2676--A

Cal. No. 150

2013-2014 Regular Sessions

IN ASSEMBLY

January 17, 2013

- Introduced by M. of A. CLARK, SCARBOROUGH, TITUS, PAULIN, JACOBS, HEAST-IE, BENEDETTO, PEOPLES-STOKES, COLTON, GALEF, PERRY, WEPRIN -- Multi-Sponsored by -- M. of A. ABINANTI, ARROYO, BOYLAND, CAHILL, CAMARA, COOK, CROUCH, FARRELL, FINCH, GANTT, GOODELL, GOTTFRIED, HIKIND, JAFFEE, KAVANAGH, LIFTON, LUPARDO, MCKEVITT, MCLAUGHLIN, MILLER, MILL-MAN, MONTESANO, ORTIZ, ROBERTS, ROBINSON, ROSENTHAL, SWEENEY, WEISEN-BERG, WRIGHT -- read once and referred to the Committee on Children and Families -- advanced to a third reading, amended and ordered reprinted, retaining its place on the order of third reading
- AN ACT to amend the executive law, in relation to the establishment of the independent office of the child advocate; and repealing certain provisions of such law relating to the office of the ombudsman

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

Section 1. Subtitle B of title 3 of article 19-G of the executive law 1 2 is REPEALED. 3 S 2. The executive law is amended by adding a new article 19-I to read 4 as follows: 5 ARTICLE 19-I б INDEPENDENT OFFICE OF THE CHILD ADVOCATE 7 SECTION 533. INDEPENDENT OFFICE OF THE CHILD ADVOCATE; CREATION. 8 534. DEFINITIONS. 9 535. THE CHILD ADVOCATE. 10 536. DUTIES OF THE CHILD ADVOCATE. 537. POWERS OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE. 11 12 538. DUTY TO MAINTAIN CONFIDENTIALITY. 539. ADDITIONAL PROVISIONS. 13 14 INDEPENDENT OFFICE OF THE CHILD ADVOCATE; CREATION. THERE IS S 533. 15 HEREBY CREATED IN THE EXECUTIVE DEPARTMENT, AN INDEPENDENT OFFICE OF THE CHILD ADVOCATE, WHICH SHALL: 16

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

LBD04829-04-4

1. EXAMINE, EVALUATE AND REPORT TO THE GOVERNOR AND THE LEGISLATURE 1 2 ON: 3 (A) PARTICULAR AND SYSTEMIC ISSUES IN PUBLICLY FUNDED PROGRAMS OVER-4 SEEN BY ANY EXECUTIVE AGENCY WITH CUSTODY OF CHILDREN; AND 5 (B) MULTI-SYSTEMIC ISSUES THAT CHILDREN IN THE CARE, CUSTODY OR GUAR-DIANSHIP OF ANY STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL 6 7 SOCIAL SERVICES DISTRICT, AND THE FAMILIES OF SUCH CHILDREN EXPERIENCE 8 IN ACCESSING NEEDED SERVICES ACROSS SYSTEMS; AND 9 2. ADVOCATE FOR, AND REPORT TO THE GOVERNOR AND THE LEGISLATURE ON 10 SUGGESTED STATUTORY, REGULATORY OR POLICY CHANGES AIMED AT IMPROVING OUTCOMES AND SERVICES FOR CHILDREN AND THEIR FAMILIES IN NEW YORK STATE. 11 12 S 534. DEFINITIONS. AS USED IN THIS ARTICLE: 13 1. "CHILD" OR "CHILDREN" MEANS: 14 (A) A PERSON, OR PERSONS UNDER THE AGE OF EIGHTEEN; OR 15 (B) A PERSON OR PERSONS UNDER THE AGE OF TWENTY-ONE WHO HAS BEEN PLACED INTO THE CARE, CUSTODY, OR GUARDIANSHIP OF ANY STATE AGENCY 16 THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT PURSUANT TO 17 ARTICLE THREE, SEVEN OR TEN OF THE FAMILY COURT ACT OR SECTION THREE 18 19 HUNDRED FIFTY-EIGHT-A, THREE HUNDRED EIGHTY-THREE-C, THREE HUNDRED 20 EIGHTY-FOUR-A OR THREE HUNDRED EIGHTY-FOUR-B OF THE SOCIAL SERVICES LAW; 21 OR 22 (C) A PERSON OR PERSONS UNDER THE AGE OF TWENTY-ONE WHO IS PLACED IN 23 RESIDENTIAL CARE AS DEFINED IN SECTION FOUR HUNDRED TWELVE OF THE SOCIAL 24 SERVICES LAW. 25 2. "CHILD ADVOCATE" MEANS THE PERSON APPOINTED PURSUANT TO SUBDIVISION 26 ONE OF SECTION FIVE HUNDRED THIRTY-FIVE OF THIS ARTICLE TO DIRECT AND 27 OVERSEE THE ACTIVITIES OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE. S 535. THE CHILD ADVOCATE. 1. THE CHILD ADVOCATE SHALL BE AN 28 INDIVID-WITH AT LEAST FIVE YEARS EXPERIENCE IN THE GENERAL SUBJECT AREA OF 29 UAL EITHER CHILD WELFARE, JUVENILE JUSTICE OR CHILDHOOD BEHAVIORAL HEALTH, 30 WHO SHALL BE APPOINTED BY THE GOVERNOR. 31 32 THE CHILD ADVOCATE MAY HIRE OR APPOINT PERSONS AS MAY BE DEEMED 2. NECESSARY TO CARRY OUT THE DUTIES OF THE INDEPENDENT OFFICE OF THE CHILD 33 34 ADVOCATE. THE DUTIES OF PERSONS EMPLOYED OR APPOINTED BY THE CHILD ADVO-CATE SHALL BE PERFORMED UNDER THE ADVICE AND SUPERVISION OF 35 THE CHILD ADVOCATE. PERSONS EMPLOYED OR APPOINTED BY THE INDEPENDENT OFFICE OF THE 36 37 CHILD ADVOCATE SHALL BE INDIVIDUALS WITH EXPERTISE IN THE AREAS OF CHILD WELFARE, JUVENILE JUSTICE, CHILDHOOD BEHAVIORAL HEALTH, FOSTER CARE, PREVENTIVE SERVICES, OR CHILD CARE, AS EVIDENCED BY EXPERTISE IN THE 38 39 40 FIELD, PRACTICE, ADVOCACY OR BY ACADEMIC BACKGROUND, THE LEVEL AND SUFFICIENCY OF WHICH SHALL BE DETERMINED BY THE CHILD ADVOCATE. 41 THE CHILD ADVOCATE SHALL FIX THE COMPENSATION OF PERSONS EMPLOYED OR 42 43 APPOINTED BY THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE WITHIN AMOUNTS 44 APPROPRIATED THEREFOR. 45 S 536. DUTIES OF THE CHILD ADVOCATE. 1. THE CHILD ADVOCATE SHALL: (A) EXAMINE, EVALUATE, INVESTIGATE AND REPORT TO THE GOVERNOR AND 46 THE 47 LEGISLATURE ON PARTICULAR AND SYSTEMIC ISSUES IN PUBLICLY FUNDED 48 PROGRAMS OVERSEEN BY A STATE AGENCY THAT HAS CUSTODY OF CHILDREN AND 49 LOCAL SOCIAL SERVICES DISTRICTS, INCLUDING BUT NOT LIMITED TO CHILD 50 WELFARE, JUVENILE JUSTICE, FOSTER CARE, CHILD PROTECTIVE, CHILD CARE AND 51 PREVENTATIVE SERVICES; AND (B) EXAMINE, EVALUATE, INVESTIGATE AND REPORT TO THE GOVERNOR AND THE 52 LEGISLATURE ON MULTI-SYSTEMIC ISSUES THAT CHILDREN IN THE CARE, CUSTODY 53 54 OR GUARDIANSHIP OF A STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A 55 LOCAL SOCIAL SERVICES DISTRICT, AND THE FAMILIES OF SUCH CHILDREN, EXPE-

56 RIENCE IN ACCESSING NEEDED SERVICES ACROSS SYSTEMS; AND

6 (D) MONITOR THE IMPLEMENTATION OF POLICIES, REGULATIONS AND STATUTES 7 WHICH MAY HAVE AN IMPACT ON PUBLICLY FUNDED PROGRAMS OVERSEEN BY THE 8 OFFICE OF CHILDREN AND FAMILY SERVICES, INCLUDING BUT NOT LIMITED TO 9 CHILD WELFARE, JUVENILE JUSTICE, FOSTER CARE, CHILD PROTECTIVE, CHILD 10 CARE AND PREVENTATIVE SERVICES; AND

11 (E) RECOMMEND CHANGES IN STATE POLICIES, STATUTES AND REGULATIONS 12 CONCERNING CHILDREN IN THE CARE, CUSTODY OR GUARDIANSHIP OF A STATE 13 AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT, 14 AND THE FAMILIES OF SUCH CHILDREN; AND

(F) RECOMMEND CHANGES IN STATE POLICIES, STATUTES, AND REGULATIONS
CONCERNING PUBLICLY FUNDED PROGRAMS THAT SERVICE CHILDREN AND FAMILIES,
INCLUDING BUT NOT LIMITED TO CHILD WELFARE, JUVENILE JUSTICE, FOSTER
CARE, CHILD PROTECTIVE, CHILD CARE AND PREVENTATIVE SERVICES, ADMINISTERED BY A STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR LOCAL SOCIAL
SERVICES DISTRICTS; AND

21 (G) TAKE APPROPRIATE ACTIONS AIMED AT PROMOTION OF THE RIGHTS, SAFETY, 22 WELL-BEING, AND BEST INTERESTS OF CHILDREN IN NEW YORK STATE, INCLUDING, 23 BUT NOT LIMITED TO, UNDERTAKING LEGISLATIVE ADVOCACY, CONDUCTING PUBLIC 24 HEARINGS AND MAKING PROPOSALS FOR ADMINISTRATIVE OR SYSTEMIC REFORM; AND 25 PROVIDE ADMINISTRATIVE SUPERVISION AND OVERSIGHT TO THE INDEPEND-(H) 26 ENT OFFICE OF THE CHILD ADVOCATE AND DEVOTE FULL-TIME TO THE DUTIES OF 27 HIS OR HER OFFICE; AND

(I) CONDUCT PERIODIC INSPECTIONS, EVALUATIONS OR REVIEWS OF ANY FACILITY OPERATED BY A STATE AGENCY THAT HAS CUSTODY OF CHILDREN AT ANY TIME,
WITH OR WITHOUT PRIOR NOTICE. FACILITY DIRECTORS AND STAFF SHALL COOPERATE WITH SUCH INSPECTION, EVALUATION OR REVIEW. AN ADVOCATE SHALL HAVE
THE RIGHT TO INSPECT THE FACILITY, VISIT ALL AREAS AND OBSERVE ALL PARTS
AND ASPECTS OF SUCH FACILITY PROGRAM; AND

(J) REPORT TO THE GOVERNOR AND THE LEGISLATURE AS NEEDED, BUT NOT LESS
THAN TWICE PER YEAR. SUCH REPORT SHALL BE MADE AVAILABLE TO THE PUBLIC,
UNLESS SUCH MATERIALS ARE CONFIDENTIAL PURSUANT TO STATUTE, AND SHALL
INCLUDE BUT NOT BE LIMITED TO:

38 (A) INFORMATION CONCERNING THE NUMBER AND TYPES OF REVIEWS, EVALU-39 ATIONS AND INVESTIGATIONS CONDUCTED BY THE INDEPENDENT OFFICE OF THE 40 CHILD ADVOCATE; AND

41 (B) ANY RECOMMENDATIONS BY THE CHILD ADVOCATE FOR LEGISLATIVE, REGULA-42 TORY, OR PUBLIC POLICY CHANGES.

43 2. IF AFTER EXAMINATION PURSUANT TO SUBDIVISION ONE OF THIS SECTION, 44 THE CHILD ADVOCATE IDENTIFIES A SYSTEMIC PROBLEM IN HOW SERVICES ARE 45 PROVIDED TO CHILDREN IN THE CARE, CUSTODY, OR GUARDIANSHIP OF A STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL SERVICES DISTRICT, 46 47 STATE AGENCY THAT HAS CUSTODY OF CHILDREN, OR A LOCAL SOCIAL ΒY А 48 SERVICES DISTRICT, OR ANY PUBLIC OR PRIVATE ENTITY WHICH CONTRACTS WITH 49 THE OFFICE OF CHILDREN AND FAMILY SERVICES OR A LOCAL SOCIAL SERVICES 50 DISTRICT TO PROVIDE SERVICES TO SUCH CHILDREN, THE CHILD ADVOCATE SHALL 51 PROVIDE SUCH OFFICE, DISTRICT, AGENCY OR ENTITY A WRITTEN REPORT OUTLIN-ING THE FINDINGS AND RECOMMENDATIONS OF THE CHILD ADVOCATE. 52

53 (A) AN OFFICE, DISTRICT, AGENCY OR ENTITY NAMED IN A REPORT BY THE 54 CHILD ADVOCATE AS DESCRIBED IN THIS SUBDIVISION SHALL HAVE THE OPTION TO 55 RESPOND IN WRITING TO THE CHILD ADVOCATE'S FINDINGS, PROVIDED HOWEVER 56 THAT SUCH WRITTEN RESPONSE MUST BE ISSUED WITHIN NINETY DAYS OF SUCH

OFFICE, DISTRICT, AGENCY OR ENTITY'S RECEIPT OF THE APPLICABLE REPORT BY 1 2 THE CHILD ADVOCATE. (B) WITHIN THIRTY DAYS AFTER THE RECEIPT OF A RESPONSE FROM AN OFFICE, 3 4 DISTRICT, AGENCY OR ENTITY AS DESCRIBED IN THIS SUBDIVISION, THE CHILD 5 ADVOCATE SHALL ISSUE SUCH RESPONSE, AND THE REPORT ISSUED BY THE CHILD 6 ADVOCATE PURSUANT TO THIS SUBDIVISION, TO THE GOVERNOR AND THE LEGISLA-7 TURE. 8 (C) IF A RESPONSE OR A WRITTEN REQUEST FOR AN ADDITIONAL THIRTY DAYS 9 WITH AN EXPLANATION IS NOT RECEIVED BY THE CHILD ADVOCATE WITHIN NINETY 10 DAYS FROM THE DATE THAT THE CHILD ADVOCATE SENT SUCH REPORT TO SUCH 11 OFFICE, DISTRICT, AGENCY OR ENTITY, THE CHILD ADVOCATE SHALL PROVIDE SUCH REPORT TO THE GOVERNOR AND THE LEGISLATURE WITH A NOTICE STATING 12 SUCH OFFICE, DISTRICT, AGENCY OR ENTITY FAILED TO ISSUE A TIMELY 13 THAT 14 WRITTEN RESPONSE TO THE REPORT BY THE CHILD ADVOCATE. 15 S 537. POWERS OF THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE. NOTWITHSTANDING ANY PROVISION OF LAW OR REGULATION TO THE CONTRARY, THE 16 17 INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL HAVE ACCESS TO, INCLUDING 18 THE RIGHT TO INSPECT AND COPY, ANY RECORDS NECESSARY TO CARRY OUT ITS 19 RIGHTS, POWERS AND DUTIES PURSUANT TO THIS ARTICLE, INCLUDING BUT NOT LIMITED TO, PERSONNEL RECORDS THAT BEAR ON ANY ISSUE BEING REVIEWED, 20 21 EVALUATED, OR INVESTIGATED AND RECORDS OF CHILDREN UNDER THE CARE OF A STATE AGENCY THAT HAS CUSTODY OF CHILDREN OR A LOCAL SOCIAL 22 SERVICES 23 DISTRICT THAT BEAR ON ANY ISSUE BEING REVIEWED, EVALUATED, OR INVESTI-24 GATED. THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL ALSO HAVE THE 25 AUTHORITY TO SUBPOENA RECORDS AND WITNESSES, AND TO CONDUCT INTERVIEWS 26 WITH ANY PERSON NECESSARY TO CARRY OUT ITS RIGHTS, POWERS AND DUTIES 27 PURSUANT TO THIS ARTICLE, INCLUDING BUT NOT LIMITED TO STAFF AND CHIL-28 DREN UNDER CARE OF THE OFFICE OF CHILDREN AND FAMILY SERVICES OR A LOCAL 29 SOCIAL SERVICES DISTRICT. S 538. DUTY TO MAINTAIN CONFIDENTIALITY. ALL RECORDS OF THE INDEPEND-30 ENT OFFICE OF THE CHILD ADVOCATE PERTAINING TO THE FULFILLMENT OF THE 31 CHILD ADVOCATE'S RIGHTS, POWERS AND DUTIES PURSUANT TO THIS ARTICLE, AND 32 33 ALL RECORDS OBTAINED BY THE CHILD ADVOCATE SHALL BE KEPT CONFIDENTIAL, 34 PROVIDED HOWEVER, THAT LIMITED INFORMATION CONTAINED IN SUCH RECORDS MAY RELEASED BY THE CHILD ADVOCATE, IF APPROPRIATE, AND UPON APPROVAL OF 35 BETHE CHILD ADVOCATE, SO LONG AS THE INFORMATION TO BE RELEASED WOULD NOT 36 37 IDENTIFY THE CHILD OR CHILDREN THE CHILD ADVOCATE WAS SERVING OR THE 38 NAMES OF THE PARENTS OR SIBLINGS OF SUCH CHILD OR CHILDREN. S 539. ADDITIONAL PROVISIONS. 1. THE STATE SHALL PROTECT 39 AND HOLD 40 HARMLESS ANY PERSON EMPLOYED OR APPOINTED BY THE CHILD ADVOCATE, FROM FINANCIAL LOSS AND EXPENSE, INCLUDING LEGAL FEES AND COSTS, IF ANY, 41 ARISING OUT OF ANY CLAIM, DEMAND OR SUIT FOR DAMAGES RESULTING FROM ACTS 42 43 OR OMISSIONS COMMITTED IN THE DISCHARGE OF HIS OR HER RIGHTS, POWERS AND 44 DUTIES WITHIN THE SCOPE OF HIS OR HER EMPLOYMENT OR APPOINTMENT WHICH 45 MAY CONSTITUTE NEGLIGENCE BUT WHICH ACTS ARE NOT WANTON, MALICIOUS OR GROSSLY NEGLIGENT AS DETERMINED BY A COURT OF COMPETENT JURISDICTION. 46 47 2. NO STATE OR LOCAL AGENCY, DEPARTMENT, OFFICE, OR ENTITY SHALL 48 DISCHARGE, OR IN ANY MANNER DISCRIMINATE OR RETALIATE AGAINST, ANY 49 PERSON WHO IN GOOD FAITH MAKES A COMPLAINT TO, OR COOPERATES WITH, THE 50 CHILD ADVOCATE IN A REVIEW OR EVALUATION CONDUCTED BY THE CHILD ADVO-CATE. NO EMPLOYEE OF ANY STATE OR LOCAL DEPARTMENT OR OFFICE OR OF ANY 51 PRIVATE ENTITY SHALL RETALIATE AGAINST ANY PERSON WHO MAKES A COMPLAINT 52 TO, OR WHO COOPERATES WITH THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE 53 54 IN A REVIEW, INVESTIGATION OR EVALUATION CONDUCTED BY THE INDEPENDENT 55 OFFICE OF THE CHILD ADVOCATE.

1 3. ALL COMMUNICATIONS TO THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE 2 SHALL REMAIN CONFIDENTIAL. ANY COMPLAINT FILED BY ANY PERSON WITH THE 3 INDEPENDENT OFFICE OF THE CHILD ADVOCATE SHALL REMAIN CONFIDENTIAL.

4 4. ALL YOUTH RESIDING IN A JUVENILE JUSTICE FACILITY SHALL BE PERMIT-5 TED REASONABLE ACCESS TO A TELEPHONE TO MAKE A TOLL FREE CALL OR SHALL 6 BE SUPPLIED WRITING MATERIALS IN ORDER TO WRITE LETTERS TO THE INDEPEND-7 ENT OFFICE OF THE CHILD ADVOCATE UPON THE YOUTH'S REQUEST. ANY COMMUNI-8 CATIONS BETWEEN SUCH YOUTH AND THE INDEPENDENT OFFICE OF THE CHILD ADVO-9 CATE SHALL REMAIN CONFIDENTIAL AND SHALL NOT BE MONITORED BY ANY MEMBER 10 OF THE FACILITY'S STAFF.

11 5. THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE MAY APPLY FOR AND 12 ACCEPT GRANTS, GIFTS AND BEQUESTS OF FUNDS FROM PRIVATE INDIVIDUALS AND 13 FOUNDATIONS FOR THE PURPOSE OF CARRYING OUT STUDIES UNDER THIS ARTICLE. 14 THE FUNDS SHALL BE EXPENDED IN ACCORDANCE WITH THE PROVISIONS OF SUCH 15 GRANT, GIFT OR BEQUEST.

16 6. THE CHILD ADVOCATE SHALL TAKE ALL POSSIBLE ACTIONS INCLUDING, BUT 17 NOT LIMITED TO, CONDUCTING PROGRAMS OF PUBLIC EDUCATION, UNDERTAKING 18 LEGISLATIVE ADVOCACY AND MAKING PROPOSALS FOR ADMINISTRATIVE CORRECTION 19 OR REFORM AND FORMAL LEGAL ACTION, IN ORDER TO SECURE AND ENSURE THE 20 LEGAL, CIVIL AND SPECIAL RIGHTS OF CHILDREN.

7. THE CHILD ADVOCATE SHALL TAKE THE APPROPRIATE STEPS TO MAKE THE
 22 EXISTENCE AND AVAILABILITY OF THE CHILD ADVOCATE WIDELY KNOWN, BY APPRO 23 PRIATE AND ACTIVE MEANS, TO CHILDREN AND ADULTS.

S 3. Subdivision 16 of section 501 of the executive law, as renumbered by chapter 170 of the laws of 1994, is renumbered subdivision 17 and a new subdivision 16 is added to read as follows:

27 THE COMMISSIONER OF THE OFFICE OF CHILDREN AND FAMILY SERVICES 16. SHALL PROMULGATE REGULATIONS REQUIRING THAT NO SERVICES, 28 FACILITIES, ENTITIES OR PROGRAMS UNDER THE JURISDICTION OF A STATE AGENCY THAT HAS 29 CUSTODY OF CHILDREN SHALL RESTRICT OR PROHIBIT ACCESS TO FACILITIES, 30 RECORDS OR INDIVIDUALS TO THE INDEPENDENT OFFICE OF THE CHILD ADVOCATE 31 32 UPON REQUEST, UNLESS THE DISCLOSURE OF SUCH RECORDS ARE OTHERWISE PROHIBITED BY FEDERAL LAW OR REGULATION. 33

S 4. This act shall take effect April 1, 2015; provided, however, that effective immediately, the addition, amendment and/or repeal of any rule or regulation necessary for the implementation of this act on its effective date is authorized and directed to be made and completed on or before the effective date.