

8474

2011-2012 Regular Sessions

I N A S S E M B L Y

June 17, 2011

Introduced by M. of A. ROSENTHAL, NOLAN -- read once and referred to the
Committee on Education

AN ACT to amend the education law, in relation to the release of
personally identifiable student information by school districts

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEM-
BLY, DO ENACT AS FOLLOWS:

1 Section 1. The education law is amended by adding a new section 3212-b
2 to read as follows:

3 S 3212-B. RELEASE OF PERSONALLY IDENTIFIABLE INFORMATION BY SCHOOL
4 DISTRICTS. 1. FOR THE PURPOSES OF THIS SECTION THE FOLLOWING TERMS
5 SHALL HAVE THE FOLLOWING MEANINGS:

6 (A) "STUDENT" SHALL MEAN AND INCLUDE ANY PERSON WITH RESPECT TO WHOM
7 AN EDUCATIONAL AGENCY OR INSTITUTION MAINTAINS EDUCATION RECORDS OR
8 PERSONALLY IDENTIFIABLE INFORMATION, BUT DOES NOT INCLUDE A PERSON WHO
9 HAS NOT BEEN IN ATTENDANCE AT SUCH AGENCY OR INSTITUTION.

10 (B) THE TERM "SCHOOL" MEANS ANY PUBLIC SCHOOL; IN ANY CITY, UNION
11 FREE, COMMON OR CENTRAL SCHOOL DISTRICT, ANY NON-PUBLIC SCHOOL OF
12 SECONDARY EDUCATION; AND ANY SCHOOL OF HIGHER EDUCATION.

13 (C) DISCLOSABLE DIRECTORY INFORMATION (DDI) HEREAFTER REFERRED TO IN
14 THIS SECTION AS "DIRECTORY INFORMATION", MEANS WITH RESPECT TO A
15 STUDENT, THE STUDENT'S NAME; PHOTOGRAPH; AGE; MAJOR FIELD OF STUDY;
16 GRADE LEVEL; ENROLLMENT STATUS (E.G., UNDERGRADUATE OR GRADUATE,
17 FULL-TIME OR PART-TIME); DATES OF ATTENDANCE; PARTICIPATION IN OFFICIAL-
18 LY RECOGNIZED ACTIVITIES AND SPORTS; WEIGHT AND HEIGHT OF MEMBERS OF
19 ATHLETIC TEAMS; DEGREES, HONORS AND AWARDS RECEIVED; AND THE MOST RECENT
20 EDUCATIONAL AGENCY OR INSTITUTION ATTENDED.

21 (D) "PERSONALLY IDENTIFIABLE STUDENT INFORMATION (PISI)" SHALL INCLUDE
22 DISCLOSABLE DIRECTORY INFORMATION, AND A STUDENT'S OR PARENT'S ADDRESS,
23 TELEPHONE NUMBER, AND E-MAIL ADDRESS.

24 2. (A) A SCHOOL MAY DISCLOSE DIRECTORY INFORMATION ABOUT A STUDENT
25 ONLY:

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

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1 (I) IF THE DISCLOSURE DOES NOT INCLUDE ANY INFORMATION OTHER THAN
2 DISCLOSABLE DIRECTORY INFORMATION AS DEFINED IN THIS SECTION;

3 (II) AFTER GIVING THE PARENT OF THE STUDENT IN ATTENDANCE OR THE
4 ELIGIBLE STUDENT IN ATTENDANCE AT THE SCHOOL NOTICE AND AN OPPORTUNITY
5 TO OPT-OUT OF THE DISCLOSURE IN ACCORDANCE WITH SUBDIVISION THREE OF
6 THIS SECTION; AND

7 (III) IF THE DISCLOSURE IS TO A SCHOOL NEWSPAPER, LOCAL NEWSPAPER,
8 SCHOOL CLUB OR ORGANIZATION, SCHOOL YEARBOOK, HONOR ROLL OR OTHER RECOG-
9 NITION LIST, GRADUATION PROGRAM, SPORTS RELATED PUBLICATION WHICH
10 PROVIDES SPECIFIC INFORMATION ABOUT PARTICULAR STUDENTS FOR THE PURPOSES
11 OF A SPECIFIC SPORTS ACTIVITY OR FUNCTION, OR PARENT AND TEACHER ORGAN-
12 IZATION.

13 (B) A SCHOOL MAY DISCLOSE PERSONALLY IDENTIFIABLE STUDENT INFORMATION
14 ONLY WITH THE AFFIRMATIVE CONSENT OF THE PARENT OF THE STUDENT IN
15 ATTENDANCE OR THE ELIGIBLE STUDENT IN ATTENDANCE IN ACCORDANCE WITH THE
16 PROCEDURE PROVIDED IN SUBDIVISION THREE OF THIS SECTION IF:

17 (I) THE DISCLOSURE IS TO THE PARENT OF ANY STUDENT IN ATTENDANCE OR
18 ANY ELIGIBLE STUDENT IN ATTENDANCE AT THE SCHOOL; OR

19 (II) THE DISCLOSURE IS TO A NON-PROFIT ORGANIZATION THAT: (A) SEEKS
20 THE INFORMATION FOR A SPECIFIC PURPOSE DETERMINED BY THE SCHOOL TO BE
21 BENEFICIAL TO THE STUDENT; (B) STATES IN WRITING THAT IT HAS NOT USED OR
22 DISCLOSED PERSONALLY IDENTIFIABLE STUDENT INFORMATION FROM ANY SCHOOL IN
23 A MANNER INCONSISTENT WITH THE TERMS OF DISCLOSURE WITHIN THE PAST FIVE
24 YEARS; AND (C) AGREES IN WRITING TO USE THE INFORMATION ONLY FOR THAT
25 PURPOSE AND TO RETURN OR DESTROY THE INFORMATION WHEN THE PURPOSE HAS
26 BEEN FULFILLED OR WITHIN ONE YEAR AFTER RECEIPT, WHICHEVER COMES FIRST;
27 AND

28 (III) THE SCHOOL HAS NO REASON TO BELIEVE THAT THE RECIPIENT HAS USED
29 OR DISCLOSED PERSONALLY IDENTIFIABLE STUDENT INFORMATION FROM ANY SCHOOL
30 IN A MANNER INCONSISTENT WITH THE TERMS OF THE DISCLOSURE WITHIN THE
31 PAST FIVE YEARS.

32 (C) UNLESS OTHERWISE ALLOWED BY LAW, A SCHOOL MAY NOT, EVEN WITH THE
33 AFFIRMATIVE CONSENT OF THE PARENT OF THE STUDENT IN ATTENDANCE OR THE
34 ELIGIBLE STUDENT IN ATTENDANCE, DISCLOSE PERSONALLY IDENTIFIABLE STUDENT
35 INFORMATION FOR A COMMERCIAL, FOR-PROFIT ACTIVITY INCLUDING BUT NOT
36 LIMITED TO USE FOR:

37 (I) MARKETING PRODUCTS OR SERVICES;

38 (II) SELLING PERSONALLY IDENTIFIABLE STUDENT INFORMATION FOR USE IN
39 MARKETING PRODUCTS OR SERVICES;

40 (III) CREATING OR CORRECTING AN INDIVIDUAL OR HOUSEHOLD PROFILE;

41 (IV) COMPILATION OF A STUDENT LIST;

42 (V) SALE OF THE INFORMATION FOR ANY COMMERCIAL PURPOSE; OR

43 (VI) ANY OTHER PURPOSE CONSIDERED BY THE SCHOOL AS LIKELY TO BE A
44 COMMERCIAL, FOR-PROFIT ACTIVITY.

45 (D) IN MAKING AN ALLOWABLE DISCLOSURE UNDER THIS SUBDIVISION, A SCHOOL
46 MAY ONLY DISCLOSE THE MINIMUM AMOUNT OF INFORMATION NECESSARY TO ACCOM-
47 PLISH THE PURPOSE OF THE DISCLOSURE.

48 3. WITHIN THE FIRST WEEK OF EACH SCHOOL YEAR, EACH SCHOOL DISTRICT
49 SHALL ISSUE A PUBLIC NOTICE, INCLUDE IN THE STUDENT HANDBOOK, AND SEND
50 HOME WITH EVERY STUDENT, INFORMATION STIPULATING THE DISCLOSURE PROCE-
51 DURES FOR DISCLOSABLE DIRECTORY INFORMATION AND PERSONALLY IDENTIFIABLE
52 STUDENT INFORMATION.

53 (A) THE DISCLOSURE INFORMATION SHALL CONSIST OF THE DEFINITION OF
54 DISCLOSABLE DIRECTORY INFORMATION AND PERSONALLY IDENTIFIABLE STUDENT
55 INFORMATION AS SET FORTH IN THIS SECTION; AND SHALL ALSO INCLUDE:

1 (I) THE PROCEDURE FOR PROHIBITING THE SCHOOL FROM DISSEMINATING DISC-
2 LOSABLE DIRECTORY INFORMATION UNDER PARAGRAPH (A) OF SUBDIVISION TWO OF
3 THIS SECTION AND A DESCRIPTION OF ANY DIRECTORY INFORMATION THAT THE
4 SCHOOL PROPOSES TO DISCLOSE DURING THE SCHOOL YEAR; AND

5 (II) THE PROCEDURE FOR AUTHORIZING THE SCHOOL TO DISCLOSE PERSONALLY
6 IDENTIFIABLE STUDENT INFORMATION UNDER PARAGRAPH (B) OF SUBDIVISION TWO
7 OF THIS SECTION AND A DESCRIPTION OF ANY PERSONALLY IDENTIFIABLE STUDENT
8 INFORMATION THAT THE SCHOOL PROPOSES TO DISCLOSE DURING THE SCHOOL YEAR.

9 (B) (I) IF THE SCHOOL DOES NOT RECEIVE NOTICE FROM THE PARENT OF A
10 STUDENT IN ATTENDANCE OR THE ELIGIBLE STUDENT IN ATTENDANCE AT THE
11 SCHOOL PROHIBITING THE DISCLOSURE OF DIRECTORY INFORMATION WITHIN THIRTY
12 DAYS OF THE DISSEMINATION OF THE INFORMATION REQUIRED TO BE PROVIDED IN
13 PARAGRAPH (A) OF THIS SUBDIVISION, THE SCHOOL MAY DISSEMINATE DISCLOSA-
14 BLE DIRECTORY INFORMATION RELATING TO THE STUDENT PURSUANT TO PARAGRAPH
15 (A) OF SUBDIVISION TWO OF THIS SECTION.

16 (II) IF THE SCHOOL DOES RECEIVE CONSENT FROM THE PARENT OF A STUDENT
17 IN ATTENDANCE OR THE ELIGIBLE STUDENT IN ATTENDANCE AT THE SCHOOL TO
18 DISCLOSE PERSONALLY IDENTIFIABLE STUDENT INFORMATION UNDER PARAGRAPH (B)
19 OF SUBDIVISION TWO OF THIS SECTION, THE SCHOOL MAY DISSEMINATE
20 PERSONALLY IDENTIFIABLE STUDENT INFORMATION AS SET FORTH IN THIS
21 SECTION.

22 4. NOTHING IN THIS SECTION SHALL LIMIT THE ADMINISTRATIVE USE OF
23 PUBLIC SCHOOL RECORDS BY A PERSON ACTING EXCLUSIVELY IN THE PERSON'S
24 CAPACITY AS AN EMPLOYEE OF A BOARD OF EDUCATION OR OF THE STATE OR ANY
25 OF ITS POLITICAL SUBDIVISIONS, ANY COURT, OR THE FEDERAL GOVERNMENT.

26 5. THE PROVISIONS OF THIS SECTION SHALL NOT APPLY TO THE RELEASE OF
27 PERSONALLY IDENTIFIABLE STUDENT INFORMATION TO THE DEPARTMENT, THE
28 UNITED STATES MILITARY, OR ANY INSTITUTION OF HIGHER EDUCATION, ANY
29 POLITICAL SUBDIVISION OR FEDERAL AGENCY THAT DEMONSTRATES AN APPROPRIATE
30 NEED FOR THE INFORMATION OR A SCHOOL DISTRICT OR SCHOOL THAT DEMON-
31 STRATES AN APPROPRIATE NEED FOR THE INFORMATION.

32 S 2. This act shall take effect July 1, 2011 and shall apply to school
33 years beginning with the 2011-2012 academic year.