

4881--B

2011-2012 Regular Sessions

I N   S E N A T E

April 28, 2011

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Introduced by Sens. YOUNG, ADDABBO, ALESI, AVELLA, BONACIC, DeFRANCISCO, GOLDEN, LANZA, LARKIN, LIBOUS, MAZIARZ, McDONALD, PARKER -- read twice and ordered printed, and when printed to be committed to the Committee on Mental Health and Developmental Disabilities -- recommitted to the Committee on Mental Health and Developmental Disabilities in accordance with Senate Rule 6, sec. 8 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the mental hygiene law and the correction law, in relation to enhancing the assisted outpatient treatment program; and to amend Kendra's Law, in relation to making the provisions thereof permanent

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1     Section 1. Paragraph 2 of subdivision (f) of section 7.17 of the  
2     mental hygiene law, as amended by chapter 158 of the laws of 2005, is  
3     amended to read as follows:  
4     (2) The oversight and monitoring role of the program coordinator of  
5     the assisted outpatient treatment program shall include each of the  
6     following:  
7     (i) that each assisted outpatient receives the treatment provided for  
8     in the court order issued pursuant to section 9.60 of this [chapter]  
9     TITLE;  
10    (ii) that existing services located in the assisted outpatient's  
11    community are utilized whenever practicable;  
12    (iii) that a case manager or assertive community treatment team is  
13    designated for each assisted outpatient;  
14    (iv) that a mechanism exists for such case manager, or assertive  
15    community treatment team, to regularly report the assisted outpatient's  
16    compliance, or lack of compliance with treatment, to the director of the  
17    assisted outpatient treatment program;  
18    (v) that directors of community services establish procedures [which]  
19    THAT provide that reports of persons who may be in need of assisted

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets [ ] is old law to be omitted.

LBD10473-11-2

1 outpatient treatment are appropriately investigated in a timely manner;  
2 [and]

3 (vi) that assisted outpatient treatment services are delivered in a  
4 timely manner[.];

5 (VII) THAT, PRIOR TO THE EXPIRATION OF ASSISTED OUTPATIENT TREATMENT  
6 ORDERS, THE CLINICAL NEEDS OF ASSISTED OUTPATIENTS ARE ADEQUATELY  
7 REVIEWED IN DETERMINING THE NEED TO PETITION FOR CONTINUED ASSISTED  
8 OUTPATIENT TREATMENT PURSUANT TO SUBDIVISION (M) OF SECTION 9.60 OF THIS  
9 TITLE;

10 (VIII) THAT THE APPROPRIATE DIRECTOR IS DETERMINED FOR EACH ASSISTED  
11 OUTPATIENT, PURSUANT TO SUBDIVISIONS (K) AND (L) OF SECTION 9.60 OF THIS  
12 TITLE; AND

13 (IX) THAT THE OFFICE FULFILLS ITS DUTIES PURSUANT TO SUBDIVISION (T)  
14 OF SECTION 9.60 OF THIS TITLE TO MEET LOCAL NEEDS FOR TRAINING OF JUDGES  
15 AND COURT PERSONNEL.

16 S 2. Subdivision (f) of section 7.17 of the mental hygiene law is  
17 amended by adding a new paragraph 5 to read as follows:

18 (5) THE COMMISSIONER SHALL DEVELOP AN EDUCATIONAL PAMPHLET ON THE  
19 PROCESS OF PETITIONING FOR ASSISTED OUTPATIENT TREATMENT FOR DISSEM-  
20 INATION TO INDIVIDUALS SEEKING TO SUBMIT REPORTS OF PERSONS WHO MAY BE  
21 IN NEED OF ASSISTED OUTPATIENT TREATMENT, AND INDIVIDUALS SEEKING TO  
22 FILE A PETITION PURSUANT TO SUBPARAGRAPH (I) OR (II) OF PARAGRAPH ONE OF  
23 SUBDIVISION (F) OF SECTION 9.60 OF THIS TITLE. SUCH PAMPHLET SHALL SET  
24 FORTH, IN PLAIN LANGUAGE: THE CRITERIA FOR ASSISTED OUTPATIENT TREAT-  
25 MENT, RESOURCES AVAILABLE TO SUCH INDIVIDUALS, THE RESPONSIBILITIES OF  
26 PROGRAM COORDINATORS AND DIRECTORS OF COMMUNITY SERVICES, A SUMMARY OF  
27 CURRENT LAW, THE PROCESS FOR PETITIONING FOR CONTINUED ASSISTED OUTPA-  
28 TIENT TREATMENT, AND OTHER SUCH INFORMATION THE COMMISSIONER DETERMINES  
29 TO BE PERTINENT.

30 S 3. Subdivision (b) of section 9.47 of the mental hygiene law, as  
31 amended by chapter 158 of the laws of 2005, is amended to read as  
32 follows:

33 (b) All directors of community services shall be responsible for:

34 (1) receiving reports of persons who may be in need of assisted outpa-  
35 tient treatment PURSUANT TO SECTION 9.60 OF THIS ARTICLE and documenting  
36 the receipt date of such reports;

37 (2) conducting timely investigations of such reports RECEIVED PURSUANT  
38 TO PARAGRAPH ONE OF THIS SUBDIVISION and providing written notice upon  
39 the completion of investigations to reporting persons and program coor-  
40 dinators, appointed by the commissioner [of mental health] pursuant to  
41 subdivision (f) of section 7.17 of this title, and documenting the  
42 initiation and completion dates of such investigations and the disposi-  
43 tions;

44 (3) filing of petitions for assisted outpatient treatment pursuant to  
45 [paragraph] SUBPARAGRAPH (vii) of PARAGRAPH ONE OF subdivision [(e)] (F)  
46 of section 9.60 of this article, and documenting the petition filing  
47 [date] DATES and the [date] DATES of the court [order] ORDERS;

48 (4) coordinating the timely delivery of court ordered services with  
49 program coordinators and documenting the date assisted outpatients begin  
50 to receive the services mandated in the court order; [and]

51 (5) NOTIFYING PROGRAM COORDINATORS WHEN ASSISTED OUTPATIENTS CANNOT BE  
52 LOCATED AFTER REASONABLE EFFORTS OR ARE BELIEVED TO HAVE TAKEN RESIDENCE  
53 OUTSIDE OF THE LOCAL GOVERNMENTAL UNIT SERVED; AND

54 (6) reporting on a quarterly basis to program coordinators the infor-  
55 mation collected pursuant to this subdivision.

1 S 4. Paragraphs (viii) and (ix) of subdivision (b) of section 9.48 of  
2 the mental hygiene law are renumbered paragraphs (ix) and (x) and a new  
3 paragraph (viii) is added to read as follows:

4 (VIII) AN ACCOUNT OF ANY COURT ORDER EXPIRATION, INCLUDING BUT NOT  
5 LIMITED TO THE DIRECTOR'S DETERMINATION AS TO WHETHER TO PETITION FOR  
6 CONTINUED ASSISTED OUTPATIENT TREATMENT, PURSUANT TO SECTION 9.60 OF  
7 THIS ARTICLE, THE BASIS FOR SUCH DETERMINATION, AND THE DISPOSITION OF  
8 ANY SUCH PETITION;

9 S 5. Section 9.60 of the mental hygiene law, as amended by chapter 158  
10 of the laws of 2005, paragraph 1 of subdivision (a) as amended by  
11 section 1 of part E of chapter 111 of the laws of 2010, paragraph 5 of  
12 subdivision (c) as amended by chapter 137 of the laws of 2005, is  
13 amended to read as follows:

14 S 9.60 Assisted outpatient treatment.

15 (a) Definitions. For purposes of this section, the following defi-  
16 nitions shall apply:

17 (1) "assisted outpatient treatment" shall mean categories of outpa-  
18 tient services [which] THAT have been ordered by the court pursuant to  
19 this section. Such treatment shall include case management services or  
20 assertive community treatment team services to provide care coordi-  
21 nation, and may also include any of the following categories of  
22 services: medication SUPPORT; MEDICATION EDUCATION OR SYMPTOM MANAGEMENT  
23 EDUCATION; periodic blood tests or urinalysis to determine compliance  
24 with prescribed medications; individual or group therapy; day or partial  
25 day programming activities; educational and vocational training or  
26 activities; APPOINTMENT OF A REPRESENTATIVE PAYEE OR OTHER FINANCIAL  
27 MANAGEMENT SERVICES, SUBJECT TO FINAL APPROVAL OF THE SOCIAL SECURITY  
28 ADMINISTRATION, WHERE APPLICABLE; alcohol or substance abuse treatment  
29 and counseling and periodic OR RANDOM tests for the presence of alcohol  
30 or illegal drugs for persons with a history of alcohol or substance  
31 abuse; supervision of living arrangements; and any other services within  
32 a local services plan developed pursuant to article forty-one of this  
33 chapter, CLINICAL OR NON-CLINICAL, prescribed to treat the person's  
34 mental illness and to assist the person in living and functioning in the  
35 community, or to attempt to prevent a relapse or deterioration that may  
36 reasonably be predicted to result in [suicide] SERIOUS PHYSICAL HARM TO  
37 ANY PERSON or the need for hospitalization.

38 (2) "director" shall mean the director of community services of a  
39 local governmental unit, or the director of a hospital licensed or oper-  
40 ated by the office of mental health which operates, directs and super-  
41 vises an assisted outpatient treatment program.

42 (3) "director of community services" and "local governmental unit"  
43 shall have the same meanings as provided in article forty-one of this  
44 chapter.

45 (4) "assisted outpatient treatment program" shall mean a system to  
46 arrange for and coordinate the provision of assisted outpatient treat-  
47 ment, to monitor treatment compliance by assisted outpatients, to evalu-  
48 ate the condition or needs of assisted outpatients, to take appropriate  
49 steps to address the needs of such individuals, and to ensure compliance  
50 with court orders.

51 (5) "assisted outpatient" shall mean the person under a court order to  
52 receive assisted outpatient treatment.

53 (6) "subject of the petition" or "subject" shall mean the person who  
54 is alleged in a petition, filed pursuant to the provisions of this  
55 section, to meet the criteria for assisted outpatient treatment.

(7) "correctional facility" and "local correctional facility" shall have the same meanings as provided in section two of the correction law.

(8) "health care proxy" and "health care agent" shall have the same meanings as provided in article twenty-nine-C of the public health law.

(9) "program coordinator" shall mean an individual appointed by the commissioner [of mental health], pursuant to subdivision (f) of section 7.17 of this chapter, who is responsible for the oversight and monitoring of assisted outpatient treatment programs.

(b) Programs. The director of community services of each local governmental unit shall operate, direct and supervise an assisted outpatient treatment program. The director of a hospital licensed or operated by the office [of mental health] may operate, direct and supervise an assisted outpatient treatment program, upon approval by the commissioner. Directors of community services shall be permitted to satisfy the provisions of this subdivision through the operation of joint assisted outpatient treatment programs. Nothing in this subdivision shall be interpreted to preclude the combination or coordination of efforts between and among local governmental units and hospitals in providing and coordinating assisted outpatient treatment.

(c) Criteria. A person may be ordered to receive assisted outpatient treatment if the court finds that such person:

(1) is eighteen years of age or older; and

(2) is suffering from a mental illness; and

(3) is unlikely to survive safely in the community without supervision, based on a clinical determination; and

(4) has a history of lack of compliance with treatment for mental illness that has:

(i) [prior to the filing of the petition,] at least twice within the [last] thirty-six months PRIOR TO THE FILING OF THE PETITION been a significant factor in necessitating hospitalization in a hospital, or receipt of services in a forensic or other mental health unit of a correctional facility or a local correctional facility[, not including]; PROVIDED THAT SUCH THIRTY-SIX MONTH PERIOD SHALL BE EXTENDED BY THE LENGTH OF any current period[, or period ending] OF HOSPITALIZATION OR INCARCERATION, AND ANY SUCH PERIOD THAT ENDED within the last six months[, during which the person was or is hospitalized or incarcerated]; or

(ii) WITHIN FORTY-EIGHT MONTHS prior to the filing of the petition, resulted in one or more acts of serious violent behavior toward self or others or threats of, or attempts at, serious physical harm to self or others [within the last forty-eight months, not including]; PROVIDED THAT SUCH FORTY-EIGHT MONTH PERIOD SHALL BE EXTENDED BY THE LENGTH OF any current period[, or period ending] OF HOSPITALIZATION OR INCARCERATION, AND ANY SUCH PERIOD THAT ENDED within the last six months[, in which the person was or is hospitalized or incarcerated]; and

(5) is, as a result of his or her mental illness, unlikely to voluntarily participate in outpatient treatment that would enable him or her to live safely in the community; and

(6) in view of his or her treatment history and current behavior, is in need of assisted outpatient treatment in order to prevent a relapse or deterioration which would be likely to result in serious harm to the person or others as defined in section 9.01 of this article; and

(7) is likely to benefit from assisted outpatient treatment.

(d) Health care proxy. Nothing in this section shall preclude a person with a health care proxy from being subject to a petition pursuant to

1 this chapter and consistent with article twenty-nine-C of the public  
2 health law.

3 (e) INVESTIGATION OF REPORTS. THE COMMISSIONER SHALL PROMULGATE REGU-  
4 LATIONS ESTABLISHING A PROCEDURE TO ENSURE THAT REPORTS OF A PERSON WHO  
5 MAY BE IN NEED OF ASSISTED OUTPATIENT TREATMENT, INCLUDING THOSE  
6 RECEIVED FROM FAMILY AND COMMUNITY MEMBERS OF SUCH PERSON, ARE INVESTI-  
7 GATED IN A TIMELY MANNER AND, WHERE APPROPRIATE, RESULT IN THE FILING OF  
8 PETITIONS FOR ASSISTED OUTPATIENT TREATMENT.

9 (F) Petition to the court. (1) A petition for an order authorizing  
10 assisted outpatient treatment may be filed in the supreme or county  
11 court in the county in which the subject of the petition is present or  
12 reasonably believed to be present. Such petition may be initiated only  
13 by the following persons:

14 (i) any person eighteen years of age or older with whom the subject of  
15 the petition resides; or

16 (ii) the parent, spouse, sibling eighteen years of age or older, or  
17 child eighteen years of age or older of the subject of the petition; or

18 (iii) the director of a hospital in which the subject of the petition  
19 is hospitalized; or

20 (iv) the director of any public or charitable organization, agency or  
21 home providing mental health services to the subject of the petition or  
22 in whose institution the subject of the petition resides; or

23 (v) a qualified psychiatrist who is either supervising the treatment  
24 of or treating the subject of the petition for a mental illness; or

25 (vi) a psychologist, licensed pursuant to article one hundred fifty-  
26 three of the education law, or a social worker, licensed pursuant to  
27 article one hundred fifty-four of the education law, who is treating the  
28 subject of the petition for a mental illness; or

29 (vii) the director of community services, or his or her designee, or  
30 the social services official, as defined in the social services law, of  
31 the city or county in which the subject of the petition is present or  
32 reasonably believed to be present; or

33 (viii) a parole officer or probation officer assigned to supervise the  
34 subject of the petition[.]; OR

35 (IX) THE DIRECTOR OF THE HOSPITAL OR THE SUPERINTENDENT OF A CORREC-  
36 TIONAL FACILITY IN WHICH THE SUBJECT OF THE PETITION IS IMPRISONED,  
37 PURSUANT TO SECTION FOUR HUNDRED FOUR OF THE CORRECTION LAW.

38 (2) THE COMMISSIONER SHALL PROMULGATE REGULATIONS PURSUANT TO WHICH  
39 PERSONS INITIATING A PETITION, PURSUANT TO SUBPARAGRAPHS (I) AND (II) OF  
40 PARAGRAPH ONE OF THIS SUBDIVISION, MAY RECEIVE ASSISTANCE IN FILING SUCH  
41 PETITIONS, WHERE APPROPRIATE, AS DETERMINED PURSUANT TO SUBDIVISION (E)  
42 OF THIS SECTION.

43 (3) The petition shall state:

44 (i) each of the criteria for assisted outpatient treatment as set  
45 forth in subdivision (c) of this section;

46 (ii) facts which support the petitioner's belief that the subject of  
47 the petition meets each criterion, provided that the hearing on the  
48 petition need not be limited to the stated facts; and

49 (iii) that the subject of the petition is present, or is reasonably  
50 believed to be present, within the county where such petition is filed.

51 [(3)] (4) The petition shall be accompanied by an affirmation or affi-  
52 davit of a physician, who shall not be the petitioner, stating THAT SUCH  
53 PHYSICIAN IS WILLING AND ABLE TO TESTIFY AT THE HEARING ON THE PETITION  
54 AND THAT either [that]:

55 (i) such physician has personally examined the subject of the petition  
56 no more than ten days prior to the submission of the petition[,] AND

1 recommends assisted outpatient treatment for the subject of the peti-  
2 tion[, and is willing and able to testify at the hearing on the peti-  
3 tion]; or

4 (ii) no more than ten days prior to the filing of the petition, such  
5 physician or his or her designee has made appropriate attempts but has  
6 not been successful in eliciting the cooperation of the subject of the  
7 petition to submit to an examination, such physician has reason to  
8 suspect that the subject of the petition meets the criteria for assisted  
9 outpatient treatment, and such physician is willing and able to examine  
10 the subject of the petition [and testify at the hearing on the petition]  
11 PRIOR TO PROVIDING TESTIMONY.

12 [(4)] (5) In counties with a population of less than seventy-five  
13 thousand, the affirmation or affidavit required by paragraph [three]  
14 FOUR of this subdivision may be made by a physician who is an employee  
15 of the office. The office is authorized AND DIRECTED to make available,  
16 at no cost to the county, a qualified physician for the purpose of  
17 making such affirmation or affidavit consistent with the provisions of  
18 such paragraph.

19 [(f)] (G) Service. The petitioner shall cause written notice of the  
20 petition to be given to the subject of the petition and a copy thereof  
21 to be given personally or by mail to the persons listed in section 9.29  
22 of this article, the mental hygiene legal service, the health care agent  
23 if any such agent is known to the petitioner, the appropriate program  
24 coordinator, and the appropriate director of community services, if such  
25 director is not the petitioner.

26 [(g)] (H) Right to counsel. The subject of the petition shall have the  
27 right to be represented by the mental hygiene legal service, or private-  
28 ly financed counsel, at all stages of a proceeding commenced under this  
29 section.

30 [(h)] (I) Hearing. (1) Upon receipt of the petition, the court shall  
31 fix the date for a hearing. Such date shall be no later than three days  
32 from the date such petition is received by the court, excluding Satur-  
33 days, Sundays and holidays. Adjournments shall be permitted only for  
34 good cause shown. In granting adjournments, the court shall consider the  
35 need for further examination by a physician or the potential need to  
36 provide assisted outpatient treatment expeditiously. The court shall  
37 cause the subject of the petition, any other person receiving notice  
38 pursuant to subdivision [(f)] (G) of this section, the petitioner, the  
39 physician whose affirmation or affidavit accompanied the petition, and  
40 such other persons as the court may determine to be advised of such  
41 date. Upon such date, or upon such other date to which the proceeding  
42 may be adjourned, the court shall hear testimony and, if it be deemed  
43 advisable and the subject of the petition is available, examine the  
44 subject of the petition in or out of court. If the subject of the peti-  
45 tion does not appear at the hearing, and appropriate attempts to elicit  
46 the attendance of the subject have failed, the court may conduct the  
47 hearing in the subject's absence. In such case, the court shall set  
48 forth the factual basis for conducting the hearing without the presence  
49 of the subject of the petition.

50 (2) The court shall not order assisted outpatient treatment unless an  
51 examining physician, who recommends assisted outpatient treatment and  
52 has personally examined the subject of the petition no more than ten  
53 days before the filing of the petition, testifies in person at the hear-  
54 ing. Such physician shall state the facts and clinical determinations  
55 which support the allegation that the subject of the petition meets each  
56 of the criteria for assisted outpatient treatment; PROVIDED THAT THE

1 PARTIES MAY STIPULATE, UPON MUTUAL CONSENT, THAT SUCH PHYSICIAN NEED NOT  
2 TESTIFY.

3 (3) If the subject of the petition has refused to be examined by a  
4 physician, the court may request the subject to consent to an examina-  
5 tion by a physician appointed by the court. If the subject of the peti-  
6 tion does not consent and the court finds reasonable cause to believe  
7 that the allegations in the petition are true, the court may order peace  
8 officers, acting pursuant to their special duties, or police officers  
9 who are members of an authorized police department or force, or of a  
10 sheriff's department to take the subject of the petition into custody  
11 and transport him or her to a hospital for examination by a physician.  
12 Retention of the subject of the petition under such order shall not  
13 exceed twenty-four hours. The examination of the subject of the petition  
14 may be performed by the physician whose affirmation or affidavit accom-  
15 panied the petition pursuant to paragraph three of subdivision [(e)] (F)  
16 of this section, if such physician is privileged by such hospital or  
17 otherwise authorized by such hospital to do so. If such examination is  
18 performed by another physician, the examining physician may consult with  
19 the physician whose affirmation or affidavit accompanied the petition as  
20 to whether the subject meets the criteria for assisted outpatient treat-  
21 ment.

22 (4) A physician who testifies pursuant to paragraph two of this subdi-  
23 vision shall state: (i) the facts [which] AND CLINICAL DETERMINATIONS  
24 THAT support the allegation that the subject meets each of the criteria  
25 for assisted outpatient treatment, (ii) that the treatment is the least  
26 restrictive alternative, (iii) the recommended assisted outpatient  
27 treatment, and (iv) the rationale for the recommended assisted outpa-  
28 tient treatment. If the recommended assisted outpatient treatment  
29 includes medication, such physician's testimony shall describe the types  
30 or classes of medication which should be authorized, shall describe the  
31 beneficial and detrimental physical and mental effects of such medica-  
32 tion, and shall recommend whether such medication should be self-admin-  
33 istered or administered by authorized personnel.

34 (5) The subject of the petition shall be afforded an opportunity to  
35 present evidence, to call witnesses on his or her behalf, and to cross-  
36 examine adverse witnesses.

37 [(i)] (J) Written treatment plan. (1) The court shall not order  
38 assisted outpatient treatment unless a physician appointed by the appro-  
39 priate director, in consultation with such director, develops and  
40 provides to the court a proposed written treatment plan. The written  
41 treatment plan shall include case management services or assertive  
42 community treatment team services to provide care coordination. The  
43 written treatment plan also shall include all categories of services, as  
44 set forth in paragraph one of subdivision (a) of this section, which  
45 such physician recommends that the subject of the petition receive. All  
46 service providers shall be notified regarding their inclusion in the  
47 written treatment plan. If the written treatment plan includes medica-  
48 tion, it shall state whether such medication should be self-administered  
49 or administered by authorized personnel, and shall specify type and  
50 dosage range of medication most likely to provide maximum benefit for  
51 the subject. If the written treatment plan includes alcohol or substance  
52 abuse counseling and treatment, such plan may include a provision  
53 requiring relevant testing for either alcohol or illegal substances  
54 provided the physician's clinical basis for recommending such plan  
55 provides sufficient facts for the court to find (i) that such person has  
56 a history of alcohol or substance abuse that is clinically related to

1 the mental illness; and (ii) that such testing is necessary to prevent a  
2 relapse or deterioration which would be likely to result in serious harm  
3 to the person or others. If a director is the petitioner, the written  
4 treatment plan shall be provided to the court no later than the date of  
5 the hearing on the petition. If a person other than a director is the  
6 petitioner, such plan shall be provided to the court no later than the  
7 date set by the court pursuant to paragraph three of subdivision [(j)]  
8 (K) of this section.

9 (2) The physician appointed to develop the written treatment plan  
10 shall provide the following persons with an opportunity to actively  
11 participate in the development of such plan: the subject of the peti-  
12 tion; the treating physician, if any; and upon the request of the  
13 subject of the petition, an individual significant to the subject  
14 including any relative, close friend or individual otherwise concerned  
15 with the welfare of the subject. THE APPOINTED PHYSICIAN SHALL MAKE A  
16 REASONABLE EFFORT TO GATHER RELEVANT INFORMATION FOR THE DEVELOPMENT OF  
17 THE TREATMENT PLAN FROM THE SUBJECT OF THE PETITION'S FAMILY MEMBER OR  
18 MEMBERS, OR HIS OR HER SIGNIFICANT OTHER. If the subject of the petition  
19 has executed a health care proxy, the appointed physician shall consider  
20 any directions included in such proxy in developing the written treat-  
21 ment plan.

22 (3) The court shall not order assisted outpatient treatment unless a  
23 physician appearing on behalf of a director testifies to explain the  
24 written proposed treatment plan; PROVIDED THAT THE PARTIES MAY STIPU-  
25 LATE, UPON MUTUAL CONSENT, THAT SUCH PHYSICIAN NEED NOT TESTIFY. Such  
26 physician shall state the categories of assisted outpatient treatment  
27 recommended, the rationale for each such category, facts which establish  
28 that such treatment is the least restrictive alternative, and, if the  
29 recommended assisted outpatient treatment plan includes medication, such  
30 physician shall state the types or classes of medication recommended,  
31 the beneficial and detrimental physical and mental effects of such medi-  
32 cation, and whether such medication should be self-administered or  
33 administered by an authorized professional. If the subject of the peti-  
34 tion has executed a health care proxy, such physician shall state the  
35 consideration given to any directions included in such proxy in develop-  
36 ing the written treatment plan. If a director is the petitioner, testi-  
37 mony pursuant to this paragraph shall be given at the hearing on the  
38 petition. If a person other than a director is the petitioner, such  
39 testimony shall be given on the date set by the court pursuant to para-  
40 graph three of subdivision [(j)] (K) of this section.

41 [(j)] (K) Disposition. (1) If after hearing all relevant evidence, the  
42 court does not find by clear and convincing evidence that the subject of  
43 the petition meets the criteria for assisted outpatient treatment, the  
44 court shall dismiss the petition.

45 (2) If after hearing all relevant evidence, the court finds by clear  
46 and convincing evidence that the subject of the petition meets the  
47 criteria for assisted outpatient treatment, and there is no appropriate  
48 and feasible less restrictive alternative, the court may order the  
49 subject to receive assisted outpatient treatment for an initial period  
50 not to exceed [six months] ONE YEAR. In fashioning the order, the court  
51 shall specifically make findings by clear and convincing evidence that  
52 the proposed treatment is the least restrictive treatment appropriate  
53 and feasible for the subject. The order shall state an assisted outpa-  
54 tient treatment plan, which shall include all categories of assisted  
55 outpatient treatment, as set forth in paragraph one of subdivision (a)  
56 of this section, which the assisted outpatient is to receive, but shall



1 not include any such category that has not been recommended in [both]  
2 the proposed written treatment plan and [the] IN ANY testimony provided  
3 to the court pursuant to subdivision [(i)] (J) of this section.

4 (3) If after hearing all relevant evidence presented by a petitioner  
5 who is not a director, the court finds by clear and convincing evidence  
6 that the subject of the petition meets the criteria for assisted outpa-  
7 tient treatment, and the court has yet to be provided with a written  
8 proposed treatment plan and testimony pursuant to subdivision [(i)] (J)  
9 of this section, the court shall order the appropriate director to  
10 provide the court with such plan and testimony no later than the third  
11 day, excluding Saturdays, Sundays and holidays, immediately following  
12 the date of such order; PROVIDED THAT THE PARTIES MAY STIPULATE UPON  
13 MUTUAL CONSENT THAT SUCH TESTIMONY NEED NOT BE PROVIDED. Upon receiving  
14 such plan and ANY REQUIRED testimony, the court may order assisted  
15 outpatient treatment as provided in paragraph two of this subdivision.

16 (4) A court may order the patient to self-administer psychotropic  
17 drugs or accept the administration of such drugs by authorized personnel  
18 as part of an assisted outpatient treatment program. Such order may  
19 specify the type and dosage range of such psychotropic drugs and such  
20 order shall be effective for the duration of such assisted outpatient  
21 treatment.

22 (5) If the petitioner is the director of a hospital that operates an  
23 assisted outpatient treatment program, the court order shall direct the  
24 hospital director to provide or arrange for all categories of assisted  
25 outpatient treatment for the assisted outpatient throughout the period  
26 of the order. For all other persons, the order shall require the direc-  
27 tor of community services of the appropriate local governmental unit to  
28 provide or arrange for all categories of assisted outpatient treatment  
29 for the assisted outpatient throughout the period of the order. ORDERS  
30 ISSUED ON OR AFTER THE EFFECTIVE DATE OF THE CHAPTER OF THE LAWS OF TWO  
31 THOUSAND TWELVE THAT AMENDED THIS SECTION SHALL REQUIRE THE APPROPRIATE  
32 DIRECTOR "AS DETERMINED BY THE PROGRAM COORDINATOR" TO PROVIDE OR  
33 ARRANGE FOR ALL CATEGORIES OF ASSISTED OUTPATIENT TREATMENT FOR THE  
34 ASSISTED OUTPATIENT THROUGHOUT THE PERIOD OF THE ORDER.

35 (6) The director shall cause a copy of any court order issued pursuant  
36 to this section to be served personally, or by mail, facsimile or elec-  
37 tronic means, upon the assisted outpatient, the mental hygiene legal  
38 service or anyone acting on the assisted outpatient's behalf, the  
39 original petitioner, identified service providers, and all others enti-  
40 tled to notice under subdivision [(f)] (G) of this section.

41 [(k)] (L) RELOCATION OF ASSISTED OUTPATIENTS. THE COMMISSIONER SHALL  
42 PROMULGATE REGULATIONS REQUIRING THAT, DURING THE PERIOD OF THE ORDER,  
43 AN ASSISTED OUTPATIENT AND ANY OTHER APPROPRIATE PERSONS SHALL NOTIFY  
44 THE PROGRAM COORDINATOR WITHIN A REASONABLE TIME PRIOR TO SUCH ASSISTED  
45 OUTPATIENT RELOCATING WITHIN THE STATE OF NEW YORK TO AN AREA NOT SERVED  
46 BY THE DIRECTOR WHO HAS BEEN DIRECTED TO PROVIDE OR ARRANGE FOR THE  
47 ASSISTED OUTPATIENT TREATMENT. UPON RECEIVING NOTIFICATION OF SUCH RELO-  
48 CATION, THE PROGRAM COORDINATOR SHALL REDETERMINE WHO THE APPROPRIATE  
49 DIRECTOR SHALL BE AND CAUSE A COPY OF THE COURT ORDER AND TREATMENT PLAN  
50 TO BE TRANSMITTED TO SUCH DIRECTOR.

51 (M) Petition for [additional periods of] CONTINUED treatment. (1)  
52 WITHIN THIRTY DAYS PRIOR TO THE EXPIRATION OF AN ORDER PURSUANT TO THIS  
53 SECTION, THE APPROPRIATE DIRECTOR SHALL REVIEW WHETHER THE ASSISTED  
54 OUTPATIENT CONTINUES TO MEET THE CRITERIA FOR ASSISTED OUTPATIENT TREAT-  
55 MENT. UPON DETERMINING THAT ONE OR MORE OF SUCH CRITERIA ARE NO LONGER  
56 MET, SUCH DIRECTOR SHALL NOTIFY THE PROGRAM COORDINATOR IN WRITING THAT

1 A PETITION FOR CONTINUED ASSISTED OUTPATIENT TREATMENT IS NOT WARRANTED.  
2 UPON DETERMINING THAT SUCH CRITERIA CONTINUE TO BE MET, HE OR SHE SHALL  
3 PETITION THE COURT TO ORDER CONTINUED ASSISTED OUTPATIENT TREATMENT FOR  
4 A PERIOD NOT TO EXCEED ONE YEAR FROM THE EXPIRATION DATE OF THE CURRENT  
5 ORDER. IF THE COURT'S DISPOSITION OF SUCH PETITION DOES NOT OCCUR PRIOR  
6 TO THE EXPIRATION DATE OF THE CURRENT ORDER, THE CURRENT ORDER SHALL  
7 REMAIN IN EFFECT UNTIL SUCH DISPOSITION. THE PROCEDURES FOR OBTAINING  
8 ANY ORDER PURSUANT TO THIS SUBDIVISION SHALL BE IN ACCORDANCE WITH THE  
9 PROVISIONS OF THE FOREGOING SUBDIVISION OF THIS SECTION; PROVIDED THAT  
10 THE TIME RESTRICTIONS INCLUDED IN PARAGRAPH FOUR OF SUBDIVISION (C) OF  
11 THIS SECTION SHALL NOT BE APPLICABLE. THE NOTICE PROVISIONS SET FORTH IN  
12 PARAGRAPH SIX OF SUBDIVISION (K) OF THIS SECTION SHALL BE APPLICABLE.  
13 ANY COURT ORDER REQUIRING PERIODIC BLOOD TESTS OR URINALYSIS FOR THE  
14 PRESENCE OF ALCOHOL OR ILLEGAL DRUGS SHALL BE SUBJECT TO REVIEW AFTER  
15 SIX MONTHS BY THE PHYSICIAN WHO DEVELOPED THE WRITTEN TREATMENT PLAN OR  
16 ANOTHER PHYSICIAN DESIGNATED BY THE DIRECTOR, AND SUCH PHYSICIAN SHALL  
17 BE AUTHORIZED TO TERMINATE SUCH BLOOD TESTS OR URINALYSIS WITHOUT  
18 FURTHER ACTION BY THE COURT.

19 (2) Within thirty days prior to the expiration of an order of assisted  
20 outpatient treatment, [the appropriate director or] the current peti-  
21 tioner, if the current petition was filed pursuant to subparagraph (i)  
22 or (ii) of paragraph one of subdivision [(e)] (F) of this section, and  
23 the current petitioner retains his or her original status pursuant to  
24 the applicable subparagraph, may petition the court to order continued  
25 assisted outpatient treatment for a period not to exceed one year from  
26 the expiration date of the current order. If the court's disposition of  
27 such petition does not occur prior to the expiration date of the current  
28 order, the current order shall remain in effect until such disposition.  
29 The procedures for obtaining any order pursuant to this subdivision  
30 shall be in accordance with the provisions of the foregoing subdivisions  
31 of this section; provided that the time restrictions included in para-  
32 graph four of subdivision (c) of this section shall not be applicable.  
33 The notice provisions set forth in paragraph six of subdivision [(j)]  
34 (K) of this section shall be applicable. Any court order requiring  
35 periodic blood tests or urinalysis for the presence of alcohol or ille-  
36 gal drugs shall be subject to review after six months by the physician  
37 who developed the written treatment plan or another physician designated  
38 by the director, and such physician shall be authorized to terminate  
39 such blood tests or urinalysis without further action by the court.

40 (3) IF NEITHER THE APPROPRIATE DIRECTOR NOR THE CURRENT PETITIONER  
41 PETITION FOR CONTINUED ASSISTED OUTPATIENT TREATMENT PURSUANT TO THIS  
42 PARAGRAPH AND THE ORDER OF THE COURT EXPIRES, ANY OTHER PERSON AUTHOR-  
43 IZED TO PETITION PURSUANT TO PARAGRAPH ONE OF SUBDIVISION (F) OF THIS  
44 SECTION MAY BRING A NEW PETITION FOR ASSISTED OUTPATIENT TREATMENT. IF  
45 SUCH NEW PETITION IS FILED LESS THAN SIXTY DAYS AFTER THE EXPIRATION OF  
46 SUCH ORDER, THE TIME RESTRICTIONS PROVIDED IN PARAGRAPH FOUR OF SUBDIVI-  
47 SION (C) OF THIS SECTION SHALL NOT BE APPLICABLE TO THE NEW PETITION.

48 (4) IF, THIRTY DAYS PRIOR TO THE EXPIRATION OF AN ORDER, THE ASSISTED  
49 OUTPATIENT IS DEEMED BY THE APPROPRIATE DIRECTOR TO BE MISSING AND  
50 THEREBY UNAVAILABLE FOR EVALUATION AS TO WHETHER HE OR SHE CONTINUES TO  
51 MEET THE CRITERIA FOR ASSISTED OUTPATIENT TREATMENT, SUCH DIRECTOR SHALL  
52 PETITION THE COURT TO EXTEND THE TERM OF THE CURRENT ORDER UNTIL SIXTY  
53 DAYS AFTER SUCH TIME AS THE ASSISTED OUTPATIENT IS LOCATED. IF THE COURT  
54 GRANTS THE EXTENSION, THE DIRECTOR SHALL CONTINUE REASONABLE EFFORTS TO  
55 LOCATE THE ASSISTED OUTPATIENT. UPON LOCATION OF THE ASSISTED OUTPA-  
56 TIENT, THE DIRECTOR SHALL REVIEW WHETHER THE ASSISTED OUTPATIENT CONTIN-

UES TO MEET THE CRITERIA FOR ASSISTED OUTPATIENT TREATMENT, PURSUANT TO PARAGRAPH TWO OF THIS SUBDIVISION.

[(1)] (N) Petition for an order to stay, vacate or modify. (1) In addition to any other right or remedy available by law with respect to the order for assisted outpatient treatment, the assisted outpatient, the mental hygiene legal service, or anyone acting on the assisted outpatient's behalf may petition the court on notice to the director, the original petitioner, and all others entitled to notice under subdivision [(f)] (G) of this section to stay, vacate or modify the order.

(2) The appropriate director shall petition the court for approval before instituting a proposed material change in the assisted outpatient treatment plan, unless such change is authorized by the order of the court. Such petition shall be filed on notice to all parties entitled to notice under subdivision [(f)] (G) of this section. Not later than five days after receiving such petition, excluding Saturdays, Sundays and holidays, the court shall hold a hearing on the petition; provided that if the assisted outpatient informs the court that he or she agrees to the proposed material change, the court may approve such change without a hearing. Non-material changes may be instituted by the director without court approval. For the purposes of this paragraph, a material change is an addition or deletion of a category of services to or from a current assisted outpatient treatment plan, or any deviation without the assisted outpatient's consent from the terms of a current order relating to the administration of psychotropic drugs.

[(m)] (O) Appeals. Review of an order issued pursuant to this section shall be had in like manner as specified in section 9.35 of this article; PROVIDED THAT NOTICE SHALL BE PROVIDED TO ALL PARTIES ENTITLED TO NOTICE UNDER SUBDIVISION (G) OF THIS SECTION.

[(n)] (P) Failure to comply with assisted outpatient treatment. Where in the clinical judgment of a physician, (i) the assisted outpatient, has failed or refused to comply with the assisted outpatient treatment, (ii) efforts were made to solicit compliance, and (iii) such assisted outpatient may be in need of involuntary admission to a hospital pursuant to section 9.27 of this article or immediate observation, care and treatment pursuant to section 9.39 or 9.40 of this article, such physician may request the director of community services, the director's designee, or any physician designated by the director of community services pursuant to section 9.37 of this article, to direct the removal of such assisted outpatient to an appropriate hospital for an examination to determine if such person has a mental illness for which HE OR SHE IS IN NEED OF hospitalization is necessary pursuant to section 9.27, 9.39 or 9.40 of this article[. Furthermore, if such assisted outpatient refuses to take medications as required by the court order, or he or she refuses to take, or fails a blood test, urinalysis, or alcohol or drug test as required by the court order, such physician may consider such refusal or failure when determining whether]; PROVIDED THAT IF, AFTER EFFORTS TO SOLICIT COMPLIANCE, SUCH PHYSICIAN DETERMINES THAT THE ASSISTED OUTPATIENT'S FAILURE TO COMPLY WITH THE ASSISTED OUTPATIENT TREATMENT INCLUDES A SUBSTANTIAL FAILURE TO TAKE MEDICATION, PASS OR SUBMIT TO BLOOD TESTING OR URINALYSIS, OR RECEIVE TREATMENT FOR ALCOHOL OR SUBSTANCE ABUSE, SUCH PHYSICIAN MAY PRESUME THAT the assisted outpatient is in need of an examination to determine whether he or she has a mental illness for which hospitalization is necessary. Upon the request of such physician, the director, the director's designee, or any physician designated pursuant to section 9.37 of this article, may direct peace officers, acting pursuant to their special duties, or police offi-

1 cers who are members of an authorized police department or force or of a  
2 sheriff's department to take the assisted outpatient into custody and  
3 transport him or her to the hospital operating the assisted outpatient  
4 treatment program or to any hospital authorized by the director of  
5 community services to receive such persons. Such law enforcement offi-  
6 cials shall carry out such directive. Upon the request of such physi-  
7 cian, the director, the director's designee, or any physician designated  
8 pursuant to section 9.37 of this article, an ambulance service, as  
9 defined by subdivision two of section three thousand one of the public  
10 health law, or an approved mobile crisis outreach team as defined in  
11 section 9.58 of this article shall be authorized to take into custody  
12 and transport any such person to the hospital operating the assisted  
13 outpatient treatment program, or to any other hospital authorized by the  
14 director of community services to receive such persons. Any director of  
15 community services, or designee, shall be authorized to direct the  
16 removal of an assisted outpatient who is present in his or her county to  
17 an appropriate hospital, in accordance with the provisions of this  
18 subdivision, based upon a determination of the appropriate director of  
19 community services directing the removal of such assisted outpatient  
20 pursuant to this subdivision. Such person may be retained for observa-  
21 tion, care and treatment and further examination in the hospital for up  
22 to seventy-two hours to permit a physician to determine whether such  
23 person has a mental illness and is in need of involuntary care and  
24 treatment in a hospital pursuant to the provisions of this article. Any  
25 continued involuntary retention OF THE ASSISTED OUTPATIENT in such  
26 hospital beyond the initial seventy-two hour period shall be in accord-  
27 ance with the provisions of this article relating to the involuntary  
28 admission and retention of a person. If at any time during the seventy-  
29 two hour period the person is determined not to meet the involuntary  
30 admission and retention provisions of this article, and does not agree  
31 to stay in the hospital as a voluntary or informal patient, he or she  
32 must be released. Failure to comply with an order of assisted outpatient  
33 treatment shall not be grounds for involuntary civil commitment or a  
34 finding of contempt of court.

35 [(o)] (Q) Effect of determination that a person is in need of assisted  
36 outpatient treatment. The determination by a court that a person is in  
37 need of assisted outpatient treatment shall not be construed as or  
38 deemed to be a determination that such person is incapacitated pursuant  
39 to article eighty-one of this chapter.

40 [(p)] (R) False petition. A person making a false statement or provid-  
41 ing false information or false testimony in a petition or hearing under  
42 this section shall be subject to criminal prosecution pursuant to arti-  
43 cle one hundred seventy-five or article two hundred ten of the penal  
44 law.

45 [(q)] (S) Exception. Nothing in this section shall be construed to  
46 affect the ability of the director of a hospital to receive, admit, or  
47 retain patients who otherwise meet the provisions of this article  
48 regarding receipt, retention or admission.

49 [(r)] (T) Education and training. (1) The office [of mental health],  
50 in consultation with the office of court administration, shall prepare  
51 educational and training materials on the use of this section, which  
52 shall be made available to local governmental units, providers of  
53 services, judges, court personnel, law enforcement officials and the  
54 general public.

55 (2) The office, in consultation with the office of court adminis-  
56 tration, shall establish a mental health training program for supreme

1 and county court judges and court personnel, AND SHALL PROVIDE SUCH  
2 TRAINING WITH SUCH FREQUENCY AND IN SUCH LOCATIONS AS MAY BE APPROPRIATE  
3 TO MEET STATEWIDE NEEDS. Such training shall focus on the use of this  
4 section and generally address issues relating to mental illness and  
5 mental health treatment.

6 S 6. Section 29.15 of the mental hygiene law is amended by adding a  
7 new subdivision (o) to read as follows:

8 (O) IF THE DIRECTOR OF A DEPARTMENT FACILITY DOES NOT PETITION FOR  
9 ASSISTED OUTPATIENT TREATMENT PURSUANT TO SECTION 9.60 OF THIS CHAPTER  
10 UPON THE DISCHARGE OF AN INPATIENT ADMITTED PURSUANT TO SECTION 9.27,  
11 9.39 OR 9.40 OF THIS CHAPTER, OR UPON THE EXPIRATION OF A PERIOD OF  
12 CONDITIONAL RELEASE FOR SUCH INPATIENT, SUCH DIRECTOR SHALL REPORT SUCH  
13 DISCHARGE OR SUCH EXPIRATION IN WRITING TO THE DIRECTOR OF COMMUNITY  
14 SERVICES OF THE LOCAL GOVERNMENTAL UNIT IN WHICH THE INPATIENT IS  
15 EXPECTED TO RESIDE.

16 S 7. Subdivision 1 of section 404 of the correction law, as amended by  
17 chapter 7 of the laws of 2007, is amended to read as follows:

18 1. Whenever an inmate committed to a hospital in the department of  
19 mental hygiene or whenever an inmate is examined in anticipation of his  
20 or her conditional release, release to parole supervision, or when his  
21 or her sentence to a term of imprisonment expires and such inmate shall  
22 continue to be mentally ill and in need of care and treatment at the  
23 time of his or her conditional release, release to parole supervision,  
24 or when his or her sentence to a term of imprisonment expires, the  
25 director of the hospital or the superintendent of a correctional facility  
26 [may] SHALL, WHERE APPROPRIATE, EITHER apply for the person's admis-  
27 sion to a hospital for the care and treatment of the mentally ill in the  
28 department of mental hygiene pursuant to article nine of the mental  
29 hygiene law[,] or [alternatively] INITIATE A PETITION FOR AN ORDER  
30 AUTHORIZING ASSISTED OUTPATIENT TREATMENT, PURSUANT TO SECTION 9.60 OF  
31 THE MENTAL HYGIENE LAW, OR the commissioner may apply for the person's  
32 admission to a secure treatment facility pursuant to article ten of the  
33 mental hygiene law.

34 S 8. Section 18 of chapter 408 of the laws of 1999, constituting  
35 Kendra's Law, as amended by chapter 139 of the laws of 2010, is amended  
36 to read as follows:

37 S 18. This act shall take effect immediately, provided that section  
38 fifteen of this act shall take effect April 1, 2000, provided, further,  
39 that subdivision (e) of section 9.60 of the mental hygiene law as added  
40 by section six of this act shall be effective 90 days after this act  
41 shall become law[; and that this act shall expire and be deemed repealed  
42 June 30, 2015].

43 S 9. Severability. If any clause, sentence, paragraph, section or part  
44 of this act shall be adjudged by any court of competent jurisdiction to  
45 be invalid, and after exhaustion of all further judicial review, the  
46 judgment shall not affect, impair or invalidate the remainder thereof,  
47 but shall be confined in its operation to the clause, sentence, para-  
48 graph, section or part thereof directly involved in the controversy.

49 S 10. This act shall take effect immediately.