

8092

2013-2014 Regular Sessions

I N   A S S E M B L Y

June 18, 2013

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Introduced by M. of A. CAMARA -- read once and referred to the Committee  
on Governmental Operations

AN ACT to amend the executive law, in relation to establishing the  
office of the law enforcement inspector general; and making an appro-  
priation therefor

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEM-  
BLY, DO ENACT AS FOLLOWS:

1     Section 1. The executive law is amended by adding a new article 11-A  
2     to read as follows:

3     ARTICLE 11-A

4     OFFICE OF THE LAW ENFORCEMENT INSPECTOR GENERAL

5     SECTION 232. DEFINITIONS.

6                     233. ESTABLISHMENT AND ORGANIZATION.

7                     234. JURISDICTION.

8                     235. POWERS AND DUTIES.

9                     236. ANNUAL REPORTS.

10                    237. DISCLOSURE OF INFORMATION.

11     S 232. DEFINITIONS. FOR PURPOSES OF THIS ARTICLE, THE FOLLOWING TERMS  
12     SHALL HAVE THE FOLLOWING MEANINGS:

13     1. "INSPECTOR" SHALL MEAN THE LAW ENFORCEMENT INSPECTOR GENERAL  
14     CREATED BY THIS ARTICLE.

15     2. "INTELLIGENCE OPERATIONS" SHALL MEAN THE VARIETY OF INTELLIGENCE  
16     AND COUNTERINTELLIGENCE TASKS THAT ARE CARRIED OUT BY LAW ENFORCEMENT  
17     AND INTELLIGENCE AGENCIES, WHICH SHALL INCLUDE BUT NOT BE LIMITED TO  
18     ANALYSIS AND PRODUCTION; COLLECTION; DISSEMINATION AND INTEGRATION;  
19     EVALUATION AND FEEDBACK; PLANNING AND DIRECTION; AND PROCESSING AND  
20     EXPLOITATION OF INFORMATION COLLECTED FROM TARGETED INDIVIDUALS OR  
21     GROUPS.

22     3. "INTELLIGENCE AGENCIES" SHALL MEAN ANY GOVERNMENT AGENCIES RESPON-  
23     SIBLE FOR THE COLLECTION, ANALYSIS OR EXPLOITATION OF INFORMATION AND

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets  
[ ] is old law to be omitted.

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1 INTELLIGENCE IN SUPPORT OF LAW ENFORCEMENT, NATIONAL SECURITY, DEFENSE  
2 AND HOMELAND SECURITY OBJECTIVES.

3 4. "LAW ENFORCEMENT AGENCIES" SHALL MEAN ANY ORGANIZATIONAL UNITS, OR  
4 SUBUNITS, OF THE FEDERAL, STATE, COUNTY OR MUNICIPAL GOVERNMENT WITH THE  
5 PRINCIPLE FUNCTIONS OF PREVENTION, DETECTION, AND INVESTIGATION OF CRIME  
6 AND THE APPREHENSION OF ALLEGED OFFENDERS.

7 S 233. ESTABLISHMENT AND ORGANIZATION. 1. THERE IS HEREBY ESTABLISHED  
8 THE OFFICE OF THE LAW ENFORCEMENT INSPECTOR GENERAL IN THE EXECUTIVE  
9 DEPARTMENT. THE HEAD OF THE OFFICE SHALL BE THE INSPECTOR WHO SHALL BE  
10 APPOINTED BY THE GOVERNOR, BY AND WITH THE ADVISE AND CONSENT OF THE  
11 SENATE.

12 2. THE INSPECTOR SHALL HOLD OFFICE FOR A TERM OF FIVE YEARS.

13 3. THE INSPECTOR SHALL REPORT DIRECTLY TO THE GOVERNOR.

14 4. SUCH PERSON APPOINTED AS INSPECTOR SHALL, UPON HIS OR HER APPOINT-  
15 MENT, HAVE NOT LESS THAN TEN YEARS PROFESSIONAL EXPERIENCE IN AREAS OF  
16 LAW ENFORCEMENT AND LAW ENFORCEMENT TRAINING, PROVIDED THE EXPERIENCE  
17 INVOLVES EXPERTISE IN INTELLIGENCE OPERATIONS.

18 5. SUCH PERSON APPOINTED AS INSPECTOR SHALL BE SUBJECT TO A SECURITY  
19 CLEARANCE INVESTIGATION BY A FEDERAL GOVERNMENT AGENCY AUTHORIZED TO  
20 PERFORM SUCH INVESTIGATIONS.

21 6. THE SALARY OF THE INSPECTOR SHALL NOT EXCEED THE SALARY OF CERTAIN  
22 STATE OFFICERS AS DEFINED IN SECTION ONE HUNDRED SIXTY-NINE OF THIS  
23 CHAPTER.

24 S 234. JURISDICTION. THIS ARTICLE SHALL, SUBJECT TO THE LIMITATIONS  
25 CONTAINED HEREIN, CONFER UPON THE OFFICE OF THE LAW ENFORCEMENT INSPEC-  
26 TOR GENERAL JURISDICTION OVER ALL COVERED AGENCIES. FOR THE PURPOSES OF  
27 THIS ARTICLE "COVERED AGENCY" SHALL INCLUDE ALL STATE AND LOCAL GOVERN-  
28 MENT LAW ENFORCEMENT AND INTELLIGENCE AGENCIES, INCLUDING THE DIVISION  
29 OF HOMELAND SECURITY AND EMERGENCY SERVICES, ENGAGED IN INTELLIGENCE  
30 OPERATIONS.

31 S 235. POWERS AND DUTIES. 1. GENERAL. (A) THE INSPECTOR SHALL MAKE  
32 ANY INVESTIGATION OF THE COVERED AGENCIES DIRECTED BY THE GOVERNOR, OR  
33 THE SENATE AND THE ASSEMBLY ACTING BY JOINT RESOLUTION.

34 (B) THE INSPECTOR IS AUTHORIZED AND EMPOWERED TO MAKE ANY STUDY OR  
35 INVESTIGATION OF THE COVERED AGENCIES THAT IN HIS OR HER OPINION MAY BE  
36 IN THE BEST INTERESTS OF THE STATE, INCLUDING BUT NOT LIMITED TO INVES-  
37 TIGATIONS OF THE AFFAIRS, FUNCTIONS, ACCOUNTS, METHODS, PERSONNEL OR  
38 EFFICIENCY OF ANY COVERED AGENCY, OR WHETHER SUCH COVERED AGENCY OR  
39 AGENCIES ARE ACTING IN COMPLIANCE WITH ALL APPLICABLE FEDERAL, STATE OR  
40 LOCAL LAWS, REGULATIONS OR RULES, OR LOCAL ORDINANCES.

41 (C) FOR ANY INVESTIGATION MADE PURSUANT TO THIS SECTION, THE INSPECTOR  
42 SHALL PREPARE A WRITTEN REPORT OR STATEMENT OF FINDINGS AND SHALL  
43 FORWARD A COPY OF SUCH REPORT OR STATEMENT TO THE REQUESTING PARTY, IF  
44 ANY. IN THE EVENT THAT THE MATTER INVESTIGATED INVOLVES OR MAY INVOLVE  
45 ALLEGATIONS OF CRIMINAL CONDUCT, OR CONDUCT THAT VIOLATES ANY OR ALL  
46 APPLICABLE FEDERAL, STATE OR LOCAL LAWS, REGULATIONS, RULES OR LOCAL  
47 ORDINANCES, THE INSPECTOR, UPON COMPLETION OF THE INVESTIGATION, SHALL  
48 ALSO FORWARD A COPY OF HIS OR HER WRITTEN REPORT OR STATEMENT OF FIND-  
49 INGS TO THE GOVERNOR, AND TO THE ATTORNEY GENERAL OF NEW YORK STATE OR  
50 APPROPRIATE PROSECUTING ATTORNEY, OR, IN THE EVENT THE MATTER INVESTI-  
51 GATED INVOLVES OR MAY INVOLVE A CONFLICT OF INTEREST OR UNETHICAL  
52 CONDUCT, AS SUCH ARE DEFINED IN THE PUBLIC OFFICERS LAW AND ALL APPLICA-  
53 BLE LOCAL MUNICIPAL CODES OF ETHICS, TO THE BOARD OF ETHICS OF THE  
54 APPROPRIATE POLITICAL ENTITY OR SUBDIVISION.

55 (D) THE JURISDICTION OF THE INSPECTOR SHALL EXTEND TO ANY COVERED  
56 AGENCY, OFFICER, OR EMPLOYEE OF SUCH AGENCIES, OR ANY PERSON OR ENTITY

1 DOING BUSINESS WITH SUCH AGENCIES, OR ANY PERSON OR ENTITY WHO IS PAID  
2 OR RECEIVES MONEY FROM OR THROUGH THE COVERED AGENCIES.

3 (E) THE INSPECTOR MAY APPOINT THREE DEPUTIES, EITHER OF WHOM MAY,  
4 SUBJECT TO THE DIRECTION OF THE INSPECTOR, CONDUCT OR PRESIDE AT ANY  
5 INVESTIGATIONS AUTHORIZED BY THIS CHAPTER. THE INSPECTOR MAY ALSO  
6 APPOINT SUCH DIRECTORS, ASSISTANTS AND OTHER OFFICERS AND EMPLOYEES AS  
7 MAY BE NEEDED FOR THE PERFORMANCE OF HIS OR HER DUTIES AND MAY PRESCRIBE  
8 THEIR DUTIES AND FIX THEIR COMPENSATION WITH THE AMOUNTS APPROPRIATED  
9 THEREFOR.

10 2. INVESTIGATIONS. (A)(I) FOR THE PURPOSE OF ASCERTAINING FACTS IN  
11 CONNECTION WITH ANY STUDY OR INVESTIGATION AUTHORIZED BY THIS CHAPTER,  
12 THE INSPECTOR AND EACH DEPUTY SHALL HAVE FULL POWER TO COMPEL THE  
13 ATTENDANCE OF WITNESSES, INCLUDING BUT NOT LIMITED TO THE POWER TO ISSUE  
14 SUBPOENAS AND SUBPOENAS DUCES TECUM, TO ADMINISTER OATHS AND TO EXAMINE  
15 SUCH PERSONS AS HE OR SHE MAY DEEM NECESSARY.

16 (II) THE INSPECTOR SHALL HAVE THE POWER TO REQUIRE THE PRODUCTION OF  
17 ANY BOOKS AND PAPERS DEEMED RELEVANT OR MATERIAL TO ANY INVESTIGATION,  
18 EXAMINATION OR REVIEW.

19 (III) THE INSPECTOR SHALL HAVE THE POWER, NOTWITHSTANDING ANY LAW TO  
20 THE CONTRARY, TO EXAMINE AND COPY OR REMOVE DOCUMENTS OR RECORDS OF ANY  
21 KIND PREPARED, MAINTAINED OR HELD BY ANY COVERED AGENCY. THE REMOVAL OF  
22 SUCH RECORDS SHALL BE LIMITED TO THOSE CIRCUMSTANCES, AT THE DISCRETION  
23 OF THE INSPECTOR, IN WHICH A COPY THEREOF IS INSUFFICIENT FOR AN APPRO-  
24 PRIATE LEGAL OR INVESTIGATIVE PURPOSE, PROVIDED IN SUCH INSTANCES THE  
25 COPYING AND RETURN OF SUCH ORIGINAL, OR COPY WHERE THE ORIGINAL IS  
26 REQUIRED FOR AN APPROPRIATE LEGAL OR INVESTIGATIVE PURPOSE, IS EXPEDITED  
27 AND SUCH ORIGINAL OR COPY IS READILY ACCESSIBLE BY THE COVERED AGENCY.

28 (B) THE INSPECTOR OR ANY AGENT OR EMPLOYEE OF THE OFFICE DULY DESIG-  
29 NATED IN WRITING BY HIM OR HER FOR SUCH PURPOSES MAY ADMINISTER OATHS OR  
30 AFFIRMATIONS, EXAMINE WITNESSES IN PUBLIC OR PRIVATE HEARING, RECEIVE  
31 EVIDENCE AND PRESIDE AT OR CONDUCT ANY SUCH STUDY OR INVESTIGATION.

32 3. REPORTS. THE INSPECTOR SHALL FORWARD TO THE GOVERNOR, AND TO THE  
33 TEMPORARY PRESIDENT OF THE SENATE AND SPEAKER OF THE ASSEMBLY, THE  
34 MINORITY LEADERS OF THE SENATE AND ASSEMBLY, THE CHAIRS OF THE SENATE  
35 AND ASSEMBLY STANDING COMMITTEES ON INVESTIGATIONS, THE COMMANDANT OF  
36 THE STATE POLICE, THE COMMISSIONER OF THE NEW YORK POLICE DEPARTMENT AND  
37 THE ATTORNEY GENERAL OF NEW YORK STATE, A COPY OF ALL REPORTS PREPARED  
38 BY THE INSPECTOR AND HIS OR HER AGENTS AND EMPLOYEES CONCERNING THE  
39 AFFAIRS, FUNCTIONS, ACCOUNTS, METHODS, PERSONNEL OR EFFICIENCY OF ANY  
40 COVERED AGENCY, UPON ISSUANCE BY THE COMMISSIONER.

41 S 236. ANNUAL REPORTS. 1. THE INSPECTOR SHALL, NO LATER THAN MARCH  
42 THIRTY-FIRST OF EACH YEAR SUBMIT TO THE GOVERNOR AND THE LEGISLATURE A  
43 REPORT SUMMARIZING THE ACTIVITIES OF THE OFFICE DURING THE PRECEDING  
44 CALENDAR YEAR.

45 2. (A) THE INSPECTOR SHALL NOT PUBLICLY DISCLOSE INFORMATION WHICH:

46 (I) IS A PART OF ANY ONGOING CRIMINAL INVESTIGATION;

47 (II) COMPROMISES AN INTELLIGENCE OPERATION;

48 (III) IS SPECIFICALLY PROHIBITED FROM DISCLOSURE BY ANY OTHER  
49 PROVISION OF LAW.

50 (B) NOTWITHSTANDING PARAGRAPH (A) OF THIS SUBDIVISION, ANY REPORT  
51 UNDER THIS SECTION SHALL BE MADE AVAILABLE TO THE PUBLIC IN A FORM WHICH  
52 INCLUDES INFORMATION WITH RESPECT TO A PART OF AN ONGOING CRIMINAL  
53 INVESTIGATION ONLY IF SUCH INFORMATION HAS BEEN INCLUDED IN A PUBLIC  
54 RECORD.

1 S 237. DISCLOSURE OF INFORMATION. THE INSPECTOR SHALL NOT DISCLOSE  
2 INFORMATION WHICH IS PROHIBITED FROM DISCLOSURE BY ANY OTHER PROVISION  
3 OF LAW.

4 S 2. The sum of ten million dollars (\$10,000,000), or so much thereof  
5 as may be necessary, is hereby appropriated to the office of the law  
6 enforcement inspector general out of any moneys in the state treasury in  
7 the general fund to the credit of the state purposes account, not other-  
8 wise appropriated, and made immediately available, for the purpose of  
9 carrying out the provisions of this act. Such moneys shall be payable on  
10 the audit and warrant of the comptroller on vouchers certified or  
11 approved by the comptroller in the manner prescribed by law.

12 S 3. This act shall take effect on the one hundred eightieth day after  
13 it shall have become a law; provided, however, that effective immediate-  
14 ly, the addition, amendment and/or repeal of any rule or regulation  
15 necessary for the implementation of this act on its effective date are  
16 authorized and directed to be made and completed on or before such  
17 effective date.