

9441

I N A S S E M B L Y

March 1, 2012

Introduced by M. of A. LINARES -- read once and referred to the Committee on Codes

AN ACT to amend the criminal procedure law, in relation to requiring an advisement by a court regarding the possible consequences to an alien of the acceptance of a plea of guilty to a crime under state law

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Legislative finding and declaration. The legislature
2 finds and declares that in many instances involving an individual who is
3 not a citizen of the United States charged with an offense punishable as
4 a crime under state law, a plea of guilty is entered without the defend-
5 ant knowing that a conviction of such offense is grounds for deporta-
6 tion, exclusion from admission to the United States, or denial of natur-
7 alization pursuant to the laws of the United States. Therefore, it is
8 the intent of the legislature by enacting this act to promote fairness
9 to such accused individuals by requiring in such cases that acceptance
10 of a guilty plea be preceded by an appropriate warning of the special
11 consequences for such a defendant which may result from the plea. It is
12 also the intent of the legislature that the court in such cases shall
13 grant the defendant a reasonable amount of time to negotiate with the
14 prosecutor in the event the defendant or the defendant's counsel was
15 unaware of the possibility of deportation, exclusion from admission to
16 the United States, or denial of naturalization as a result of
17 conviction. It is further the intent of the legislature that at the time
18 of the plea no defendant shall be required to disclose his or her legal
19 status to the court.

20 S 2. Subdivision 4 of section 170.10 of the criminal procedure law is
21 amended by adding a new paragraph (f) to read as follows:

22 (F) WHERE THE ACCUSATORY INSTRUMENT IS AN INFORMATION, A PROSECUTOR'S
23 INFORMATION OR A MISDEMEANOR COMPLAINT, PRIOR TO ACCEPTANCE OF A PLEA OF
24 GUILTY TO ANY CRIME UNDER STATE LAW, THE DEFENDANT, IN ADDITION TO ANY
25 OTHER WARNING REQUIRED BY LAW, SHALL BE ENTITLED TO SUBSTANTIALLY THE
26 FOLLOWING ADVISEMENT TO BE ORALLY ADMINISTERED BY THE COURT, ON THE
27 RECORD IN A COURT OF RECORD: "IF YOU ARE NOT A CITIZEN OF THE UNITED

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets
[] is old law to be omitted.

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STATES, YOU ARE HEREBY ADVISED THAT ACCEPTANCE BY THE COURT OF A PLEA OF GUILTY OR CONVICTION OF THE CRIME FOR WHICH YOU HAVE BEEN CHARGED MAY RESULT IN YOUR DEPORTATION, EXCLUSION FROM ADMISSION TO THE UNITED STATES OR DENIAL OF NATURALIZATION PURSUANT TO THE LAWS OF THE UNITED STATES." THE DEFENDANT SHALL NOT BE REQUIRED, AT THE TIME OF ENTRY OF A PLEA, TO DISCLOSE TO THE COURT HIS OR HER CITIZENSHIP OR IMMIGRATION STATUS. ABSENT THE PRESENCE OF THE ADVISEMENT REQUIRED BY THIS PARAGRAPH IN THE RECORD OF THE PROCEEDING IN A COURT OF RECORD, IT SHALL BE PRESUMED THAT THE ADVISEMENT WAS NOT ADMINISTERED.

S 3. Section 180.10 of the criminal procedure law is amended by adding a new subdivision 7 to read as follows:

7. UPON ARRAIGNMENT ON A FELONY COMPLAINT, PRIOR TO ACCEPTANCE OF A PLEA OF GUILTY TO ANY CRIME UNDER STATE LAW, THE DEFENDANT SHALL, IN ADDITION TO ANY OTHER WARNING REQUIRED BY LAW, BE ENTITLED TO SUBSTANTIALLY THE FOLLOWING ADVISEMENT TO BE ORALLY ADMINISTERED BY THE COURT ON THE RECORD: "IF YOU ARE NOT A CITIZEN OF THE UNITED STATES, YOU ARE HEREBY ADVISED THAT ACCEPTANCE BY THE COURT OF A PLEA OF GUILTY OR CONVICTION OF THE CRIME FOR WHICH YOU HAVE BEEN CHARGED MAY RESULT IN YOUR DEPORTATION, EXCLUSION FROM ADMISSION TO THE UNITED STATES OR DENIAL OF NATURALIZATION PURSUANT TO THE LAWS OF THE UNITED STATES." THE DEFENDANT SHALL NOT BE REQUIRED, AT THE TIME OF ENTRY OF A PLEA, TO DISCLOSE TO THE COURT HIS OR HER CITIZENSHIP OR IMMIGRATION STATUS. ABSENT THE PRESENCE OF THE ADVISEMENT REQUIRED BY THIS SUBDIVISION IN THE RECORD OF THE PROCEEDING, IT SHALL BE PRESUMED THAT THE ADVISEMENT WAS NOT ADMINISTERED.

S 4. Section 210.15 of the criminal procedure law is amended by adding a new subdivision 4 to read as follows:

4. UPON ARRAIGNMENT ON AN INDICTMENT, PRIOR TO ACCEPTANCE OF A PLEA OF GUILTY TO ANY CRIME UNDER STATE LAW, THE DEFENDANT SHALL, IN ADDITION TO ANY OTHER WARNING REQUIRED BY LAW, BE ENTITLED TO SUBSTANTIALLY THE FOLLOWING ADVISEMENT TO BE ORALLY ADMINISTERED BY THE COURT ON THE RECORD: "IF YOU ARE NOT A CITIZEN OF THE UNITED STATES, YOU ARE HEREBY ADVISED THAT ACCEPTANCE BY THE COURT OF A PLEA OF GUILTY OR CONVICTION OF THE CRIME FOR WHICH YOU HAVE BEEN CHARGED MAY RESULT IN YOUR DEPORTATION, EXCLUSION FROM ADMISSION TO THE UNITED STATES OR DENIAL OF NATURALIZATION PURSUANT TO THE LAWS OF THE UNITED STATES." THE DEFENDANT SHALL NOT BE REQUIRED, AT THE TIME OF ENTRY OF A PLEA, TO DISCLOSE TO THE COURT HIS OR HER CITIZENSHIP OR IMMIGRATION STATUS. ABSENT THE PRESENCE OF THE ADVISEMENT REQUIRED BY THIS SUBDIVISION IN THE RECORD OF THE PROCEEDING, IT SHALL BE PRESUMED THAT THE ADVISEMENT WAS NOT ADMINISTERED.

S 5. Subdivision 7 of section 220.50 of the criminal procedure law, as amended by chapter 738 of the laws of 2004, is amended to read as follows:

7. Prior to accepting a defendant's plea of guilty to a count or counts of an indictment or a superior court information charging a felony OR MISDEMEANOR offense, the court must advise the defendant on the record, that if the defendant is not a citizen of the United States, the defendant's plea of guilty and the court's acceptance thereof may result in the defendant's deportation, exclusion from admission to the United States or denial of naturalization pursuant to the laws of the United States. Where the plea of guilty is to a count or counts of an indictment charging a felony OR MISDEMEANOR offense other than a violent felony offense as defined in section 70.02 of the penal law or an A-I felony offense other than an A-I felony as defined in article two hundred twenty of the penal law, the court must also, prior to accepting such plea,

1 advise the defendant that, if the defendant is not a citizen of the
2 United States and is or becomes the subject of a final order of deporta-
3 tion issued by the United States Immigration and Naturalization Service,
4 the defendant may be paroled to the custody of the Immigration and
5 Naturalization Service for deportation purposes at any time subsequent
6 to the commencement of any indeterminate or determinate prison sentence
7 imposed as a result of the defendant's plea. [The failure to advise the
8 defendant pursuant to this subdivision shall not be deemed to affect the
9 voluntariness of a plea of guilty or the validity of a conviction, nor
10 shall it afford a defendant any rights in a subsequent proceeding relat-
11 ing to such defendant's deportation, exclusion or denial of naturaliza-
12 tion.]

13 S 6. Section 220.60 of the criminal procedure law is amended by adding
14 two new subdivisions 5 and 6 to read as follows:

15 5. IF THE COURT FAILS TO ADVISE THE DEFENDANT AND THE DEFENDANT SHOWS
16 THAT ACCEPTANCE OF THE PLEA OF GUILTY OR CONVICTION OF THE CRIME OR
17 CRIMES TO WHICH DEFENDANT PLEADED GUILTY MAY HAVE THE CONSEQUENCES FOR
18 THE DEFENDANT OF DEPORTATION, EXCLUSION FROM ADMISSION TO THE UNITED
19 STATES OR DENIAL OF NATURALIZATION PURSUANT TO THE LAWS OF THE UNITED
20 STATES, THE COURT, UPON REQUEST OF THE DEFENDANT, SHALL PERMIT THE
21 DEFENDANT TO WITHDRAW THE PLEA OF GUILTY AND ENTER A PLEA OF NOT GUILTY
22 AT ANY TIME BEFORE THE IMPOSITION OF SENTENCE, AND IN SUCH EVENT THE
23 ENTIRE ACCUSATORY INSTRUMENT, AS IT EXISTED AT THE TIME OF THE PLEA OF
24 GUILTY, SHALL BE RESTORED.

25 6. A COURT'S FAILURE TO PROVIDE THE ADVISEMENT REQUIRED BY THIS
26 SECTION SHALL NOT REQUIRE THE VACATION OF JUDGMENT OR WITHDRAWAL OF THE
27 PLEA OR CONSTITUTE GROUNDS FOR FINDING A PRIOR CONVICTION INVALID IF
28 SUCH FAILURE OCCURRED PRIOR TO THE EFFECTIVE DATE OF THIS SUBDIVISION.
29 NOTHING IN THIS CHAPTER, HOWEVER, SHALL BE DEEMED TO INHIBIT A COURT IN
30 THE SOUND EXERCISE OF ITS DISCRETION, FROM VACATING A JUDGMENT OR
31 PERMITTING A DEFENDANT TO WITHDRAW A PLEA ACCORDING TO LAW.

32 S 7. Paragraph (i) of subdivision 1 of section 440.10 of the criminal
33 procedure law, as added by chapter 332 of the laws of 2010, is amended
34 and a new paragraph (j) is added to read as follows:

35 (i) The judgment is a conviction where the arresting charge was under
36 section 240.37 (loitering for the purpose of engaging in a prostitution
37 offense, provided that the defendant was not alleged to be loitering for
38 the purpose of patronizing a prostitute or promoting prostitution) or
39 230.00 (prostitution) of the penal law, and the defendant's partic-
40 ipation in the offense was a result of having been a victim of sex traf-
41 ficking under section 230.34 of the penal law or trafficking in persons
42 under the Trafficking Victims Protection Act (United States Code, title
43 22, chapter 78); provided that

44 (i) a motion under this paragraph shall be made with due diligence,
45 after the defendant has ceased to be a victim of such trafficking or has
46 sought services for victims of such trafficking, subject to reasonable
47 concerns for the safety of the defendant, family members of the defend-
48 ant, or other victims of such trafficking that may be jeopardized by the
49 bringing of such motion, or for other reasons consistent with the
50 purpose of this paragraph; and

51 (ii) official documentation of the defendant's status as a victim of
52 sex trafficking or trafficking in persons at the time of the offense
53 from a federal, state or local government agency shall create a presump-
54 tion that the defendant's participation in the offense was a result of
55 having been a victim of sex trafficking or trafficking in persons, but
56 shall not be required for granting a motion under this paragraph[.];

1 (J) THE JUDGMENT WAS ENTERED UPON A PLEA OF GUILTY AND THE COURT PRIOR
2 THERETO FAILED TO ADVISE THE DEFENDANT AS REQUIRED BY PARAGRAPH (F) OF
3 SUBDIVISION FOUR OF SECTION 170.10 OR AS REQUIRED BY SUBDIVISION SEVEN
4 OF SECTION 180.10 OR AS REQUIRED BY SUBDIVISION FOUR OF SECTION 210.15
5 OF THIS CHAPTER, PROVIDED THAT THE DEFENDANT SHOWS THAT THE ENTRY OF AND
6 ACCEPTANCE OF THE PLEA OF GUILTY MAY HAVE THE CONSEQUENCES FOR THE
7 DEFENDANT OF DEPORTATION, EXCLUSION FROM ADMISSION TO THE UNITED STATES,
8 OR DENIAL OF NATURALIZATION PURSUANT TO THE LAWS OF THE UNITED STATES.

9 S 8. Section 440.10 of the criminal procedure law is amended by adding
10 a new subdivision 9 to read as follows:

11 9. UPON GRANTING THE MOTION UPON THE GROUND, AS SET FORTH IN PARAGRAPH
12 (J) OF SUBDIVISION ONE OF THIS SECTION, THAT THE ENTRY OF AND ACCEPTANCE
13 OF THE PLEA OF GUILTY MAY HAVE THE CONSEQUENCES FOR THE DEFENDANT OF
14 DEPORTATION, EXCLUSION FROM ADMISSION TO THE UNITED STATES OR DENIAL OF
15 NATURALIZATION PURSUANT TO THE LAWS OF THE UNITED STATES, THE COURT
16 SHALL ORDER A NEW TRIAL.

17 S 9. The office of court administration shall develop a bill of rights
18 and code of ethics for attorneys on how to advise aliens of the deporta-
19 tion consequences of a plea of guilty to a crime under state law. Such
20 office is authorized and directed to promulgate any rule, regulation or
21 form necessary for the implementation of this section within 180 days
22 after the date on which this act becomes a law.

23 S 10. This act shall take effect on the first of November next
24 succeeding the date on which it shall have become a law, provided,
25 however, that the amendments to subdivision 7 of section 220.50 of the
26 criminal procedure law made by section five of this act shall not affect
27 the repeal of such subdivision and shall be deemed repealed therewith.