

7814

I N S E N A T E

June 21, 2012

Introduced by Sen. PARKER -- read twice and ordered printed, and when printed to be committed to the Committee on Rules

AN ACT to amend the environmental conservation law, in relation to granting private citizens the right to initiate civil enforcement actions for violations of such law

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Article 71 of the environmental conservation law is amended
2 by adding a new title 45 to read as follows:

3 TITLE 45

4 PRIVATE ENVIRONMENTAL
5 LAW ENFORCEMENT ACT

6 SECTION 71-4501. ENFORCEMENT BY PRIVATE CITIZENS.

7 71-4503. NOTICE OF ACTION.

8 71-4505. INTERVENTION.

9 71-4507. APPROVAL OF SETTLEMENTS.

10 71-4509. COSTS, FEES AND PENALTIES.

11 71-4511. APPLICABILITY IN THE ADIRONDACK PARK.

12 71-4513. SAVINGS CLAUSE.

13 S 71-4501. ENFORCEMENT BY PRIVATE CITIZENS.

14 1. EXCEPT AS OTHERWISE PROVIDED IN SECTION 71-4503 OF THIS TITLE, ANY
15 PERSON WHO HAS SUFFERED OR MAY SUFFER AN INJURY IN FACT, REGARDLESS OF
16 WHETHER SUCH INJURY IS DIFFERENT IN KIND OR DEGREE FROM THAT SUFFERED BY
17 THE PUBLIC AT LARGE, MAY COMMENCE A CIVIL ACTION IN A COURT OF COMPETENT
18 JURISDICTION FOR INJUNCTIVE AND DECLARATORY RELIEF PURSUANT TO SUBDIVI-
19 SION TWO OF THIS SECTION AGAINST ANY PERSON FOR ANY VIOLATION OF AN
20 ADMINISTRATIVE OR COURT ORDER COMPELLING THAT PERSON TO INVESTIGATE OR
21 REMEDIATE AN INACTIVE HAZARDOUS WASTE DISPOSAL SITE PURSUANT TO TITLE
22 THIRTEEN OF ARTICLE TWENTY-SEVEN OF THIS CHAPTER, OR FOR A VIOLATION OF
23 THE FOLLOWING PROVISIONS OF OR ANY RULE, REGULATION, PERMIT, CERTIFICATE
24 OR ORDER PROMULGATED OR ISSUED PURSUANT TO:

25 A. SECTION 15-0501, 15-0503 OR 15-0505 OF TITLE FIVE OF ARTICLE
26 FIFTEEN OF THIS CHAPTER; OR

27 B. TITLE TWENTY-SEVEN OF ARTICLE FIFTEEN OF THIS CHAPTER; OR

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

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- 1 C. TITLE FIVE, SEVEN, EIGHT, TEN OR SEVENTEEN OF ARTICLE 17 OF THIS
2 CHAPTER; OR
3 D. ARTICLE NINETEEN OF THIS CHAPTER; OR
4 E. ARTICLE TWENTY-THREE OF THIS CHAPTER; OR
5 F. ARTICLE TWENTY-FOUR OF THIS CHAPTER; OR
6 G. ARTICLE TWENTY-FIVE OF THIS CHAPTER; OR
7 H. TITLE THREE, SEVEN, NINE OR FIFTEEN OF ARTICLE TWENTY-SEVEN OF THIS
8 CHAPTER; OR
9 I. ARTICLE THIRTY-THREE OF THIS CHAPTER; OR
10 J. ARTICLE THIRTY-SEVEN OF THIS CHAPTER; OR
11 K. ARTICLE FORTY OF THIS CHAPTER.

12 2. IN ANY ACTION COMMENCED PURSUANT TO SUBDIVISION ONE OF THIS
13 SECTION, THE COURT MAY ISSUE DECLARATORY AND/OR INJUNCTIVE RELIEF FOR
14 EACH VIOLATION FOUND. THE JUDGMENT IN ANY SUCH ACTION MAY ALSO IMPOSE
15 SUCH CONDITIONS ON THE DEFENDANT AS ARE NECESSARY TO ASSURE COMPLIANCE
16 WITH SUCH LAW, RULE, REGULATION, PERMIT, CERTIFICATE OR ORDER WITHIN A
17 REASONABLE TIME PERIOD.

18 3. NO PERSON SHALL COMMENCE A CIVIL ACTION PURSUANT TO SUBDIVISION ONE
19 OF THIS SECTION UNLESS THE ALLEGED VIOLATION COULD BE SUBJECT TO A JUDI-
20 CIAL ENFORCEMENT ACTION OR ADMINISTRATIVE ENFORCEMENT PROCEEDING BROUGHT
21 BY OR ON BEHALF OF THE DEPARTMENT, THE STATE OF NEW YORK, THE COMMIS-
22 SIONER, OR THE COMMISSIONER'S DESIGNEE.

23 4. NO ACTION MAY BE BROUGHT AGAINST THE STATE OR ANY OF ITS DEPART-
24 MENTS, AGENCIES OR BUREAUS OR ANY OF ITS POLITICAL SUBDIVISIONS OR ANY
25 PUBLIC AUTHORITY PURSUANT TO THIS TITLE EXCEPT IN THEIR CAPACITY AS
26 OWNER OR OPERATOR OF A POLLUTION SOURCE OR AS A PERSON RESPONSIBLE FOR
27 THE INVESTIGATION OR REMEDIATION OF AN INACTIVE HAZARDOUS WASTE DISPOSAL
28 SITE PURSUANT TO TITLE THIRTEEN OF ARTICLE TWENTY-SEVEN OF THIS CHAPTER.
29 S 71-4503. NOTICE OF ACTION.

30 1. EXCEPT AS PROVIDED IN SUBDIVISION TWO OF THIS SECTION, NO ACTION
31 MAY BE COMMENCED UNDER SUBDIVISION ONE OF SECTION 71-4501 OF THIS TITLE:

32 A. PRIOR TO SIXTY DAYS AFTER WRITTEN NOTICE BY CERTIFIED MAIL, RETURN
33 RECEIPT REQUESTED, HAS BEEN GIVEN BY THE PLAINTIFF TO THE COMMISSIONER,
34 THE ATTORNEY GENERAL, AND ANY PERSON ALLEGED TO BE IN VIOLATION OF ANY
35 LAW, RULE, REGULATION, PERMIT, CERTIFICATE OR ORDER. SUCH WRITTEN NOTICE
36 SHALL BE GIVEN IN SUCH A MANNER AS THE COMMISSIONER MAY PRESCRIBE BY
37 REGULATION, AND SHALL IDENTIFY ANY PERSON ALLEGED TO BE IN VIOLATION OF
38 ANY SUCH LAW, RULE, REGULATION, PERMIT, CERTIFICATE OR ORDER AS SET
39 FORTH IN SUBDIVISION ONE OF SECTION 71-4501 OF THIS TITLE AND SHALL
40 DESCRIBE WITH REASONABLE PARTICULARITY THE ACTIVITY OR CONDITION
41 COMPLAINED OF INCLUDING, WHERE APPROPRIATE, DATA OR TEST RESULTS IN THE
42 POSSESSION OF THE PLAINTIFF WHICH DESCRIBE SUCH ALLEGED VIOLATION; OR

43 B. IF THE COMMISSIONER OR THE COMMISSIONER'S DESIGNEE, AT ANY TIME
44 PRIOR TO THE END OF THE SIXTY DAY NOTICE PERIOD PRESCRIBED IN PARAGRAPH
45 A OF THIS SUBDIVISION OR PRIOR TO COMMENCEMENT OF SUCH ACTION, WHICHEVER
46 IS LATER AND UPON WRITTEN NOTICE TO THE PERSON WHO PROVIDED THE NOTICE
47 PRESCRIBED IN PARAGRAPH A OF THIS SUBDIVISION, HAS COMMENCED AND IS
48 ACTIVELY PROSECUTING AN ADMINISTRATIVE ENFORCEMENT PROCEEDING PURSUANT
49 TO THIS CHAPTER RELATIVE TO THE ALLEGED VIOLATION; OR

50 C. IF THE ATTORNEY GENERAL, AT ANY TIME PRIOR TO THE END OF THE SIXTY
51 DAY NOTICE PERIOD PRESCRIBED IN PARAGRAPH A OF THIS SUBDIVISION OR PRIOR
52 TO COMMENCEMENT OF SUCH ACTION, WHICHEVER IS LATER, AND UPON WRITTEN
53 NOTICE TO THE PERSON WHO PROVIDED THE NOTICE PRESCRIBED IN PARAGRAPH A
54 OF THIS SUBDIVISION, HAS COMMENCED AND IS ACTIVELY PROSECUTING A CIVIL
55 ACTION IN A COURT OF THE UNITED STATES OR NEW YORK STATE WHICH SEEKS AN
56 ORDER OR INJUNCTION RELATIVE TO THE ALLEGED VIOLATION; OR

1 D. IF THE ALLEGED VIOLATION IS THE SUBJECT OF A CONSENT ORDER, A
2 COURT ORDER OR ANY OTHER WRITTEN AGREEMENT SIGNED BY THE COMMISSIONER OR
3 THE COMMISSIONER'S DESIGNEE AND THE ALLEGED VIOLATOR SETTING FORTH A
4 COMPLIANCE SCHEDULE TO ELIMINATE THE ALLEGED VIOLATION IN A REASONABLE
5 TIME PERIOD, OR OF A SETTLEMENT OR DISPOSITION OF AN ADMINISTRATIVE
6 ENFORCEMENT PROCEEDING OR A CIVIL ACTION COMMENCED PURSUANT TO THIS
7 CHAPTER, PROVIDED THAT THE ALLEGED VIOLATOR IS IN COMPLIANCE WITH THE
8 TERMS OF SUCH CONSENT ORDER, COURT ORDER, AGREEMENT, SETTLEMENT OR
9 DISPOSITION.

10 2. THE PLAINTIFF MAY COMMENCE AN ACTION UNDER SUBDIVISION ONE OF
11 SECTION 71-4501 OF THIS TITLE PRIOR TO SIXTY DAYS AFTER THE GIVING OF
12 NOTICE REQUIRED BY PARAGRAPH A OF SUBDIVISION ONE OF THIS SECTION UPON A
13 SHOWING TO THE COURT THAT THE MATTER IN CONTROVERSY INVOLVES A SUBSTAN-
14 TIAL AND IMMINENT HAZARD TO THE ENVIRONMENT.

15 3. A COPY OF THE COMPLAINT, AND, UNLESS SERVICE IS THEREAFTER WAIVED,
16 ALL MOTION PAPERS AND ANY SUBSEQUENT PLEADINGS SHALL BE SERVED UPON THE
17 COMMISSIONER, THE ATTORNEY GENERAL AND THE DEFENDANT.
18 S 71-4505. INTERVENTION.

19 1. THE STATE AS REPRESENTED BY THE ATTORNEY GENERAL MAY INTERVENE AS A
20 MATTER OF RIGHT IN ANY ACTION BROUGHT PURSUANT TO THIS TITLE.

21 2. ANY PERSON WHO IS AUTHORIZED TO COMMENCE AN ACTION PURSUANT TO
22 SECTION 71-4501 OF THIS TITLE AND WHO HAS GIVEN NOTICE PURSUANT TO
23 SECTION 71-4503 OF THIS TITLE MAY INTERVENE UPON TIMELY MOTION AS A
24 MATTER OF RIGHT IN ANY ACTION OR PROCEEDING SUBSEQUENTLY COMMENCED BY
25 THE DEPARTMENT OR THE ATTORNEY GENERAL RELATING TO ANY VIOLATION ALLEGED
26 IN SUCH NOTICE.

27 S 71-4507. APPROVAL OF SETTLEMENTS.

28 1. NO ACTION COMMENCED UNDER THIS TITLE SHALL BE SETTLED EXCEPT UPON
29 APPROVAL BY THE COURT UPON SIXTY DAYS NOTICE TO ALL PARTIES, THE COMMIS-
30 SIONER AND THE ATTORNEY GENERAL. NOTICE OF THE PROPOSED SETTLEMENT SHALL
31 BE PUBLISHED IN THE ENVIRONMENTAL NOTICE BULLETIN. UPON THE MOTION OF
32 THE COMMISSIONER, THE ATTORNEY GENERAL, OR ANY OTHER PARTY OR UPON ITS
33 OWN MOTION, THE COURT MAY REQUIRE SUCH FURTHER NOTICE AS MAY BE REQUIRED
34 TO PROTECT THE INTERESTS IN ENVIRONMENTAL PROTECTION OR ENFORCEMENT OF
35 CITIZENS WHO ARE NOT A PARTY TO THE ACTION. THE COURT SHALL NOT APPROVE
36 A SETTLEMENT IN AN ACTION COMMENCED UNDER THIS TITLE IF THE COURT DETER-
37 MINES THAT A MONETARY SETTLEMENT IN EXCESS OF COSTS, DISBURSEMENTS AND
38 REASONABLE EXPERT WITNESS AND ATTORNEY FEES HAS BEEN OFFERED OR PAID BY
39 A DEFENDANT AS CONSIDERATION FOR SUCH SETTLEMENT TO A PLAINTIFF WHO HAS
40 STANDING TO SUE ONLY BY VIRTUE OF THIS TITLE.

41 2. IF, SUBSEQUENT TO THE COMMENCEMENT OF AN ACTION UNDER SUBDIVISION
42 ONE OF SECTION 71-4501 OF THIS TITLE WHICH ACTION HAS NOT BEEN FINALLY
43 ADJUDICATED, THE PERSON ALLEGED TO BE IN VIOLATION OF ANY LAW, RULE,
44 REGULATION, PERMIT, CERTIFICATE OR ORDER ENTERS INTO A CONSENT ORDER, OR
45 IS SUBJECT TO A COURT ORDER OR OTHER WRITTEN AGREEMENT SIGNED BY THE
46 COMMISSIONER OR THE COMMISSIONER'S DESIGNEE WHICH SETS FORTH A REASON-
47 ABLE SETTLEMENT AND DISPOSITION OF THE ALLEGED VIOLATION, THE COURT IN
48 WHICH SUCH ACTION IS PENDING, ON MOTION OF ANY PARTY, MAY MAKE AN APPRO-
49 PRIATE COURT ORDER DISPOSING OF THE CASE, INCLUDING THE AWARD OF COSTS,
50 DISBURSEMENTS, REASONABLE EXPERT WITNESS AND ATTORNEY FEES TO ANY PARTY
51 IF APPROPRIATE PURSUANT TO SECTION 71-4509 OF THIS TITLE.

52 S 71-4509. COSTS, FEES AND PENALTIES.

53 1. THE COURT, IN ISSUING ANY FINAL ORDER IN ANY ACTION BROUGHT PURSU-
54 ANT TO SUBDIVISIONS ONE AND TWO OF SECTION 71-4503 OF THIS TITLE MAY IN
55 ITS DISCRETION AWARD COSTS, DISBURSEMENTS AND REASONABLE EXPERT WITNESS
56 AND ATTORNEY FEES TO ANY PREVAILING OR SUBSTANTIALLY PREVAILING PARTY;

1 PROVIDED, HOWEVER, THAT SUCH AN AWARD TO A PREVAILING RESPONDENT OR
2 DEFENDANT SHALL NOT EXCEED TEN THOUSAND DOLLARS AND A PREVAILING
3 RESPONDENT OR DEFENDANT IN ORDER TO RECOVER SUCH COSTS, DISBURSEMENTS,
4 REASONABLE EXPERT WITNESS AND ATTORNEY FEES MUST MAKE A MOTION REQUEST-
5 ING SUCH COSTS, DISBURSEMENTS AND FEES AND SHOW THAT THE ACTION OR CLAIM
6 BROUGHT WAS FRIVOLOUS. IN ORDER TO FIND THE ACTION OR CLAIM TO BE FRIVO-
7 LOUS, THE COURT MUST FIND IN WRITING ONE OR MORE OF THE FOLLOWING:

8 A. THE ACTION OR CLAIM WAS COMMENCED, USED OR CONTINUED IN BAD FAITH,
9 SOLELY TO DELAY OR PROLONG THE RESOLUTION OF THE LITIGATION OR TO HARASS
10 OR MALICIOUSLY INJURE ANOTHER;

11 B. THE ACTION OR CLAIM WAS COMMENCED OR CONTINUED IN BAD FAITH WITHOUT
12 ANY REASONABLE BASIS IN LAW OR FACT AND COULD NOT BE SUPPORTED BY A GOOD
13 FAITH ARGUMENT FOR AN EXTENSION, MODIFICATION OR REVERSAL OF EXISTING
14 LAW. IF THE ACTION OR CLAIM WAS PROMPTLY DISCONTINUED WHEN THE PARTY OR
15 THE ATTORNEY LEARNED OR SHOULD HAVE LEARNED THAT THE ACTION OR CLAIM
16 LACKED SUCH A REASONABLE BASIS, THE COURT MAY FIND THAT THE PARTY OR THE
17 ATTORNEY DID NOT ACT IN BAD FAITH.

18 2. NOTWITHSTANDING THE PROVISIONS OF SUBDIVISION ONE OF THIS SECTION,
19 NO COSTS, DISBURSEMENTS, OR REASONABLE EXPERT WITNESS AND ATTORNEY FEES
20 MAY BE AWARDED AGAINST THE STATE, OR ANY OF ITS DEPARTMENTS, AGENCIES,
21 BUREAUS OR ANY OF ITS POLITICAL SUBDIVISIONS, OR ANY PUBLIC AUTHORITY IN
22 ANY ACTION BROUGHT UNDER THIS TITLE.

23 3. IN ADDITION TO THE STATE'S RIGHT TO INTERVENE PURSUANT TO SUBDIVI-
24 SION ONE OF SECTION 71-4505 OF THIS TITLE OR ANY OTHER LAW, THE STATE,
25 AS REPRESENTED BY THE ATTORNEY GENERAL, MAY APPEAR UPON TIMELY MOTION IN
26 AN ACTION BROUGHT UNDER THIS TITLE FOR THE SOLE PURPOSE OF OBTAINING AN
27 AWARD OF PENALTIES AGAINST ANY PERSON FOUND LIABLE IN SUCH ACTION;
28 PROVIDED, HOWEVER, THAT THE PLAINTIFF AND DEFENDANT MUST BE NOTIFIED OF
29 THE STATE'S INTENT TO MOVE FOR PENALTIES WITHIN THIRTY DAYS OF COMMENCE-
30 MENT OF SUCH ACTION. ANY CLAIM FOR PENALTIES BASED UPON A VIOLATION
31 WHICH IS THE SUBJECT OF AN ACTION BROUGHT UNDER THIS TITLE MUST BE
32 BROUGHT IN SUCH ACTION.

33 S 71-4511. APPLICABILITY IN THE ADIRONDACK PARK.

34 WITH RESPECT TO THOSE PARTS OF TITLE TWENTY-SEVEN OF ARTICLE FIFTEEN
35 OF THIS CHAPTER AND THOSE PARTS OF ARTICLE TWENTY-FOUR OF THIS CHAPTER
36 ADMINISTERED BY THE ADIRONDACK PARK AGENCY CREATED PURSUANT TO ARTICLE
37 TWENTY-SEVEN OF THE EXECUTIVE LAW, ANY REFERENCE IN THIS TITLE TO THE
38 DEPARTMENT, THE COMMISSIONER, OR THE COMMISSIONER'S DESIGNEE SHALL BE
39 CONSTRUED TO MEAN THE ADIRONDACK PARK AGENCY.

40 S 71-4513. SAVINGS CLAUSE.

41 NOTHING IN THIS TITLE SHALL RESTRICT ANY RIGHT WHICH ANY PERSON OR
42 CLASS OF PERSONS MAY HAVE UNDER ANY STATUTE OR COMMON LAW TO SEEK
43 ENFORCEMENT OF ANY STATUTE, RULE, REGULATION, PERMIT, CERTIFICATE OR
44 ORDER, OR TO SEEK ANY OTHER RELIEF.

45 S 2. Section 71-1311 of the environmental conservation law, subdivi-
46 sion 1 as amended by chapter 846 of the laws of 1981, is amended to read
47 as follows:

48 S 71-1311. Injunction against violations.

49 [1.] Whenever it appears that any person is violating or threatening
50 to violate any provision of article 23 of this chapter or is committing
51 any offense described in section 71-1305 of this title, the department,
52 acting by the Attorney General, may bring suit against such person in
53 any court of competent jurisdiction to restrain such person from contin-
54 uing such violation or from carrying out the threat of violation. In any
55 such suit, the court shall have jurisdiction to grant to the department
56 without bond or other undertaking, such prohibitory or mandatory injunc-

1 tions as the facts may warrant, including temporary restraining orders
2 and preliminary injunctions.

3 [2. If the department, acting by the Attorney General, shall fail to
4 bring suit to enjoin a violation or threatened violation of any
5 provision of article 23, or any rule, regulation, or order of the
6 department made pursuant hereto, within ten days after receipt of writ-
7 ten request to do so by any person who is or will be adversely affected
8 by such violation, the person making such request may bring suit in his
9 own behalf to restrain such violation or threatened violation in any
10 court in which the department might have brought suit. The department
11 shall be made a party in such suit in addition to the person violating
12 or threatening to violate a provision of article 23, or a rule, regu-
13 lation, or order of the department, and the action shall proceed and
14 injunctive relief may be granted to the department without bond, or
15 other undertaking in the same manner as if suit had been brought by the
16 department.]

17 S 3. This act shall take effect immediately; provided however, that no
18 action authorized by section 71-4501 of the environmental conservation
19 law, as added by section one of this act, may be commenced against any
20 city, village, town or county prior to September 1, 2015 and nothing in
21 this act shall affect any action commenced pursuant to section 71-1311
22 of the environmental conservation law prior to such effective date.