

S T A T E O F N E W Y O R K

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I N A S S E M B L Y

(PREFILED)

January 9, 2013

Introduced by M. of A. MILLMAN, DINOWITZ, CASTRO, CRESPO, JAFFEE, ORTIZ, STEVENSON, TITONE, ENGLEBRIGHT, GALEF, GOTTFRIED, HEASTIE, MARKEY, ROBINSON, ROSENTHAL, WEPRIN, RAMOS, HOOPER -- Multi-Sponsored by -- M. of A. ARROYO, AUBRY, LUPARDO, MAGEE, RIVERA, SCHIMEL, SWEENEY, WEISENBERG, WRIGHT, ZEBROWSKI -- read once and referred to the Committee on Aging

AN ACT to amend the public health law and the executive law, in relation to reporting of abuses of persons receiving care in residential health care facilities; and to repeal paragraph (e) of subdivision 6 of section 2803-d of the public health law relating to the confidentiality of information relating to such abuses

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Paragraph (c) of subdivision 6 of section 2803-d of the
2 public health law, as amended by chapter 414 of the laws of 1986, is
3 amended to read as follows:
4 (c) All information relating to any allegation which the commissioner
5 has determined would not be sustained shall be expunged [one hundred
6 twenty days] FIVE YEARS following notification of such determination to
7 the person who made the report pursuant to this section, unless a
8 proceeding pertaining to such allegation is pending pursuant to article
9 seventy-eight of the civil practice law and rules. Whenever information
10 is expunged, the commissioner shall notify any official notified pursu-
11 ant to paragraph (a) of this subdivision that the information has been
12 expunged.
13 S 2. Paragraph (e) of subdivision 6 of section 2803-d of the public
14 health law is REPEALED and a new paragraph (e) is added to read as
15 follows:
16 (E) (I) ALL INFORMATION RELATING TO ANY ALLEGATION THAT THE COMMIS-
17 SIONER HAS DETERMINED WOULD NOT BE SUSTAINED, SHALL BE SEALED ONE

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets [] is old law to be omitted.

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1 HUNDRED TWENTY DAYS FOLLOWING NOTIFICATION OF SUCH DETERMINATION TO THE
2 PERSON WHO MADE THE REPORT. SUCH REPORTS MAY BE UNSEALED AND MADE AVAIL-
3 ABLE ONLY TO (A) THE SUBJECT OF THE REPORT; OR (B) A DISTRICT ATTORNEY,
4 AN ASSISTANT DISTRICT ATTORNEY, THE ATTORNEY GENERAL, AN ASSISTANT
5 ATTORNEY GENERAL, AN INVESTIGATOR EMPLOYED IN THE OFFICE OF A DISTRICT
6 ATTORNEY OR THE ATTORNEY GENERAL, OR TO A POLICE OFFICER BY THE DIVISION
7 OF STATE POLICE, BY A CITY, COUNTY, TOWN OR VILLAGE POLICE DEPARTMENT OR
8 BY A COUNTY SHERIFF'S OFFICE WHEN SUCH OFFICIAL REPRESENTS THAT THE
9 REPORT IS NECESSARY TO CONDUCT AN ACTIVE INVESTIGATION OR PROSECUTION
10 RELATED TO ALLEGATIONS OF PHYSICAL ABUSE, MISTREATMENT OR NEGLECT, OR
11 THE FAILURE TO REPORT SUCH AN INCIDENT.

12 (II) PERSONS GIVEN ACCESS TO REPORTS PURSUANT TO SUBPARAGRAPH (I) OF
13 THIS PARAGRAPH SHALL NOT REDISCLOSE SUCH REPORTS EXCEPT AS NECESSARY TO
14 CONDUCT SUCH APPROPRIATE INVESTIGATION OR PROSECUTION AND SHALL REQUEST
15 OF THE COURT THAT ANY COPIES OF SUCH REPORTS PRODUCED IN ANY COURT
16 PROCEEDING BE REDACTED TO REMOVE THE NAMES OF THE SUBJECTS AND OTHER
17 PERSONS NAMED IN THE REPORTS OR THAT THE COURT ISSUE AN ORDER PROTECTING
18 THE NAMES OF THE SUBJECTS AND OTHER PERSONS NAMED IN THE REPORTS FROM
19 PUBLIC DISCLOSURE.

20 S 3. Paragraph (f) of subdivision 6 of section 2803-d of the public
21 health law, as amended by chapter 340 of the laws of 1980, is amended to
22 read as follows:

23 (f) [Information] ANY REPORT OF PHYSICAL ABUSE, MISTREATMENT OR
24 NEGLECT, RECORD OF THE INVESTIGATION OF SUCH REPORT AND ALL OTHER INFOR-
25 MATION RELATED TO SUCH REPORT SHALL BE CONFIDENTIAL AND SHALL BE EXEMPT
26 FROM DISCLOSURE UNDER ARTICLE SIX OF THE PUBLIC OFFICERS LAW, PROVIDED
27 HOWEVER THAT INFORMATION relating to a report made pursuant to this
28 section shall be disclosed under any of the following conditions:

29 (i) pursuant to article six of the public officers law after expunge-
30 ment or amendment, if any, is made in accordance with a hearing
31 conducted pursuant to this section, or at least forty-five days after a
32 written determination is made by the commissioner concerning such
33 report, whichever is later; provided, however, that the identity of the
34 person who made the report, the victim, or any other person named,
35 except a person who the commissioner has determined committed an act of
36 physical abuse, neglect or mistreatment, shall not be disclosed unless
37 such person authorizes such disclosure;

38 (ii) as may be required by the penal law or any lawful order or
39 warrant issued pursuant to the criminal procedure law; or

40 (iii) to a person who has requested a hearing pursuant to this
41 section, information relating to the determination upon which the hear-
42 ing is to be conducted; provided, however, that the identity of the
43 person who made the report or any other person who provided information
44 in an investigation of the report shall not be disclosed unless such
45 person authorizes such disclosure[.]; OR

46 (IV) TO A PROSECUTOR, INCLUDING THE ATTORNEY GENERAL, WHEN SUCH
47 REQUEST IS MADE IN CONNECTION WITH AND NECESSARY TO THE FURTHERANCE OF A
48 CRIMINAL INVESTIGATION RELATED TO THE ALLEGATIONS OF PHYSICAL ABUSE,
49 NEGLECT OR MISTREATMENT, OR FAILURE TO REPORT SUCH ACTS. A PROSECUTOR
50 WHO OBTAINS SUCH RECORDS SHALL MAINTAIN THEM AS CONFIDENTIAL AND SHALL
51 NOT DISCLOSE THEM EXCEPT IN CONNECTION WITH GRAND JURY OR JUDICIAL
52 PROCEEDINGS.

53 S 4. Subdivision 16 of section 296 of the executive law, as separately
54 amended by section 3 of part N and section 14 of part AAA of chapter 56
55 of the laws of 2009, is amended to read as follows:

1 16. It shall be an unlawful discriminatory practice, unless specif-
2 ically required or permitted by statute, for any person, agency, bureau,
3 corporation or association, including the state and any political subdi-
4 vision thereof, to make any inquiry about, whether in any form of appli-
5 cation or otherwise, or to act upon adversely to the individual
6 involved, (A) any arrest or criminal accusation of such individual not
7 then pending against that individual which was followed by a termination
8 of that criminal action or proceeding in favor of such individual, as
9 defined in subdivision two of section 160.50 of the criminal procedure
10 law, or by a youthful offender adjudication, as defined in subdivision
11 one of section 720.35 of the criminal procedure law, or by a conviction
12 for a violation sealed pursuant to section 160.55 of the criminal proce-
13 dure law or (B) by a conviction which is sealed pursuant to section
14 160.58 of the criminal procedure law OR (C) ANY REPORT MADE UNDER
15 SECTION TWENTY-EIGHT HUNDRED-THREE-D OF THE PUBLIC HEALTH LAW WHERE A
16 DETERMINATION HAS BEEN MADE THAT THE ALLEGATION WOULD NOT BE SUSTAINED,
17 in connection with the licensing, employment or providing of credit or
18 insurance to such individual; provided, further, that no person shall be
19 required to divulge information pertaining to any arrest or criminal
20 accusation of such individual not then pending against that individual
21 which was followed by a termination of that criminal action or proceed-
22 ing in favor of such individual, as defined in subdivision two of
23 section 160.50 of the criminal procedure law, or by a youthful offender
24 adjudication, as defined in subdivision one of section 720.35 of the
25 criminal procedure law, or by a conviction for a violation sealed pursu-
26 ant to section 160.55 of the criminal procedure law, or by a conviction
27 which is sealed pursuant to section 160.58 of the criminal procedure
28 law. The provisions of this subdivision shall not apply to the licensing
29 activities of governmental bodies in relation to the regulation of guns,
30 firearms and other deadly weapons or in relation to an application for
31 employment as a police officer or peace officer as those terms are
32 defined in subdivisions thirty-three and thirty-four of section 1.20 of
33 the criminal procedure law; provided further that the provisions of this
34 subdivision shall not apply to an application for employment or member-
35 ship in any law enforcement agency with respect to any arrest or crimi-
36 nal accusation which was followed by a youthful offender adjudication,
37 as defined in subdivision one of section 720.35 of the criminal proce-
38 dure law, or by a conviction for a violation sealed pursuant to section
39 160.55 of the criminal procedure law, or by a conviction which is sealed
40 pursuant to section 160.58 of the criminal procedure law.

41 S 5. This act shall take effect on the one hundred eightieth day after
42 it shall have become a law.