

2669--B

2013-2014 Regular Sessions

I N A S S E M B L Y

January 17, 2013

Introduced by M. of A. WRIGHT, MOSLEY, AUBRY, RODRIGUEZ, DAVILA, WEPRIN, RUSSELL, PERRY -- Multi-Sponsored by -- M. of A. SCARBOROUGH -- read once and referred to the Committee on Social Services -- recommitted to the Committee on Social Services in accordance with Assembly Rule 3, sec. 2 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- reported and referred to the Committee on Ways and Means -- reported and referred to the Committee on Rules -- Rules Committee discharged, bill amended, ordered reprinted as amended and recommitted to the Committee on Rules

AN ACT to amend the social services law, in relation to clarifying notice requirements conciliation procedures and sanctions in cases when the recipient of public assistance programs refuses to comply with employment program requirements

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Section 341 of the social services law, as amended by
2 section 148 of part B of chapter 436 of the laws of 1997, subdivision 1
3 as amended by section 1 of part D of chapter 61 of the laws of 2006, is
4 amended to read as follows:
5 S 341. [Conciliation] RE-ENGAGEMENT; CONCILIATION; refusal to partic-
6 ipate. 1. (a) Consistent with federal law and regulations and this
7 title, IF A PARTICIPANT HAS FAILED OR REFUSED TO COMPLY WITH THE
8 REQUIREMENTS OF THIS TITLE AND THE DISTRICT HAS DETERMINED THAT HE OR
9 SHE IS NOT EXEMPT FROM SUCH REQUIREMENTS AND HAS VERIFIED THAT APPROPRI-
10 ATE CHILD CARE, TRANSPORTATION, AND ACCOMMODATIONS FOR DISABILITY WERE
11 IN PLACE AT THE TIME OF SUCH FAILURE OR REFUSAL, [if a participant has
12 failed or refused to comply with the requirements of this title,] the
13 social services district shall issue a RE-ENGAGEMENT notice in plain
14 language indicating that such failure or refusal has taken place and of
15 the right of such participant to [conciliation to resolve] AVOID A PRO-
16 RATA REDUCTION IN PUBLIC ASSISTANCE BENEFITS THROUGH THE RE-ENGAGEMENT

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

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1 PROCESS. "RE-ENGAGEMENT PROCESS" SHALL MEAN THE PROCESS THROUGH WHICH A
2 PARTICIPANT MAY AVOID A PRO-RATA REDUCTION IN PUBLIC ASSISTANCE BENEFITS
3 BY AGREEING TO COMPLY WITH THE REQUIREMENTS OF THIS TITLE CONSISTENT
4 WITH ANY MEDICAL CONDITION WHICH MAY LIMIT THE INDIVIDUAL'S ABILITY TO
5 PARTICIPATE IN WORK ACTIVITIES, BY NOTIFYING THE DISTRICT THAT HE OR SHE
6 HAS BECOME EXEMPT FROM THE REQUIREMENTS OF THIS TITLE, OR BY RESOLVING
7 the reasons for such failure or refusal [to avoid a pro-rata reduction
8 in public assistance benefits for a period of time set forth in section
9 three hundred forty-two of this title] AT A CONCILIATION CONFERENCE.
10 THE NOTICE SHALL INDICATE THAT THE PARTICIPANT HAS TEN DAYS TO REQUEST
11 RE-ENGAGEMENT WITH THE DISTRICT. The notice shall indicate the specific
12 instance or instances of willful refusal or failure to comply without
13 good cause with the requirements of this title and the necessary actions
14 that must be taken to avoid a pro-rata reduction in public assistance
15 benefits AND THE DISTRICT HAS VERIFIED THAT APPROPRIATE CHILD CARE,
16 TRANSPORTATION AND ACCOMMODATIONS FOR DISABILITY WERE IN PLACE AT THE
17 TIME OF SUCH FAILURE OR REFUSAL. [The notice shall indicate that the
18 participant has seven days to request conciliation with the district
19 regarding such failure or refusal in the case of a safety net partic-
20 ipant and ten days in the case of a family assistance participant.]

21 (1) IF A PARTICIPANT CHOOSES TO AVOID A PRO-RATA REDUCTION IN PUBLIC
22 ASSISTANCE BENEFITS THROUGH A CONCILIATION CONFERENCE, IT WILL BE THE
23 RESPONSIBILITY OF THE PARTICIPANT TO GIVE REASONS FOR SUCH FAILURE OR
24 REFUSAL. The RE-ENGAGEMENT notice shall also include an explanation in
25 plain language of what would constitute good cause for non-compliance
26 and examples of acceptable forms of evidence that may warrant an
27 exemption from work activities, including evidence of domestic violence,
28 and physical or mental health limitations that may be provided at the
29 conciliation conference to demonstrate such good cause for failure to
30 comply with the requirements of this title. UNLESS AS PART OF THE
31 RE-ENGAGEMENT PROCESS THE PARTICIPANT DOES NOT AGREE TO COMPLY, HAS NOT
32 BECOME EXEMPT OR THE DISTRICT DETERMINES AS A RESULT OF THE CONCILIATION
33 CONFERENCE THAT SUCH FAILURE OR REFUSAL WAS WILLFUL AND WITHOUT GOOD
34 CAUSE, NO FURTHER ACTION SHALL BE TAKEN.

35 (2) If the participant does not contact the district within [the spec-
36 ified number of] TEN days OF THE RE-ENGAGEMENT NOTICE, the district
37 shall [issue ten days notice of intent to discontinue or reduce assist-
38 ance, pursuant to regulations of the department. Such notice shall also
39 include a statement of the participant's right to a fair hearing relat-
40 ing to such discontinuance or reduction. If such participant contacts
41 the district within seven days in the case of a safety net participant
42 or within ten days in the case of a family assistance participant, it
43 will be the responsibility of the participant to give reasons for such
44 failure or refusal] MAKE A FINDING OF WHETHER THE ALLEGED FAILURE OR
45 REFUSAL TO COMPLY WAS WILLFUL AND WITHOUT GOOD CAUSE AND SHALL CONSIDER
46 ANY EVIDENCE IN THE POSSESSION OF THE DISTRICT INDICATING THAT THE
47 PARTICIPANT HAS GOOD CAUSE AND IF THE PARTICIPANT IS OTHERWISE PARTIC-
48 IPATING IN WORK ACTIVITIES, THERE SHALL BE NO FINDING OF WILLFULNESS
49 WITHOUT GOOD CAUSE BASED ON A SINGLE APPOINTMENT OR INFRACTION.

50 (b) [Unless the district determines as a result of such conciliation
51 process that such failure or refusal was willful and was without good
52 cause, no further action shall be taken.] If the district determines
53 that such failure or refusal was willful and without good cause, AND
54 THAT THE INDIVIDUAL IS NOT EXEMPT FROM THE REQUIREMENTS OF THIS TITLE,
55 the district shall notify such participant in writing, in plain language
56 and in a manner distinct from any previous notice, by issuing ten days

1 notice of its intent to discontinue or reduce assistance. Such notice
2 shall include the reasons for such determination, the specific instance
3 or instances of willful refusal or failure to comply without good cause
4 with the requirements of this title, SHALL VERIFY THAT APPROPRIATE CHILD
5 CARE, TRANSPORTATION AND ACCOMMODATIONS FOR DISABILITY WERE IN PLACE AT
6 THE TIME OF SUCH FAILURE OR REFUSAL, AND SPECIFY the necessary actions
7 that must be taken to avoid a pro-rata reduction in public assistance
8 benefits, INCLUDING AGREEING TO COMPLY WITH THE REQUIREMENTS OF THIS
9 TITLE CONSISTENT WITH ANY MEDICAL CONDITION WHICH MAY LIMIT THE INDIVID-
10 UAL'S ABILITY TO PARTICIPATE IN WORK ACTIVITIES OR NOTIFYING THE
11 DISTRICT THAT HE OR SHE HAS BECOME EXEMPT FROM THE REQUIREMENTS OF THIS
12 TITLE and the right to a fair hearing relating to such discontinuance or
13 reduction. [Unless extended by mutual agreement of the participant and
14 the district, conciliation shall terminate and a determination shall be
15 made within fourteen days of the date a request for conciliation is made
16 in the case of a safety net participant or within thirty days of the
17 conciliation notice in the case of a family assistance participant.]

18 2. (a) The department shall establish in regulation a conciliation
19 procedure for the resolution of disputes related to an individual's
20 participation in programs pursuant to this title.

21 (b) The district shall contract with an independent entity, approved
22 by the department, or shall use designated trained staff at the supervi-
23 sory level who have no direct responsibility for the participant's case
24 to mediate disputes in the conciliation conference. [If no such supervi-
25 sory staff or independent entity is available, the district may desig-
26 nate another trained individual, who has no direct responsibility for
27 the participant's case to mediate disputes in the conciliation confer-
28 ence.]

29 (c) If a participant's dispute cannot be resolved through such concil-
30 iation procedure, an opportunity for a fair hearing shall be provided.
31 No sanction relating to the subject dispute may be imposed during the
32 [conciliation] RE-ENGAGEMENT process.

33 3. When any [family assistance] participant required to participate in
34 work activities fails to comply with the provisions of this title, the
35 social services district shall take such actions as prescribed by appro-
36 priate federal law and regulation and this title.

37 4. [When any safety net participant required to participate in work
38 activities fails to comply with the provisions of this title, the social
39 services district shall deny assistance to such participant in accord-
40 ance with section three hundred forty-two of this title.]

41 5. (a) To the extent that] CONSISTENT WITH federal law [requires] AND
42 THIS TITLE, a social services district shall provide to those [family
43 assistance] participants whose failure to comply has continued for
44 [three months] THIRTY DAYS or longer a written reminder of the option to
45 end a sanction [after the expiration of the applicable minimum sanction
46 period] by terminating the failure to comply as specified in subdivision
47 [three] ONE of this section. Such notice shall advise that the partic-
48 ipant may immediately terminate the [first or second] sanction by
49 [participating in the program or accepting employment and that any
50 subsequent sanction after six months have elapsed may be terminated by
51 participating in the program or accepting employment.]

52 (b) A social services district shall provide to those safety net
53 participants whose failure to comply has continued for the length of the
54 sanction period or longer a written reminder of the option to end a
55 sanction after the expiration of the applicable minimum sanction period
56 by terminating the failure to comply as specified in subdivision four of

1 this section.] EITHER AGREEING TO COMPLY WITH THE REQUIREMENTS OF THIS
2 TITLE CONSISTENT WITH ANY MEDICAL CONDITION WHICH MAY LIMIT THE INDIVID-
3 UAL'S ABILITY TO PARTICIPATE IN WORK ACTIVITIES OR NOTIFYING THE
4 DISTRICT THAT HE OR SHE HAS BECOME EXEMPT FROM THE REQUIREMENTS OF THIS
5 TITLE.

6 [6.] 5. Consistent with federal law and regulation AND THIS TITLE, no
7 NOTICE SHALL BE ISSUED AS SPECIFIED IN SUBDIVISION ONE OF THIS SECTION
8 UNLESS IT HAS BEEN DETERMINED THAT THE INDIVIDUAL IS NOT EXEMPT FROM THE
9 REQUIREMENTS OF THIS TITLE AND HAS DETERMINED THAT APPROPRIATE CHILD
10 CARE, TRANSPORTATION AND ACCOMMODATIONS FOR DISABILITY WERE IN PLACE AT
11 THE TIME OF SUCH FAILURE OR REFUSAL TO COMPLY WITH THE REQUIREMENTS OF
12 THIS TITLE AND NO action shall be taken pursuant to this section for
13 failure to participate in the program or refusal to accept employment
14 if:

15 (a) child care for a child under age thirteen (or day care for any
16 incapacitated individual living in the same home as a dependent child)
17 is necessary for an individual to participate or continue participation
18 in activities pursuant to this title or accept employment and such care
19 is not available and the social services district fails to provide such
20 care;

21 (b) (1) the employment would result in the family of the participant
22 experiencing a net loss of cash income; provided, however, a participant
23 may not claim good cause under this paragraph if the social services
24 district assures that the family will not experience a net loss of cash
25 income by making a supplemental payment;

26 (2) net loss of cash income results if the family's gross income less
27 necessary work-related expenses is less than the cash assistance the
28 participant was receiving at the time the offer of employment is made;
29 or

30 (c) the participant meets other grounds for good cause set forth by
31 the department in its implementation plan for this title which, at a
32 minimum, must describe what circumstances beyond the household's control
33 will constitute "good cause".

34 S 2. Section 342 of the social services law, as added by section 148
35 of part B of chapter 436 of the laws of 1997, is amended to read as
36 follows:

37 S 342. Noncompliance with the requirements of this title. 1. In
38 accordance with the provisions of this section an individual who is
39 required to participate in work activities shall be ineligible to
40 receive public assistance if he or she fails to comply, without good
41 cause, with the requirements of this title AND THE DISTRICT HAS DETER-
42 MINED THAT HE OR SHE IS NOT EXEMPT FROM SUCH REQUIREMENTS AND HAS VERI-
43 FIED THAT APPROPRIATE CHILD CARE, TRANSPORTATION, AND ACCOMMODATIONS FOR
44 DISABILITY WERE IN PLACE AT THE TIME OF SUCH FAILURE OR REFUSAL. Such
45 ineligibility shall be for the amount and [periods] PERIOD specified in
46 this section. Good cause for failing to comply with the requirements of
47 this title shall be defined in department regulations, provided, howev-
48 er, that the parent or caretaker relative of a child under thirteen
49 years of age shall not be subject to the ineligibility provisions of
50 this section if the individual can demonstrate, in accordance with the
51 regulations of the office of children and family services [department],
52 that lack of available child care prevents such individual from comply-
53 ing with the work requirements of this title. The parent or caretaker
54 relative shall be responsible for locating the child care needed to meet
55 the work requirements; provided, however, that the relevant social
56 services district shall provide a parent or caretaker relative who

1 demonstrates an inability to obtain needed child care with a choice of
2 two providers, at least one of which will be a regulated provider.

3 2. In the case of an applicant for or recipient of public assistance
4 WHOM THE DISTRICT HAS DETERMINED IS NOT EXEMPT FROM THE REQUIREMENTS OF
5 THIS TITLE AND who is a parent or caretaker of a dependent child, the
6 public assistance benefits otherwise available to the household of which
7 such individual is a member shall be reduced pro-rata[:

8 (a) for the first instance of failure to comply without good cause
9 with the requirement of this article] until the individual is willing to
10 comply WITH THE REQUIREMENTS OF THIS TITLE CONSISTENT WITH ANY MEDICAL
11 CONDITION WHICH MAY LIMIT THE INDIVIDUAL'S ABILITY TO PARTICIPATE IN
12 WORK ACTIVITIES[;

13 (b) for the second instance of failure to comply without good cause
14 with the requirements of this article, for a period of three months and
15 thereafter until the individual is willing to comply;

16 (c) for the third and all subsequent instances of failure to comply
17 without good cause with the requirements of this article, for a period
18 of six months and thereafter until the individual is willing to comply].

19 3. In the case of an individual who is a member of a household without
20 dependent children WHOM THE DISTRICT HAS DETERMINED IS NOT EXEMPT FROM
21 THE REQUIREMENTS OF THIS TITLE AND WHO IS applying for or in receipt of
22 safety net assistance, the public assistance benefits otherwise avail-
23 able to the household of which such individual is a member shall be
24 reduced pro-rata[:

25 (a) for the first such failure or refusal], until the failure or
26 refusal TO COMPLY WITH THE REQUIREMENTS OF THIS TITLE CONSISTENT WITH
27 ANY MEDICAL CONDITION WHICH MAY LIMIT THE INDIVIDUAL'S ABILITY TO
28 PARTICIPATE IN WORK ACTIVITIES ceases [or ninety days, whichever period
29 of time is longer;

30 (b) for the second such failure or refusal, until the failure ceases
31 or for one hundred fifty days, whichever period of time is longer; and

32 (c) for the third and all subsequent such failures or refusals, until
33 the failure ceases or one hundred eighty days, whichever period of time
34 is longer].

35 4. A recipient of public assistance WHOM THE DISTRICT HAS DETERMINED
36 IS NOT EXEMPT FROM THE REQUIREMENTS OF THIS TITLE AND who quits or
37 reduces his hours of employment without good cause OR DUE TO ANY MEDICAL
38 CONDITION WHICH MAY LIMIT THE INDIVIDUAL'S ABILITY TO PARTICIPATE IN
39 WORK ACTIVITIES shall be considered to have failed to comply with the
40 requirements of this article and shall be subject to the provisions of
41 this section.

42 5. A person described in paragraph (b) of subdivision seven of section
43 one hundred fifty-nine of this chapter may not be sanctioned if his or
44 her failure to comply with requirements of this title are related to
45 his or her health status.

46 S 3. This act shall take effect immediately.