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I N   A S S E M B L Y

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Introduced by M. of A. DINOWITZ, ENGLEBRIGHT, BING, J. RIVERA, LATIMER, JAFFEE, GUNTHER -- Multi-Sponsored by -- M. of A. ABBATE, FINCH, HOOPER, MAISEL, McENENY -- read once and referred to the Committee on Aging

AN ACT to amend the elder law, in relation to expanding the coverage of the naturally occurring retirement community supportive service program

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1     Section 1. Section 209 of the elder law, as amended by section 41 of  
2     part A of chapter 58 of the laws of 2010, paragraph (b) of subdivision 1  
3     as separately amended by chapter 348 and paragraphs (d) and (k) of  
4     subdivision 4 and subparagraph 6 of paragraph (c) of subdivision 5-a as  
5     separately amended by chapter 410 of the laws of 2010, is amended to  
6     read as follows:  
7     S 209. Naturally occurring retirement community supportive service  
8     program. 1. As used in this section:  
9     (a) "Advisory committee" or "committee" shall mean the advisory  
10    committee convened by the director for the purposes specified in this  
11    section. Such committee shall be broadly representative of housing and  
12    senior citizen groups, and all geographic areas of the state.  
13    (b) "Older adults" shall mean persons who are sixty years of age or  
14    older.  
15    (c) "Eligible applicant" shall mean a not-for-profit agency specializ-  
16    ing in housing, health or other human services which serves or would  
17    serve the community within which a naturally occurring retirement commu-  
18    nity is located.  
19    (d) "Eligible services" shall mean services including, but not limited  
20    to: case management, care coordination, counseling, health assessment  
21    and monitoring, transportation, socialization activities, home care  
22    facilitation and monitoring, and other services designed to address the  
23    needs of residents of naturally occurring retirement communities by

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets [ ] is old law to be omitted.

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1 helping them extend their independence, improve their quality of life,  
2 and avoid unnecessary hospital and nursing home stays.

3 (e) ["Government assistance" shall mean and be broadly interpreted to  
4 mean any monetary assistance provided by the federal, the state or a  
5 local government, or any agency thereof, or any authority or public  
6 benefit corporation, in any form, including loans or loan subsidies, for  
7 the construction of an apartment building or housing complex for low and  
8 moderate income persons, as such term is defined by the United States  
9 Department of Housing and Urban Development.

10 (f)] "Naturally occurring retirement community" shall mean an apart-  
11 ment building or housing complex OR OTHER HOUSING WITH A LARGE CONCEN-  
12 TRATION OF OLDER ADULTS which:

13 (1) [was constructed with government assistance;

14 (2)] was not [originally built] DEVELOPED for older adults;

15 [(3)] (2) does not restrict admissions solely to older adults;

16 [(4) at least fifty percent of the units have an occupant who is an  
17 older adult or in which at least twenty-five hundred]

18 (3) FORTY-FIVE PERCENT OF THE OCCUPANTS OF WHICH ARE OLDER ADULTS OR  
19 IN WHICH TWO HUNDRED FIFTY of the residents are older adults; and

20 [(5)] (4) a majority of the older adults to be served are low or  
21 moderate income, as defined by the United States Department of Housing  
22 and Urban Development.

23 2. [A naturally occurring retirement community supportive service  
24 program is established as a demonstration program to be administered by  
25 the director.

26 3.] The director shall be assisted by the advisory committee in the  
27 development of appropriate criteria for the selection of grantees of  
28 funds provided pursuant to this section and programmatic issues as  
29 deemed appropriate by the director.

30 [4.] 3. The criteria recommended by the committee and adopted by the  
31 director for the award of grants shall be consistent with the provisions  
32 of this section and shall include, at a minimum:

33 (a) the number, size, type and location of the projects to be served;  
34 provided, that the committee and director shall make reasonable efforts  
35 to assure that geographic balance in the distribution of such projects  
36 is maintained, consistent with the needs to be addressed, funding avail-  
37 able, applications for eligible applicants, other requirements of this  
38 section, ABILITY TO COORDINATE SERVICES AND PLANNING WITH THE AREA AGEN-  
39 CY ON AGING, and other criteria developed by the committee and director;

40 (b) the appropriate number and concentration of older adult residents  
41 to be served by an individual project; provided, that such criteria need  
42 not specify, in the case of a project which includes several buildings,  
43 the number of older adults to be served in any individual building;

44 (c) the demographic characteristics of the residents to be served;

45 (d) the financial or in-kind support required to be provided to the  
46 project by the owners, managers and residents of the housing develop-  
47 ment; provided, however, that such criteria need not address whether the  
48 funding is public or private, or the source of such support;

49 (e) the scope and intensity of the services to be provided, and their  
50 appropriateness for the residents proposed to be served. The criteria  
51 shall not require that the applicant agency be the sole provider of such  
52 services, but shall require that the applicant at a minimum actively  
53 manage the provision of such services;

54 (f) the experience and financial stability of the applicant agency,  
55 [provided that the criteria shall require that priority be given to  
56 programs already in operation, including those projects participating in

1 the resident advisor program administered by the office, and enriched  
2 housing programs] which meet the requirements of this section and which  
3 have demonstrated to the satisfaction of the director [and the commit-  
4 tee] their fiscal and managerial stability and programmatic success in  
5 serving residents;

6 (g) the nature and extent of requirements proposed to be established  
7 for active, meaningful participation for residents proposed to be served  
8 in project design, implementation, monitoring, evaluation and gover-  
9 nance;

10 (h) an agreement by the applicant to participate in the data  
11 collection and evaluation project [necessary] SUFFICIENT to complete the  
12 [report required by this section] REPORTING REQUIREMENTS ESTABLISHED BY  
13 THE DIRECTOR;

14 (i) the policy and program roles of the applicant agency and any other  
15 agencies involved in the provision of services or the management of the  
16 project, including the housing development governing body, or other  
17 owners or managers of the apartment buildings and housing complexes and  
18 the residents of such apartment buildings and housing complexes. The  
19 criteria shall require a clear delineation of such policy and program  
20 roles;

21 (j) a requirement that each eligible agency document the need for the  
22 project and financial commitments to it from such sources as the commit-  
23 tee and the director shall deem appropriate given the character and  
24 nature of the proposed project, and written evidence of support from the  
25 appropriate housing development governing body or other owners or manag-  
26 ers of the apartment buildings and housing complexes. The purpose of  
27 such documentation shall be to demonstrate the need for the project,  
28 support for it in the areas to be served, and the financial and manage-  
29 rial ability to sustain the project;

30 (k) a requirement that any aid provided pursuant to this section be  
31 matched by an equal amount, in-kind support of equal value, or some  
32 combination thereof from other sources, provided that such in-kind  
33 support to be utilized only upon approval from the director and only to  
34 the extent matching funds are not available, and that at least twenty-  
35 five percent of such amount be contributed by the housing development  
36 governing body or other owners or managers and residents of the apart-  
37 ment buildings and housing complexes in which the project is proposed;  
38 and

39 (l) the circumstances under which the director may waive all or part  
40 of the requirement for provision of an equal amount of funding from  
41 other sources required pursuant to paragraph (k) of this subdivision,  
42 provided that such criteria shall include provision for waiver at the  
43 discretion of the director upon a finding by the director that the  
44 program will serve a low income or hardship community, and that such  
45 waiver is required to assure that such community receive a fair share of  
46 the funding available. The committee shall develop appropriate criteria  
47 for determining whether a community is a low income or hardship communi-  
48 ty.

49 [5.] 4. Within amounts specifically appropriated therefor and consist-  
50 ent with the criteria developed and required pursuant to this section  
51 the director shall approve grants to eligible applicants in amounts not  
52 to exceed one hundred fifty thousand dollars for a project in any twelve  
53 month period. [The director shall not approve more than ten grants in  
54 the first twelve month period after the effective date of this section.

55 5-a.] 5. The director may, in addition recognize neighborhood  
56 naturally occurring retirement communities, or Neighborhood NORCs, and

1 provide program support within amounts specifically available by appro-  
2 priation therefor, which shall be subject to the requirements, rules and  
3 regulations of this section, provided however that:

4 (a) the term Neighborhood NORC as used in this subdivision shall mean  
5 and refer to a residential dwelling or group of residential dwellings in  
6 a geographically defined neighborhood of a municipality containing not  
7 more than two thousand persons who are older adults reside in at least  
8 forty percent of the units and which is made up of low-rise buildings  
9 six stories or less in height and/or single and multi-family homes and  
10 which area was not originally developed for older adults, and which does  
11 not restrict admission strictly to older adults;

12 (b) grants to an eligible Neighborhood NORC shall be no less than  
13 sixty thousand dollars for any twelve-month period;

14 (c) the director shall be assisted by the advisory committee in the  
15 development of criteria for the selection of grants provided pursuant to  
16 this section and programmatic issues as deemed appropriate by the direc-  
17 tor. The criteria recommended by the committee and adopted by the direc-  
18 tor for the award of grants shall be consistent with the provisions of  
19 this subdivision and shall include, at a minimum, the following require-  
20 ments or items of information using such criteria as the advisory  
21 committee and the director shall approve:

22 (1) the number, size, type and location of residential dwellings or  
23 group of residential dwellings selected as candidates for [neighborhood]  
24 NEIGHBORHOOD NORCs funding. The director shall make reasonable efforts  
25 to assure that geographic balance in the distribution of such grants is  
26 maintained, consistent with the needs to be addressed, funding avail-  
27 able, applications from eligible applicants, ability to coordinate  
28 services and other requirements of this section;

29 (2) the appropriate number and concentration of older adult residents  
30 to be served by an individual Neighborhood NORC. The criteria need not  
31 specify the number of older adults to be served in any individual build-  
32 ing;

33 (3) the demographic characteristics of the residents to be served;

34 (4) a requirement that the applicant demonstrate the development or  
35 intent to develop community wide support from residents, neighborhood  
36 associations, community groups, nonprofit organizations and others;

37 (5) a requirement that the boundaries of the geographic area to be  
38 served are clear and coherent and create an identifiable program and  
39 supportive community;

40 (6) a requirement that the applicant commit to raising matching funds,  
41 in-kind support, or some combination thereof from non-state sources,  
42 provided that such in-kind support be utilized only upon approval from  
43 the director and only to the extent matching funds are not available,  
44 equal to fifteen percent of the state grant in the second year after the  
45 program is approved, twenty-five percent in the third year, forty  
46 percent in the fourth year, and fifty percent in the fifth year, and  
47 further commit that in each year, twenty-five percent of such required  
48 matching funds, in-kind support, or combination thereof be raised within  
49 the community served. Such local community matching funds, in-kind  
50 support, or combination thereof shall include but not be limited to:  
51 dues, fees for service, individual and community contributions, and such  
52 other funds as the advisory committee and the director shall deem appro-  
53 priate;

54 (7) a requirement that the applicant demonstrate experience and finan-  
55 cial stability;

1 (8) a requirement that priority in selection be given to programs in  
2 existence prior to the effective date of this subdivision which, except  
3 for designation and funding requirements established herein, would have  
4 otherwise generally qualified as a Neighborhood NORC;

5 (9) a requirement that the applicant conduct or have conducted a needs  
6 assessment on the basis of which such applicant shall establish the  
7 nature and extent of services to be provided; and further that such  
8 services shall provide a mix of appropriate services that provide active  
9 and meaningful participation for residents;

10 (10) a requirement that residents to be served shall be involved in  
11 design, implementation, monitoring, evaluation and governance of the  
12 Neighborhood NORC;

13 (11) an agreement by the applicant that it will participate in the  
14 data collection and evaluation necessary to complete the reporting  
15 requirements as established by the director;

16 (12) the policy and program roles of the applicant agency and any  
17 other agencies involved in the provision of services or the management  
18 of the Neighborhood NORC, provided that the criteria shall require a  
19 clear delineation of such policy and program roles;

20 (13) a requirement that each applicant document the need for the grant  
21 and financial commitments to it from such sources as the advisory  
22 committee and the director shall deem appropriate given the character  
23 and nature of the proposed Neighborhood NORC and written evidence of  
24 support from the community;

25 (14) the circumstances under which the director may waive all or part  
26 of the requirement for provision of an equal amount of funding from  
27 other sources required pursuant to this subdivision, provided that such  
28 criteria shall include provision for waiver at the discretion of the  
29 director upon a finding by the director that the Neighborhood NORC will  
30 serve a low income or hardship community, and that such waiver is  
31 required to assure that such community receive a fair share of the fund-  
32 ing available. For purposes of this paragraph, a hardship community may  
33 be one that has developed a successful model but which needs additional  
34 time to raise matching funds required herein. An applicant applying for  
35 a hardship exception shall submit a written plan in a form and manner  
36 determined by the director detailing its plans to meet the matching  
37 funds requirement in the succeeding year;

38 (15) a requirement that any proposed Neighborhood NORC in a geograph-  
39 ically defined neighborhood of a municipality containing more than two  
40 thousand older adults shall require the review and recommendation by the  
41 advisory committee before being approved by the director;

42 (d) on or before March first, two thousand eight, the director shall  
43 report to the governor and the fiscal and aging committees of the senate  
44 and the assembly concerning the effectiveness of Neighborhood NORCs in  
45 achieving the objectives set forth by this subdivision. Such report  
46 shall address each of the items required for Neighborhood NORCs in  
47 achieving the objectives set forth in this section and such other items  
48 of information as the director shall deem appropriate, including recom-  
49 mendations concerning continuation or modification of the program, and  
50 any recommendations from the advisory committee.

51 (e) in providing program support for Neighborhood NORCs as authorized  
52 by this subdivision, the director shall in no event divert or transfer  
53 funding for grants or program support from any naturally occurring  
54 retirement community supportive service programs authorized pursuant to  
55 other provisions of this section.

1     6. The director may allow services provided by a naturally occurring  
2 retirement community supportive service program or by a neighborhood  
3 naturally occurring retirement community to also include services to  
4 residents who live in neighborhoods contiguous to the boundaries of the  
5 geographic area served by such programs if: (a) the persons served are  
6 older adults; (b) the services affect the health and welfare of such  
7 persons; and (c) the services are provided on a one-time basis in the  
8 year in which they are provided, and not in a manner which is said or  
9 intended to be continuous. The director may also consent to the  
10 provision of such services by such program if the program has received a  
11 grant which requires services to be provided beyond the geographic boun-  
12 daries of the program. The director shall establish procedures under  
13 which a program may request the ability to provide such services.

14     7. The director shall promulgate rules and regulations as necessary to  
15 carry out the provisions of this section.

16     [8. On or before March first, two thousand five, the director shall  
17 report to the governor and the finance committee of the senate and the  
18 ways and means committee of the assembly concerning the effectiveness of  
19 the naturally occurring retirement community supportive services  
20 program, other than Neighborhood NORCs, as defined in subdivision five-a  
21 of this section, in achieving the objectives set forth by this section,  
22 which include helping to address the needs of residents in such  
23 naturally occurring retirement communities, assuring access to a contin-  
24 uum of necessary services, increasing private, philanthropic and other  
25 public funding for programs, and preventing unnecessary hospital and  
26 nursing home stays. The report shall also include recommendations  
27 concerning continuation or modification of the program from the director  
28 and the committee, and shall note any divergence between the recommenda-  
29 tions of the director and the committee. The director shall provide the  
30 required information and any other information deemed appropriate to the  
31 report in such form and detail as will be helpful to the legislature and  
32 the governor in determining to extend, eliminate or modify the program  
33 including, but not limited to, the following:

34     (a) the number, size, type and location of the projects developed and  
35 funded, including the number, kinds and functions of staff in each  
36 program;

37     (b) the number, size, type and location of the projects proposed but  
38 not funded, and the reasons for denial of funding for such projects;

39     (c) the age, sex, religion and other appropriate demographic informa-  
40 tion concerning the residents served;

41     (d) the services provided to residents, reported in such manner as to  
42 allow comparison of services by demographic group and region;

43     (e) a listing of the services provided by eligible applicants, includ-  
44 ing the number, kind and intensity of such services; and

45     (f) a listing of other organizations providing services, the number,  
46 kind and intensity of such services, the number of referrals to such  
47 organizations and, to the extent practicable, the outcomes of such  
48 referrals.]

49     S 2. Such moneys as may be necessary to effect the purposes of this  
50 act shall be appropriated to the director of the office for the aging  
51 for expenses associated with such purposes, but in no event shall funds  
52 be diverted from any of the naturally occurring retirement community  
53 supportive service programs in existence prior to the effective date of  
54 this act.

55     S 3. This act shall take effect immediately.