE DEPARTMENT SHALL
5 REVIEW RISK ASSESSMENTS, SCIENTIFIC STUDIES, AND OTHER RELEVANT ANALYSES
6 REGARDING BRAKE FRICTION MATERIAL AND DETERMINE WHETHER THE MATERIAL MAY
7 BE AVAILABLE. THE DEPARTMENT SHALL CONSIDER ANY NEW SCIENCE WITH REGARD
8 TO THE BIOAVAILABILITY AND TOXICITY OF COPPER.

9 (B) IF THE DEPARTMENT FINDS THE ALTERNATIVE BRAKE FRICTION MATERIAL
10 MAY BE AVAILABLE, IT SHALL:

11 (I) BY DECEMBER THIRTY-FIRST OF THE YEAR THE FINDING IS MADE, PUBLISH
12 SUCH INFORMATION AND PRESENT IT IN A REPORT TO THE APPROPRIATE LEGISLA-
13 TIVE COMMITTEES. SUCH REPORT SHALL INCLUDE RECOMMENDATIONS FOR
14 EXEMPTIONS ON ORIGINAL EQUIPMENT SERVICE AND BRAKE FRICTION MATERIAL
15 MANUFACTURED PRIOR TO DATES SPECIFIED IN THIS SECTION AND MAY INCLUDE
16 RECOMMENDATIONS FOR OTHER EXEMPTIONS; AND

17 (II) CONVENE A BRAKE FRICTION MATERIAL ADVISORY COMMITTEE WHICH SHALL
18 INCLUDE:

19 (A) THE COMMISSIONER, OR HIS OR HER DESIGNEE, WHO WILL CHAIR THE
20 COMMITTEE;

21 (B) A REPRESENTATIVE OF MANUFACTURERS OF BRAKE FRICTION MATERIAL;

22 (C) A REPRESENTATIVE OF MANUFACTURERS OF MOTOR VEHICLES;

23 (D) A REPRESENTATIVE OF THE NATIONAL HIGHWAY TRAFFIC SAFETY ADMINIS-
24 TRATION; AND

25 (E) A REPRESENTATIVE OF A NONGOVERNMENTAL ORGANIZATION WHOSE PRIMARY
26 PURPOSE OR ORGANIZING PRINCIPLE IS PROTECTION OF THE ENVIRONMENT.

27 (C) THE COMMITTEE ESTABLISHED IN PARAGRAPH (B) OF THIS SUBDIVISION
28 SHALL SEPARATELY ASSESS ALTERNATIVE BRAKE FRICTION MATERIAL FOR PASSEN-
29 GER VEHICLES, LIGHT-DUTY VEHICLES AND HEAVY-DUTY VEHICLES AND MAKE
30 RECOMMENDATIONS TO THE DEPARTMENT AS TO WHETHER ALTERNATIVE BRAKE FRIC-
31 TION MATERIAL IS AVAILABLE OR UNAVAILABLE FOR EACH.

32 (D) BEGINNING EIGHT YEARS AFTER THE COMMITTEE ESTABLISHED IN PARAGRAPH
33 (B) OF THIS SUBDIVISION CONFIRMS THAT ALTERNATIVE BRAKE FRICTION MATERI-
34 AL IS AVAILABLE SUBSEQUENT TO THE PUBLICATION IN THE NEW YORK STATE
35 REGISTER OF THE REPORT REFERENCED IN SUBPARAGRAPH (I) OF PARAGRAPH (B)
36 OF THIS SUBDIVISION NO MANUFACTURER, WHOLESALER, RETAILER, OR DISTRIBUTOR
37 MAY SELL OR OFFER FOR SALE BRAKE FRICTION MATERIAL IN NEW YORK STATE
38 CONTAINING MORE THAN 0.5 PERCENT COPPER AND ITS COMPOUNDS BY WEIGHT, AS
39 SPECIFIED IN THE REPORT.

40 (E) THE DEPARTMENT SHALL ADOPT RULES TO IMPLEMENT THIS SUBDIVISION.

41 4. APPLICATION FOR EXEMPTIONS. ANY MOTOR VEHICLE MANUFACTURER OR BRAKE
42 FRICTION MATERIAL MANUFACTURER MAY APPLY TO THE DEPARTMENT FOR AN
43 EXEMPTION FROM THIS SECTION FOR BRAKE FRICTION MATERIAL INTENDED FOR A
44 SPECIFIC MOTOR VEHICLE MODEL OR CLASS OF MOTOR VEHICLES BASED ON SPECIAL
45 NEEDS OR CHARACTERISTICS OF THE MOTOR VEHICLES FOR WHICH THE BRAKE FRIC-
46 TION MATERIAL IS INTENDED. EXEMPTIONS MAY BE ISSUED FOR SMALL VOLUME
47 MOTOR VEHICLE MANUFACTURERS, SPECIFIC MOTOR VEHICLE MODELS, OR SPECIAL
48 CLASSES OF VEHICLES, INCLUDING, BUT NOT LIMITED TO, FIRE TRUCKS, POLICE
49 CARS, AND HEAVY OR WIDE LOAD EQUIPMENT HAULING; PROVIDED, HOWEVER, THAT
50 THE MANUFACTURER CAN DEMONSTRATE THAT COMPLYING WITH THE REQUIREMENTS OF
51 THIS SECTION DOES NOT ALLOW COMPLIANCE WITH SAFETY STANDARDS, OR CAUSES
52 SIGNIFICANT FINANCIAL HARDSHIP. EXEMPTIONS ARE VALID FOR NO LESS THAN
53 ONE YEAR AND MAY BE RENEWED AUTOMATICALLY AS NEEDED. EXEMPTIONS MAY ALSO
54 BE PERMANENT, PROVIDED THAT THEY ARE DESIGNATED AS SUCH AND PROVIDED
55 THAT THE VEHICLE IS USED SOLELY IN THE MANNER DESCRIBED IN THE APPLICA-
56 TION.

1 5. REPORTING REQUIREMENTS. (A) BY JANUARY FIRST, TWO THOUSAND SIXTEEN,
2 AND AT LEAST EVERY THREE YEARS THEREAFTER, MANUFACTURERS OF BRAKE FRIC-
3 TION MATERIAL SOLD OR OFFERED FOR SALE IN NEW YORK SHALL PROVIDE DATA TO
4 THE DEPARTMENT ADEQUATE TO ENABLE THE DEPARTMENT TO DETERMINE CONCEN-
5 TRATIONS OF ANTIMONY, COPPER, NICKEL AND ZINC AND THEIR COMPOUNDS IN
6 BRAKE FRICTION MATERIAL SOLD OR OFFERED FOR SALE IN NEW YORK.

7 (B) USING THIS DATA AND OTHER DATA AS NEEDED, AND IN CONSULTATION WITH
8 THE BRAKE FRICTION MATERIAL MANUFACTURING INDUSTRY, THE DEPARTMENT
9 SHALL:

10 (I) BY JULY FIRST, TWO THOUSAND SIXTEEN, ESTABLISH BASELINE CONCEN-
11 TRATION LEVELS FOR CONSTITUENTS IDENTIFIED IN BRAKE FRICTION MATERIAL;

12 (II) TRACK PROGRESS TOWARD REDUCING THE USE OF COPPER AND ITS
13 COMPOUNDS AND ENSURE THAT CONCENTRATION LEVELS OF ANTIMONY, COPPER,
14 NICKEL OR ZINC AND THEIR COMPOUNDS DO NOT INCREASE BY MORE THAN FIFTY
15 PERCENT ABOVE BASELINE CONCENTRATION LEVELS; AND

16 (III) IF CONCENTRATION LEVELS OF ANTIMONY, COPPER, NICKEL OR ZINC AND
17 THEIR COMPOUNDS IN BRAKE FRICTION MATERIAL INCREASE ABOVE BASELINE
18 CONCENTRATION LEVELS, THE DEPARTMENT SHALL REVIEW SCIENTIFIC STUDIES TO
19 DETERMINE THE POTENTIAL IMPACT OF THE CONSTITUENT ON HUMAN HEALTH AND
20 THE ENVIRONMENT. IF SCIENTIFIC STUDIES DEMONSTRATE THE NEED FOR CONTROL-
21 LING THE USE OF THE CONSTITUENT IN BRAKE FRICTION MATERIAL, THE DEPART-
22 MENT MAY RECOMMEND LIMITS ON CONCENTRATION LEVELS OF SUCH CONSTITUENT
23 MATERIAL.

24 6. COMPLIANCE. (A) MANUFACTURERS OF BRAKE FRICTION MATERIAL OFFERED
25 FOR SALE IN NEW YORK MUST CERTIFY COMPLIANCE WITH REQUIREMENTS OF THIS
26 SECTION AND MARK PROOF OF CERTIFICATION ON THE BRAKE FRICTION MATERIAL
27 IN ACCORDANCE WITH CRITERIA IMPLEMENTED PURSUANT TO THIS SUBDIVISION.

28 (B) BY DECEMBER FIRST, TWO THOUSAND FIFTEEN, THE DEPARTMENT SHALL,
29 AFTER CONSULTING WITH INTERESTED PARTIES, DEVELOP COMPLIANCE CRITERIA TO
30 MEET THE REQUIREMENTS OF THIS SECTION. COMPLIANCE CRITERIA SHALL
31 INCLUDE, BUT NOT BE LIMITED TO, (I) SELF-CERTIFICATION OF COMPLIANCE BY
32 BRAKE FRICTION MATERIAL MANUFACTURERS AND (II) MARKED PROOF OF CERTIF-
33 ICATION, INCLUDING MANUFACTURE DATE, ON BRAKE FRICTION MATERIAL AND
34 PRODUCT PACKAGING. MARKED PROOF OF CERTIFICATION MUST APPEAR BY JANUARY
35 FIRST, TWO THOUSAND SEVENTEEN.

36 (C) BEGINNING JANUARY FIRST, TWO THOUSAND TWENTY-FOUR, MANUFACTURERS
37 OF NEW MOTOR VEHICLES OFFERED FOR SALE IN NEW YORK MUST ENSURE THAT
38 MOTOR VEHICLES ARE EQUIPPED WITH BRAKE FRICTION MATERIAL CERTIFIED TO BE
39 COMPLIANT WITH THE REQUIREMENTS OF THIS SECTION.

40 7. CIVIL PENALTY. (A) A BRAKE FRICTION MATERIAL MANUFACTURER THAT
41 KNOWINGLY VIOLATES THIS SECTION SHALL RECALL THE BRAKE FRICTION MATERIAL
42 AND REIMBURSE THE BRAKE FRICTION DISTRIBUTOR, RETAILER, OR ANY OTHER
43 PURCHASER FOR THE MATERIAL AND ANY APPLICABLE SHIPPING AND HANDLING
44 CHARGES FOR RETURNING THE MATERIAL. A BRAKE FRICTION MATERIAL MANUFAC-
45 Turer THAT VIOLATES THIS SECTION IS SUBJECT TO A CIVIL PENALTY NOT TO
46 EXCEED TEN THOUSAND DOLLARS FOR EACH VIOLATION.

47 (B) A MOTOR VEHICLE DISTRIBUTOR OR RETAILER THAT KNOWINGLY VIOLATES
48 THIS SECTION IS SUBJECT TO A CIVIL PENALTY NOT TO EXCEED TEN THOUSAND
49 DOLLARS FOR EACH VIOLATION. A MOTOR VEHICLE DISTRIBUTOR OR RETAILER IS
50 NOT IN VIOLATION OF THIS SECTION FOR SELLING A VEHICLE THAT WAS PREVI-
51 OUSLY SOLD AT RETAIL AND THAT CONTAINS BRAKE FRICTION MATERIAL FAILING
52 TO MEET THE REQUIREMENTS OF THIS CHAPTER. HOWEVER, IF THE DEPARTMENT
53 CONCLUSIVELY PROVES THAT THE MOTOR VEHICLE DISTRIBUTOR OR RETAILER
54 INSTALLED BRAKE FRICTION MATERIAL THAT VIOLATES THIS SECTION ON THE
55 VEHICLE BEING SOLD AND WAS AWARE THAT THE BRAKE FRICTION MATERIAL

1 VIOLATES THIS SECTION, THE MOTOR VEHICLE DISTRIBUTOR OR RETAILER IS
2 SUBJECT TO CIVIL PENALTIES UNDER THIS SECTION.

3 (C) A MOTOR VEHICLE MANUFACTURER THAT VIOLATES THIS SECTION MUST NOTI-
4 FY THE REGISTERED OWNER OF THE VEHICLE WITHIN SIX MONTHS OF KNOWLEDGE OF
5 THE VIOLATION AND MUST REPLACE AT NO COST TO THE OWNER THE NONCOMPLIANT
6 BRAKE FRICTION MATERIAL WITH BRAKE FRICTION MATERIAL THAT COMPLIES WITH
7 THIS SECTION. A MOTOR VEHICLE MANUFACTURER THAT FAILS TO PROVIDE THE
8 REQUIRED NOTIFICATION TO REGISTERED OWNERS OF THE AFFECTED VEHICLES
9 WITHIN SIX MONTHS OF KNOWLEDGE OF THE VIOLATION IS SUBJECT TO A CIVIL
10 PENALTY NOT TO EXCEED ONE HUNDRED THOUSAND DOLLARS. A MOTOR VEHICLE
11 MANUFACTURER THAT FAILS TO PROVIDE THE REQUIRED NOTIFICATION TO REGIS-
12 TERED OWNERS OF THE AFFECTED VEHICLES AFTER TWELVE MONTHS OF KNOWLEDGE
13 OF THE VIOLATION IS SUBJECT TO A CIVIL PENALTY NOT TO EXCEED TEN THOU-
14 SAND DOLLARS PER VEHICLE.

15 8. EDUCATION. THE DEPARTMENT SHALL, BY JANUARY FIRST, TWO THOUSAND
16 FIFTEEN, PREPARE AND DISTRIBUTE INFORMATION ABOUT THE PROHIBITIONS TO
17 MANUFACTURERS, DISTRIBUTORS, AND RETAILERS TO THE MAXIMUM EXTENT PRACTI-
18 CABLE.

19 9. RULES AND REGULATIONS. THE COMMISSIONER SHALL HAVE THE POWER TO
20 ENACT RULES AND REGULATIONS TO IMPLEMENT THE PROVISIONS OF THIS SECTION.

21 S 3. This act shall take effect immediately.