

3280

2013-2014 Regular Sessions

I N A S S E M B L Y

January 24, 2013

Introduced by M. of A. PAULIN, RAMOS, LIFTON, ENGLEBRIGHT, KAVANAGH, ROSENTHAL, WRIGHT, SCHIMEL, ABINANTI, COLTON, DINOWITZ, JAFFEE, KELLNER, MAYER, SCARBOROUGH, WEINSTEIN -- Multi-Sponsored by -- M. of A. ARROYO, BOYLAND, CLARK, GOTTFRIED, HOOPER, MAGNARELLI -- read once and referred to the Committee on Economic Development

AN ACT to amend the general business law and the penal law, in relation to preventing the sale of firearms, rifles, and shotguns to criminals

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Legislative findings and declaration. The legislature here-  
2 by finds and declares as follows:

3 1. Firearms, rifles and shotguns are used to kill over 30,000 individ-  
4 uals in the United States every year, including 1,000 individuals in New  
5 York state alone. Additionally, there are 100,000 non-fatal injuries  
6 across the country. The federal government has largely ignored this  
7 public health crisis and has left it up to state and local governments  
8 to protect its citizens. Firearm violence also costs billions of dollars  
9 and causes incalculable emotional damage, devastating families and  
10 communities throughout the country. Therefore, the state of New York  
11 has a strong interest in reducing violence and crimes that involve the  
12 use of firearms and the illegal trafficking of firearms. Illegal guns  
13 obtained throughout the state end up in the hands of criminals, youth  
14 and violent individuals who use them to threaten, maim and kill.

15 2. There is a thriving underground market for illegal firearms, large-  
16 ly driven by demand from drug gangs and other criminals. A highly effi-  
17 cient and continuous business practice exists in which firearms are  
18 moved from legal manufacture and sale to prohibited purchasers, making  
19 them illegal firearms. In 2011, according to a review by the federal  
20 Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) of trace data  
21 compiled for several regions in the state, including Albany, Buffalo,  
22 Rochester, Syracuse, Long Island and New York City that calendar year,

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets  
[ ] is old law to be omitted.

LBD00353-02-3

1 8,793 firearms were submitted to be traced, 2,119 of which were long  
2 guns. Outside New York City, long guns are sold without a permit.  
3 Fifty-five percent of the firearms recovered from crimes by New York law  
4 enforcement in 2011 were found outside New York City. A significant  
5 portion of guns involved in crimes upstate originate within the state.  
6 For example, according to 2009 ATF crime gun trace data, 69% of crime  
7 guns in the Buffalo area and 50% of crime guns in the Syracuse area  
8 originated within New York state.

9 3. Youth are particularly at risk of being killed with guns. Accord-  
10 ing to the Children's Defense Fund, in 2009 alone, 97 children and teens  
11 were killed with guns (80 homicide and 17 suicide) in New York state. In  
12 2010, 207 children and teens (under the age of 25) in New York state  
13 were murdered with guns, and 57 children and teens (under the age of 25)  
14 in New York state committed suicide with a firearm.

15 4. A substantial portion of illegal firearms are diverted to the ille-  
16 gal market through licensed gun dealers. Rogue gun dealers play a key  
17 role in this market. These rogue dealers funnel guns to the illegal  
18 market through a variety of channels. One of the most common means is to  
19 allow "straw purchases". A straw purchase occurs when a person purchases  
20 a gun on behalf of a prohibited person. The ATF conducted an investi-  
21 gation of gun trafficking from July 1996 to December 1998 and found that  
22 almost 26,000 trafficked firearms were associated with investigations in  
23 which there was a straw purchaser. Almost 50% of all trafficking inves-  
24 tigation involved straw purchasers, with an average of 37 firearms  
25 trafficked per investigation. Another issue, according to a 2008 report  
26 by Mayors Against Illegal Guns, Inside Straw Purchasing: How Criminals  
27 Get Guns Illegally, is that many traffickers return to the same store  
28 again and again once they have identified it as one in which they can  
29 make straw purchases easily. According to the ATF, 1 percent of gun  
30 dealers are the source of almost 60 percent of crime guns.

31 5. Although most gun dealers operate their businesses legally and  
32 responsibly, some gun dealers who are corrupt or maintain shoddy record-  
33 keeping practices flood the streets with illegal weapons as a result of  
34 their unrestricted access to new gun inventory and the unwillingness of  
35 gun manufacturers to terminate their supply to these rogue dealers.  
36 Current federal and state regulation has not curbed the business prac-  
37 tice of illegal gun dealers. According to a 2004 study by Americans for  
38 Gun Safety, of the 120 worst gun dealers in the country, namely those  
39 dealers with an average of 500 crime guns traced to them, 96 were still  
40 in operation.

41 6. Moreover, this problem is not limited to unlicensed sellers, and  
42 clearly includes federal firearms licensees (FFLs). Indeed, although  
43 FFLs were involved in under 10% of the trafficking investigations under-  
44 taken by ATF, they were associated with the largest number of diverted  
45 firearms--over 40,000 guns, which is nearly half of the total number of  
46 trafficked firearms documented during the two-year period of the ATF's  
47 investigation. Additionally, a 2010 report by Mayors Against Illegal  
48 Guns indicated that several states which allow state authorities to  
49 supplement the federal ATF inspection with routine inspections provide  
50 law enforcement with more opportunities to uncover dealers in violation  
51 of the law. These inspections also help identify dealers who exercise  
52 lax oversight over their inventory and may lead to improved compliance  
53 with federal, state, and local laws.

54 7. Current New York state laws governing firearm dealers are inade-  
55 quate to prevent the diversion of firearms to the illegal marketplace.  
56 Additional protections that are needed include, but are not limited to,

1 better gun dealer internal compliance procedures, programs to eliminate  
2 straw purchases, increased liability insurance, improved security meas-  
3 ures, reducing youth access, mandatory training for gun dealer employ-  
4 ees, and improved recordkeeping requirements. The additional protections  
5 set forth in this act will greatly enhance the state's efforts to reduce  
6 criminal activity in the state.

7 S 2. Article 40 and sections 900 and 901 of the general business law,  
8 as renumbered by chapter 407 of the laws of 1973, are renumbered article  
9 50 and sections 1001 and 1002 and a new article 40 is added to read as  
10 follows:

11 ARTICLE 40

12 PREVENTING THE SALE OF FIREARMS,  
13 RIFLES, AND SHOTGUNS TO CRIMINALS

14 SECTION 900. DEFINITIONS.

15 901. REASONABLE MEASURES TO PREVENT SALES AND  
16 TRANSFERS TO CRIMINALS.

17 902. SECURITY.

18 903. ACCESS TO FIREARMS, RIFLES, AND SHOTGUNS.

19 904. LOCATION OF FIREARM, RIFLE, AND SHOTGUN SALES.

20 905. EMPLOYEE TRAINING.

21 906. RETAIL SALES OF FIREARMS, RIFLES, AND SHOTGUNS.

22 907. MAINTENANCE OF RECORDS.

23 908. COOPERATION WITH LAW ENFORCEMENT.

24 909. INTERNAL COMPLIANCE AND CERTIFICATION.

25 910. RULES AND REGULATIONS.

26 911. VIOLATIONS.

27 S 900. DEFINITIONS. FOR THE PURPOSES OF THIS ARTICLE:

28 1. "DEALER" MEANS ANY PERSON, FIRM, PARTNERSHIP, CORPORATION, OR  
29 COMPANY WHO ENGAGES IN THE BUSINESS OF PURCHASING, SELLING, KEEPING FOR  
30 SALE, LENDING, LEASING, OR IN ANY MANNER DISPOSING OF, ANY FIREARM,  
31 RIFLE, OR SHOTGUN.

32 2. "DISPOSE OF" MEANS TO DISPOSE OF, GIVE, GIVE AWAY, LEASE, LEND,  
33 KEEP FOR SALE, OFFER, OFFER FOR SALE, SELL, TRANSFER, OR OTHERWISE  
34 DISPOSE OF.

35 3. "FIREARM" HAS THE SAME MEANING AS THAT TERM IS DEFINED IN SUBDIVI-  
36 SION THREE OF SECTION 265.00 OF THE PENAL LAW.

37 4. "FIREARM EXHIBITOR" MEANS ANY PERSON, FIRM, PARTNERSHIP, CORPO-  
38 RATION, OR COMPANY THAT EXHIBITS, SELLS, OFFERS FOR SALE, TRANSFERS, OR  
39 EXCHANGES FIREARMS, RIFLES, OR SHOTGUNS AT A GUN SHOW.

40 5. "GUN SHOW" MEANS AN EVENT SPONSORED, WHETHER FOR PROFIT OR NOT, BY  
41 AN INDIVIDUAL, NATIONAL, STATE, OR LOCAL ORGANIZATION, ASSOCIATION, OR  
42 OTHER ENTITY DEVOTED TO THE COLLECTION, COMPETITIVE USE, SPORTING USE,  
43 OR ANY OTHER LEGAL USE OF FIREARMS, RIFLES, OR SHOTGUNS, OR AN EVENT AT  
44 WHICH: (A) TWENTY PERCENT OR MORE OF THE TOTAL NUMBER OF EXHIBITORS ARE  
45 FIREARM EXHIBITORS; (B) TEN OR MORE FIREARM EXHIBITORS ARE PARTICIPAT-  
46 ING; (C) A TOTAL OF TWENTY-FIVE OR MORE PISTOLS OR REVOLVERS ARE OFFERED  
47 FOR SALE OR TRANSFER; OR (D) A TOTAL OF FIFTY OR MORE FIREARMS, RIFLES,  
48 OR SHOTGUNS ARE OFFERED FOR SALE OR TRANSFER. THE TERM "GUN SHOW" SHALL  
49 INCLUDE ANY BUILDING, STRUCTURE, OR FACILITY WHERE FIREARMS, RIFLES, OR  
50 SHOTGUNS ARE OFFERED FOR SALE OR TRANSFER AND ANY GROUNDS USED IN  
51 CONNECTION WITH THE EVENT.

52 6. "RETAIL DEALER" MEANS ANY DEALER ENGAGED IN THE RETAIL BUSINESS OF  
53 SELLING FIREARMS, RIFLES, OR SHOTGUNS.

54 7. "RIFLE" HAS THE SAME MEANING AS THAT TERM IS DEFINED IN SUBDIVISION  
55 ELEVEN OF SECTION 265.00 OF THE PENAL LAW.

1 8. "SHOTGUN" HAS THE SAME MEANING AS THAT TERM IS DEFINED IN SUBDIVI-  
2 SION TWELVE OF SECTION 265.00 OF THE PENAL LAW.

3 9. "STRAW PURCHASE" MEANS THE PURCHASE, OR ATTEMPT TO PURCHASE, BY A  
4 PERSON OF A FIREARM, RIFLE, OR SHOTGUN FOR, ON BEHALF OF, OR FOR THE USE  
5 OF ANOTHER PERSON, KNOWING THAT IT WOULD BE UNLAWFUL FOR SUCH OTHER  
6 PERSON TO POSSESS SUCH FIREARM, RIFLE, OR SHOTGUN, OR AN ATTEMPT TO MAKE  
7 SUCH A PURCHASE.

8 10. "STRAW PURCHASER" MEANS A PERSON WHO, KNOWING THAT IT WOULD BE  
9 UNLAWFUL FOR ANOTHER PERSON TO POSSESS A FIREARM, RIFLE, OR SHOTGUN,  
10 PURCHASES OR ATTEMPTS TO PURCHASE A FIREARM, RIFLE, OR SHOTGUN FOR, ON  
11 BEHALF OF, OR FOR THE USE OF SUCH OTHER PERSON.

12 11. "SUPERINTENDENT" MEANS THE SUPERINTENDENT OF STATE POLICE.

13 S 901. REASONABLE MEASURES TO PREVENT SALES AND TRANSFERS TO CRIMI-  
14 NALS. EVERY DEALER SHALL ADOPT REASONABLE MEASURES TO PREVENT FIREARMS,  
15 RIFLES, AND SHOTGUNS FROM BEING DIVERTED FROM THE LEGAL STREAM OF  
16 COMMERCE, INTENTIONALLY OR OTHERWISE, FOR LATER SALE, TRANSFER, OR  
17 DISPOSAL TO INDIVIDUALS NOT LEGALLY ENTITLED TO PURCHASE OR POSSESS SUCH  
18 WEAPONS. SUCH MEASURES SHALL INCLUDE, BUT NEED NOT BE LIMITED TO,  
19 PROGRAMS TO ELIMINATE SALES TO STRAW PURCHASERS AND TO OTHERWISE THWART  
20 ILLEGAL GUN TRAFFICKING. THE SUPERINTENDENT SHALL DEVELOP PROGRAMS  
21 DESIGNED TO ELIMINATE SALES TO STRAW PURCHASERS AND TO OTHERWISE THWART  
22 ILLEGAL GUN TRAFFICKING. WITHIN SIX MONTHS OF THE EFFECTIVE DATE OF THIS  
23 ARTICLE, THE SUPERINTENDENT SHALL SUBMIT A REPORT TO THE LEGISLATURE  
24 DETAILING SUCH PROGRAMS, INCLUDING ESTABLISHING MINIMUM REQUIREMENTS FOR  
25 SUCH PROGRAMS.

26 S 902. SECURITY. EVERY DEALER SHALL IMPLEMENT A SECURITY PLAN FOR  
27 SECURING FIREARMS, RIFLES AND SHOTGUNS, INCLUDING FIREARMS, RIFLES AND  
28 SHOTGUNS IN SHIPMENT. THE PLAN MUST SATISFY AT LEAST THE FOLLOWING  
29 REQUIREMENTS:

30 1. DISPLAY CASES SHALL BE LOCKED AT ALL TIMES EXCEPT WHEN REMOVING A  
31 SINGLE FIREARM, RIFLE OR SHOTGUN TO SHOW A CUSTOMER, AND CUSTOMERS SHALL  
32 HANDLE FIREARMS, RIFLES OR SHOTGUNS ONLY UNDER THE DIRECT SUPERVISION OF  
33 AN EMPLOYEE;

34 2. ALL FIREARMS, RIFLES AND SHOTGUNS SHALL BE SECURED, OTHER THAN  
35 DURING BUSINESS HOURS, IN A LOCKED FIREPROOF SAFE OR VAULT IN THE  
36 LICENSEE'S BUSINESS PREMISES OR IN A SIMILAR SECURED AND LOCKED AREA;  
37 AND

38 3. AMMUNITION SHALL BE STORED SEPARATELY FROM THE FIREARMS, RIFLES AND  
39 SHOTGUNS AND OUT OF REACH OF THE CUSTOMERS.

40 4. (A) THE PERMITTED BUSINESS LOCATION SHALL BE SECURED BY AN ALARM  
41 SYSTEM THAT IS INSTALLED AND MAINTAINED BY AN ALARM COMPANY OPERATOR  
42 PROPERLY LICENSED PURSUANT TO STATE LAW. THE ALARM SYSTEM MUST BE MONI-  
43 TORED BY A CENTRAL STATION LISTED BY UNDERWRITERS LABORATORIES, INC.,  
44 AND COVERED BY AN ACTIVE UNDERWRITERS LABORATORIES, INC. ALARM SYSTEM  
45 CERTIFICATE WITH A #3 EXTENT OF PROTECTION.

46 (B) UNDERWRITERS LABORATORIES, INC. USES THE TERM "EXTENT OF  
47 PROTECTION" TO REFER TO THE AMOUNT OF ALARM PROTECTION INSTALLED TO  
48 PROTECT A PARTICULAR AREA, ROOM OR CONTAINER. SYSTEMS WITH A #3 EXTENT  
49 OF PROTECTION INCLUDE COMPLETE PROTECTION FOR ALL ACCESSIBLE OPENINGS,  
50 AND PARTIAL MOTION AND SOUND DETECTION AT CERTAIN OTHER AREAS OF THE  
51 PREMISES. MORE INFORMATION MAY BE FOUND IN: CENTRAL STATION ALARM ASSO-  
52 CIATION, A PRACTICAL GUIDE TO CENTRAL STATION BURGLAR ALARM SYSTEMS (3RD  
53 ED. 2005).

54 S 903. ACCESS TO FIREARMS, RIFLES, AND SHOTGUNS. EVERY RETAIL DEALER  
55 SHALL EXCLUDE ALL PERSONS UNDER EIGHTEEN YEARS OF AGE FROM THOSE  
56 PORTIONS OF ITS PREMISES WHERE FIREARMS, RIFLES, SHOTGUNS, OR AMMUNITION

1 ARE STOCKED OR SOLD, UNLESS SUCH PERSON IS ACCOMPANIED BY A PARENT OR  
2 GUARDIAN.

3 S 904. LOCATION OF FIREARM, RIFLE, AND SHOTGUN SALES. EVERY DEALER  
4 SHALL SELL OR OTHERWISE DISPOSE OF FIREARMS, RIFLES, AND SHOTGUNS ONLY  
5 AT THE LOCATION LISTED ON THE DEALER'S FEDERAL FIREARMS LICENSE OR AT  
6 GUN SHOWS.

7 S 905. EMPLOYEE TRAINING. EVERY RETAIL DEALER SHALL PROVIDE TRAINING  
8 TO ALL EMPLOYEES AND OTHER PERSONNEL ENGAGED IN THE RETAIL SALE OF  
9 FIREARMS, RIFLES, AND SHOTGUNS RELATING TO:

10 1. THE LAW GOVERNING FIREARM, RIFLE, AND SHOTGUN TRANSFERS BY FEDERAL  
11 FIREARMS LICENSEES AND INDIVIDUALS;

12 2. HOW TO RECOGNIZE STRAW PURCHASES AND OTHER ATTEMPTS TO PURCHASE  
13 FIREARMS, RIFLES, OR SHOTGUNS ILLEGALLY;

14 3. HOW TO TEACH CONSUMERS RULES OF GUN SAFETY, INCLUDING BUT NOT  
15 LIMITED TO THE SAFE HANDLING AND STORAGE OF FIREARMS, RIFLES, AND SHOT-  
16 GUNS; AND

17 4. HOW TO COMPLY WITH PROVISIONS OF SECTION NINE HUNDRED SIX OF THIS  
18 ARTICLE.

19 NO EMPLOYEE OR AGENT OF ANY RETAIL DEALER SHALL PARTICIPATE IN THE  
20 SALE OR DISPOSITION OF FIREARMS, RIFLES, OR SHOTGUNS UNLESS SUCH PERSON  
21 IS AT LEAST TWENTY-ONE YEARS OF AGE AND HAS FIRST RECEIVED THE TRAINING  
22 REQUIRED BY THIS SECTION. THE SUPERINTENDENT SHALL PROMULGATE REGU-  
23 LATIONS SETTING FORTH MINIMUM REQUIREMENTS FOR THE MAINTENANCE OF  
24 RECORDS OF SUCH TRAINING.

25 S 906. RETAIL SALES OF FIREARMS, RIFLES, AND SHOTGUNS. 1. NO RETAIL  
26 DEALER SHALL SELL, DELIVER, LEASE, OR TRANSFER ANY FIREARM, RIFLE, OR  
27 SHOTGUN TO ANY RETAIL CUSTOMER UNLESS SUCH RETAIL DEALER HAS: (A)  
28 CONDUCTED THE REQUIRED NATIONAL INSTANT CRIMINAL BACKGROUND CHECK AND  
29 COMPLIED WITH THE PROVISIONS OF 18 U.S.C. 922(T); (B) SHOWN THE TRANS-  
30 FEREE HOW TO LOAD AND UNLOAD THE FIREARM, RIFLE, OR SHOTGUN, HOW TO  
31 ENGAGE AND DISENGAGE ALL SAFETY DEVICES, AND HOW TO SAFELY STORE THE  
32 WEAPON; (C) PROVIDED THE TRANSFEREE WITH A GUN LOCKING DEVICE AS  
33 REQUIRED BY SECTION THREE HUNDRED NINETY-SIX-EE OF THIS CHAPTER; (D)  
34 PROVIDED THE TRANSFEREE WITH A COPY OF THE SAFE STORAGE WARNING NOTICE  
35 SET FORTH IN SUBDIVISION TWO OF THIS SECTION AND A COPY OF THE FEDERAL  
36 BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES DISPOSITION OF  
37 FIREARMS NOTICE; (E) OBTAINED THE TRANSFEREE'S SIGNATURE ON A FORM  
38 PROMULGATED BY THE SUPERINTENDENT CERTIFYING THAT THE TRANSFEREE HAS  
39 RECEIVED THE INSTRUCTION AND NOTICE REQUIRED BY THIS SECTION; AND (F)  
40 PROVIDED THE TRANSFEREE WITH A WRITTEN RECORD OF THE MAKE, MODEL, CALI-  
41 BER OR GAUGE, AND SERIAL NUMBER OF EACH FIREARM, RIFLE, OR SHOTGUN  
42 TRANSFERRED TO ENABLE THE TRANSFEREE TO ACCURATELY DESCRIBE THE WEAPON  
43 TO LAW ENFORCEMENT IN THE EVENT THAT IT IS SUBSEQUENTLY LOST OR STOLEN.

44 2. NO RETAIL DEALER SHALL SELL, DELIVER, LEASE, OR TRANSFER ANY  
45 FIREARM, RIFLE, OR SHOTGUN TO ANY PERSON UNLESS AT THE TIME OF SALE,  
46 DELIVERY, LEASE, OR TRANSFER SUCH FIREARM, RIFLE, OR SHOTGUN IS ACCOMPA-  
47 NIED BY THE FOLLOWING WARNING, WHICH SHALL APPEAR IN CONSPICUOUS AND  
48 LEGIBLE TYPE IN CAPITAL LETTERS, AND WHICH SHALL BE PRINTED ON A LABEL  
49 AFFIXED TO SUCH FIREARM, RIFLE, OR SHOTGUN AND PLACED IN THE CONTAINER  
50 IN WHICH SUCH FIREARM, RIFLE, OR SHOTGUN IS SOLD, DELIVERED, LEASED OR  
51 TRANSFERRED: "THE USE OF A LOCKING DEVICE OR SAFETY LOCK IS ONLY ONE  
52 ASPECT OF RESPONSIBLE FIREARM STORAGE. FIREARMS SHOULD BE STORED AND  
53 LOCKED IN A LOCATION THAT IS BOTH SEPARATE FROM THEIR AMMUNITION AND  
54 INACCESSIBLE TO CHILDREN AND OTHER UNAUTHORIZED PERSONS. MORE THAN  
55 200,000 FIREARMS LIKE THIS ONE ARE STOLEN FROM THEIR OWNERS EVERY YEAR  
56 IN THE UNITED STATES. IN ADDITION, THERE ARE MORE THAN A THOUSAND

1 SUICIDES EACH YEAR BY YOUNGER CHILDREN AND TEENAGERS WHO GET ACCESS TO  
2 FIREARMS. HUNDREDS MORE DIE FROM ACCIDENTAL DISCHARGE. IT IS LIKELY THAT  
3 MANY MORE CHILDREN SUSTAIN SERIOUS WOUNDS, OR INFLICT SUCH WOUNDS ACCI-  
4 DENTALLY ON OTHERS. IN ORDER TO LIMIT THE CHANCE OF SUCH MISUSE, IT IS  
5 IMPERATIVE THAT YOU KEEP THIS WEAPON LOCKED IN A SECURE PLACE AND TAKE  
6 OTHER STEPS NECESSARY TO LIMIT THE POSSIBILITY OF THEFT OR ACCIDENT.  
7 FAILURE TO TAKE REASONABLE PREVENTIVE STEPS MAY RESULT IN INNOCENT LIVES  
8 BEING LOST, AND IN SOME CIRCUMSTANCES MAY RESULT IN YOUR LIABILITY."

9 S 907. MAINTENANCE OF RECORDS. EVERY DEALER SHALL ESTABLISH AND MAIN-  
10 TAIN SUCH PURCHASE, SALE, INVENTORY, AND OTHER RECORDS AT THE DEALER'S  
11 PLACE OF BUSINESS IN SUCH FORM AND FOR SUCH PERIOD AS THE SUPERINTENDENT  
12 SHALL REQUIRE, AND SHALL SUBMIT SUCH RECORDS TO THE PISTOL PERMIT BUREAU  
13 OF NEW YORK STATE POLICE EVERY APRIL AND OCTOBER. SUCH RECORDS SHALL AT  
14 A MINIMUM INCLUDE THE FOLLOWING:

15 1. EVERY DEALER SHALL RECORD THE MAKE, MODEL, CALIBER OR GAUGE, AND  
16 SERIAL NUMBER OF ALL FIREARMS, RIFLES, AND SHOTGUNS THAT ARE ACQUIRED OR  
17 DISPOSED OF NOT LATER THAN ONE BUSINESS DAY AFTER THEIR ACQUISITION OR  
18 DISPOSITION. MONTHLY BACKUPS OF THESE RECORDS SHALL BE MAINTAINED IN A  
19 SECURE CONTAINER DESIGNED TO PREVENT LOSS BY FIRE, THEFT, OR OTHER  
20 MISHAP;

21 2. ALL FIREARMS, RIFLES, AND SHOTGUNS ACQUIRED BUT NOT YET DISPOSED OF  
22 MUST BE ACCOUNTED FOR THROUGH AN INVENTORY CHECK PREPARED ONCE EACH  
23 MONTH AND MAINTAINED IN A SECURE LOCATION;

24 3. FIREARM, RIFLE, AND SHOTGUN SALES INFORMATION, INCLUDING THE SERIAL  
25 NUMBERS OF FIREARMS, RIFLES, AND SHOTGUNS SOLD, DATES OF SALE, AND IDEN-  
26 TITY OF PURCHASERS, SHALL BE MAINTAINED AND MADE AVAILABLE TO GOVERNMENT  
27 LAW ENFORCEMENT AGENCIES AND TO THE MANUFACTURER OF THE WEAPON OR ITS  
28 DESIGNEE; AND

29 4. EVERY DEALER SHALL MAINTAIN RECORDS OF CRIMINAL FIREARM, RIFLE, AND  
30 SHOTGUN TRACES INITIATED BY THE FEDERAL BUREAU OF ALCOHOL, TOBACCO,  
31 FIREARMS AND EXPLOSIVES ("ATF"). ALL ATF FORM 4473 TRANSACTION RECORDS  
32 SHALL BE RETAINED ON THE DEALER'S BUSINESS PREMISES IN A SECURE CONTAIN-  
33 ER DESIGNED TO PREVENT LOSS BY FIRE, THEFT, OR OTHER MISHAP.

34 S 908. COOPERATION WITH LAW ENFORCEMENT. EVERY DEALER SHALL:

35 1. PROVIDE GOVERNMENT LAW ENFORCEMENT AGENCIES WITH FULL ACCESS TO ANY  
36 DOCUMENTS RELATED TO THE ACQUISITION AND DISPOSITION OF FIREARMS OR  
37 EMPLOYEE TRAINING DEEMED NECESSARY BY SUCH AGENCY;

38 2. PARTICIPATE IN AND COMPLY WITH ALL MONITORING OF FIREARM, RIFLE,  
39 AND SHOTGUN DISTRIBUTION BY MANUFACTURERS OR GOVERNMENT LAW ENFORCEMENT  
40 AGENCIES;

41 3. REPORT ALL ATF TRACE REQUESTS BY MAKE, MODEL, AND SERIAL NUMBER OF  
42 FIREARM, DATE OF TRACE, AND DATE OF SALE TO THE MANUFACTURER OF THE  
43 FIREARM, RIFLE, OR SHOTGUN ON A MONTHLY BASIS, UNLESS ATF DIRECTS THE  
44 LICENSEE NOT TO REPORT CERTAIN TRACES, AND ALSO REPORT SUCH TRACES TO  
45 THE SUPERINTENDENT FOR USE IN CONJUNCTION WITH THE CRIMINAL GUN CLEAR-  
46 INGHOUSE ESTABLISHED PURSUANT TO SECTION TWO HUNDRED THIRTY OF THE EXEC-  
47 UTIVE LAW;

48 4. ANALYZE ALL ATF TRACE REQUESTS TO DETERMINE WHETHER THERE IS A  
49 PATTERN OF FIREARMS, RIFLES, OR SHOTGUNS SOLD BY THE DEALER BEING USED  
50 FOR CRIMINAL PURPOSES, AND, IF SUCH PATTERN EXISTS, TAKE CORRECTIVE  
51 ACTION AND REPORT SUCH FINDINGS AND SUCH CORRECTIVE ACTION TO THE SUPER-  
52 INTENDENT; AND

53 5. REPORT ANY FIREARM, RIFLE, OR SHOTGUN SALES THAT APPEAR TO BE STRAW  
54 PURCHASES OR OTHERWISE CREATE A REASONABLE SUSPICION THAT THE FIREARM,  
55 RIFLE, OR SHOTGUN MAY BE DIVERTED FROM THE LEGAL STREAM OF COMMERCE.

56 S 909. INTERNAL COMPLIANCE AND CERTIFICATION. 1. EVERY DEALER SHALL:

1 (A) IMPLEMENT AND MAINTAIN SUFFICIENT INTERNAL COMPLIANCE PROCEDURES  
2 TO ENSURE COMPLIANCE WITH THE REQUIREMENTS OF THIS ARTICLE AND ALL  
3 APPLICABLE FEDERAL, STATE, AND LOCAL LAWS AND REGULATIONS GOVERNING THE  
4 SALE, TRANSFER, AND DISPOSAL OF FIREARMS, RIFLES, AND SHOTGUNS; AND

5 (B) ANNUALLY CERTIFY TO THE SUPERINTENDENT THAT SUCH DEALER HAS  
6 COMPLIED WITH ALL OF THE REQUIREMENTS OF THIS ARTICLE. THE SUPERINTEN-  
7 DENT SHALL BY REGULATION DETERMINE THE FORM AND CONTENT OF SUCH ANNUAL  
8 CERTIFICATION.

9 2. THE SUPERINTENDENT OF STATE POLICE SHALL PROMULGATE REGULATIONS  
10 ESTABLISHING PERIODIC INSPECTIONS, DURING REGULAR AND USUAL BUSINESS  
11 HOURS, BY THE DIVISION OF STATE POLICE OF THE PREMISES OF EVERY DEALER  
12 TO DETERMINE COMPLIANCE BY SUCH DEALER WITH THE REQUIREMENTS OF THIS  
13 ARTICLE. EVERY DEALER SHALL PROVIDE THE DIVISION OF STATE POLICE WITH  
14 FULL ACCESS TO SUCH DEALER'S PREMISES FOR SUCH INSPECTIONS.

15 S 910. RULES AND REGULATIONS. THE SUPERINTENDENT MAY PROMULGATE SUCH  
16 ADDITIONAL RULES AND REGULATIONS AS THE SUPERINTENDENT SHALL DEEM NECES-  
17 SARY TO PREVENT FIREARMS, RIFLES, AND SHOTGUNS FROM BEING DIVERTED FROM  
18 THE LEGAL STREAM OF COMMERCE.

19 S 911. VIOLATIONS. ANY PERSON, FIRM, OR CORPORATION WHO KNOWINGLY  
20 VIOLATES ANY PROVISION OF THIS ARTICLE SHALL BE GUILTY OF A CLASS A  
21 MISDEMEANOR PUNISHABLE AS PROVIDED FOR IN THE PENAL LAW, AND SHALL BE  
22 GUILTY OF A CLASS E FELONY FOR A SECOND VIOLATION OCCURRING WITHIN FIVE  
23 YEARS OF A PRIOR CONVICTION FOR A VIOLATION OF ANY PROVISION OF THIS  
24 ARTICLE.

25 S 3. Subdivision 1 of section 396-ee of the general business law, as  
26 added by chapter 189 of the laws of 2000, is amended to read as follows:

27 (1) No person, firm or corporation engaged in the retail business of  
28 selling rifles, shotguns or firearms, as such terms are defined in  
29 section 265.00 of the penal law, shall sell, deliver or transfer any  
30 such rifle, shotgun or firearm to another person unless the transferee  
31 is provided at the time of sale, delivery or transfer with a gun locking  
32 device and a label containing the quoted language specified in subdivi-  
33 sion two of [this] section [is either] NINE HUNDRED SIX OF THIS CHAPTER,  
34 WHICH SHALL APPEAR IN CONSPICUOUS AND LEGIBLE TYPE IN CAPITAL LETTERS,  
35 AND SHALL BE affixed to such rifle, shotgun or firearm [or] AND placed  
36 in the container in which such rifle, shotgun or firearm is sold, deliv-  
37 ered or transferred. For the purposes of this section, the term "gun  
38 locking device" shall mean an integrated design feature or an attachable  
39 accessory that is resistant to tampering and is effective in preventing  
40 the discharge of such rifle, shotgun or firearm by a person who does not  
41 have access to the key, combination or other mechanism used to disengage  
42 the device. The division of state police shall develop and promulgate  
43 rules and regulations setting forth the specific devices or the minimum  
44 standards and criteria therefor which constitute an effective gun lock-  
45 ing device.

46 S 4. Subdivision 1 of section 400.00 of the penal law, as amended by  
47 chapter 189 of the laws of 2000, is amended to read as follows:

48 1. Eligibility. No license shall be issued or renewed pursuant to this  
49 section except by the licensing officer, and then only after investi-  
50 gation and finding that all statements in a proper application for a  
51 license are true. No license shall be issued or renewed except for an  
52 applicant (a) twenty-one years of age or older, provided, however, that  
53 where such applicant has been honorably discharged from the United  
54 States army, navy, marine corps, air force or coast guard, or the  
55 national guard of the state of New York, no such age restriction shall  
56 apply; (b) of good moral character; (c) who has not been convicted

1 anywhere of a felony or a serious offense; (d) who has stated whether he  
2 or she has ever suffered any mental illness or been confined to any  
3 hospital or institution, public or private, for mental illness; (e) who  
4 has not had a license revoked or who is not under a suspension or inel-  
5 igibility order issued pursuant to the provisions of section 530.14 of  
6 the criminal procedure law or section eight hundred forty-two-a of the  
7 family court act; (f) in the county of Westchester, who has successfully  
8 completed a firearms safety course and test as evidenced by a certif-  
9 icate of completion issued in his or her name and endorsed and affirmed  
10 under the penalties of perjury by a duly authorized instructor, except  
11 that: (i) persons who are honorably discharged from the United States  
12 army, navy, marine corps or coast guard, or of the national guard of the  
13 state of New York, and produce evidence of official qualification in  
14 firearms during the term of service are not required to have completed  
15 those hours of a firearms safety course pertaining to the safe use,  
16 carrying, possession, maintenance and storage of a firearm; and (ii)  
17 persons who were licensed to possess a pistol or revolver prior to the  
18 effective date of this paragraph are not required to have completed a  
19 firearms safety course and test; and (g) concerning whom no good cause  
20 exists for the denial of the license. No person shall engage in the  
21 business of gunsmith or dealer in firearms unless licensed pursuant to  
22 this section, AND NO PERSON SHALL ENGAGE IN THE BUSINESS OF DEALER IN  
23 FIREARMS UNLESS SUCH PERSON COMPLIES WITH THE PROVISIONS OF ARTICLES  
24 THIRTY-NINE-DD AND FORTY OF THE GENERAL BUSINESS LAW. An applicant to  
25 engage in such business shall also be a citizen of the United States,  
26 more than twenty-one years of age and maintain a place of business in  
27 the city or county where the license is issued. For such business, if  
28 the applicant is a firm or partnership, each member thereof shall comply  
29 with all of the requirements set forth in this subdivision and if the  
30 applicant is a corporation, each officer thereof shall so comply.

31 S 5. Subdivisions 11 and 12 of section 400.00 of the penal law, subdi-  
32 vision 11 as amended by chapter 210 of the laws of 1999 and subdivision  
33 12 as amended by chapter 449 of the laws of 1993, are amended to read as  
34 follows:

35 11. License: revocation and suspension. The conviction of a licensee  
36 anywhere of a felony or serious offense shall operate as a revocation of  
37 the license. A license may be revoked or suspended as provided for in  
38 section 530.14 of the criminal procedure law or section eight hundred  
39 forty-two-a of the family court act. Except for a license issued pursu-  
40 ant to section 400.01 of this article, a license may be revoked and  
41 cancelled at any time in the city of New York, and in the counties of  
42 Nassau and Suffolk, by the licensing officer, and elsewhere than in the  
43 city of New York by any judge or justice of a court of record; a license  
44 issued pursuant to section 400.01 of this article may be revoked and  
45 cancelled at any time by the licensing officer or any judge or justice  
46 of a court of record. A LICENSE TO ENGAGE IN THE BUSINESS OF DEALER MAY  
47 BE REVOKED OR SUSPENDED FOR ANY VIOLATION OF THE PROVISIONS OF ARTICLE  
48 THIRTY-NINE-DD OR FORTY OF THE GENERAL BUSINESS LAW. The official revok-  
49 ing a license shall give written notice thereof without unnecessary  
50 delay to the executive department, division of state police, Albany, and  
51 shall also notify immediately the duly constituted police authorities of  
52 the locality.

53 12. Records required of gunsmiths and dealers in firearms. [Any] IN  
54 ADDITION TO THE REQUIREMENTS SET FORTH IN ARTICLES THIRTY-NINE-DD AND  
55 FORTY OF THE GENERAL BUSINESS LAW, ANY person licensed as gunsmith or  
56 dealer in firearms shall keep a record book approved as to form, except



1 in the city of New York, by the superintendent of state police. In the  
2 record book shall be entered at the time of every transaction involving  
3 a firearm the date, name, age, occupation and residence of any person  
4 from whom a firearm is received or to whom a firearm is delivered, and  
5 the calibre, make, model, manufacturer's name and serial number, or if  
6 none, any other distinguishing number or identification mark on such  
7 firearm. Before delivering a firearm to any person, the licensee shall  
8 require him to produce either a license valid under this section to  
9 carry or possess the same, or proof of lawful authority as an exempt  
10 person pursuant to section 265.20 OF THIS CHAPTER. In addition, before  
11 delivering a firearm to a peace officer, the licensee shall verify that  
12 person's status as a peace officer with the division of state police.  
13 After completing the foregoing, the licensee shall remove and retain the  
14 attached coupon and enter in the record book the date of such license,  
15 number, if any, and name of the licensing officer, in the case of the  
16 holder of a license to carry or possess, or the shield or other number,  
17 if any, assignment and department, unit or agency, in the case of an  
18 exempt person. The original transaction report shall be forwarded to the  
19 division of state police within ten days of delivering a firearm to any  
20 person, and a duplicate copy shall be kept by the licensee. The record  
21 book shall be maintained on the premises mentioned and described in the  
22 license and shall be open at all reasonable hours for inspection by any  
23 peace officer, acting pursuant to his special duties, or police officer.  
24 In the event of cancellation or revocation of the license for gunsmith  
25 or dealer in firearms, or discontinuance of business by a licensee, such  
26 record book shall be immediately surrendered to the licensing officer in  
27 the city of New York, and in the counties of Nassau and Suffolk, and  
28 elsewhere in the state to the executive department, division of state  
29 police.

30 S 6. Severability. If any clause, sentence, paragraph, section or part  
31 of this act shall be adjudged by any court of competent jurisdiction to  
32 be invalid, such judgment shall not affect, impair or invalidate the  
33 remainder thereof, but shall be confined in its operation to the clause,  
34 sentence, paragraph, section or part thereof directly involved in the  
35 controversy in which such judgment shall have been rendered.

36 S 7. This act shall take effect on the ninetieth day after it shall  
37 have become a law; provided that the superintendent of the division of  
38 state police is authorized and directed to immediately adopt, amend, and  
39 promulgate such rules and regulations as may be necessary and desirable  
40 to effectuate the purposes of section two of this act.