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I N   A S S E M B L Y

May 29, 2012

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Introduced by M. of A. WEINSTEIN -- Multi-Sponsored by -- M. of A.  
DINOWITZ, LAVINE, O'DONNELL, SIMOTAS, ZEBROWSKI -- read once and  
referred to the Committee on Judiciary

AN ACT to amend the mental hygiene law and the surrogate's court procedure act, in relation to establishing the uniform guardianship and protective proceedings jurisdiction act

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1     Section 1. The mental hygiene law is amended by adding a new article  
2     83 to read as follows:

3                                     ARTICLE 83

4             UNIFORM GUARDIANSHIP AND PROTECTIVE PROCEEDINGS JURISDICTION ACT

5     SECTION 83.01 SHORT TITLE.

6             83.03 DEFINITIONS.

7             83.05 INTERNATIONAL APPLICATION OF THIS ARTICLE.

8             83.07 COMMUNICATION BETWEEN COURTS.

9             83.09 COOPERATION BETWEEN COURTS.

10            83.11 TAKING TESTIMONY IN ANOTHER STATE.

11            83.13 SIGNIFICANT CONNECTION FACTORS.

12            83.15 EXCLUSIVE BASIS.

13            83.17 JURISDICTION.

14            83.19 SPECIAL JURISDICTION.

15            83.21 EXCLUSIVE AND CONTINUING JURISDICTION.

16            83.23 APPROPRIATE FORUM.

17            83.25 JURISDICTION DECLINED BY REASON OF CONDUCT.

18            83.27 NOTICE OF PROCEEDING.

19            83.29 PROCEEDINGS IN MORE THAN ONE STATE.

20            83.31 TRANSFER OF GUARDIANSHIP OR CONSERVATORSHIP TO ANOTHER  
21                 STATE.

22            83.33 ACCEPTING GUARDIANSHIP OR CONSERVATORSHIP TRANSFERRED FROM  
23                 ANOTHER STATE.

24            83.35 REGISTRATION OF ORDERS APPOINTING A GUARDIAN OF THE  
25                 PERSON.

26            83.37 REGISTRATION OF PROTECTIVE ORDERS.

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets  
[ ] is old law to be omitted.

LBD15876-02-2

83.39 EFFECT OF REGISTRATION.

83.41 UNIFORMITY OF APPLICATION AND CONSTRUCTION.

83.43 RELATION TO ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL  
COMMERCE ACT.

83.45 TRANSITIONAL PROVISION.

S 83.01 SHORT TITLE.

THIS ARTICLE SHALL BE KNOWN AND MAY BE CITED AS THE "UNIFORM ADULT  
GUARDIANSHIP AND PROTECTIVE PROCEEDINGS JURISDICTION ACT".

S 83.03 DEFINITIONS.

FOR PURPOSES OF THIS ARTICLE, THE FOLLOWING DEFINITIONS SHALL APPLY:

(A) "ADULT" MEANS AN INDIVIDUAL WHO HAS ATTAINED EIGHTEEN YEARS OF  
AGE.

(B) "EMERGENCY" MEANS A CIRCUMSTANCE THAT LIKELY WILL RESULT IN  
SUBSTANTIAL HARM TO A RESPONDENT'S HEALTH, SAFETY OR WELFARE, AND FOR  
WHICH THE APPOINTMENT OF A GUARDIAN IS NECESSARY BECAUSE NO OTHER PERSON  
HAS AUTHORITY AND IS WILLING TO ACT ON THE RESPONDENT'S BEHALF.

(C) "GUARDIAN OF THE PROPERTY" MEANS A PERSON APPOINTED BY THE COURT  
TO ADMINISTER THE PROPERTY OF AN ADULT, INCLUDING A PERSON APPOINTED  
UNDER ARTICLE EIGHTY-ONE OF THIS TITLE AND ARTICLE SEVENTEEN-A OF THE  
SURROGATE'S COURT PROCEDURE ACT, AND INCLUDING A CONSERVATOR APPOINTED  
BY A COURT IN ANOTHER STATE.

(D) "GUARDIAN OF THE PERSON" MEANS A PERSON APPOINTED BY THE COURT TO  
MAKE DECISIONS REGARDING THE PERSON OF AN ADULT, INCLUDING A PERSON  
APPOINTED UNDER ARTICLE EIGHTY-ONE OF THIS TITLE AND ARTICLE SEVENTEEN-A  
OF THE SURROGATE'S COURT PROCEDURE ACT.

(E) "HOME STATE" MEANS THE STATE IN WHICH THE RESPONDENT WAS PHYS-  
ICALLY PRESENT, INCLUDING ANY PERIOD OF TEMPORARY ABSENCE, FOR AT LEAST  
SIX CONSECUTIVE MONTHS IMMEDIATELY BEFORE THE FILING OF A PETITION FOR A  
PROTECTIVE ORDER OR THE APPOINTMENT OF A GUARDIAN OF THE PERSON; OR IF  
NONE, THE STATE IN WHICH THE RESPONDENT WAS PHYSICALLY PRESENT, INCLUD-  
ING ANY PERIOD OF TEMPORARY ABSENCE, FOR AT LEAST SIX CONSECUTIVE MONTHS  
ENDING WITHIN THE SIX MONTHS PRIOR TO THE FILING OF THE PETITION.

(F) "PARTY" MEANS THE RESPONDENT, PETITIONER, GUARDIAN OF THE PERSON,  
CONSERVATOR GUARDIAN OF THE PROPERTY, OR ANY OTHER PERSON ALLOWED BY THE  
COURT TO PARTICIPATE IN A GUARDIANSHIP PROCEEDING FOR THE APPOINTMENT OF  
A GUARDIAN OF THE PERSON OR A PROTECTIVE PROCEEDING.

(G) "PERSON", EXCEPT IN THE TERM INCAPACITATED PERSON FOR WHOM A GUAR-  
DIAN OF THE PERSON HAS BEEN APPOINTED OR PROTECTED PERSON, MEANS AN  
INDIVIDUAL, CORPORATION, BUSINESS TRUST, ESTATE, TRUST, PARTNERSHIP,  
LIMITED LIABILITY COMPANY, ASSOCIATION, JOINT VENTURE, PUBLIC CORPO-  
RATION, GOVERNMENT OR GOVERNMENTAL SUBDIVISION, AGENCY OR INSTRUMENTALI-  
TY, OR ANY OTHER LEGAL OR COMMERCIAL ENTITY.

(H) "PROTECTED PERSON" MEANS AN ADULT FOR WHOM A PROTECTIVE ORDER HAS  
BEEN ISSUED.

(I) "PROTECTIVE ORDER" MEANS AN ORDER APPOINTING A CONSERVATOR GUARDI-  
AN OF THE PROPERTY OR OTHER ORDER RELATED TO MANAGEMENT OF AN ADULT'S  
PROPERTY.

(J) "PROTECTIVE PROCEEDING" MEANS A JUDICIAL PROCEEDING IN WHICH A  
PROTECTIVE ORDER IS SOUGHT OR HAS BEEN ISSUED.

(K) "RECORD" MEANS INFORMATION THAT IS INSCRIBED ON A TANGIBLE MEDIUM  
OR THAT IS STORED IN AN ELECTRONIC OR OTHER MEDIUM AND IS RETRIEVABLE IN  
PERCEIVABLE FORM.

(L) "RESPONDENT" MEANS AN ADULT FOR WHOM A PROTECTIVE ORDER OR THE  
APPOINTMENT OF A GUARDIAN OF THE PERSON IS SOUGHT.

(M) "SIGNIFICANT-CONNECTION STATE" MEANS A STATE, OTHER THAN THE HOME  
STATE, WITH WHICH A RESPONDENT HAS A SIGNIFICANT CONNECTION OTHER THAN

1 MERE PHYSICAL PRESENCE AND IN WHICH SUBSTANTIAL EVIDENCE CONCERNING THE  
2 RESPONDENT IS AVAILABLE.

3 (N) "STATE" MEANS A STATE OF THE UNITED STATES, THE DISTRICT OF COLUM-  
4 BIA, PUERTO RICO, THE UNITED STATES VIRGIN ISLANDS, A FEDERALLY RECOG-  
5 NIZED INDIAN TRIBE, OR ANY TERRITORY OR INSULAR POSSESSION SUBJECT TO  
6 THE JURISDICTION OF THE UNITED STATES.

7 S 83.05 INTERNATIONAL APPLICATION OF THIS ARTICLE.

8 A COURT OF THIS STATE MAY TREAT A FOREIGN COUNTRY AS IF IT WERE A  
9 STATE FOR THE PURPOSE OF APPLYING SECTIONS 83.01 THROUGH 83.37 OF THIS  
10 ARTICLE.

11 S 83.07 COMMUNICATION BETWEEN COURTS.

12 (A) A COURT OF THIS STATE MAY COMMUNICATE WITH A COURT IN ANOTHER  
13 STATE CONCERNING A PROCEEDING ARISING UNDER THIS ARTICLE. THE COURT MAY  
14 ALLOW THE PARTIES TO PARTICIPATE IN THE COMMUNICATION.

15 (B) IF THE PARTIES ARE NOT ALLOWED TO PARTICIPATE IN THE COMMUNI-  
16 CATION, THE COURT SHALL GIVE ALL PARTIES THE OPPORTUNITY TO PRESENT  
17 FACTS AND LEGAL ARGUMENTS BEFORE THE COURT ISSUES AN ORDER ESTABLISHING  
18 JURISDICTION.

19 (C) EXCEPT AS OTHERWISE PROVIDED IN SUBDIVISION (D) OF THIS SECTION,  
20 THE COURT SHALL MAKE A RECORD OF ANY COMMUNICATION UNDER THIS SECTION  
21 AND PROMPTLY INFORM THE PARTIES OF THE COMMUNICATION AND GRANT THEM  
22 ACCESS TO THE RECORD.

23 (D) COURTS MAY COMMUNICATE CONCERNING SCHEDULES, CALENDARS, COURT  
24 RECORDS AND OTHER ADMINISTRATIVE MATTERS WITHOUT MAKING A RECORD.

25 S 83.09 COOPERATION BETWEEN COURTS.

26 (A) IN A PROCEEDING FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON OR  
27 PROTECTIVE PROCEEDING IN THIS STATE, A COURT OF THIS STATE MAY REQUEST  
28 THE APPROPRIATE COURT OF ANOTHER STATE TO DO ANY OF THE FOLLOWING:

- 29 1. HOLD AN EVIDENTIARY HEARING;
- 30 2. ORDER A PERSON IN THAT STATE TO PRODUCE EVIDENCE OR GIVE TESTIMONY  
31 PURSUANT TO PROCEDURES OF THAT STATE;
- 32 3. ORDER THAT AN EVALUATION OR ASSESSMENT BE MADE OF THE RESPONDENT;
- 33 4. ORDER ANY APPROPRIATE INVESTIGATION OF A PERSON INVOLVED IN A  
34 PROCEEDING;
- 35 5. FORWARD TO THE COURT OF THIS STATE A CERTIFIED COPY OF THE TRAN-  
36 SCRIPT OR OTHER RECORD OF A HEARING UNDER PARAGRAPH ONE OF THIS SUBDIVI-  
37 SION OR ANY OTHER PROCEEDING, ANY EVIDENCE OTHERWISE PRODUCED UNDER  
38 PARAGRAPH TWO OF THIS SUBDIVISION, AND ANY EVALUATION OR ASSESSMENT  
39 PREPARED IN COMPLIANCE WITH AN ORDER UNDER PARAGRAPH THREE OR FOUR OF  
40 THIS SUBDIVISION;
- 41 6. ISSUE ANY ORDER NECESSARY TO ASSURE THE APPEARANCE IN THE PROCEED-  
42 ING OF A PERSON WHOSE PRESENCE IS NECESSARY FOR THE COURT TO MAKE A  
43 DETERMINATION, INCLUDING THE RESPONDENT OR THE PERSON SUBJECT TO A GUAR-  
44 DIANSHIP OF THE PERSON OR PROTECTED PERSON; AND
- 45 7. ISSUE AN ORDER AUTHORIZING THE RELEASE OF MEDICAL, FINANCIAL, CRIM-  
46 INAL, OR OTHER RELEVANT INFORMATION IN THAT STATE, INCLUDING PROTECTED  
47 HEALTH INFORMATION.

48 (B) THE COURT MAY RECEIVE ANY EVIDENCE PRODUCED PURSUANT TO SUBDIVI-  
49 SION (A) OF THIS SECTION IN THE SAME MANNER THAT IT WOULD ADMIT INTO  
50 EVIDENCE THE REPORT OF A COURT EVALUATOR AFTER THE COURT EVALUATOR HAD  
51 BEEN SUBJECT TO CROSS EXAMINATION;

52 (C) IF A COURT OF ANOTHER STATE IN WHICH A GUARDIANSHIP OR PROTECTIVE  
53 PROCEEDING IS PENDING REQUESTS ASSISTANCE OF THE KIND PROVIDED IN SUBDI-  
54 VISION (A) OF THIS SECTION, A COURT OF THIS STATE HAS JURISDICTION FOR  
55 THE LIMITED PURPOSE OF GRANTING THE REQUEST OR MAKING REASONABLE EFFORTS  
56 TO COMPLY WITH THE REQUEST.

1 S 83.11 TAKING TESTIMONY IN ANOTHER STATE.

2 (A) IN A PROCEEDING FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON OR  
3 PROTECTIVE PROCEEDING, IN ADDITION TO OTHER PROCEDURES THAT MAY BE  
4 AVAILABLE, TESTIMONY OF A WITNESS WHO IS LOCATED IN ANOTHER STATE MAY BE  
5 OFFERED BY DEPOSITION OR OTHER MEANS ALLOWABLE IN THIS STATE FOR TESTI-  
6 MONY TAKEN IN ANOTHER STATE. THE COURT ON ITS OWN MOTION MAY ORDER THAT  
7 THE TESTIMONY OF A WITNESS BE TAKEN IN ANOTHER STATE AND MAY PRESCRIBE  
8 THE MANNER IN WHICH AND THE TERMS UPON WHICH THE TESTIMONY IS TO BE  
9 TAKEN.

10 (B) IN A PROCEEDING FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON OR  
11 PROTECTIVE PROCEEDING, A COURT IN THIS STATE MAY PERMIT A WITNESS  
12 LOCATED IN ANOTHER STATE TO BE DEPOSED OR TO TESTIFY BY TELEPHONE OR  
13 AUDIOVISUAL OR OTHER ELECTRONIC MEANS. A COURT OF THIS STATE SHALL COOP-  
14 ERATE WITH THE COURT OF THE OTHER STATE IN DESIGNATING AN APPROPRIATE  
15 LOCATION FOR THE DEPOSITION OR TESTIMONY.

16 (C) DOCUMENTARY EVIDENCE TRANSMITTED FROM ANOTHER STATE TO A COURT OF  
17 THIS STATE BY TECHNOLOGICAL MEANS THAT DO NOT PRODUCE AN ORIGINAL WRIT-  
18 ING MAY NOT BE EXCLUDED FROM EVIDENCE ON AN OBJECTION BASED ON THE BEST  
19 EVIDENCE RULE.

20 S 83.13 SIGNIFICANT CONNECTION FACTORS.

21 IN DETERMINING UNDER SECTION 83.17 AND SUBDIVISION (E) OF SECTION  
22 83.31 OF THIS ARTICLE WHETHER A RESPONDENT HAS A SIGNIFICANT CONNECTION  
23 WITH A PARTICULAR STATE, THE COURT SHALL CONSIDER:

24 (A) THE LOCATION OF THE RESPONDENT'S FAMILY AND OTHER PERSONS REQUIRED  
25 TO BE NOTIFIED OF THE PROCEEDING;

26 (B) THE LENGTH OF TIME THE RESPONDENT AT ANY TIME WAS PHYSICALLY PRES-  
27 ENT IN THE STATE AND THE DURATION OF ANY ABSENCE;

28 (C) THE LOCATION OF THE RESPONDENT'S PROPERTY; AND

29 (D) THE EXTENT TO WHICH THE RESPONDENT HAS TIES TO THE STATE SUCH AS  
30 VOTING REGISTRATION, STATE OR LOCAL TAX RETURN FILING, VEHICLE REGISTRA-  
31 TION, DRIVER'S LICENSE, SOCIAL RELATIONSHIP, AND RECEIPT OF SERVICES.

32 S 83.15 EXCLUSIVE BASIS.

33 SUBJECT TO SECTION 81.18 OF THIS TITLE, THIS ARTICLE PROVIDES THE  
34 EXCLUSIVE JURISDICTIONAL BASIS FOR A COURT OF THIS STATE TO APPOINT A  
35 GUARDIAN OF THE PERSON OR ISSUE A PROTECTIVE ORDER FOR AN ADULT.

36 S 83.17 JURISDICTION.

37 A COURT OF THIS STATE HAS JURISDICTION TO APPOINT A GUARDIAN OF THE  
38 PERSON OR ISSUE A PROTECTIVE ORDER FOR A RESPONDENT IF:

39 (A) THE STATE IS THE RESPONDENT'S HOME STATE;

40 (B) ON THE DATE THE PETITION IS FILED, THIS STATE IS A  
41 SIGNIFICANT-CONNECTION STATE AND: 1. THE RESPONDENT DOES NOT HAVE A HOME  
42 STATE OR A COURT OF THE RESPONDENT'S HOME STATE HAS DECLINED TO EXERCISE  
43 JURISDICTION BECAUSE THIS STATE IS A MORE APPROPRIATE FORUM; OR 2. THE  
44 RESPONDENT HAS A HOME STATE, A PETITION FOR AN APPOINTMENT OR ORDER IS  
45 NOT PENDING IN A COURT OF THAT STATE OR ANOTHER SIGNIFICANT CONNECTION  
46 STATE, AND BEFORE THE COURT MAKES THE APPOINTMENT OR ISSUES THE ORDER:

47 (I) A PETITION FOR AN APPOINTMENT OR ORDER IS NOT FILED IN THE  
48 RESPONDENT'S HOME STATE;

49 (II) AN OBJECTION TO THE COURT'S JURISDICTION IS NOT FILED BY A PERSON  
50 REQUIRED TO BE NOTIFIED OF THE PROCEEDING; AND

51 (III) THE COURT IN THIS STATE CONCLUDES THAT IT IS AN APPROPRIATE  
52 FORUM UNDER THE FACTORS SET FORTH IN SECTION 83.21 OF THIS ARTICLE;

53 (C) THIS STATE DOES NOT HAVE JURISDICTION UNDER EITHER SUBDIVISION (A)  
54 OR (B) OF THIS SECTION, THE RESPONDENT'S HOME STATE AND ALL SIGNIFI-  
55 CANT-CONNECTION STATES HAVE DECLINED TO EXERCISE JURISDICTION BECAUSE  
56 THIS STATE IS THE MORE APPROPRIATE FORUM, AND JURISDICTION IN THIS STATE

1 IS CONSISTENT WITH THE CONSTITUTIONS OF THIS STATE AND THE UNITED  
2 STATES; OR

3 (D) THE REQUIREMENTS FOR SPECIAL JURISDICTION UNDER SECTION 83.19 OF  
4 THIS ARTICLE ARE MET.

5 S 83.19 SPECIAL JURISDICTION.

6 (A) A COURT OF THIS STATE LACKING JURISDICTION UNDER SECTION 83.17 OF  
7 THIS ARTICLE HAS SPECIAL JURISDICTION TO DO ANY OF THE FOLLOWING:

8 1. APPOINT A GUARDIAN OF THE PERSON IN AN EMERGENCY FOR A TERM NOT  
9 EXCEEDING NINETY DAYS FOR A RESPONDENT WHO IS PHYSICALLY PRESENT IN THIS  
10 STATE;

11 2. ISSUE A PROTECTIVE ORDER WITH RESPECT TO A REAL OR TANGIBLE  
12 PERSONAL PROPERTY LOCATED IN THIS STATE; AND

13 3. APPOINT A GUARDIAN OF THE PERSON OR A GUARDIAN OF THE PROPERTY FOR  
14 A PERSON SUBJECT TO A GUARDIANSHIP OF THE PERSON OR PROTECTED PERSON FOR  
15 WHOM A PROVISION ORDER TO TRANSFER THE PROCEEDING FROM ANOTHER STATE HAS  
16 BEEN ISSUED UNDER PROCEDURES SIMILAR TO SECTION 83.31 OF THIS ARTICLE.

17 (B) IF A PETITION FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON IN  
18 AN EMERGENCY IS BROUGHT IN THIS STATE AND THIS STATE WAS NOT THE  
19 RESPONDENT'S HOME STATE ON THE DATE THE PETITION WAS FILED, THE COURT  
20 SHALL DISMISS THE PROCEEDING AT THE REQUEST OF THE COURT OF THE HOME  
21 STATE, IF ANY, WHETHER DISMISSAL IS REQUESTED BEFORE OR AFTER THE EMER-  
22 GENCY APPOINTMENT.

23 S 83.21 EXCLUSIVE AND CONTINUING JURISDICTION.

24 EXCEPT AS OTHERWISE PROVIDED IN SECTION 83.19 OF THIS ARTICLE, A COURT  
25 THAT HAS APPOINTED A GUARDIAN OF THE PERSON OR ISSUED A PROTECTIVE ORDER  
26 CONSISTENT WITH THIS ARTICLE HAS EXCLUSIVE AND CONTINUING JURISDICTION  
27 OVER THE PROCEEDINGS UNTIL IT IS TERMINATED BY THE COURT OR THE APPOINT-  
28 MENT OR ORDER EXPIRES BY ITS OWN TERMS.

29 S 83.23 APPROPRIATE FORUM.

30 (A) A COURT OF THIS STATE HAVING JURISDICTION UNDER SECTION 83.17 OF  
31 THIS ARTICLE TO APPOINT A GUARDIAN OF THE PERSON OR ISSUE A PROTECTIVE  
32 ORDER MAY DECLINE TO EXERCISE ITS JURISDICTION IF IT DETERMINES AT ANY  
33 TIME THAT A COURT OF ANOTHER STATE IS A MORE APPROPRIATE FORUM.

34 (B) IF A COURT OF THIS STATE DECLINES TO EXERCISE ITS JURISDICTION  
35 UNDER SUBDIVISION (A) OF THIS SECTION, IT SHALL EITHER DISMISS OR STAY  
36 THE PROCEEDING. THE COURT MAY IMPOSE ANY CONDITION THE COURT CONSIDERS  
37 JUST AND PROPER, INCLUDING THE CONDITION THAT A PETITION FOR THE  
38 APPOINTMENT OF A GUARDIAN OF THE PERSON OR ISSUANCE OF A PROTECTIVE  
39 ORDER BE FILED PROMPTLY IN ANOTHER STATE.

40 (C) IN DETERMINING WHETHER IT IS AN APPROPRIATE FORUM, THE COURT SHALL  
41 CONSIDER ALL RELEVANT FACTORS, INCLUDING:

42 1. ANY EXPRESSED PREFERENCE OF THE RESPONDENT;

43 2. WHETHER ABUSE, NEGLECT OR EXPLOITATION OF THE RESPONDENT HAS  
44 OCCURRED OR IS LIKELY TO OCCUR, AND WHICH STATE COULD BEST PROTECT THE  
45 RESPONDENT FROM THE ABUSE, NEGLECT OR EXPLOITATION;

46 3. THE LENGTH OF TIME THE RESPONDENT WAS PHYSICALLY PRESENT IN OR WAS  
47 A LEGAL RESIDENT OF THIS OR ANOTHER STATE;

48 4. THE DISTANCE OF THE RESPONDENT FROM THE COURT IN EACH STATE;

49 5. THE FINANCIAL CIRCUMSTANCES OF THE RESPONDENT'S ESTATE;

50 6. THE NATURE AND LOCATION OF THE EVIDENCE;

51 7. THE ABILITY OF THE COURT IN EACH STATE TO DECIDE THE ISSUE EXPE-  
52 DITIONALLY AND THE PROCEDURES NECESSARY TO PRESENT EVIDENCE;

53 8. THE FAMILIARITY OF THE COURT OF EACH STATE WITH THE FACTS AND  
54 ISSUES IN THE PROCEEDING; AND

55 9. IF AN APPOINTMENT WERE MADE, THE COURT'S ABILITY TO MONITOR THE  
56 CONDUCT OF THE GUARDIAN OR CONSERVATOR.

1 S 83.25 JURISDICTION DECLINED BY REASON OF CONDUCT.

2 (A) IF AT ANY TIME A COURT OF THIS STATE DETERMINES THAT IT ACQUIRED  
3 JURISDICTION TO APPOINT A GUARDIAN OF THE PERSON OR ISSUE A PROTECTIVE  
4 ORDER BECAUSE OF UNJUSTIFIABLE CONDUCT, THE COURT MAY:

5 1. DECLINE TO EXERCISE JURISDICTION;

6 2. EXERCISE JURISDICTION FOR THE LIMITED PURPOSE OF FASHIONING AN  
7 APPROPRIATE REMEDY TO ENSURE THE HEALTH, SAFETY AND WELFARE OF THE  
8 RESPONDENT, OR THE PROTECTION OF THE RESPONDENT'S PROPERTY OR PREVENT A  
9 REPETITION OF THE UNJUSTIFIABLE CONDUCT, INCLUDING STAYING THE PROCEED-  
10 ING UNTIL A PETITION FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON OR  
11 ISSUANCE OF A PROTECTIVE ORDER IS FILED IN A COURT OF ANOTHER STATE  
12 HAVING JURISDICTION; OR

13 3. CONTINUE TO EXERCISE JURISDICTION AFTER CONSIDERING:

14 (I) THE EXTENT TO WHICH THE RESPONDENT AND ALL PERSONS REQUIRED TO BE  
15 NOTIFIED OF THE PROCEEDINGS HAVE ACQUIESCED IN THE EXERCISE OF THE  
16 COURT'S JURISDICTION;

17 (II) WHETHER IT IS A MORE APPROPRIATE FORUM THAN THE COURT OF ANY  
18 OTHER STATE UNDER THE FACTORS SET FORTH IN SECTION SUBDIVISION (C) OF  
19 SECTION 83.23 OF THIS ARTICLE; AND

20 (III) WHETHER THE COURT OF ANY OTHER STATE WOULD HAVE JURISDICTION  
21 UNDER FACTUAL CIRCUMSTANCES IN SUBSTANTIAL CONFORMITY WITH THE JURISDIC-  
22 TIONAL STANDARDS OF SECTION 83.17 OF THIS ARTICLE.

23 (B) IF A COURT OF THIS STATE DETERMINES THAT IT ACQUIRED JURISDICTION  
24 TO APPOINT A GUARDIAN OF THE PERSON OR ISSUE A PROTECTIVE ORDER BECAUSE  
25 A PARTY SEEKING TO INVOKE ITS JURISDICTION ENGAGED IN UNJUSTIFIABLE  
26 CONDUCT, IT MAY ASSESS AGAINST THAT PARTY NECESSARY AND REASONABLE  
27 EXPENSES, INCLUDING ATTORNEY'S FEES, INVESTIGATIVE FEES, COURT COSTS,  
28 COMMUNICATION EXPENSES, WITNESS FEES AND EXPENSES, AND TRAVEL EXPENSES.  
29 THE COURT MAY NOT ASSESS FEES, COSTS OR EXPENSES OF ANY KIND AGAINST  
30 THIS STATE OR A GOVERNMENTAL SUBDIVISION, AGENCY OR INSTRUMENTALITY OF  
31 THIS STATE UNLESS AUTHORIZED BY LAW OTHER THAN THIS ARTICLE.

32 S 83.27 NOTICE OF PROCEEDING.

33 IF A PETITION FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON OR ISSU-  
34 ANCE OF A PROTECTIVE ORDER IS BROUGHT IN THIS STATE AND THIS STATE WAS  
35 NOT THE RESPONDENT'S HOME STATE ON THE DATE THE PETITION WAS FILED, IN  
36 ADDITION TO COMPLYING WITH THE NOTICE REQUIREMENTS OF THIS STATE, NOTICE  
37 OF THE PETITION MUST BE GIVEN TO THOSE PERSONS WHO WOULD BE ENTITLED TO  
38 NOTICE OF THE PETITION IF A PROCEEDING WERE BROUGHT IN THE RESPONDENT'S  
39 HOME STATE. THE NOTICE MUST BE GIVEN IN THE SAME MANNER AS NOTICE IS  
40 REQUIRED TO BE GIVEN IN THIS STATE.

41 S 83.29 PROCEEDINGS IN MORE THAN ONE STATE.

42 EXCEPT FOR A PETITION FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON  
43 IN AN EMERGENCY OR ISSUANCE OF A PROTECTIVE ORDER LIMITED TO PROPERTY  
44 LOCATED IN THIS STATE UNDER PARAGRAPH ONE OR TWO OF SUBDIVISION (A) OF  
45 SECTION 83.19 OF THIS ARTICLE, IF A PETITION FOR THE APPOINTMENT OF A  
46 GUARDIAN OF THE PERSON OR ISSUANCE OF A PROTECTIVE ORDER IS FILED IN  
47 THIS STATE AND IN ANOTHER STATE AND NEITHER PETITION HAS BEEN DISMISSED  
48 OR WITHDRAWN, THE FOLLOWING RULES APPLY:

49 (A) IF THE COURT IN THIS STATE HAS JURISDICTION UNDER SECTION 83.17 OF  
50 THIS ARTICLE, IT MAY PROCEED WITH THE CASE UNLESS A COURT IN ANOTHER  
51 STATE ACQUIRES JURISDICTION UNDER PROVISIONS SIMILAR TO SUCH SECTION  
52 BEFORE THE APPOINTMENT OR ISSUANCE OF THE ORDER.

53 (B) IF THE COURT IN THIS STATE DOES NOT HAVE JURISDICTION UNDER  
54 SECTION 83.17 OF THIS ARTICLE, WHETHER AT THE TIME THE PETITION IS FILED  
55 OR AT ANY TIME BEFORE THE APPOINTMENT OR ISSUANCE OF THE ORDER, THE  
56 COURT SHALL STAY THE PROCEEDING AND COMMUNICATE WITH THE COURT IN THE

1 OTHER STATE. IF THE COURT IN THE OTHER STATE HAS JURISDICTION, THE COURT  
2 IN THIS STATE SHALL DISMISS THE PETITION UNLESS THE COURT IN THE OTHER  
3 STATE DETERMINES THAT THE COURT IN THIS STATE IS A MORE APPROPRIATE  
4 FORUM.

5 S 83.31 TRANSFER OF GUARDIANSHIP OR CONSERVATORSHIP TO ANOTHER STATE.

6 (A) A GUARDIAN OF THE PERSON OR A GUARDIAN OF THE PROPERTY APPOINTED  
7 IN THIS STATE MAY PETITION THE COURT TO TRANSFER THE GUARDIANSHIP TO  
8 ANOTHER STATE.

9 (B) NOTICE OF A PETITION UNDER SUBDIVISION (A) OF THIS SECTION MUST BE  
10 GIVEN TO THE PERSONS THAT WOULD BE ENTITLED TO NOTICE OF A PETITION IN  
11 THIS STATE FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON OR A GUARDIAN  
12 OF THE PROPERTY.

13 (C) ON THE COURT'S OWN MOTION OR ON REQUEST OF THE GUARDIAN OF THE  
14 PERSON, THE GUARDIAN OF THE PROPERTY, THE PERSON SUBJECT TO THE GUARDI-  
15 ANSHIP OF THE PERSON, OR THE PROTECTED PERSON, OR OTHER PERSON REQUIRED  
16 TO BE NOTIFIED OF THE PETITION, THE COURT SHALL HOLD A HEARING ON A  
17 PETITION FILED PURSUANT TO SUBDIVISION (A) OF THIS SECTION.

18 (D) THE COURT SHALL ISSUE AN ORDER PROVISIONALLY GRANTING A PETITION  
19 TO TRANSFER A GUARDIANSHIP OF THE PERSON AND SHALL DIRECT THE GUARDIAN  
20 OF THE PERSON TO PETITION FOR GUARDIANSHIP OF THE PERSON IN THE OTHER  
21 STATE IF THE COURT IS SATISFIED THAT THE GUARDIANSHIP OF THE PERSON WILL  
22 BE ACCEPTED BY THE COURT IN THE OTHER STATE AND THE COURT FINDS THAT:

23 1. THE PERSON SUBJECT TO THE GUARDIANSHIP OF THE PERSON IS PHYSICALLY  
24 PRESENT IN OR IS REASONABLY EXPECTED TO MOVE PERMANENTLY TO THE OTHER  
25 STATE;

26 2. AN OBJECTION TO THE TRANSFER HAS NOT BEEN MADE OR, IF AN OBJECTION  
27 HAS BEEN MADE, THE OBJECTOR HAS NOT ESTABLISHED THAT THE TRANSFER WOULD  
28 BE CONTRARY TO THE INTERESTS OF THE PERSON SUBJECT TO THE GUARDIANSHIP  
29 OF THE PERSON; AND

30 3. PLANS FOR CARE AND SERVICES FOR THE PERSON SUBJECT TO THE GUARDIAN-  
31 SHIP OF THE PERSON IN THE OTHER STATE ARE REASONABLE AND SUFFICIENT.

32 (E) THE COURT SHALL ISSUE A PROVISIONAL ORDER GRANTING A PETITION TO  
33 TRANSFER A GUARDIANSHIP OF THE PROPERTY AND SHALL DIRECT THE GUARDIAN OF  
34 THE PROPERTY TO PETITION FOR GUARDIANSHIP OF THE PROPERTY IN THE OTHER  
35 STATE IF THE COURT IS SATISFIED THAT THE GUARDIANSHIP OF THE PROPERTY  
36 WILL BE ACCEPTED BY THE COURT OF THE OTHER STATE AND THE COURT FINDS  
37 THAT:

38 1. THE PROTECTED PERSON IS PHYSICALLY PRESENT IN OR IS REASONABLY  
39 EXPECTED TO MOVE PERMANENTLY TO THE OTHER STATE, OR THE PROTECTED PERSON  
40 HAS A SIGNIFICANT CONNECTION TO THE OTHER STATE CONSIDERING THE FACTORS  
41 IN SECTION 83.13 OF THIS ARTICLE;

42 2. AN OBJECTION TO THE TRANSFER HAS NOT BEEN MADE OR, IF AN OBJECTION  
43 HAS BEEN MADE, THE OBJECTOR HAS NOT ESTABLISHED THAT THE TRANSFER WOULD  
44 BE CONTRARY TO THE INTERESTS OF THE PROTECTED PERSON; AND

45 3. ADEQUATE ARRANGEMENTS WILL BE MADE FOR MANAGEMENT OF THE PROTECTED  
46 PERSON'S PROPERTY.

47 (F) THE COURT SHALL ISSUE A FINAL ORDER CONFIRMING THE TRANSFER AND  
48 TERMINATING THE GUARDIANSHIP OF THE PERSON OR PROPERTY UPON ITS RECEIPT  
49 OF:

50 1. A PROVISIONAL ORDER ACCEPTING THE PROCEEDING FROM THE COURT TO  
51 WHICH THE PROCEEDING IS TO BE TRANSFERRED WHICH IS ISSUED UNDER  
52 PROVISIONS SIMILAR TO SECTION 83.33 OF THIS ARTICLE; AND

53 2. THE DOCUMENTS REQUIRED TO TERMINATE A GUARDIANSHIP OF THE PERSON OR  
54 PROPERTY IN THIS STATE.

55 S 83.33 ACCEPTING GUARDIANSHIP OR CONSERVATORSHIP TRANSFERRED FROM  
56 ANOTHER STATE.

(A) TO CONFIRM TRANSFER OF A GUARDIANSHIP OF THE PERSON OR GUARDIANSHIP OF THE PROPERTY TRANSFERRED TO THIS STATE UNDER PROVISIONS SIMILAR TO SECTION 83.31 OF THIS ARTICLE, THE GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY MUST PETITION THE COURT IN THIS STATE PURSUANT TO ARTICLE EIGHTY-ONE OF THIS TITLE OR ARTICLE SEVENTEEN-A OF THE SURROGATE'S COURT PROCEDURE ACT TO ACCEPT THE GUARDIANSHIP OF THE PERSON OR GUARDIANSHIP OF THE PROPERTY. THE PETITION MUST INCLUDE A CERTIFIED COPY OF THE OTHER STATE'S PROVISIONAL ORDER OF TRANSFER.

(B) NOTICE OF A PETITION UNDER SUBDIVISION (A) OF THIS SECTION MUST BE GIVEN TO THOSE PERSONS THAT WOULD BE ENTITLED TO NOTICE IF THE PETITION WERE A PETITION FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON OR ISSUANCE OF A PROTECTIVE ORDER IN BOTH THE TRANSFERRING STATE AND THIS STATE. THE NOTICE MUST BE GIVEN IN THE SAME MANNER AS NOTICE IS REQUIRED TO BE GIVEN IN THIS STATE.

(C) ON THE COURT'S OWN MOTION OR ON REQUEST OF THE GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY, THE PERSON SUBJECT TO THE GUARDIANSHIP OF THE PERSON OR PROTECTED PERSON, OR OTHER PERSON REQUIRED TO BE NOTIFIED OF THE PROCEEDING, THE COURT SHALL HOLD A HEARING ON A PETITION FILED PURSUANT TO SUBDIVISION (A) OF THIS SECTION.

(D) THE COURT SHALL ISSUE AN ORDER PROVISIONALLY GRANTING A PETITION FILED UNDER SUBDIVISION (A) OF THIS SECTION UNLESS:

1. AN OBJECTION IS MADE AND THE OBJECTOR ESTABLISHES THAT TRANSFER OF THE PROCEEDING WOULD BE CONTRARY TO THE INTERESTS OF THE INCAPACITATED OR PROTECTED PERSON; OR

2. THE GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY IS INELIGIBLE FOR APPOINTMENT IN THIS STATE.

(E) THE COURT SHALL ISSUE A FINAL ORDER ACCEPTING THE PROCEEDING AND APPOINTING THE GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY AS GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY IN THIS STATE UPON ITS RECEIPT FROM THE COURT FROM WHICH THE PROCEEDING IS BEING TRANSFERRED OF A FINAL ORDER ISSUED UNDER PROVISIONS SIMILAR TO SECTION 83.31 OF THIS ARTICLE TRANSFERRING THE PROCEEDING TO THIS STATE.

(F) NOT LATER THAN NINETY DAYS AFTER ISSUANCE OF A FINAL ORDER ACCEPTING TRANSFER OF A GUARDIANSHIP OF THE PERSON OR GUARDIANSHIP OF THE PROPERTY, THE COURT SHALL DETERMINE WHETHER THE GUARDIANSHIP OF THE PERSON OR GUARDIANSHIP OF THE PROPERTY NEEDS TO BE MODIFIED TO CONFORM TO THE LAW OF THIS STATE.

(G) IN GRANTING A PETITION UNDER THIS SECTION, THE COURT SHALL RECOGNIZE A GUARDIANSHIP ORDER FROM THE OTHER STATE, INCLUDING THE DETERMINATION OF INCAPACITY AND THE APPOINTMENT OF THE GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY.

(H) THE DENIAL BY A COURT OF THIS STATE OF A PETITION TO ACCEPT A GUARDIANSHIP OF THE PERSON OR GUARDIANSHIP OF THE PROPERTY TRANSFERRED FROM ANOTHER STATE DOES NOT AFFECT THE ABILITY OF THE GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY TO SEEK APPOINTMENT AS GUARDIAN OF THE PERSON OR GUARDIAN OF THE PROPERTY IN THIS STATE UNDER ARTICLE EIGHTY-ONE OF THIS TITLE OR ARTICLE SEVENTEEN-A OF THE SURROGATE'S COURT PROCEDURE ACT IF THE COURT HAS JURISDICTION TO MAKE AN APPOINTMENT OTHER THAN BY REASON OF THE PROVISIONAL ORDER OF TRANSFER.

S 83.35 REGISTRATION OF ORDERS APPOINTING A GUARDIAN OF THE PERSON.

IF A GUARDIAN OF THE PERSON BY WHATEVER NAME DESIGNATED HAS BEEN APPOINTED IN ANOTHER STATE AND A PETITION FOR THE APPOINTMENT OF A GUARDIAN OF THE PERSON IS NOT PENDING IN THIS STATE, THE GUARDIAN OF THE PERSON APPOINTED IN THE OTHER STATE, AFTER GIVING NOTICE TO THE APPOINTING COURT OF AN INTENT TO REGISTER, MAY REGISTER THE GUARDIANSHIP OF THE PERSON ORDER IN THIS STATE BY FILING AS A FOREIGN JUDGMENT IN A COURT,



1 IN ANY APPROPRIATE COUNTY OF THIS STATE, CERTIFIED COPIES OF THE ORDER  
2 AND LETTERS OF OFFICE.

3 S 83.37 REGISTRATION OF PROTECTIVE ORDERS.

4 IF A GUARDIAN OF THE PROPERTY HAS BEEN APPOINTED IN ANOTHER STATE AND  
5 A PETITION FOR A PROTECTIVE ORDER IS NOT PENDING IN THIS STATE, THE  
6 GUARDIAN OF THE PROPERTY APPOINTED IN THE OTHER STATE, AFTER GIVING  
7 NOTICE TO THE APPOINTING COURT OF AN INTENT TO REGISTER, MAY REGISTER  
8 THE PROTECTIVE ORDER IN THIS STATE BY FILING AS A FOREIGN JUDGMENT IN A  
9 COURT OF THIS STATE, IN ANY COUNTY IN WHICH PROPERTY BELONGING TO THE  
10 PROTECTED PERSON IS LOCATED, CERTIFIED COPIES OF THE ORDER AND LETTERS  
11 OF OFFICE AND OF ANY BOND. THEREAFTER, SAID GUARDIAN OF THE PROPERTY  
12 SHALL COMPLY WITH THE REQUIREMENTS OF SUBPARAGRAPH (VI) OF PARAGRAPH SIX  
13 OF SUBDIVISION (A) OF SECTION 81.20 OF THIS TITLE WITH REGARD TO ANY  
14 REAL PROPERTY OF THE PROTECTED PERSON IN THIS STATE.

15 S 83.39 EFFECT OF REGISTRATION.

16 (A) UPON REGISTRATION OF AN ORDER APPOINTING A GUARDIAN OF THE PERSON  
17 OR PROTECTIVE ORDER FROM ANOTHER STATE, THE GUARDIAN OF THE PERSON OR  
18 GUARDIAN OF THE PROPERTY MAY EXERCISE IN THIS STATE ALL POWERS AUTHOR-  
19 IZED IN THE ORDER OF APPOINTMENT EXCEPT AS PROHIBITED UNDER THE LAWS OF  
20 THIS STATE, INCLUDING MAINTAINING ACTIONS AND PROCEEDINGS IN THIS STATE  
21 AND SELLING REAL PROPERTY AND, IF THE GUARDIAN OF THE PERSON OR GUARDIAN  
22 OF THE PROPERTY IS NOT A RESIDENT OF THIS STATE, SUBJECT TO ANY CONDI-  
23 TIONS IMPOSED UPON NONRESIDENT PARTIES.

24 (B) A COURT OF THIS STATE MAY GRANT ANY RELIEF AVAILABLE UNDER THIS  
25 ARTICLE AND OTHER LAW OF THIS STATE TO ENFORCE A REGISTERED ORDER.

26 S 83.41 UNIFORMITY OF APPLICATION AND CONSTRUCTION.

27 IN APPLYING AND CONSTRUING THIS ARTICLE, CONSIDERATION MUST BE GIVEN  
28 TO THE NEED TO PROMOTE UNIFORMITY OF THE LAW WITH RESPECT TO ITS SUBJECT  
29 MATTER AMONG STATES THAT ENACT IT.

30 S 83.43 RELATION TO ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL  
31 COMMERCE ACT.

32 THIS ARTICLE MODIFIES, LIMITS AND SUPERSEDES THE FEDERAL ELECTRONIC  
33 SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT, 15 U.S.C. SECTION 7001,  
34 ET SEQ., BUT DOES NOT MODIFY, LIMIT OR SUPERSEDE SECTION 101(C) OF SUCH  
35 ACT, 15 U.S.C. SECTION 7001 (C), OR AUTHORIZE ELECTRONIC DELIVERY OF  
36 ANY OF THE NOTICES DESCRIBED IN SECTION 103(B) OF SUCH ACT, 15 U.S.C.  
37 SECTION 7003(B).

38 S 83.45 TRANSITIONAL PROVISION.

39 (A) THIS ARTICLE APPLIES TO PROCEEDINGS BEGUN ON OR AFTER THIS ARTI-  
40 CLE'S EFFECTIVE DATE.

41 (B) SECTIONS 83.01 THROUGH 83.05 AND SECTIONS 83.31 THROUGH 83.43 OF  
42 THIS ARTICLE APPLY TO PROCEEDINGS BEGUN BEFORE THIS ARTICLE'S EFFECTIVE  
43 DATE, REGARDLESS OF WHETHER A GUARDIANSHIP OR PROTECTIVE ORDER HAS BEEN  
44 ISSUED.

45 S 2. Section 1758 of the surrogate's court procedure act, as added by  
46 chapter 675 of the laws of 1989, is amended to read as follows:  
47 S 1758. Court jurisdiction

48 1. THE JURISDICTION OF THE COURT TO HEAR PROCEEDINGS PURSUANT TO THIS  
49 ARTICLE SHALL BE SUBJECT TO ARTICLE EIGHTY-THREE OF THE MENTAL HYGIENE  
50 LAW.

51 2. After the appointment of a guardian, standby guardian or alternate  
52 guardians, the court shall have and retain general jurisdiction over the  
53 mentally retarded or developmentally disabled person for whom such guar-  
54 dian shall have been appointed, to take of its own motion or to enter-  
55 tain and adjudicate such steps and proceedings relating to such guardi-  
56 an, standby, or alternate guardianship as may be deemed necessary or

1 proper for the welfare of such mentally retarded or developmentally  
2 disabled person.

3 S 3. Section 81.18 of the mental hygiene law, as amended by chapter  
4 438 of the laws of 2004, is amended to read as follows:

5 S 81.18 Foreign guardian for a person not present in the state.

6 Where the person alleged to be incapacitated is not present in the  
7 state and a guardian, by whatever name designated, has been duly  
8 appointed pursuant to the laws of any other [state, territory, or] coun-  
9 try where the person alleged to be incapacitated resides to assist such  
10 person in property management, the court in its discretion, may make an  
11 order appointing the foreign guardian as a guardian under this article  
12 with powers with respect to property management within this state on the  
13 foreign guardian's giving such security as the court deems proper. IN  
14 ITS DISCRETION, THE COURT MAY UTILIZE THE PROVISIONS OF ARTICLE EIGHTY-  
15 THREE OF THIS TITLE.

16 S 4. This act shall take effect on the one hundred eightieth day after  
17 it shall have become a law.