

S T A T E O F N E W Y O R K

3038--A

2013-2014 Regular Sessions

I N S E N A T E

January 29, 2013

Introduced by Sens. BALL, GIPSON, GOLDEN, GRISANTI, LARKIN, ZELDIN --
read twice and ordered printed, and when printed to be committed to
the Committee on Veterans, Homeland Security and Military Affairs --
committee discharged, bill amended, ordered reprinted as amended and
recommitted to said committee

AN ACT to amend the executive law and the public authorities law, in
relation to participation by service-connected disabled veterans and
veterans with respect to state contracts

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEM-
BLY, DO ENACT AS FOLLOWS:

1 Section 1. The executive law is amended by adding a new article 17-A
2 to read as follows:

ARTICLE 17-A

PARTICIPATION BY SERVICE-CONNECTED DISABLED VETERANS AND
VETERANS WITH RESPECT TO STATE CONTRACTS

SECTION 369. DEFINITIONS.

369-A. OPPORTUNITIES FOR CERTIFIED SERVICE-CONNECTED DISABLED
VETERAN-OWNED BUSINESS ENTERPRISES.

369-B. OPPORTUNITIES FOR CERTIFIED VETERAN-OWNED BUSINESS ENTER-
PRISES.

369-C. SEVERABILITY.

S 369. DEFINITIONS. AS USED IN THIS ARTICLE, THE FOLLOWING TERMS SHALL
HAVE THE FOLLOWING MEANINGS:

1. "AGENCY" OR "AGENCIES" SHALL MEAN (A) (I) ANY STATE DEPARTMENT, OR
(II) ANY DIVISION, BOARD, COMMISSION OR BUREAU OF ANY STATE DEPARTMENT,
OR (III) THE STATE UNIVERSITY OF NEW YORK AND THE CITY UNIVERSITY OF NEW
YORK, INCLUDING ALL THEIR CONSTITUENT UNITS EXCEPT COMMUNITY COLLEGES
AND THE INDEPENDENT INSTITUTIONS OPERATING STATUTORY OR CONTRACT
COLLEGES ON BEHALF OF THE STATE, OR (IV) A BOARD, A MAJORITY OF WHOSE
MEMBERS ARE APPOINTED BY THE GOVERNOR OR WHO SERVE BY VIRTUE OF BEING
STATE OFFICERS OR EMPLOYEES AS DEFINED IN SUBPARAGRAPH (I), (II) OR

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets
[] is old law to be omitted.

LBD07355-05-3

1 (III) OF PARAGRAPH (I) OF SUBDIVISION ONE OF SECTION SEVENTY-THREE OF
2 THE PUBLIC OFFICERS LAW;
3 (B) A "STATE AUTHORITY," AS DEFINED IN SUBDIVISION ONE OF SECTION TWO
4 OF THE PUBLIC AUTHORITIES LAW, AND THE FOLLOWING:
5 ALBANY COUNTY AIRPORT AUTHORITY;
6 ALBANY PORT DISTRICT COMMISSION;
7 ALFRED, ALMOND, HORNELLVILLE SEWER AUTHORITY;
8 BATTERY PARK CITY AUTHORITY;
9 CAYUGA COUNTY WATER AND SEWER AUTHORITY;
10 (NELSON A. ROCKEFELLER) EMPIRE STATE PLAZA PERFORMING ARTS CENTER
11 CORPORATION;
12 INDUSTRIAL EXHIBIT AUTHORITY;
13 LIVINGSTON COUNTY WATER AND SEWER AUTHORITY;
14 LONG ISLAND POWER AUTHORITY;
15 LONG ISLAND RAIL ROAD;
16 LONG ISLAND MARKET AUTHORITY;
17 MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY;
18 METRO-NORTH COMMUTER RAILROAD;
19 METROPOLITAN SUBURBAN BUS AUTHORITY;
20 METROPOLITAN TRANSPORTATION AUTHORITY;
21 NATURAL HERITAGE TRUST;
22 NEW YORK CITY TRANSIT AUTHORITY;
23 NEW YORK CONVENTION CENTER OPERATING CORPORATION;
24 NEW YORK STATE BRIDGE AUTHORITY;
25 NEW YORK STATE OLYMPIC REGIONAL DEVELOPMENT AUTHORITY;
26 NEW YORK STATE THRUWAY AUTHORITY;
27 NIAGARA FALLS PUBLIC WATER AUTHORITY;
28 NIAGARA FALLS WATER BOARD;
29 PORT OF OSWEGO AUTHORITY;
30 POWER AUTHORITY OF THE STATE OF NEW YORK;
31 ROOSEVELT ISLAND OPERATION CORPORATION;
32 SCHENECTADY METROPLEX DEVELOPMENT AUTHORITY;
33 STATE INSURANCE FUND;
34 STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY;
35 STATE UNIVERSITY CONSTRUCTION FUND;
36 SYRACUSE REGIONAL AIRPORT AUTHORITY;
37 TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY;
38 UPPER MOHAWK VALLEY REGIONAL WATER BOARD;
39 UPPER MOHAWK VALLEY REGIONAL WATER FINANCE AUTHORITY;
40 UPPER MOHAWK VALLEY MEMORIAL AUDITORIUM AUTHORITY;
41 URBAN DEVELOPMENT CORPORATION AND ITS SUBSIDIARY CORPORATIONS;
42 (C) THE FOLLOWING ONLY TO THE EXTENT OF STATE CONTRACTS ENTERED INTO
43 FOR ITS OWN ACCOUNT OR FOR THE BENEFIT OF A STATE AGENCY AS DEFINED IN
44 PARAGRAPH (A) OF (B) OF THIS SUBDIVISION:
45 DORMITORY AUTHORITY OF THE STATE OF NEW YORK;
46 FACILITIES DEVELOPMENT CORPORATION;
47 NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY;
48 NEW YORK STATE SCIENCE AND TECHNOLOGY FOUNDATION.
49 2. "PERIOD OF WAR" MEANS THE SPANISH AMERICAN WAR; THE MEXICAN BORDER
50 PERIOD; WORLD WAR I; WORLD WAR II; THE HOSTILITIES KNOWN AS THE KOREAN
51 WAR, WHICH COMMENCED JUNE TWENTY-SEVENTH, NINETEEN HUNDRED FIFTY AND
52 TERMINATED ON JANUARY THIRTY-FIRST, NINETEEN HUNDRED FIFTY-FIVE; THE
53 HOSTILITIES KNOWN AS THE VIETNAM WAR, WHICH COMMENCED ON FEBRUARY TWEN-
54 TY-EIGHTH, NINETEEN HUNDRED SIXTY-ONE AND TERMINATED ON MAY SEVENTH,
55 NINETEEN HUNDRED SEVENTY-FIVE; AND THE HOSTILITIES KNOWN AS THE PERSIAN
56 GULF CONFLICT, WHICH COMMENCED AUGUST SECOND, NINETEEN HUNDRED NINETY TO

1 THE END OF SUCH CONFLICT INCLUDING MILITARY SERVICE IN OPERATION ENDUR-
2 ING FREEDOM, OPERATION IRAQI FREEDOM OR OPERATION NEW DAWN AND WAS THE
3 RECIPIENT OF THE GLOBAL WAR ON TERRORISM EXPEDITIONARY MEDAL OR THE IRAQ
4 CAMPAIGN MEDAL OR THE AFGHANISTAN CAMPAIGN MEDAL.

5 3. "SERVICE-CONNECTED DISABLED VETERAN" MEANS A VETERAN WHO RECEIVED A
6 COMPENSATION RATING FROM THE UNITED STATES DEPARTMENT OF VETERANS
7 AFFAIRS OR FROM THE UNITED STATES DEPARTMENT OF DEFENSE BECAUSE OF A
8 SERVICE-CONNECTED DISABILITY INCURRED IN THE LINE OF DUTY IN THE ACTIVE
9 MILITARY, NAVAL OR AIR SERVICE.

10 4. "CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTER-
11 PRISE" SHALL MEAN A BUSINESS ENTERPRISE, INCLUDING A SOLE PROPRIETOR-
12 SHIP, PARTNERSHIP, OR CORPORATION THAT IS:

13 (A) AT LEAST FIFTY-ONE PERCENT OWNED BY ONE OR MORE SERVICE-CONNECTED
14 DISABLED VETERANS;

15 (B) AN ENTERPRISE IN WHICH SUCH SERVICE-CONNECTED DISABLED VETERAN
16 OWNERSHIP IS REAL, SUBSTANTIAL, AND CONTINUING;

17 (C) AN ENTERPRISE IN WHICH SUCH SERVICE-CONNECTED DISABLED VETERAN
18 OWNERSHIP HAS AND EXERCISES THE AUTHORITY TO CONTROL INDEPENDENTLY THE
19 DAY-TO-DAY BUSINESS DECISIONS OF THE ENTERPRISE;

20 (D) AN ENTERPRISE AUTHORIZED TO DO BUSINESS IN THIS STATE AND IS INDE-
21 PENDENTLY OWNED AND OPERATED;

22 (E) AN ENTERPRISE OWNED BY AN INDIVIDUAL OR INDIVIDUALS, WHOSE OWNER-
23 SHIP, CONTROL AND OPERATION ARE RELIED UPON FOR CERTIFICATION, WITH A
24 PERSONAL NET WORTH THAT DOES NOT EXCEED THREE MILLION FIVE HUNDRED THOU-
25 SAND DOLLARS, AS ADJUSTED ANNUALLY ON THE FIRST OF JANUARY FOR INFLATION
26 ACCORDING TO THE CONSUMER PRICE INDEX OF THE PREVIOUS YEAR;

27 (F) AN ENTERPRISE THAT IS A SMALL BUSINESS PURSUANT TO SUBDIVISION
28 FIVE OF THIS SECTION; AND

29 (G) IS CERTIFIED PURSUANT TO SUBDIVISION THREE OF SECTION THREE
30 HUNDRED SIXTY-NINE-A OF THIS ARTICLE.

31 5. "SMALL BUSINESS" SHALL HAVE THE SAME MEANING AS DEFINED IN SECTION
32 ONE HUNDRED THIRTY-ONE OF THE ECONOMIC DEVELOPMENT LAW.

33 6. "VETERAN" SHALL MEAN A PERSON WHO SERVED IN THE ACTIVE MILITARY,
34 NAVAL, OR AIR SERVICE DURING A PERIOD OF WAR, OR WAS A RECIPIENT OF THE
35 ARMED FORCES EXPEDITIONARY MEDAL, NAVY EXPEDITIONARY MEDAL, MARINE CORPS
36 EXPEDITIONARY MEDAL, OR GLOBAL WAR ON TERRORISM EXPEDITIONARY MEDAL, AND
37 WAS DISCHARGED OR RELEASED THEREFROM UNDER OTHER THAN DISHONORABLE
38 DISCHARGE.

39 7. "CERTIFIED VETERAN-OWNED BUSINESS ENTERPRISES" SHALL MEAN A BUSI-
40 NESS ENTERPRISE, INCLUDING A SOLE PROPRIETORSHIP, PARTNERSHIP, OR CORPO-
41 RATION THAT IS:

42 (A) AT LEAST FIFTY-ONE PERCENT OWNED BY ONE OR MORE VETERAN;

43 (B) AN ENTERPRISE IN WHICH SUCH VETERAN OWNERSHIP IS REAL, SUBSTAN-
44 TIAL, AND CONTINUING;

45 (C) AN ENTERPRISE IN WHICH SUCH VETERAN OWNERSHIP HAS AND EXERCISES
46 THE AUTHORITY TO CONTROL INDEPENDENTLY THE DAY-TO-DAY BUSINESS DECISIONS
47 OF THE ENTERPRISE;

48 (D) AN ENTERPRISE AUTHORIZED TO DO BUSINESS IN THIS STATE AND INDE-
49 PENDENTLY OWNED AND OPERATED;

50 (E) AN ENTERPRISE OWNED BY AN INDIVIDUAL OR INDIVIDUALS, WHOSE OWNER-
51 SHIP, CONTROL AND OPERATION WERE RELIED UPON FOR CERTIFICATION, WITH A
52 PERSONAL NET WORTH THAT DOES NOT EXCEED THREE MILLION FIVE HUNDRED THOU-
53 SAND DOLLARS, AS ADJUSTED ANNUALLY ON THE FIRST OF JANUARY FOR INFLATION
54 ACCORDING TO THE CONSUMER PRICE INDEX OF THE PREVIOUS YEAR;

55 (F) AN ENTERPRISE THAT IS A SMALL BUSINESS PURSUANT TO SUBDIVISION
56 FIVE OF THIS SECTION; AND

(G) IS CERTIFIED PURSUANT TO SUBDIVISION THREE OF SECTION THREE HUNDRED SIXTY-NINE-B OF THIS ARTICLE.

S 369-A. OPPORTUNITIES FOR CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTERPRISES.

1. CONTRACT PREFERENCES. EACH AGENCY SHALL PROVIDE THE OPPORTUNITY FOR CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTERPRISES TO MAXIMIZE THEIR PARTICIPATION IN THE PERFORMANCE OF STATE CONTRACTS. A BID BY A SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTERPRISE SHALL BE TREATED AS THE LOWEST BID IN A COMPETITIVE PROCESS FOR A COMMODITY IF IT COST NO MORE THAN FIFTEEN PERCENT HIGHER THAN THE LOWEST BID AND FOR SERVICES, IF THE COST COMPONENT IS NO MORE THAN FIFTEEN PERCENT HIGHER THAN THE COST COMPONENT OF THE HIGHEST SCORING BID, THESE COMPONENTS SHALL BE TREATED AS EQUAL FOR PURPOSES OF COMPETITIVE SCORING.

2. DUTIES OF AGENCIES. IN ORDER TO IMPLEMENT THE PROVISIONS AND POLICY ESTABLISHED IN SUBDIVISION ONE OF THIS SECTION, AGENCIES SHALL:

(A) DEVELOP A STRATEGY TO IMPLEMENT THE PROVISIONS AND POLICY ESTABLISHED IN SUBDIVISION ONE OF THIS SECTION; AND SHALL PUBLICLY PUBLISH SUCH STRATEGY;

(B) MAKE THE AGENCY'S STRATEGY PUBLICLY AVAILABLE, POST SUCH STRATEGY UPON THE WEBSITE OF SUCH AGENCY, AND REPORT ANNUALLY TO THE GOVERNOR, THE TEMPORARY PRESIDENT OF THE SENATE, AND THE SPEAKER OF THE ASSEMBLY, ON THE IMPLEMENTATION OF THE AGENCY'S STRATEGY;

(C) DESIGNATE A SENIOR-LEVEL OFFICIAL WITHIN SUCH AGENCY WHO SHALL BE RESPONSIBLE FOR DEVELOPING AND IMPLEMENTING THE AGENCY'S STRATEGY; AND

(D) INCLUDE IN THE AGENCY'S STRATEGY PLANS FOR:

(I) ENCOURAGING AND FACILITATING PARTICIPATION BY CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTERPRISES IN COMPETITIONS FOR AWARD OF AGENCY CONTRACTS;

(II) ENCOURAGING AGENCY CONTRACTORS TO SUBCONTRACT WITH CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTERPRISES AND ACTIVELY MONITORING AND EVALUATING AGENCY CONTRACTOR'S EFFORTS TO DO SO;

(III) TRAINING AGENCY PERSONNEL ON APPLICABLE LAW AND POLICIES RELATING TO PARTICIPATION OF CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTERPRISES IN STATE CONTRACTING; AND

(IV) DISSEMINATING INFORMATION TO CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTERPRISES THAT WOULD ASSIST THESE BUSINESSES IN PARTICIPATING IN AWARDS OF AGENCY CONTRACTS.

3. CERTIFICATION. (A) THE DEPUTY COMMISSIONER RESPONSIBLE FOR HEADING THE DIVISION FOR SMALL BUSINESS WITHIN THE DEPARTMENT OF ECONOMIC DEVELOPMENT, AS ESTABLISHED PURSUANT TO SECTION ONE HUNDRED THIRTY-TWO OF THE ECONOMIC DEVELOPMENT LAW SHALL PROMULGATE RULES AND REGULATIONS PROVIDING FOR THE ESTABLISHMENT OF A STATEWIDE CERTIFICATION PROGRAM INCLUDING RULES AND REGULATIONS GOVERNING THE APPROVAL, DENIAL, OR REVOCATION OF ANY SUCH CERTIFICATION. SUCH RULES AND REGULATIONS SHALL INCLUDE, BUT NOT BE LIMITED TO, SUCH MATTERS AS MAY BE REQUIRED TO ENSURE THAT THE ESTABLISHED PROCEDURES THEREUNDER SHALL AT LEAST BE IN COMPLIANCE WITH THE CODE OF FAIR PROCEDURE SET FORTH IN SECTION SEVENTY-THREE OF THE CIVIL RIGHTS LAW.

(B) THE DIVISION FOR SMALL BUSINESS SHALL BE RESPONSIBLE FOR VERIFYING BUSINESSES AS BEING OWNED, OPERATED, AND CONTROLLED BY A SERVICE-CONNECTED DISABLED VETERAN AND FOR CERTIFYING SUCH VERIFIED BUSINESSES. STATUS AS A SERVICE-CONNECTED DISABLED VETERAN SHALL BE DOCUMENTED BY A COPY OF THE VETERAN'S CERTIFICATE OF RELEASE OR DISCHARGE FROM ACTIVE DUTY ALSO KNOWN AS A DD-214 FORM OR AN HONORABLE SERVICE CERTIFICATE/REPORT OF CAUSALITY FROM THE DEPARTMENT OF DEFENSE, A LETTER

1 OF CERTIFICATION BY THE UNITED STATES DEPARTMENT OF VETERANS AFFAIRS OR
2 THE UNITED STATES DEPARTMENT OF DEFENSE AND ANY ADDITIONAL INFORMATION
3 THAT MAY BE REQUIRED BY THE DIVISION FOR SMALL BUSINESS. THE DEPUTY
4 COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL PREPARE A DIRECTO-
5 RY OF CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED BUSINESS ENTER-
6 PRISES FOR USE BY AGENCIES IN CARRYING OUT THE PROVISIONS OF THIS
7 SECTION. SUCH DIRECTORY SHALL BE PUBLICLY POSTED ON THE EMPIRE STATE
8 DEVELOPMENT CORPORATION WEBSITE. THE DEPUTY COMMISSIONER OF THE DIVISION
9 FOR SMALL BUSINESS SHALL PERIODICALLY UPDATE THE DIRECTORY.

10 (C) FOLLOWING APPLICATION FOR CERTIFICATION PURSUANT TO THIS SECTION,
11 THE DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL PROVIDE
12 THE APPLICANT WITH WRITTEN NOTICE OF THE STATUS OF THE APPLICATION,
13 INCLUDING NOTICE OF ANY OUTSTANDING DEFICIENCIES, WITHIN THIRTY DAYS.
14 WITHIN SIXTY DAYS OF SUBMISSION OF A FINAL COMPLETED APPLICATION, THE
15 DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL PROVIDE THE
16 APPLICANT WITH WRITTEN NOTICE OF A DETERMINATION BY THE DEPUTY COMMIS-
17 SIONER OF THE DIVISION FOR SMALL BUSINESS APPROVING OR DENYING SUCH
18 CERTIFICATION AND, IN THE EVENT OF A DENIAL, A STATEMENT SETTING FORTH
19 THE REASONS FOR SUCH DENIAL. UPON A DETERMINATION DENYING OR REVOKING
20 CERTIFICATION, THE BUSINESS ENTERPRISE FOR WHICH CERTIFICATION HAS BEEN
21 SO DENIED OR REVOKED SHALL, UPON WRITTEN REQUEST MADE WITHIN THIRTY DAYS
22 FROM RECEIPT OF NOTICE OF SUCH DETERMINATION, BE ENTITLED TO A HEARING
23 BEFORE AN INDEPENDENT HEARING OFFICER DESIGNATED FOR SUCH PURPOSE BY THE
24 DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS. IN THE EVENT
25 THAT A REQUEST FOR A HEARING IS NOT MADE WITHIN SUCH THIRTY DAY PERIOD,
26 SUCH DETERMINATION SHALL BE DEEMED TO BE FINAL. THE INDEPENDENT HEARING
27 OFFICER SHALL CONDUCT A HEARING AND UPON THE CONCLUSION OF SUCH HEARING,
28 ISSUE A WRITTEN RECOMMENDATION TO THE DEPUTY COMMISSIONER OF THE DIVI-
29 SION FOR SMALL BUSINESS TO AFFIRM, REVERSE, OR MODIFY SUCH DETERMINATION
30 OF THE DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS. SUCH
31 WRITTEN RECOMMENDATION SHALL BE ISSUED TO THE PARTIES. THE DEPUTY
32 COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS, WITHIN THIRTY DAYS, BY
33 ORDER, MUST ACCEPT, REJECT OR MODIFY SUCH RECOMMENDATION OF THE HEARING
34 OFFICER AND SET FORTH IN WRITING THE REASON THEREFOR. THE DEPUTY COMMIS-
35 SIONER OF THE DIVISION FOR SMALL BUSINESS SHALL SERVE A COPY OF SUCH
36 ORDER AND REASONS THEREFOR UPON THE BUSINESS ENTERPRISE BY PERSONAL
37 SERVICE OR BY CERTIFIED MAIL RETURN RECEIPT REQUESTED. THE ORDER OF THE
38 DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL BE SUBJECT
39 TO REVIEW PURSUANT TO ARTICLE SEVENTY-EIGHT OF THE CIVIL PRACTICE LAW
40 AND RULES.

41 (D) ALL CERTIFICATIONS SHALL BE VALID FOR A PERIOD OF THREE YEARS.

42 4. DUTIES OF DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS.
43 THE DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL:

44 (A) DESIGNATE AN APPROPRIATE ENTITY WITHIN THE DIVISION FOR SMALL
45 BUSINESS THAT SHALL, IN CONSULTATION WITH THE DIVISION OF VETERANS
46 AFFAIRS, PROVIDE TO CERTIFIED SERVICE-CONNECTED DISABLED VETERAN-OWNED
47 BUSINESS ENTERPRISES INFORMATION AND ASSISTANCE CONCERNING PARTICIPATION
48 IN STATE CONTRACTING;

49 (B) ADVISE AND ASSIST AGENCIES IN THEIR IMPLEMENTATION OF SUBDIVISION
50 ONE OF THIS SECTION; AND

51 (C) MAKE AVAILABLE TO CERTIFIED SERVICE-CONNECTED DISABLED
52 VETERAN-OWNED BUSINESS ENTERPRISES TRAINING IN STATE CONTRACTING LAW,
53 PROCEDURES, AND PRACTICES THAT WOULD ASSIST SUCH BUSINESSES IN PARTIC-
54 IPATING IN STATE CONTRACTING AND MAKE THIS TRAINING AVAILABLE ONLINE
55 THROUGH A CONTINUOUS LEARNING PROGRAM.

1 S 369-B. OPPORTUNITIES FOR CERTIFIED VETERAN-OWNED BUSINESS ENTER-
2 PRISES. 1. CONTRACT PREFERENCES. EACH AGENCY SHALL PROVIDE THE OPPORTU-
3 NITY FOR CERTIFIED VETERAN-OWNED BUSINESS ENTERPRISES TO MAXIMIZE THEIR
4 PARTICIPATION IN THE PERFORMANCE OF STATE CONTRACTS. A BID BY A VETER-
5 AN-OWNED BUSINESS ENTERPRISE SHALL BE TREATED AS THE LOWEST BID IN A
6 COMPETITIVE PROCESS FOR A COMMODITY IF IT COST NO MORE THAN TEN PERCENT
7 HIGHER THAN THE LOWEST BID AND FOR SERVICES, IF THE COST COMPONENT IS NO
8 MORE THAN TEN PERCENT HIGHER THAN THE COST COMPONENT OF THE HIGHEST
9 SCORING BID, THESE COMPONENTS SHALL BE TREATED AS EQUAL FOR PURPOSES OF
10 COMPETITIVE SCORING.

11 2. DUTIES OF AGENCIES. IN ORDER TO IMPLEMENT THE PROVISIONS AND POLICY
12 ESTABLISHED IN SUBDIVISION ONE OF THIS SECTION, AGENCIES SHALL:

13 (A) DEVELOP A STRATEGY TO IMPLEMENT THE PROVISIONS AND POLICY ESTAB-
14 LISHED IN SUBDIVISION ONE OF THIS SECTION; AND SHALL PUBLICLY PUBLISH
15 SUCH STRATEGY;

16 (B) MAKE THE AGENCY'S STRATEGY PUBLICLY AVAILABLE, HAVE SUCH STRATEGY
17 POSTED UPON THE WEBSITE OF SUCH AGENCY, AND REPORT ANNUALLY TO THE
18 GOVERNOR, THE TEMPORARY PRESIDENT OF THE SENATE, AND THE SPEAKER OF THE
19 ASSEMBLY ON THE IMPLEMENTATION OF THE AGENCY'S STRATEGY;

20 (C) DESIGNATE A SENIOR-LEVEL OFFICIAL WITHIN SUCH AGENCY WHO SHALL BE
21 RESPONSIBLE FOR DEVELOPING AND IMPLEMENTING THE AGENCY'S STRATEGY; AND

22 (D) INCLUDE IN THE AGENCY'S STRATEGY PLANS FOR:

23 (I) ENCOURAGING AND FACILITATING PARTICIPATION BY CERTIFIED
24 VETERAN-OWNED BUSINESS ENTERPRISES IN COMPETITIONS FOR AWARD OF AGENCY
25 CONTRACTS;

26 (II) ENCOURAGING AGENCY CONTRACTORS TO SUBCONTRACT WITH CERTIFIED
27 VETERAN-OWNED BUSINESS ENTERPRISES AND ACTIVELY MONITORING AND EVALUAT-
28 ING AGENCY CONTRACTOR'S EFFORTS TO DO SO;

29 (III) TRAINING AGENCY PERSONNEL ON APPLICABLE LAW AND POLICIES RELAT-
30 ING TO PARTICIPATION OF CERTIFIED VETERAN-OWNED BUSINESS ENTERPRISES IN
31 STATE CONTRACTING; AND

32 (IV) DISSEMINATING INFORMATION TO CERTIFIED VETERAN-OWNED BUSINESS
33 ENTERPRISES THAT WOULD ASSIST THESE BUSINESSES IN PARTICIPATING IN
34 AWARDS OF AGENCY CONTRACTS.

35 3. CERTIFICATION. (A) THE DEPUTY COMMISSIONER RESPONSIBLE FOR HEADING
36 THE DIVISION FOR SMALL BUSINESS WITHIN THE DEPARTMENT OF ECONOMIC DEVEL-
37 OPMENT, AS ESTABLISHED PURSUANT TO SECTION ONE HUNDRED THIRTY-TWO OF THE
38 ECONOMIC DEVELOPMENT LAW SHALL PROMULGATE RULES AND REGULATIONS PROVID-
39 ING FOR THE ESTABLISHMENT OF A STATEWIDE CERTIFICATION PROGRAM INCLUDING
40 RULES AND REGULATIONS GOVERNING THE APPROVAL, DENIAL, OR REVOCATION OF
41 ANY SUCH CERTIFICATION. SUCH RULES AND REGULATIONS SHALL INCLUDE, BUT
42 NOT BE LIMITED TO, SUCH MATTERS AS MAY BE REQUIRED TO ENSURE THAT THE
43 ESTABLISHED PROCEDURES THEREUNDER SHALL AT LEAST BE IN COMPLIANCE WITH
44 THE CODE OF FAIR PROCEDURE SET FORTH IN SECTION SEVENTY-THREE OF THE
45 CIVIL RIGHTS LAW.

46 (B) THE DIVISION FOR SMALL BUSINESS SHALL BE RESPONSIBLE FOR VERIFYING
47 BUSINESSES AS BEING OWNED, OPERATED, AND CONTROLLED BY A VETERAN AND FOR
48 CERTIFYING SUCH VERIFIED BUSINESSES. STATUS AS A VETERAN SHALL BE DOCU-
49 MENTED BY A COPY OF THE VETERAN'S CERTIFICATE OF RELEASE OR DISCHARGE
50 FROM ACTIVE DUTY ALSO KNOWN AS A DD-214 FORM OR AN HONORABLE SERVICE
51 CERTIFICATE/REPORT OF CAUSALITY FROM THE DEPARTMENT OF DEFENSE, A LETTER
52 OF CERTIFICATION BY THE UNITED STATES DEPARTMENT OF VETERANS AFFAIRS OR
53 THE UNITED STATES DEPARTMENT OF DEFENSE AND ANY ADDITIONAL INFORMATION
54 THAT MAY BE REQUIRED BY THE DIVISION FOR SMALL BUSINESS. THE DEPUTY
55 COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL PREPARE A DIRECTO-
56 RY OF CERTIFIED VETERAN-OWNED BUSINESS ENTERPRISES FOR USE BY AGENCIES

1 IN CARRYING OUT THE PROVISIONS OF THIS SECTION. SUCH DIRECTORY SHALL BE
2 PUBLICLY POSTED ON THE EMPIRE STATE DEVELOPMENT CORPORATION WEBSITE. THE
3 DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL PERIOD-
4 ICALLY UPDATE THE DIRECTORY.

5 (C) FOLLOWING APPLICATION FOR CERTIFICATION PURSUANT TO THIS SECTION,
6 THE DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL PROVIDE
7 THE APPLICANT WITH WRITTEN NOTICE OF THE STATUS OF THE APPLICATION,
8 INCLUDING NOTICE OF ANY OUTSTANDING DEFICIENCIES, WITHIN THIRTY DAYS.
9 WITHIN SIXTY DAYS OF SUBMISSION OF A FINAL COMPLETED APPLICATION, THE
10 DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL PROVIDE THE
11 APPLICANT WITH WRITTEN NOTICE OF A DETERMINATION BY THE DEPUTY COMMIS-
12 SIONER OF THE DIVISION FOR SMALL BUSINESS APPROVING OR DENYING SUCH
13 CERTIFICATION AND, IN THE EVENT OF A DENIAL, A STATEMENT SETTING FORTH
14 THE REASONS FOR SUCH DENIAL. UPON A DETERMINATION DENYING OR REVOKING
15 CERTIFICATION, THE BUSINESS ENTERPRISE FOR WHICH CERTIFICATION HAS BEEN
16 SO DENIED OR REVOKED SHALL, UPON WRITTEN REQUEST MADE WITHIN THIRTY DAYS
17 FROM RECEIPT OF NOTICE OF SUCH DETERMINATION, BE ENTITLED TO A HEARING
18 BEFORE AN INDEPENDENT HEARING OFFICER DESIGNATED FOR SUCH PURPOSE BY THE
19 DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS. IN THE EVENT
20 THAT A REQUEST FOR A HEARING IS NOT MADE WITHIN SUCH THIRTY DAY PERIOD,
21 SUCH DETERMINATION SHALL BE DEEMED TO BE FINAL. THE INDEPENDENT HEARING
22 OFFICER SHALL CONDUCT A HEARING AND UPON THE CONCLUSION OF SUCH HEARING,
23 ISSUE A WRITTEN RECOMMENDATION TO THE DEPUTY COMMISSIONER OF THE DIVI-
24 SION FOR SMALL BUSINESS TO AFFIRM, REVERSE, OR MODIFY SUCH DETERMINATION
25 OF THE DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS. SUCH
26 WRITTEN RECOMMENDATION SHALL BE ISSUED TO THE PARTIES. THE DEPUTY
27 COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS, WITHIN THIRTY DAYS, BY
28 ORDER, MUST ACCEPT, REJECT OR MODIFY SUCH RECOMMENDATION OF THE HEARING
29 OFFICER AND SET FORTH IN WRITING THE REASONS THEREFOR. THE DEPUTY
30 COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL SERVE A COPY OF
31 SUCH ORDER AND REASONS THEREFOR UPON THE BUSINESS ENTERPRISE BY PERSONAL
32 SERVICE OR BY CERTIFIED MAIL RETURN RECEIPT REQUESTED. THE ORDER OF THE
33 DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL BE SUBJECT
34 TO REVIEW PURSUANT TO ARTICLE SEVENTY-EIGHT OF THE CIVIL PRACTICE LAW
35 AND RULES.

36 (D) ALL CERTIFICATIONS SHALL BE VALID FOR A PERIOD OF THREE YEARS.

37 4. DUTIES OF DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS.
38 THE DEPUTY COMMISSIONER OF THE DIVISION FOR SMALL BUSINESS SHALL:

39 (A) DESIGNATE AN APPROPRIATE ENTITY WITHIN THE DIVISION FOR SMALL
40 BUSINESS THAT SHALL, IN CONSULTATION WITH THE DIVISION OF VETERANS
41 AFFAIRS, PROVIDE TO VETERAN-OWNED BUSINESS ENTERPRISES INFORMATION AND
42 ASSISTANCE CONCERNING PARTICIPATION IN STATE CONTRACTING;

43 (B) ADVISE AND ASSIST AGENCIES IN THEIR IMPLEMENTATION OF SUBDIVISION
44 ONE OF THIS SECTION; AND

45 (C) MAKE AVAILABLE TO VETERAN-OWNED BUSINESS ENTERPRISES TRAINING IN
46 STATE CONTRACTING LAW, PROCEDURES, AND PRACTICES THAT WOULD ASSIST SUCH
47 BUSINESSES IN PARTICIPATING IN STATE CONTRACTING AND MAKE THIS TRAINING
48 AVAILABLE ONLINE THROUGH A CONTINUOUS LEARNING PROGRAM.

49 S 369-C. SEVERABILITY. IF ANY CLAUSE, SENTENCE, PARAGRAPH, SECTION OR
50 PART OF THIS ARTICLE SHALL BE ADJUDGED BY ANY COURT OF COMPETENT JURIS-
51 DICTION TO BE INVALID, THE JUDGMENT SHALL NOT AFFECT, IMPAIR OR INVALI-
52 DATE THE REMAINDER THEREOF, BUT SHALL BE CONFINED IN ITS OPERATION TO
53 THE CLAUSE, SENTENCE, PARAGRAPH, SECTION OR PART OF THIS ARTICLE DIRECT-
54 LY INVOLVED IN THE CONTROVERSY IN WHICH THE JUDGMENT SHALL HAVE BEEN
55 RENDERED.

1 S 2. Subparagraph (i) of paragraph (b) of subdivision 3 of section
2 2879 of the public authorities law, as amended by chapter 174 of the
3 laws of 2010, is amended to read as follows:

4 (i) for the selection of such contractors on a competitive basis, and
5 provisions relating to the circumstances under which the board may by
6 resolution waive competition, including, notwithstanding any other
7 provision of law requiring competition, the purchase of goods or
8 services from small business concerns [or], those certified as minority
9 or women-owned business enterprises OR THOSE CERTIFIED AS SERVICE-CON-
10 NECTED DISABLED VETERAN OR VETERAN-OWNED BUSINESS ENTERPRISES, or goods
11 or technology that are recycled or remanufactured, in an amount not to
12 exceed two hundred thousand dollars without a formal competitive proc-
13 ess;

14 S 3. Subdivision 3 of section 2879 of the public authorities law is
15 amended by adding eleven new subdivisions (q), (r), (s), (t), (u), (v),
16 (w), (x), (y), (z) and (a-1) to read as follows:

17 (Q) AN IDENTIFICATION OF THOSE AREAS OR TYPES OF CONTRACTS FOR WHICH
18 SERVICE-CONNECTED DISABLED VETERAN OR VETERAN-OWNED BUSINESS ENTERPRISES
19 MAY BEST BID SO AS TO PROMOTE AND ASSIST PARTICIPATION BY SUCH ENTER-
20 PRISES AND FACILITATE A FAIR SHARE OF THE AWARDING OF CONTRACTS TO SUCH
21 ENTERPRISES. FOR THE PURPOSES OF THIS SECTION, A SERVICE-CONNECTED DISA-
22 BLED VETERAN BUSINESS ENTERPRISE MEANS ANY BUSINESS ENTERPRISE, INCLUD-
23 ING A SOLE PROPRIETORSHIP, PARTNERSHIP OR CORPORATION THAT IS:

24 (I) AT LEAST FIFTY-ONE PERCENT OWNED BY ONE OR MORE SERVICE-CONNECTED
25 DISABLED VETERANS;

26 (II) AN ENTERPRISE IN WHICH SUCH SERVICE-CONNECTED DISABLED VETERAN
27 OWNERSHIP IS REAL, SUBSTANTIAL, AND CONTINUING;

28 (III) AN ENTERPRISE IN WHICH SUCH SERVICE-CONNECTED DISABLED VETERAN
29 OWNERSHIP HAS AND EXERCISES THE AUTHORITY TO CONTROL INDEPENDENTLY THE
30 DAY-TO-DAY BUSINESS DECISIONS OF THE ENTERPRISE;

31 (IV) AN ENTERPRISE AUTHORIZED TO DO BUSINESS IN THIS STATE AND IS
32 INDEPENDENTLY OWNED AND OPERATED;

33 (V) (A) AN ENTERPRISE OWNED BY AN INDIVIDUAL OR INDIVIDUALS, WHOSE
34 OWNERSHIP, CONTROL AND OPERATION ARE RELIED UPON FOR CERTIFICATION, WITH
35 A PERSONAL NET WORTH THAT DOES NOT EXCEED THREE MILLION FIVE HUNDRED
36 THOUSAND DOLLARS, AS ADJUSTED ANNUALLY ON THE FIRST OF JANUARY FOR
37 INFLATION ACCORDING TO THE CONSUMER PRICE INDEX OF THE PREVIOUS YEAR, OR

38 (B) AN ENTERPRISE OF WHICH TWENTY PERCENT OR MORE OF ITS EMPLOYEES ARE
39 SERVICE-CONNECTED DISABLED VETERANS;

40 (VI) AN ENTERPRISE THAT IS A SMALL BUSINESS; AND

41 (VII) IS CERTIFIED PURSUANT TO SUBDIVISION THREE OF SECTION THREE
42 HUNDRED SIXTY-NINE-A OF THE EXECUTIVE LAW.

43 (R) FOR THE PURPOSES OF THIS SECTION, A SERVICE-CONNECTED DISABLED
44 VETERAN MEANS A VETERAN WHO RECEIVED A COMPENSATION RATING FROM THE
45 UNITED STATES DEPARTMENT OF VETERANS' AFFAIRS OR FROM THE UNITED STATES
46 DEPARTMENT OF DEFENSE BECAUSE OF A SERVICE-CONNECTED DISABILITY INCURRED
47 IN THE LINE OF DUTY IN THE ACTIVE MILITARY, NAVAL OR AIR SERVICE.

48 (S) FOR PURPOSES OF THIS SECTION, SMALL BUSINESS SHALL HAVE THE SAME
49 MEANING AS DEFINED IN SECTION ONE HUNDRED THIRTY-ONE OF THE ECONOMIC
50 DEVELOPMENT LAW.

51 (T) FOR PURPOSES OF THIS SECTION, A PERIOD OF WAR MEANS THE SPANISH
52 AMERICAN WAR; THE MEXICAN BORDER PERIOD; WORLD WAR I; WORLD WAR II; THE
53 HOSTILITIES KNOWN AS THE KOREAN WAR, WHICH COMMENCED JUNE TWENTY-SEV-
54 ENTH, NINETEEN HUNDRED FIFTY AND TERMINATED ON JANUARY THIRTY-FIRST,
55 NINETEEN HUNDRED FIFTY-FIVE; THE HOSTILITIES KNOWN AS THE VIETNAM WAR,
56 WHICH COMMENCED ON FEBRUARY TWENTY-EIGHTH, NINETEEN HUNDRED SIXTY-ONE

1 AND TERMINATED ON MAY SEVENTH, NINETEEN HUNDRED SEVENTY-FIVE; AND THE
2 HOSTILITIES KNOWN AS THE PERSIAN GULF CONFLICT, WHICH COMMENCED AUGUST
3 SECOND, NINETEEN HUNDRED NINETY TO THE END OF SUCH CONFLICT INCLUDING
4 MILITARY SERVICE IN OPERATION ENDURING FREEDOM, OPERATION IRAQI FREEDOM
5 OR OPERATION NEW DAWN AND WAS THE RECIPIENT OF THE GLOBAL WAR ON TERROR-
6 ISM EXPEDITIONARY MEDAL OR THE IRAQ CAMPAIGN MEDAL OR THE AFGHANISTAN
7 CAMPAIGN MEDAL.

8 (U) FOR THE PURPOSES OF THIS SECTION, A VETERAN-OWNED BUSINESS ENTER-
9 PRISE MEANS A BUSINESS ENTERPRISE, INCLUDING A SOLE PROPRIETORSHIP,
10 PARTNERSHIP, OR CORPORATION THAT IS:

11 (I) AT LEAST FIFTY-ONE PERCENT OWNED BY ONE OR MORE VETERANS;

12 (II) AN ENTERPRISE IN WHICH SUCH VETERAN OWNERSHIP IS REAL, SUBSTAN-
13 TIAL, AND CONTINUING;

14 (III) AN ENTERPRISE IN WHICH SUCH VETERAN OWNERSHIP HAS AND EXERCISES
15 THE AUTHORITY TO CONTROL INDEPENDENTLY THE DAY-TO-DAY BUSINESS DECISIONS
16 OF THE ENTERPRISE;

17 (IV) AN ENTERPRISE AUTHORIZED TO DO BUSINESS IN THIS STATE AND INDE-
18 PENDENTLY OWNED AND OPERATED;

19 (V) AN ENTERPRISE OWNED BY AN INDIVIDUAL OR INDIVIDUALS, WHOSE OWNER-
20 SHIP, CONTROL AND OPERATION WERE RELIED UPON FOR CERTIFICATION, WITH A
21 PERSONAL NET WORTH THAT DOES NOT EXCEED THREE MILLION FIVE HUNDRED THOU-
22 SAND DOLLARS, AS ADJUSTED ANNUALLY ON THE FIRST OF JANUARY FOR INFLATION
23 ACCORDING TO THE CONSUMER PRICE INDEX OF THE PREVIOUS YEAR;

24 (VI) AN ENTERPRISE THAT IS A SMALL BUSINESS; AND

25 (VII) IS CERTIFIED PURSUANT TO SUBDIVISION THREE OF SECTION THREE
26 HUNDRED SIXTY-NINE-B OF THE EXECUTIVE LAW.

27 (V) FOR THE PURPOSES OF THIS SECTION A VETERAN IS A PERSON WHO SERVED
28 IN THE ACTIVE MILITARY, NAVAL, OR AIR SERVICE DURING A PERIOD OF WAR, OR
29 WAS A RECIPIENT OF THE ARMED FORCES EXPEDITIONARY MEDAL, NAVY EXPEDI-
30 TIONARY MEDAL, MARINE CORPS EXPEDITIONARY MEDAL, OR GLOBAL WAR ON
31 TERRORISM EXPEDITIONARY MEDAL, AND WAS DISCHARGED OR RELEASED THEREFROM
32 UNDER OTHER THAN DISHONORABLE DISCHARGE.

33 (W) REQUIREMENTS FOR THE DESIGNATION OF ONE OR MORE SENIOR STAFF OF
34 THE CORPORATION TO OVERSEE THE CORPORATION'S PROGRAMS ESTABLISHED TO
35 PROMOTE AND ASSIST: (I) PARTICIPATION BY CERTIFIED SERVICE-CONNECTED
36 DISABLED VETERAN OR VETERAN-OWNED BUSINESS ENTERPRISES IN THE CORPO-
37 RATION'S PROCUREMENT OPPORTUNITIES AND FACILITATION OF THE AWARD OF
38 PROCUREMENT CONTRACTS TO SUCH ENTERPRISES; (II) THE UTILIZATION OF
39 CERTIFIED SERVICE-CONNECTED DISABLED VETERAN AND VETERAN-OWNED BUSINESS
40 ENTERPRISES AS SUBCONTRACTORS AND SUPPLIERS BY ENTITIES HAVING PROCURE-
41 MENT CONTRACTS WITH THE CORPORATION; AND (III) THE UTILIZATION OF PART-
42 NERSHIPS, JOINT VENTURES OR OTHER SIMILAR ARRANGEMENTS BETWEEN CERTIFIED
43 SERVICE-CONNECTED DISABLED VETERAN AND VETERAN-OWNED BUSINESS ENTER-
44 PRISES AND OTHER ENTITIES HAVING PROCUREMENT CONTRACTS WITH THE CORPO-
45 RATION. SUCH STAFF SHALL BE FAMILIAR WITH THE PROCUREMENT OF THE TYPES
46 OF CONSTRUCTION, FINANCIAL, LEGAL OR PROFESSIONAL SERVICES UTILIZED BY
47 THE CORPORATION, REPORT DIRECTLY TO THE CORPORATION'S EXECUTIVE DIREC-
48 TOR, PRESIDENT OR CHIEF EXECUTIVE OFFICER AND EITHER DIRECTLY OR THROUGH
49 THEIR DESIGNEES PARTICIPATE IN THE PROCUREMENT PROCESS.

50 (X) REQUIREMENTS FOR PROVIDING NOTICE, IN ADDITION TO ANY OTHER NOTICE
51 OF PROCUREMENT OPPORTUNITIES REQUIRED BY LAW, TO PROFESSIONAL AND OTHER
52 ORGANIZATIONS THAT SERVE SERVICE-CONNECTED DISABLED VETERAN AND VETER-
53 AN-OWNED BUSINESS ENTERPRISES PROVIDING THE TYPES OF SERVICES PROCURED
54 BY THE CORPORATION.

55 (Y) PROCEDURES FOR MAINTAINING LISTS OF QUALIFIED CERTIFIED
56 SERVICE-CONNECTED DISABLED VETERAN AND VETERAN-OWNED BUSINESS ENTER-

1 PRISES, INCLUDING PROFESSIONAL FIRMS THAT HAVE EXPRESSED AN INTEREST IN
2 DOING BUSINESS WITH THE CORPORATION AND ENSURING THAT SUCH LISTS ARE
3 UPDATED REGULARLY. THE CORPORATION SHALL ALSO CONSULT THE LISTS OF
4 CERTIFIED SERVICE-CONNECTED DISABLED VETERAN AND VETERAN-OWNED BUSINESS
5 ENTERPRISES MAINTAINED BY THE DIVISION FOR SMALL BUSINESS IN THE DEPART-
6 MENT OF ECONOMIC DEVELOPMENT PURSUANT TO ARTICLE SEVENTEEN-A OF THE
7 EXECUTIVE LAW.

8 (Z) THE ESTABLISHMENT OF APPROPRIATE GOALS FOR PARTICIPATION BY
9 SERVICE-CONNECTED DISABLED VETERAN OR VETERAN-OWNED BUSINESS ENTERPRISES
10 IN PROCUREMENT CONTRACTS AWARDED BY THE CORPORATION AND FOR THE UTILIZA-
11 TION OF SERVICE-CONNECTED DISABLED VETERAN AND VETERAN-OWNED ENTERPRISES
12 AS SUBCONTRACTORS AND SUPPLIERS BY ENTITIES HAVING PROCUREMENT CONTRACTS
13 WITH THE CORPORATION.

14 (A-1) REQUIREMENTS TO CONDUCT PROCUREMENTS IN A MANNER THAT WILL
15 ENABLE THE CORPORATION TO ACHIEVE THE MAXIMUM FEASIBLE PORTION OF THE
16 GOALS ESTABLISHED PURSUANT TO PARAGRAPH (Z) OF THIS SUBDIVISION AND THAT
17 ELIMINATES BARRIERS TO PARTICIPATION BY SERVICE-CONNECTED DISABLED
18 VETERAN AND VETERAN-OWNED BUSINESS ENTERPRISES IN THE CORPORATION'S
19 PROCUREMENTS. SUCH PROCUREMENT REQUIREMENTS SHALL INCLUDE THE FOLLOWING:

20 (I) MEASURES AND PROCEDURES TO ENSURE THAT CERTIFIED BUSINESSES SHALL
21 BE GIVEN THE OPPORTUNITY FOR MAXIMUM FEASIBLE PARTICIPATION IN THE
22 PERFORMANCE OF STATE CONTRACTS AND TO ASSIST IN THE CORPORATION'S IDEN-
23 TIFICATION OF THOSE STATE CONTRACTS FOR WHICH CERTIFIED BUSINESSES MAY
24 BEST BID TO ACTIVELY AND AFFIRMATIVELY PROMOTE AND ASSIST THEIR PARTIC-
25 IPATION IN THE PERFORMANCE OF STATE CONTRACTS SO AS TO FACILITATE THE
26 CORPORATION'S ACHIEVEMENT OF THE MAXIMUM FEASIBLE PORTION OF THE GOALS
27 FOR STATE CONTRACTS TO SUCH BUSINESSES;

28 (II) PROVISIONS DESIGNATING THE DIVISION OF SMALL BUSINESS DEVELOPMENT
29 TO CERTIFY AND DECERTIFY SERVICE-CONNECTED DISABLED VETERAN AND VETER-
30 AN-OWNED BUSINESS ENTERPRISES FOR ALL CORPORATIONS THROUGH A SINGLE
31 PROCESS THAT MEETS APPLICABLE STATE AND FEDERAL REQUIREMENTS;

32 (III) A REQUIREMENT THAT EACH CONTRACT SOLICITATION DOCUMENT ACCOMPA-
33 NYING EACH SOLICITATION SET FORTH THE EXPECTED DEGREE OF SERVICE-CON-
34 NECTED DISABLED VETERAN AND VETERAN-OWNED BUSINESS ENTERPRISE PARTIC-
35 IPATION BASED, IN PART, ON:

36 (A) THE POTENTIAL SUBCONTRACT OPPORTUNITIES AVAILABLE IN THE PRIME
37 PROCUREMENT CONTRACT; AND

38 (B) THE AVAILABILITY OF CERTIFIED SERVICE-CONNECTED DISABLED VETERAN
39 AND VETERAN-OWNED BUSINESS ENTERPRISES TO RESPOND COMPETITIVELY TO THE
40 POTENTIAL SUBCONTRACT OPPORTUNITIES;

41 (IV) A REQUIREMENT THAT EACH CORPORATION PROVIDE A CURRENT LIST OF
42 CERTIFIED SERVICE-CONNECTED DISABLED VETERAN AND VETERAN-OWNED BUSINESS
43 ENTERPRISES TO EACH PROSPECTIVE CONTRACTOR;

44 (V) PROVISIONS RELATING TO JOINT VENTURES, UNDER WHICH A BIDDER MAY
45 COUNT TOWARD MEETING ITS SERVICE-CONNECTED DISABLED VETERAN AND VETER-
46 AN-OWNED BUSINESS ENTERPRISE PARTICIPATION GOAL, THE SERVICE-CONNECTED
47 DISABLED VETERAN AND VETERAN-OWNED BUSINESS ENTERPRISE PORTION OF THE
48 JOINT VENTURE;

49 (VI) PROVISIONS UNDER WHICH THE CORPORATION MAY WAIVE OBLIGATIONS OF
50 THE CONTRACTOR RELATING TO SERVICE-CONNECTED DISABLED VETERAN AND VETER-
51 AN-OWNED BUSINESS ENTERPRISE PARTICIPATION AFTER A SHOWING OF GOOD FAITH
52 EFFORTS TO COMPLY WITH THE REQUIREMENTS OF THIS SECTION;

53 (VII) A REQUIREMENT THAT THE CORPORATION VERIFY THAT SERVICE-CONNECTED
54 DISABLED VETERAN AND VETERAN-OWNED BUSINESS ENTERPRISES LISTED IN A
55 SUCCESSFUL BID ARE ACTUALLY PARTICIPATING TO THE EXTENT LISTED IN THE
56 PROJECT FOR WHICH THE BID WAS SUBMITTED;

1 (VIII) IN THE IMPLEMENTATION OF THIS SECTION, THE CONTRACTING CORPO-
2 RATION SHALL:
3 (A) CONSIDER, WHERE PRACTICABLE, THE SEVERABILITY OF CONSTRUCTION
4 PROJECTS AND OTHER BUNDLED CONTRACTS;
5 (B) IMPLEMENT A PROGRAM THAT WILL ENABLE THE CORPORATION TO EVALUATE
6 EACH CONTRACT TO DETERMINE THE APPROPRIATENESS OF THE GOAL PURSUANT TO
7 PARAGRAPH (Z) OF THIS SUBDIVISION; AND
8 (C) CONSIDER COMPLIANCE WITH THE REQUIREMENTS OF ANY FEDERAL LAW
9 CONCERNING OPPORTUNITIES FOR SERVICE-CONNECTED DISABLED VETERAN AND
10 VETERAN-OWNED BUSINESS ENTERPRISES WHICH EFFECTUATES THE PURPOSE OF THIS
11 SECTION.
12 S 4. This act shall take effect on the one hundred eightieth day after
13 it shall have become a law.